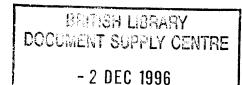
Magnetization Oscillations and Waves

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96/33450

CRC Press

Boca Raton New York London Tokyo

Library of Congress Cataloging-in-Publication Data

Catalog record is available from the Library of Congress.

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International Standard Book Number 0-8493-9460-0
Printed in the United States of America 1 2 3 4 5 6 7 8 9 0
Printed on acid-free paper

Contents

Pre	eface			ix
1	Isotr	opic fe	rromagnet magnetized to saturation	1
	1.1	Ferron	nagnetism	1
		1.1.1	Elementary magnetic moments	2
		1.1.2	Paramagnetism	4
		1.1.3	Weiss theory	6
		1.1.4	Exchange interaction	7
	1.2	Equati	on of motion of magnetization	8
	1.3	High-f	requency magnetic susceptibility	10
		1.3.1	Solution of the linearized equation of motion	11
		1.3.2	Peculiarities of the susceptibility tensor	12
		1.3.3	High-frequency permeability	14
	1.4	Allow	ance for magnetic losses	17
		1.4.1	Dissipative terms and dissipation parameters	17
		1.4.2	Susceptibility tensor components	19
	1.5	Unifor	rm oscillations in a small ellipsoid	22
		1.5.1	Internal and external magnetic fields	23
		1.5.2	Eigenoscillations	24
		1.5.3	Forced oscillations	27
2	Anis	otropio	c ferromagnet	31
	2.1	_	u–Lifshitz equation	31
		2.1.1	Generalization of equation of motion	32
		2.1.2	Methods of analysis of ferromagnetic resonance in	
			anisotropic ferromagnet	35
	2.2	Magn	etocrystalline anisotropy	37
		2.2.1	Origins of magnetocrystalline anisotropy	37
		2.2.2	Phenomenological description	39
		2.2.3	Equilibrium orientations of magnetization	41
	2.3	Ferror	magnetic resonance in a single crystal	43
		2.3.1	Sphere of a uniaxial ferromagnet	44

		2.3.2	1	. 4
		2.3.3	Simultaneous allowance for different kinds of	
			anisotropy	. 50
	2.4		magnetic resonance in a polycrystal	. 53
		2.4.1	Independent-grain and strongly-coupled-grain	
			approximations	. 54
		2.4.2	Influence of porosity	56
3	An		nagnets and ferrites	59
	3.1	Antife	erromagnetism and ferrimagnetism	59
		3.1.1	Crystal and magnetic structures	60
		3.1.2	Equations of motion and energy terms	64
		3.1.3	Ground states and small oscillations	65
	3.2	Antife	erromagnetic resonance	
		3.2.1	Antiferromagnet with an easy axis of anisotropy: steady	
			states	68
		3.2.2	Oscillations in antiparallel state	71
		3.2.3	Oscillations in noncollinear state	73
		3.2.4	Oscillations in transverse and arbitrarily oriented fields .	74
		3.2.5	Antiferromagnet with easy plane of anisotropy	76
	3.3	Magne	etic oscillations in ferrimagnets	81
		3.3.1	Ground states of two-sublattice ferrimagnet	81
		3.3.2	Oscillations in antiparallel ground state	83
		3.3.3	Oscillations in noncollinear ground state	86
		3.3.4	Damped and forced oscillations	87
4	Fun	dament	tals of electrodynamics of gyrotropic media	91
	4.1	Equati		91
		4.1.1	General equations and boundary conditions	91
		4.1.2	Equations for bigyrotropic media	94
	4.2	Unifor	rm plane waves	96
		4.2.1	General relations	96
		4.2.2	Longitudinal magnetization	98
		4.2.3	Transverse magnetization	102
	4.3	Nonre	ciprocity	104
	4.4	Energy	y relations	106
		4.4.1	Equation of energy balance	107
		4.4.2	Energy losses	108
	4.5	Perturb	bation method	109
		4.5.1	Gyrotropic perturbation of a waveguide	110
		4.5.2	Gyrotropic perturbation of a resonator	111
		4.5.3	Quasistatic approximation	111
		4.5.4	Resonator with walls of real metal	114

CONTENTS

5	Wav	eguides	s and resonators with gyrotropic media. Microwave ferri	te
de	vices			117
	5.1	Waveg	uide with longitudinally magnetized medium	117
		5.1.1	Circular waveguide	118
		5.1.2	Circular waveguide with ferrite rod	119
		5.1.3	Faraday ferrite devices	121
	5.2	Waveg	guide with transversely magnetized ferrite	123
		5.2.1	Rectangular waveguide filled with ferrite	123
		5.2.2	Rectangular waveguide with ferrite plates	125
		5.2.3	Microwave ferrite devices	128
	5.3	Reson	ators with gyrotropic media	130
		5.3.1	Eigenoscillations and forced oscillations	131
		5.3.2	Waveguide resonators	133
		5.3.3	Ferrite resonators	134
		5.3.4	Use of perturbation method	137
	5.4	Waveg	guides and waveguide junctions with ferrite samples	138
		5.4.1	Ferrite ellipsoid in a waveguide	139
		5.4.2	Coupling of orthogonal waveguides. Ferrite band-pass	
			filters	141
		5.4.3	General properties of nonreciprocal junctions	143
6	Mag	netosta	atic waves and oscillations	147
	6.1		etostatic approximation	147
	6.2	Nonex	schange magnetostatic waves in plates and rods	153
		6.2.1	Volume waves in plates	153
		6.2.2	Surface waves	157
		6.2.3	Magnetostatic waves in waveguides with finite	
			cross section	162
		6.2.4	Energy flow and losses	166
		6.2.5	Magnetostatic waves in ferrite films:	
			excitation, applications	168
	6.3	Magne	etostatic oscillations (Walker's modes)	170
		6.3.1	Metallized cylinder	171
		6.3.2	Sphere and ellipsoid of revolution	171
		6.3.3	Damping, excitation, and coupling	176
7	Spin	ı waves		179
-	7.1		vaves in unbounded ferromagnet	179
		7.1.1	Energy and effective field of exchange interaction	179
		7.1.2	Dispersion law	180
		7.1.2	Magnetization, field components, and damping	184
	7.2		vaves in bounded bodies	186
	1.2	7.2.1	Exchange boundary conditions	
		7.2.1	•	189

vi CONTENTS

		7.2.3	Propagating spin waves in films	. 194
		7.2.4	Spin waves in nonuniform magnetic fields	. 198
	7.3	Magne	ons	. 199
		7.3.1	Quantization of magnetic oscillations and waves	
		7.3.2	Thermal magnons	. 202
	7.4	Eleme	ents of microscopic spin-wave theory	. 205
		7.4.1	Diagonalization of the Hamiltonian	. 206
		7.4.2	Discussion of the dispersion law	
		7.4.3	Allowance for dipole-dipole interaction and	
			anisotropy	. 212
		7.4.4	Interaction of magnons	. 214
8	Mag		scillations and waves in unsaturated ferromagnet	215
	8.1	Oscilla	ations of domain walls	. 215
		8.1.1	Domain walls and domain structures	. 215
		8.1.2	Equation of motion of a domain wall	. 219
		8.1.3	Dynamic susceptibility	. 220
	8.2	Ferron	nagnetic resonance in samples with domain structure	. 222
		8.2.1	Ellipsoid of uniaxial ferromagnet	. 224
		8.2.2	Sphere of cubic ferromagnet	
		8.2.3	Nonuniform modes in unsaturated samples	. 229
9	Non	linear o	oscillations of magnetization	231
	9.1	Ferron	nagnetic resonance in strong alternating fields	231
		9.1.1	Rigorous solution of equation of motion	
		9.1.2	Approximate methods	234
	9.2	Harmo	onic generation and frequency conversion	
		9.2.1	Frequency doubling	238
		9.2.2	Frequency mixing	242
10			excitation of magnetic oscillations and waves	245
	10.1	Nonlin	near coupling of magnetic modes	245
	10.2		nolds of parametric excitation under transverse pumping	
			First-order and second-order instabilities	
		10.2.2	Threshold fields	252
		10.2.3	Effect of pumping-field polarization	254
	10.3	Longit	tudinal and oblique pumping	255
		10.3.1	Longitudinal pumping	255
		10.3.2	Effect of nonuniformities	259
		10.3.3	Oblique pumping	261
	10.4		ility of nonuniform modes and nonuniform pumping	263
		10.4.1	Parametric excitation of magnetostatic	
			oscillations and waves	263
		1042	Ferrite parametric amplifier	267

CONTENTS vii

		10.4.3	Nonuniform pumping	268
	10.5	Above-	-threshold state	271
		10.5.1	Reaction of parametric spin waves on pumping	271
		10.5.2	Phase mechanism	274
		10.5.3	Nonlinear damping	276
		10.5.4	Stability of the above-threshold state	279
		10.5.5	Nonlinear microwave ferrite devices	279
11	Spin	–spin re	elaxation	283
	11.1	Relaxa	tion processes in magnetically ordered substances	283
		11.1.1	Kinds of relaxation processes	283
		11.1.2	Methods of theoretical study	285
	11.2	Inherer	nt spin-spin processes	288
		11.2.1	Three-magnon splitting	289
		11.2.2	Three-magnon confluence	292
		11.2.3	Four-magnon scattering	294
		11.2.4	Inherent processes for uniform precession	296
			Experimental data	296
	11.3		agnon processes	299
		11.3.1	Theory of two-magnon processes	300
		11.3.2	Disorder in distribution of ions over lattice sites	305
		11.3.3	Anisotropy-field variations and pores in polycrystals	306
		11.3.4	Surface roughness	308
12	Mag	netoela	stic coupling	311
	12.1	Elastic	properties and magnetoelastic interaction	311
			Elastic waves and oscillations	311
		12.1.2	Magnetoelastic energy and equations of motion	314
	12.2	Effect	of elastic stresses on ferromagnetic resonance	318
	12.3	Magne	toelastic waves	319
		12.3.1	Normal waves	320
		12.3.2	Damping and excitation	323
		12.3.3	Magnetoelastic waves in nonuniform steady	
			magnetic field	324
	12.4	Parame	etric excitation of magnetoelastic waves	326
		12.4.1	Longitudinal pumping of magnetoelastic waves	326
		12.4.2	Parametric excitation caused by magnetoelastic	
			coupling	329
		12.4.3	Elastic pumping	330
	12.5	Spin-la	attice relaxation	331

viii CONTENTS

13	Ion	ic anisoti	ropy and relaxation	337
	13.	l Anisoti	ropy caused by impurity ions	337
		13.1.1	Energy levels of ions	337
		13.1.2	One-ion theory of ferromagnetic-resonance	
			anisotropy	342
		13.1.3	Near-crossing energy levels	343
			Experimental data	
	13.2		elaxation processes	
		13.2.1	Transverse relaxation	347
		13.2.2	Longitudinal (slow) relaxation	349
		13.2.3	Relaxation of ionic-level populations	356
			Experimental data	
14	Inte	eraction	of magnetic oscillations and waves with charge carries	363
	14.	Effect of	of charge carriers in semiconductors	363
		14.1.1	Damping of magnetic oscillations caused by	
			conductivity	363
		14.1.2	Influence of interionic electron transitions	365
		14.1.3	Interaction of spin waves with charge carriers	367
	14.2	2 Ferrom	agnetic resonance and spin waves in metals	
		14.2.1		
		14.2.2	Theory without allowance for exchange interaction	375
		14.2.3	Influence of exchange interaction	378
		14.2.4	Antiresonance	384
		14.2.5	Processes of magnetic relaxation	386
Аp	pen	dices		391
	Α	Units an	d constants	391
	В	Demagn	etization factors	397
	C	Dirac de	lta function and Kronecker delta symbol	401
Bił	oliog	raphy		403
Sul	bjec	t Index to	o the Bibliography	431
Inc	lex			435

Preface

In this book the high-frequency processes, i.e., oscillations and waves in magnetically ordered substances: ferromagnets, antiferromagnets, and ferrimagnets (ferrites) are treated. This subject is of interest, at least, from three points of view: (i) as a part of the fundamental problem of interaction of electromagnetic field with matter, (ii) as a basis for designing nonreciprocal and controllable devices that are used now (and will be used more widely in the future) in microwave and optical systems in radar, space communication, radioastronomy, etc., (iii) as a technique for measuring the parameters of magnetic materials.

The problems studied in the book include ferromagnetic and antiferromagnetic resonances, spin waves, nonlinear processes, and high-frequency manifestations of interactions between the magnetic system and other systems of magnetically ordered substances (crystal lattice, charge carriers). Fundamentals of electrodynamics of such substances and principles of their applications in microwave and optical engineering are considered, as well. These problems are treated mainly in terms of classical theory using the Maxwell equations and the Landau–Lifshitz equation of motion of magnetization. It is well known that the magnetic ordering is a result of the quantum-mechanical exchange interaction, which cannot be understood in the framework of the classical theory. Nevertheless, the existence of this interaction does not prevent but, on the contrary, makes possible and reasonable the classical treatment of dynamic processes in magnetically ordered substances. The quantum-mechanical approach should be used (and is used in this book) only in the study of relaxation processes, which determine the energy losses of magnetic oscillations and waves.

The present book differs from other monographs on this subject in that it treats successively, in a single style, and on the up-to-date level all the main topics of the problem, in a form comprehensible for readers not skilled in mathematics and theoretical physics. The book is intended to be a manual for everybody entering the field of magnetic dynamics: scientists as well as engineers, designers of magnetic materials and devices, and students. The authors hope, however, that it will be used as a reference book by scientists and engineers that already work in the field.

The readers are supposed to have studied mathematics and experimental physics to an extent of usual college courses but may have, perhaps, forgotten some parts of them. Therefore, the background information needed to understand the topics treated in the book is briefly reviewed. Sections 1.1, 2.2, 3.1, and partly, 8.1 and

x PREFACE

12.1 are devoted to this. So, no other manuals are needed to understand completely the material presented in the book. (This does not mean, of course, that the study of other books and articles is not recommended.)

The authors tried not only to present some useful theoretical results but to teach the reader to obtain, at least, some of them. Therefore, most of the formulae are derived in detail, so that the reader would be able to reproduce the derivation. The book contains no formulated problems. (The authors' experience tells that not all readers solve them.) Nevertheless, an active study of the book is strongly recommended. It can consist in deriving the formulae given in the book, carrying out the estimates, and in comparing different results. All the data needed for this the reader can obtain from the text and appendices.

The experimental techniques are not described in the book, they should be mastered in laboratories. But the experimental results are cited, especially when they supplement considerably the existing theories or contradict them. The experiment is present 'invisibly' in the book written by experimentalists.

In the selection of topics to be considered we tried to use the following criteria: generality, timeliness, and pedagogical value. When these criteria came into conflict, the decision could not be but subjective. Moreover, some topics satisfying all mentioned criteria have not been included into the book. Among them there are spin waves in antiferromagnets, interaction of spin waves with light, and such nonlinear effects as magnetic envelope solitons and chaos.

The CGS (Gaussian) units are used throughout the book because they are most suitable for describing the physical, in any case the magnetic, processes. Often (when this cannot lead to uncertainty) the units of some cited values are omitted, as is common in lectures and discussions. The relations between CGS and SI units are listed in Appendix A.

The list of references contains, in addition to all sources cited in the text, a few good books and papers not referred to but worth becoming acquainted with in studying the problems treated in the book, as well as some of the above-mentioned problems, which were not included in the book. Neither the completeness of the list of references nor the elucidation of priorities was aimed.

The entire book is written in collaboration by both authors, and they are both responsible for all mistakes. We will be grateful to the readers who will kindly attract our attention to them.

We are very grateful to all our colleagues and friends who, in the course of our long work on this book, improved the content by their critical comments and advices. We regret that it is impossible to mention all of them here. We are especially thankful to the late Professor G. V. Skrotskii, whose advices were very valuable. The authors have the pleasure to thank N. K. Selyanina and V. G. Grigor'yants, whose comments resulted in an essential improvement of the book. We are grateful to the staff of the Editorial Office at the Ioffe Physico-Technical Institute for the skillful preparation of the camera-ready copy of the book.

Isotropic ferromagnet magnetized to saturation

1.1 Ferromagnetism

The aim of this book is to study the dynamical magnetic properties of magnetically ordered substances. But before treating the dynamical properties, we review in this section the basic concepts of ferromagnetism. We assume the reader to be somewhat familiar with them and only review the most important aspects.¹

All substances can be classified as diamagnetic and paramagnetic. The latter contain elementary magnetic moments, which can be oriented by magnetic field, what leads to magnetization in the direction of the field. However, in some paramagnetic substances the orientation of magnetic moments occurs *spontaneously*, in the absence of an external magnetic field. Such substances are called magnetically ordered. The simplest type of them is a ferromagnet. All elementary magnetic moments tend to align, in this case, parallel to each other, resulting in large spontaneous magnetization.

Two points should be emphasized. First, the thermal motion hinders the orientation of magnetic moments. Therefore, the spontaneous parallel order is nearly ideal only at low temperatures. At a temperature $T_{\rm C}$ called the Curie temperature the thermal motion destroys completely the magnetic order.

Second, the directions of spontaneous magnetization, in the absence of external magnetic field or in a weak field, (in not very small samples) are not parallel to each other throughout the whole sample. The sample is divided into domains, inside of which these directions are approximately the same, but change rather quickly in going from one domain to another.

¹ For an overview of the basic concepts of ferromagnetism the textbooks [227, 70] are recommended, and for a more thorough study of the theory of ferromagnetism the monographs [246, 226, 201] can be used.

1.1.1 Elementary magnetic moments

Elementary magnetic moments, mentioned above, are the spin and the orbital magnetic moments of electrons. The net moments of all inner (filled) electron shells of atoms are equal to zero. Contributions to paramagnetism appear only from the partly filled 3d, 4d, 5d, or 4f shells and also from outer s electrons. In ionic crystals the total, spin plus orbital, magnetic moments of ions can, generally speaking, be regarded as elementary magnetic moments. However, the orbital moments of some 3d ions are equal to zero (the ions in S state), and the moments of some other ions are quenched (i.e., the lowest energy level of the ion is an orbital singlet, see Section 13.1). In these cases, the spin moments of ions are the elementary magnetic moments.

Magnetic moments in dielectrics and semiconductors can be regarded as localized on crystal-lattice sites. In metals, the magnetic moments of 3d and 4f shells are only partly localized (to a greater degree, in 4f metals), and the delocalized magnetic-moment density of conduction electrons is to be taken into account [445].

Let us, first, consider the localized magnetic moments as classical magnetic dipoles. Such a dipole with the moment \mathfrak{M}_f , situated at a point f, produces at a point f' the magnetic field [399]

$$\boldsymbol{H}_{f'} = \frac{3(\mathfrak{M}_f \boldsymbol{r}_{ff'}) \boldsymbol{r}_{ff'}}{r_{ff'}^5} - \frac{\mathfrak{M}_f}{r_{ff'}^3}$$
(1.1)

where $r_{ff'}$ is a radius-vector connecting points f and f'. A force moment acting on another dipole, with a moment $\mathfrak{M}_{f'}$, situated at a point f', is

$$T_{f'} = \mathfrak{M}_{f'} \times H_{f'}. \tag{1.2}$$

The energy of interaction of the two dipoles is [70]

$$\varepsilon_{ff'} = -\mathfrak{M}_{f'} \boldsymbol{H}_{f'} = -\frac{3(\mathfrak{M}_{f} \boldsymbol{r}_{ff'})(\mathfrak{M}_{f'} \boldsymbol{r}_{ff'})}{r_{ff'}^{5}} + \frac{\mathfrak{M}_{f} \mathfrak{M}_{f'}}{r_{ff'}^{3}}.$$
 (1.3)

The energy of magnetic (dipole–dipole) interaction of all magnetic moments of a sample is the double sum of (1.3) over all f and f' except f = f'. This energy, as we shall soon see, is in most cases far too small to be the reason of magnetic ordering.

To begin the elucidation of this reason, we point out, first of all, that the elementary magnetic moments, being microscopic objects, obey the laws of quantum mechanics. The quantum mechanics (e.g., [243, 336]) treats all dynamical variables, including magnetic moments, as operators acting on appropriate wave functions. The quantities that can be observed are the eigenvalues of these operators.

The eigenvalues of the projection of a moment of momentum (or an angular momentum) onto a certain axis, chosen as an axis of quantization, are discrete quantities, the nearest of which differ by \hbar . They are usually expressed in units

of \hbar . Then, e.g., for spin angular momenta

$$\{\hat{S}_z\} = S, S - 1, \dots, (-S)$$
 (1.4)

where braces denote the eigenvalues of an operator inside them, and S is the spin quantum number, which can be an integer or half-integer. For an electron, S=1/2, and $\{\hat{S}_z\}=\pm 1/2$. For the Fe³⁺ ion (which is the main magnetic ion in most magnetic materials), S=5/2, and $\{\hat{S}_z\}=5/2,3/2,\ldots,(-5/2)$. The projections \hat{L}_z of the orbital angular momentum are quantized in an analogous manner, but the orbital quantum numbers are only integers.

The projections of the angular-momentum operator onto x- and y-axes have no definite eigenvalues in the representation in which the z-axis is the axis of quantization. The eigenvalues of the squared vector-length operator are (e.g., for a spin angular momentum)

$$\{\hat{S}^2\} = S(S+1).$$
 (1.5)

The total angular momentum \hat{J} is the geometric sum of spin and orbital angular momenta. The eigenvalues of its projection \hat{J}_z are quantized analogously to (1.4), and the quantum number J, for given values of S and L, can take the values

$$J = (S+L), (S+L-1), \dots, |S-L|.$$
(1.6)

The magnetic-moment operators, the spin $\hat{\mathfrak{M}}_s$, the orbital $\hat{\mathfrak{M}}_L$, and the total $\hat{\mathfrak{M}}_t$, are proportional to the corresponding angular momenta. Magnetic moments, as distinct from angular momenta, are expressed in absolute units. Therefore,

$$\hat{\mathfrak{M}}_S = -\gamma_S \hbar \hat{\boldsymbol{S}} \tag{1.7}$$

where $\hbar \equiv h/2\pi$ is the Plank's constant, and the gyromagnetic ratio

$$\gamma_S = \frac{g_S|e_0|}{2m_e c}. (1.8)$$

Here e_0 is the charge of an electron, m_e is its mass at rest, c is the velocity of light, and g_S is the spectroscopic splitting factor (Lande factor or g-factor) for an electron spin. Its value, given by the quantum electrodynamics, is

$$g_S = 2\left(1 + \frac{\alpha}{2\pi} - 0.328 \frac{\alpha^2}{\pi^2}\right) \tag{1.9}$$

where $\alpha = e_0^2/(\hbar c) \approx 1/137$ is the fine-structure constant. The minus sign in (1.7) shows that the magnetic moment and the angular momentum of an electron are antiparallel to each other, the value of γ_S being positive.

An expression analogous to (1.7) can be written for orbital magnetic moment as well, but in this case $g_L = 1$. The total magnetic moment

$$\hat{\mathfrak{M}} = -\gamma \hbar \hat{\boldsymbol{J}} = -\frac{g|e_0|\hbar}{2m_e c} \hat{\boldsymbol{J}}.$$
 (1.10)

The g-factor in (1.10) depends on the quantum numbers S, L, and J. Assuming $g_S = 2$, we get [1]

$$g_J = \frac{3}{2} + \frac{S(S+1) - L(L+1)}{2J(J+1)}.$$
 (1.11)

It follows from (1.7) and (1.10) that the magnetic moments are quantized in the same way as the angular momenta. In particular,

$$\{\hat{\mathfrak{M}}_z\} = \gamma \hbar J, \gamma \hbar (J-1), \dots, (-\gamma \hbar J). \tag{1.12}$$

The difference between two successive eigenvalues of $\hat{\mathfrak{M}}_z$ are

$$\delta \mathfrak{M}_z = \gamma \hbar \equiv g \mu_{\rm B} \tag{1.13}$$

where

$$\mu_{\rm B} = \frac{|e_0|\hbar}{2m_e c} \tag{1.14}$$

is the Bohr magneton.

1.1.2 Paramagnetism

The states with different $\{\hat{\mathfrak{M}}_z\}$ values are degenerate in the absence of an external magnetic field. When the magnetic field \boldsymbol{H} is applied, there appears the potential energy of a magnetic moment in this field (the Zeeman energy)

$$\varepsilon_{\mathbf{Z}} = -\mathfrak{M}\boldsymbol{H} \tag{1.15}$$

and the degeneracy is removed. There are then (2J + 1) equidistant energy levels separated by intervals

$$\delta \varepsilon = \gamma \hbar H. \tag{1.16}$$

Transitions between these levels, with absorption of electromagnetic energy quanta $\hbar\omega$, are called electron paramagnetic, or electron spin, resonance (e.g., [1, 15]). As the transitions only between the neighboring levels are allowed by the selection rules [15], the resonance condition is

$$\omega = \gamma H \equiv \frac{g|e_0|}{2m_e c} H \tag{1.17}$$

The difference in populations of the levels with different $\{\hat{\mathfrak{M}}_z\}$ results in the appearance of the net moment in the direction of the field H. The calculation, with the use of general formulae of quantum statistics [244], leads to the expression for the magnetization (the net moment per unit volume) [370, 24]

$$M = M^0 B_J \left(\frac{M^0 H}{N \kappa T}\right) \tag{1.18}$$

5

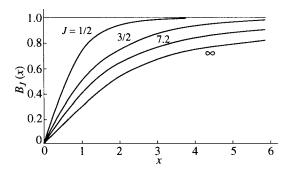


FIGURE 1.1 Brillouin functions. Numbers by the curves indicate J values.

where $B_J(x)$ is the Brillouin function

$$B_J(x) = \frac{2J+1}{2J} \coth\left(\frac{2J+1}{2J}x\right) - \frac{1}{2J} \coth\left(\frac{1}{2J}x\right)$$
(1.19)

 $M^0 = \gamma \hbar J N$ is the saturation magnetization, N is the number of magnetic moments in a unit volume, and κ is the Boltzmann constant.

The plots of the Brillouin function (1.19) are shown in Figure 1.1. When $x\to\infty$, i.e., in very high magnetic fields or at very low temperatures, $B_J(x)\to 1$, and all magnetic moments are oriented in the direction of the field. For small x, expanding $B_J(x)$ in a power series, we obtain from (1.18) that $M=\chi_{\rm p}H$. The paramagnetic susceptibility $\chi_{\rm p}$, which does not depend on H in this limiting case,

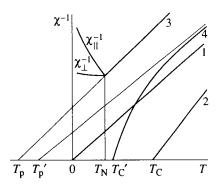


FIGURE 1.2

Reciprocal of susceptibility versus temperature: curve 1 relates to paramagnet (Curie law), curve 2 to ferromagnet (Curie-Weiss law), curve 3 to antiferromagnet, curve 4 to ferrimagnet.

can be written as

$$\chi_{\rm p} = \frac{C}{T} \tag{1.20}$$

where

$$C = \frac{1}{3}J(J+1)\frac{(\gamma\hbar)^2}{\kappa}N\tag{1.21}$$

is the Curie constant, and (1.20) is the Curie law (Figure 1.2).

1.1.3 Weiss theory

In ferromagnets large values of the magnetization, nearly equal to the saturation magnetization M^0 , are observed at not very low temperatures and in not very high fields. The formal explanation of this fact was presented by Weiss as early as in 1907. He supposed that, in addition to the external field H, a high internal (he named it 'molecular') field

$$H_E = \Lambda M \tag{1.22}$$

acts on the magnetic moments of a ferromagnet. Here Λ is a large constant, the physical nature of which Weiss was not able to explain.

Substituting $H + H_E$ for H in (1.17), we obtain

$$M = M^0 B_J \left[\frac{M^0}{N \kappa T} (H + \Lambda M) \right]. \tag{1.23}$$

It should be noted that Weiss used the classical Langevin (see, e.g., [70]) theory of paramagnetism. We, following [70, 370], have replaced it by the above-mentioned Brillouin approach.

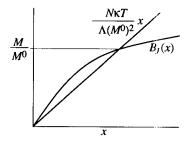


FIGURE 1.3
Graphical solution of equation (1.23) for spontaneous magnetization of a ferromagnet.

Solving the transcendental equation (1.23) for M in the most interesting case of H=0, we see (Figure 1.3) that there is a nontrivial solution $M \neq 0$ if $T < T_{\rm C}$ where

$$T_{\rm C} = \Lambda C \tag{1.24}$$

and C is the Curie constant (1.21). The temperature $T_{\rm C}$ is the Curie point, and M is the spontaneous magnetization of a ferromagnet.

At $T > T_{\rm C}$ the spontaneous magnetization is equal to zero, and the argument of the Brillouin function becomes small. Then, using the expansion of $B_J(x)$ in a power series, we obtain the susceptibility

$$\chi_{\rm f} = \frac{C}{T - T_{\rm C}}.\tag{1.25}$$

This is the Curie-Weiss law (Figure 1.2).

1.1.4 Exchange interaction

The magnetic interaction of elementary magnetic moments cannot be the cause of magnetic ordering and hence of the spontaneous magnetization of a ferromagnet, at least not for common ferromagnets with not extremely low Curie temperatures. This follows from the comparison of the thermal energy $\kappa T_{\rm C}$, which destroys the ferromagnetic order, with the energy of magnetic interaction of two magnetic dipoles. The former is of the order of $10^{-15}-10^{-13}$ (if $T_{\rm C}=10-1000$ K), whereas the latter, according to (1.3) and (1.11), is of the order of $\mu_{\rm B}^2/a^3\sim 10^{-18}$ (here $a\sim 3\times 10^{-8}$ is the mean distance between magnetic moments).

The energy e_0^2/a of the electrostatic (Coulomb) interaction of two electrons at the same distance a is of the order of 10^{-11} . Thus, even a small fraction of this energy would be enough to give the observed values of $T_{\rm C}$. It is known from quantum mechanics (e.g., [243, 336]) that the form of a wave function and, consequently, the mean energy of the Coulomb interaction of two electrons depend on the mutual orientation of their spins. The part of the Coulomb energy that depends on this orientation is called exchange energy. This energy is the cause of magnetic ordering. In ferromagnets it is minimized at parallel orientation of all electronic spin moments of 3d or 4f shells. Such was the explanation of the nature of ferromagnetism proposed in 1928 independently and almost simultaneously by Frenkel [130] and Heisenberg [178].

Dirac [101] showed that the energy operator (Hamiltonian) of the exchange interaction between two particles with spin angular momenta \hat{S}_1 and \hat{S}_2 can be written in the form

$$\hat{\mathcal{H}}_{ex} = -2I_{1,2}(\mathbf{r}_{12})\hat{\mathbf{S}}_1\hat{\mathbf{S}}_2 \tag{1.26}$$

where $I_{1,2}(\mathbf{r}_{12})$ is the *exchange integral*. It decreases quickly with increasing distance r_{12} between the particles.

In many ferromagnets, as well as in antiferromagnetic and ferrimagnetic substances (Chapter 3), the interacting particles are situated at such large distances from each other that the above-considered exchange interaction (referred to as direct exchange interaction) cannot be responsible for magnetic ordering. Different mechanisms of indirect exchange interaction, in which some other particles take part, must be operating in such cases. In ionic crystals, the exchange interaction of

cation spins via the anions situated between them [18] is the dominant mechanism (Section 3.1). In metals (Section 14.2), the interaction via conduction electrons is of the greatest importance. In both these cases, the Hamiltonian can be approximated by the Dirac formula (1.26). The real mechanism of interaction determines the value of the exchange integral and its dependence on r_{12} .

A system of spin magnetic moments, localized on crystal-lattice sites and interacting with each other according to the Hamiltonian (1.26), is the Heisenberg–Dirac-Van Vleck model (often referred to, briefly, as *Heisenberg model*) of a magnetically ordered substance, in particular, of a ferromagnet if the exchange integral in (1.26) $I_{1,2} > 0$. To generalize this model we have to take into consideration the weaker interactions: the magnetic (dipole-dipole) interaction of spin magnetic moments and the Zeeman interaction with the external magnetic field (Section 7.4).

Two important assumptions are made in the Heisenberg model: (i) the spin magnetic moments are assumed to be completely localized, and (ii) orbital magnetic moments are ignored. The first assumption is satisfied for magnetically ordered dielectrics and semiconductors. It is poorly satisfied for metals; the zone model (e.g., [445]) should be used in this case.

The second assumption is satisfied perfectly for ferromagnets with ions in S state, and the stronger the quenching of orbital momenta (if they are present) the better. When the quenching is sufficiently strong, the weak (but still important) influence of orbital moments can be taken into account in the framework of the generalized Heisenberg model. It is assumed, first, that the value of γ in the Zeeman term of the Hamiltonian (Section 7.4) differs from the spin value γ_S given by (1.9). Second, a term describing the magnetocrystalline anisotropy (the main cause of which is the spin-orbital interaction, Section 2.2) is added into the Hamiltonian.

In magnetically ordered substances with 4f (rare-earth) ions, the quenching of orbital angular momenta does not take place, and the spin-orbital interaction, which combines spin and orbital angular momenta in total angular momenta \hat{J} , is strong. Nevertheless, the Heisenberg model may be used for such substances, but the total angular momenta \hat{J} must be substituted for spin angular momenta \hat{S} .

Some other topics of the theory of magnetism will be considered below when the need for them arises.

1.2 Equation of motion of magnetization

To describe some phenomena in ferromagnets, in particular, the dynamical processes we are interested in, the *continuum* approach can be used. Applying it, we

digress from the microscopic picture of the ferromagnet and use the magnetization

$$M = \frac{\sum_{\Delta V} \mathfrak{M}}{\Delta V} \tag{1.27}$$

as a quantity characteristic of its state $(\sum_{\Delta V} \mathfrak{M})$ is the magnetic moment of a small but macroscopic volume ΔV). Vector M is just the quantity that enters the equations of macroscopic electrodynamics (Chapter 4).

The continuum approach allows one to use the *classical* theory. Exchange interaction, which is a quantum-mechanical effect, must be postulated now. If the magnetization M is uniform or changes in space slowly, the Weiss 'molecular' field (1.22) is sufficient to allow for this interaction. In the case of strong coordinate dependence of M, an additional field is to be used, which describes the increase of the exchange energy when the neighboring spin moments are not parallel to each other (Section 7.1).

In the classical treatment of dynamic processes in a ferromagnet, the equations of macroscopic electrodynamics should be supplemented by the material relations (Section 4.1), which express the dependence, in our case, of the magnetization M on the magnetic field H for the particular substance. The equation of motion of the magnetization can be used as such a relation. In the framework of a classical theory this equation is to be postulated.

The equation of motion of magnetization was proposed by Landau and Lifshitz [241] in 1935. We shall consider this equation, in a general form, in Section 2.1. Now we present a classical 'derivation' of it (which cannot be strict, of course) for a special case of uniform oscillations of magnetization in an isotropic ferromagnet.

Suppose the ferromagnet to be a sum of classical tops (the nonstrictness consists just of this assumption) with angular momenta J and magnetic moments \mathfrak{M} . The equation of motion of the top, according to (1.2), can be written as [399]

$$\hbar \frac{\partial \mathbf{J}}{\partial t} = \mathfrak{M} \times \mathbf{H}. \tag{1.28}$$

It has been taken into account that J is expressed in units of \hbar and \mathfrak{M} , in absolute units. Multiplying (1.28) by the number N of tops in a unit volume and using the general quantum-mechanical relation (1.10), we obtain the equation of motion of the magnetization

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \mathbf{H}. \tag{1.29}$$

This equation does not allow for 'losses', i.e., for the dissipation of magnetic energy. The ways of phenomenological allowance for it are considered in Section 1.4, and the physical processes that result in it will be discussed in Chapters 11 and 13.

In deducing (1.29) we have ignored the exchange interaction. But it is easy to see that the 'molecular' field (1.22) does not enter (1.29). The above-mentioned additional exchange field (that arises when M is nonuniform) will enter the equation

of motion (Section 7.1). Thus, (1.29) is valid strictly for uniform magnetization and approximately, if M varies in space sufficiently slowly.

The quantity γ in (1.29), being a characteristic of the collective motion of magnetic moments, should not be and is not equal to the value of γ for the same ions either in the free state or in a paramagnetic crystal. In the framework of classical theory, γ is to be regarded as a parameter the value of which must be found from experiment. It is clear, however, that the difference between an actual value of γ and its spin value (1.8) is due to the influence of the orbital magnetic moments. Indeed, the g-factor (related to γ by (1.10)) is very near to its spin value of 2 in materials in which all the magnetic ions are in S state, for example, in yttrium iron garnet (YIG) Y₃Fe₅O₁₂ [138] and in lithium ferrite Li_{0.5}Fe_{2.5}O₄ [297].

It is worth noting that the influence of orbital moments results in g values, found from gyromagnetic (Barnett or Einstein–De Haas) experiments (e.g., [70]) which are less than 2. At the same time, this influence leads to the values of g-factor in (1.29) that are greater than 2. The difference was explained by Kittel [227] and Van Vleck [418]. They took into consideration that γ in (1.29) is the ratio of the total magnetic moment to the spin angular momentum, whereas γ in the mentioned gyromagnetic experiments is the ratio of the same total magnetic moment but to the total angular momentum.

An important feature of the equation of motion (1.29) is that it ensures the conservation of the vector M length. Indeed, multiplying both sides of (1.29) by M scalarly, we get

$$\frac{\partial}{\partial t} M^2 = 0 \tag{1.30}$$

If we regard M as a vector with one end fastened, the other end, according to (1.30), will move on the surface of a sphere. Such movement is called *precession* of the magnetization. In the case of cylindrical symmetry, the end of the vector M moves along a circle (circular precession). In other cases, its trajectory is more complicated.

1.3 High-frequency magnetic susceptibility

Let us consider the oscillations of magnetization at some point of a ferromagnet under the influence of a given internal ac magnetic field at the same point. Having solved this problem, we shall find the high-frequency magnetic susceptibility of a ferromagnet.

We take the magnetic field and magnetization in (1.29) to be the sums of steady and alternating parts:

$$\boldsymbol{H} = \boldsymbol{H}_0 + \boldsymbol{h}_{\sim} \qquad \boldsymbol{M} = \boldsymbol{M}_0 + \boldsymbol{m}_{\sim} \tag{1.31}$$

and assume that

$$h_{\sim} \ll H_0 \qquad m \ll M_0. \tag{1.32}$$

This assumption will be retained in all first eight chapters.

Substitute the sums (1.31) into (1.29) and, allowing for conditions (1.32), use the method of successive approximations. In the zero approximation, retaining in (1.29) only steady components, we obtain

$$\mathbf{M}_0 \times \mathbf{H}_0 = 0. \tag{1.33}$$

This expression determines the equilibrium direction of the magnetization. In the considered case of an isotropic ferromagnet this direction coincides with the direction of the internal magnetic field H_0 . The length of the vector M_0 is, of course, not determined by (1.33), and, in the framework of considered theory, should be regarded as known quantity.

In the first approximation, neglecting the products of ac quantities and taking into account (1.33), we obtain

$$\frac{\partial \boldsymbol{m}_{\sim}}{\partial t} + \gamma \boldsymbol{m}_{\sim} \times \boldsymbol{H}_{0} = -\gamma \boldsymbol{M}_{0} \times \boldsymbol{h}_{\sim}. \tag{1.34}$$

The derivation of this equation is called *linearization* of the equation of motion.

1.3.1 Solution of the linearized equation of motion

We shall solve (1.34) assuming the *harmonic* (sinusoidal) time dependence of h_{\sim} . As the equation is linear, the time dependence of m_{\sim} will be also harmonic. Using the method of complex amplitudes (e.g., [321]), we introduce complex variables

$$\dot{\boldsymbol{m}} = \boldsymbol{m} \exp(\mathrm{i}\omega t) \qquad \dot{\boldsymbol{h}} = \boldsymbol{h} \exp(\mathrm{i}\omega t)$$
 (1.35)

the real parts of which are m_{\sim} and h_{\sim} . The complex vector quantities m and h in (1.35) are the *complex amplitudes* of the vectors m_{\sim} and h_{\sim} . They satisfy the equation

$$i\omega \boldsymbol{m} + \gamma \boldsymbol{m} \times \boldsymbol{H}_0 = -\gamma \boldsymbol{M}_0 \times \boldsymbol{h}. \tag{1.36}$$

Projecting (1.36) onto the axes of a Cartesian coordinate system, with the z-axis coinciding with the direction of H_0 and M_0 , we get

$$i\omega m_x + \gamma H_0 m_y = \gamma M_0 h_y$$
$$-\gamma H_0 m_x + i\omega m_y = -\gamma M_0 h_x$$
$$i\omega m_z = 0. \tag{1.37}$$

The solution of the system (1.37) is

$$m_x = \chi h_x + i\chi_a h_y \qquad m_y = -i\chi_a h_x + \chi h_y \qquad m_z = 0$$
 (1.38)

$$\chi = \frac{\gamma M_0 \omega_H}{\omega_H^2 - \omega^2} \qquad \chi_a = \frac{\gamma M_0 \omega}{\omega_H^2 - \omega^2} \tag{1.39}$$

where the following notation is used:

$$\omega_H = \gamma H_0. \tag{1.40}$$

Expressions (1.38) and (1.39) were obtained first by Polder [319]. They can be written in a tensor form

$$m = \overset{\leftrightarrow}{\chi} h \tag{1.41}$$

where the high-frequency magnetic susceptibility $\overset{\leftrightarrow}{\chi}$ is a nonsymmetric second-rank tensor (e.g., [273]):

The solution (1.38) can be written, as well, in a vector form

$$\boldsymbol{m} = \chi \boldsymbol{h}_{\perp} + \mathrm{i} \boldsymbol{h}_{\perp} \times G_m \tag{1.43}$$

where $m{h}_{\perp} = m{x}_0 h_x + m{y}_0 h_y \equiv m{h} - m{z}_0 h_z$ and

$$G_m = z_0 \chi_a \tag{1.44}$$

is the magnetic gyration vector $(x_0, y_0, and z_0)$ are the unit vectors directed along the corresponding axes).

1.3.2 Peculiarities of the susceptibility tensor

In the considered case of an isotropic and lossless ferromagnet, magnetized to saturation, the longitudinal component of the ac field does not produce the ac magnetization. The transverse ac field h_{\perp} excites not only the magnetization component parallel to the field, but also a component that is perpendicular to it. The latter, according to the factors i in (1.38) or (1.42), has a phase shift of $\pi/2$ with respect to h_{\perp} . Such property of a medium, caused by nonsymmetry of the susceptibility tensor, is called *gyrotropy*. It should be noted that there exist media in which the electric susceptibility in the presence of a steady magnetic field becomes a nonsymmetric tensor. All substances containing magnetic ions (i.e., ions with partly filled 3d or 4f shells), in particular, ferromagnets, belong to such media. Their electric gyrotropy results in Faraday and Kerr effects at optical frequencies [246]. In our case the *magnetic* susceptibility tensor contains antisymmetric components and hence the gyrotropy may be called magnetic. In ferromagnets it dominates at microwave and far infrared frequencies.

Thus, the *nonsymmetry* of the magnetic susceptibility tensor is the first peculiarity of the obtained solution of the equation of motion. The second one is the

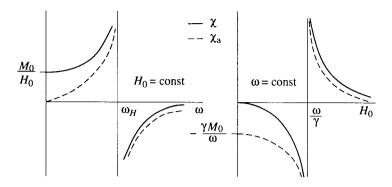


FIGURE 1.4 Dependence of the susceptibility-tensor components on frequency ω ($H_0 = \text{const}$) and steady magnetic field H_0 ($\omega = \text{const}$).

resonant dependence of the components of this tensor on frequency ω and magnetic field H_0 . In the idealized case of a lossless ferromagnet, considered in this section, these components grow unlimitedly when ω or H_0 approaches the pole

$$\omega = \omega_H \equiv \gamma H_0. \tag{1.45}$$

The dependence of χ and χ_a on ω and H_0 is shown in Figure 1.4.

The resonant dependence of the tensor χ components results in the phenomenon of ferromagnetic resonance, i.e., of the resonant absorption of electromagnetic energy in a ferromagnetic sample. This phenomenon was predicted as early as in 1912 by Arkadyev [22] on a classical model. In 1923 Dorfman [102] pointed out the possibility of it on a quantum model, but without allowance for spin (or exchange) nature of ferromagnetism because the electron spin was discovered only in 1925 and the nature of ferromagnetism was explained in 1928. The first theory of ferromagnetic resonance based on the correct understanding of ferromagnetism was proposed by Landau and Lifshitz in 1935 [241]. Experimentally, ferromagnetic resonance was discovered in 1946 by Griffiths [149] and, independently but somewhat later, by Zavoiskii [461].

An important contribution to the theory of ferromagnetic resonance was made by Kittel [220]. He showed that the ferromagnetic-resonance frequency (i.e., the frequency at which the maximal absorption of electromagnetic energy by a ferromagnetic sample occurs) is not at all the frequency (1.45), and it depends essentially on the sample shape. This dependence will be treated in Section 1.5.

The third peculiarity of the solution of the equation of motion (1.36), i.e., of the ac magnetization in a ferromagnet, is that the resonant behavior of the oscillation amplitudes occurs only under the influence of an ac magnetic field *circular* component with *right-hand rotation* (relative to the direction of M_0).

The circular components of ac field and ac magnetization are defined as

$$h_{\pm} = h_x \pm ih_y \qquad m_{\pm} = m_x \pm im_y.$$
 (1.46)

If the complex amplitude of a vector, e.g., m_{\sim} has only a component m_{+} , then it is a transverse vector (relative to the z-axis) circularly polarized with right-hand rotation. Indeed, from $m_{-}=0$ it follows that $m_y=-\mathrm{i}m_x$. Let the x component of the real vector m_{\sim} (the complex amplitude of which is m) have the form $m_{\sim x}=m_0\cos\omega t$. Then $m_{\sim y}=\mathrm{Re}[-\mathrm{i}m_0\exp(\mathrm{i}\omega t)]=m_0\sin\omega t$. These expressions for $m_{\sim x}$ and $m_{\sim y}$ mean that the transverse vector m_{\sim} rotates in the xy plane, taking the shortest way from the positive semiaxis x to the positive semiaxis y. This is just the definition of the vector m_{\sim} circular polarization with the right-hand direction of rotation relative to the z-axis. (This definition is equivalent to the following: the tip of the vector is moving as the head of a right-hand screw that shifts in a given direction.) It can be shown in the same manner that, if there is only the component m_{-} , i.e., $m_y=\mathrm{i}m_x$, then the vector m_{\sim} is circularly polarized with the left-hand rotation.

Thus, the relations between the complex amplitudes

$$m_y = \mp i m_x \tag{1.47}$$

correspond to circular polarization of the real vector with the right-hand (upper sign) or left-hand (lower sign) rotation.

From (1.46), (1.38), and (1.39) it follows that

$$m_{+} = \gamma_{+} h_{+} \tag{1.48}$$

where

$$\chi_{\pm} = \chi \pm \chi_a = \frac{\gamma M_0}{\omega_H \mp \omega}.$$
 (1.49)

So, the component h_+ produces only m_+ , and h_- produces only m_- . In other words, in the variables h_+ , h_- , h_z and m_+ , m_- , m_z the susceptibility tensor becomes *diagonal*, with components χ_+ , χ_- , and $\chi_z = 0$. It is seen from (1.48) and (1.49) that only the magnetization component m_+ , which is excited by the field component h_+ , depends in a resonant manner on ω or H_0 .

1.3.3 High-frequency permeability

The complex amplitude of ac magnetic induction is

$$\boldsymbol{b} = \boldsymbol{h} + 4\pi \boldsymbol{m}.\tag{1.50}$$

Substituting (1.41) into (1.50), we get

$$\boldsymbol{b} = \overset{\leftrightarrow}{\mu} \boldsymbol{h} \tag{1.51}$$

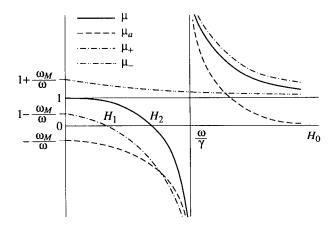


FIGURE 1.5
Permeability-tensor components versus magnetic field.

where the high-frequency permeability tensor

$$\overrightarrow{\mu} = \overrightarrow{I} + 4\pi \overrightarrow{\chi}. \tag{1.52}$$

Here \overrightarrow{I} is a unit tensor, i.e., a diagonal tensor with all nonzero components equal to unity.²

Taking into account (1.42) and (1.39), we find

$$\vec{\mu} = \begin{vmatrix}
\mu & i\mu_a & 0 \\
-i\mu_a & \mu & 0 \\
0 & 0 & 1
\end{vmatrix}$$
(1.53)

where

$$\mu = 1 + 4\pi\chi = \frac{\omega_H(\omega_H + \omega_M) - \omega^2}{\omega_H^2 - \omega^2} \qquad \mu_a = 4\pi\chi_a = \frac{\omega\omega_M}{\omega_H^2 - \omega^2}. \quad (1.54)$$

Here we have used the notation

$$\omega_M = \gamma 4\pi M_0. \tag{1.55}$$

The dependence of μ and μ_a on H_0 is shown in Figure 1.5. One can see that the diagonal component μ is negative in the magnetic-field interval from H_2 to the resonance field ω/γ . The antiresonance field, at which $\mu=0$, is

$$H_2 = \sqrt{\left(\frac{\omega}{\gamma}\right)^2 + (2\pi M_0)^2} - 2\pi M_0. \tag{1.56}$$

 $^{^{2}}$ In what follows we shall write 1 instead of I.

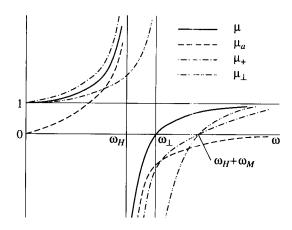


FIGURE 1.6
Permeability-tensor components and effective permeabilities versus frequency.

The condition of antiresonance $(H_0 = H_2)$ can be written also as $\omega = \omega_{\perp}$ where

$$\omega_{\perp} = \sqrt{\omega_H(\omega_H + \omega_M)}.\tag{1.57}$$

The circular components of the tensor $\overrightarrow{\mu}$, relating the circular components of m to such components of h, as it is easy to make sure, are

$$\mu_{\pm} = 1 + 4\pi\chi_{\pm} = \mu + \mu_a = \frac{\omega_H + \omega_M \mp \omega}{\omega_H \mp \omega}.$$
 (1.58)

Only μ_+ has a pole at $\omega=\omega_H$. This component becomes equal to zero at $H_0=H_1$ where

$$H_1 = \frac{\omega}{\gamma} - 4\pi M_0. \tag{1.59}$$

The frequency dependence of different tensor $\overrightarrow{\mu}$ components is shown in Figure 1.6. The frequency dependence of

$$\mu_{\perp} \equiv \mu - \frac{\mu_a^2}{\mu} = \frac{(\omega_H + \omega_M)^2 - \omega^2}{\omega_{\perp}^2 - \omega^2}$$
 (1.60)

is also plotted. This quantity is an effective permeability for one of the electromagnetic waves propagating in a ferromagnetic medium in the direction perpendicular to M_0 (Section 4.2). It should be noted that the circular components μ_+ and μ_- are the effective permeabilities for two waves propagating in the direction of M_0 .

1.4 Allowance for magnetic losses

The oscillations of magnetization are inevitably accompanied by *dissipation* of their energy, which is transformed into other kinds of energy, mainly, into the thermal energy. Various physical processes that lead to the dissipation will be treated in Chapter 11 and subsequent chapters. The purpose of this section is to discuss the ways of formal, phenomenological allowance for the dissipation of energy of magnetization oscillations.

1.4.1 Dissipative terms and dissipation parameters

The simplest way to take dissipation into account is to correct the final expressions of the tensor χ components. It is sufficient, e.g., to replace the real frequency ω in (1.39) by the complex quantity $\omega' + i\omega''$. This will result in the appearance of the imaginary parts of χ and χ_a leading to the absorption of electromagnetic energy (Section 4.4).

However, it is better to change in a proper way the *equation of motion* of the magnetization (1.29). A comparatively small term, leading to dissipation, should be added into the right-hand side of this equation. This is the method used by *Landau and Lifshitz* [241]; the proposed equation can be written as

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \mathbf{H} - \frac{\gamma \lambda}{M^2} \mathbf{M} \times (\mathbf{M} \times \mathbf{H})$$
 (1.61)

where λ is a dissipation parameter with the dimensionality of magnetic field.³

If we replace $M \times H$ in the dissipative term of (1.61) by $(-\gamma^{-1}\partial M/\partial t)$ (using the equation of motion without dissipation) and introduce a dimensionless parameter $\alpha = \lambda/M$, we obtain the equation of motion proposed by *Gilbert*:

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \mathbf{H} + \frac{\alpha}{M} \mathbf{M} \times \frac{\partial \mathbf{M}}{\partial t}.$$
 (1.62)

The dissipative term in this equation can be written supposing, simply, that an effective field of 'friction', proportional to the rate of the change of M, is acting on M. It is easy to show that the substitution

$$\gamma \to \frac{\gamma}{1+\alpha^2} \qquad \lambda \to \frac{\alpha M}{1+\alpha^2}$$
 (1.63)

transforms (1.61) into (1.62) exactly.

Both equations (1.61) and (1.62) ensure the condition (1.30) for the conservation of the vector M length. We shall see in Chapter 11 that the length of M is conserved in some dissipation processes and is not conserved in others. Equa-

 $^{^3}$ In some later papers the quantity $\lambda_1=\gamma\lambda$ (with the dimensionality of frequency) was named 'the Landau–Lifshitz dissipation parameter'.

tions (1.61) and (1.62) do not hold, strictly speaking (but, nevertheless, are often used), in the presence of the processes of the second type.

An example of equations of motion that allow the change of the vector M length is the *modified Bloch* equation, or Bloch-Bloembergen equation (e.g., [366]):

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \mathbf{H} - \omega_{\rm r} \left(\mathbf{M} - \frac{M_0}{H_0} \mathbf{H} \right) \tag{1.64}$$

where ω_r is the relaxation frequency. The dissipative term in (1.64) is proportional to the difference between the instantaneous magnetization M and the magnetization that would be if the instantaneous field H were 'frozen'.

Equations (1.61), (1.62), and (1.64) differ, first, in the form of the dissipative term and, second, in the dimensionality of the dissipation parameter. The latter difference is due to tradition only; in each of these equations it is possible to use a dissipation parameter with any dimensionality.

Equations of motion with more than one dissipation parameter have also been proposed. In particular, the Bloch equation [53] with two relaxation times, which is commonly used for paramagnetic resonance (e.g., [15]), has been applied to ferromagnetic resonance, too. However, the processes of energy dissipation in ferromagnets, being numerous and complicated, cannot be described strictly by an equation of motion either with one or with two dissipation parameters. For an approximate treatment, especially in the case of small losses, each of the above-discussed equations can be used.

Consider now the linearization of equation of motion in the presence of losses. Using, as in Section 1.3, the method of successive approximations, we make sure, first of all, that the condition for equilibrium (1.33) has not changed. Then we obtain from (1.61) the linearized equation for the complex amplitudes of the ac quantities

$$i\omega m + \gamma m \times H_0 + \gamma \lambda \frac{H_0}{M_0} m = -\gamma M_0 \times h + \gamma \lambda h.$$
 (1.65)

Linearization of (1.62) yields

$$i\omega m + \gamma m \times H_0 + \frac{i\alpha\omega}{M_0} m \times M_0 = -\gamma M_0 \times h.$$
 (1.66)

The linearized equation, obtained from (1.64), coincides with (1.65) when $\omega_r = \gamma \lambda H_0/M_0$.

If the losses are small, the second term in the right-hand side of (1.65) can be neglected, and the second expression in (1.63) transforms into $\lambda \to \alpha M$. Thus, in the case of *small amplitudes* (linear approximation) and, simultaneously, *small losses*, all three considered equations of motion are equivalent, and the following relations hold for the dissipation parameters in these equations:

$$\alpha = \frac{\lambda}{M_0} = \frac{\omega_{\rm r}}{\omega_H}.\tag{1.67}$$

1.4.2 Susceptibility tensor components

To find the tensor $\dot{\chi}$ components in the presence of losses, we have to project the linearized equation (1.65) or (1.66) onto coordinate axes and solve the obtained set of linear equations. But this is not necessary for equation (1.66) because (1.36), with allowance for (1.33), transforms into (1.66) after the replacement

$$\omega_H \to \omega_H + i\alpha\omega.$$
 (1.68)

Thus, we can make this replacement in the final expressions (1.39). Then, taking $\chi = \chi' - i\chi''$ and $\chi_a = \chi'_a - i\chi''_a$, we get

$$\chi' = \frac{1}{D} \gamma M_0 \omega_H \left[\omega_H^2 - (1 - \alpha^2) \omega^2 \right]$$

$$\chi'' = \frac{1}{D} \alpha \gamma M_0 \omega \left[\omega_H^2 + (1 + \alpha^2) \omega^2 \right]$$

$$\chi'_a = \frac{1}{D} \gamma M_0 \omega \left[\omega_H^2 - (1 + \alpha^2) \omega^2 \right]$$

$$\chi''_a = 2 \alpha \gamma M_0 \omega^2 \omega_H$$

$$D = \left[\omega_H^2 - (1 + \alpha^2) \omega^2 \right]^2 + 4 \alpha^2 \omega^2 \omega_H^2. \tag{1.69}$$

The dependence of real and imaginary parts of the tensor $\dot{\chi}$ components on H_0 is shown in Figure 1.7. The real parts, as should be expected, change their sign, and the imaginary parts pass through maxima at resonance. The resonance condition is given now by

$$\omega = \frac{\omega_H}{1 + \alpha^2}.\tag{1.70}$$

At resonance

$$\chi'_{\text{res}} = \frac{\gamma M_0}{2\omega_H} \qquad \qquad \chi''_{\text{res}} = \frac{\gamma M_0}{2\alpha\omega}$$

$$\chi'_{a \text{ res}} = 0 \qquad \qquad \chi''_{a \text{ res}} = \frac{\gamma M_0}{2\alpha\omega_H} \approx \chi''_{\text{res}}. \qquad (1.71)$$

It follows from (1.71) that the *smaller* the dissipation parameter, the greater the absorption of electromagnetic energy by a ferromagnet (Section 4.4) at resonance. On the contrary, the absorption of energy far from resonance is greater the greater the dissipation parameter, as can easily be shown using (1.69).

Solution of equation (1.65) gives the tensor

$$\overrightarrow{\chi} = \begin{vmatrix}
\chi & i\chi_a & 0 \\
-i\chi_a & \chi & 0 \\
0 & 0 & \chi_{\parallel}
\end{vmatrix}$$
(1.72)

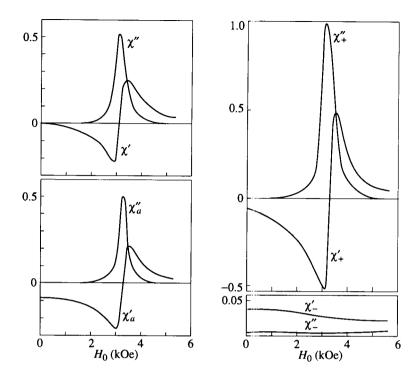


FIGURE 1.7 Real and imaginary parts of tensor $\overset{\leftrightarrow}{\chi}$ components vs H_0 calculated using (1.69) with $M_0=160~{\rm G},\,f=9.4~{\rm GHz},\,{\rm and}\,\alpha=0.025.$

with a nonzero, but small (in the case of small losses) longitudinal component

$$\chi_{\parallel} = \frac{\mathrm{i}\gamma\lambda}{\omega - \mathrm{i}\lambda\omega_H/M_0}.\tag{1.73}$$

The transverse components of the tensor (1.72) coincide approximately in this case with (1.69) after the substitution of αM_0 for λ .

At frequencies or fields near resonance, expressions (1.69) can be approximated by

$$\frac{\chi'}{\chi''_{res}} \approx \frac{\chi'_a}{\chi''_{res}} \approx \frac{\beta}{1+\beta^2} \qquad \frac{\chi''}{\chi''_{res}} \approx \frac{\chi''_a}{\chi''_{res}} \approx \frac{1}{1+\beta^2}$$
(1.74)

where $\beta = (\omega - \omega_H)/(\alpha \omega_H)$ if $H_0 = \text{const}$, or $\beta = (H_0 - \omega/\gamma)/(\alpha \omega)$ if $\omega = \text{const}$. Expressions (1.74) represent the *Lorentz resonance curves* (Figure 1.8). The widths of them, defined as the intervals between ω or H_0 values at points where $\chi'' = \chi''_{res}/2$, are

$$\Delta\omega = 2\alpha\omega \qquad \Delta H = \frac{2\alpha\omega}{\gamma}.$$
 (1.75)

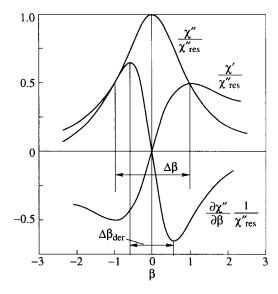


FIGURE 1.8
Lorentz resonance curves.

In experiments, the derivative $\partial \chi''/\partial \beta$ is often measured (usually, varying H_0 at $\omega=$ const), and the linewidth $\Delta H_{\rm der}$ is defined as the interval between the extrema of this derivative (Figure 1.8). It is easy to make sure that

$$\Delta H_{\text{der}} = \frac{1}{\sqrt{3}} \Delta H. \tag{1.76}$$

It follows from (1.71) and (1.75) that for a Lorentz resonance curve

$$\Delta H \chi_{\rm res}^{"} = M_0. \tag{1.77}$$

It can be shown that this important relation is valid approximately for the actual resonance curves determined by the expressions (1.69).

Assuming α to be constant, and integrating χ'' and χ''_a given by (1.69), we get

$$\int_0^\infty \chi'' dH_0 \approx \int_0^\infty \chi_a'' dH_0 \approx \frac{1}{\gamma} \int_0^\infty \chi'' d\omega \approx \frac{1}{\gamma} \int_0^\infty \chi_a'' d\omega = CM_0 \quad (1.78)$$

where C is a dimensionless constant factor of the order of 1. For the Lorentz curve $C = \pi/2$. Note that (1.78) remains valid in the limit of $\alpha \to 0$. Hence, it follows that

$$\lim_{\alpha \to 0} \chi_{\text{res}}^{"} = \lim_{\alpha \to 0} \chi_{a \text{ res}}^{"} = C M_0 \delta \left(H_0 - \frac{\omega}{\gamma} \right)$$
 (1.79)

where $\delta(x)$ is the Dirac delta function (Appendix C).

The circular components of the susceptibility tensor in the presence of losses are

$$\chi_{\pm} = \chi \pm \chi_a = \frac{\gamma M_0}{\omega_H \mp \omega + i\alpha\omega}.$$
 (1.80)

The quantities χ'_+ and χ''_+ behave near resonance (Figure 1.7) similar to the real and imaginary parts of χ and χ_a , but the values of χ'_+ and χ''_+ are twice as large. The values of χ'_- and χ''_- remain small at any ω and H_0 .

The tensor $\overrightarrow{\mu}$ components in the presence of losses are easily obtained using (1.69) or applying (1.68) directly to (1.54), and we shall not cite them. We note only that the antiresonance point can be defined now as a point at which $\mu' = 0$, the value of μ'' being small. In the case of small losses the condition for antiresonance differs only slightly from (1.56), and

$$\mu_{\text{antires}}^{"} \approx \frac{2\alpha\omega}{\omega_M} \left(1 + \frac{\omega_M}{2\omega_H} \right)$$
 (1.81)

where ω_M is determined by (1.55).

In ferromagnetic and ferrimagnetic (Section 3.3) substances the $\overset{\leftrightarrow}{\mu}$ components reach very high values at resonance. For example, in YIG perfect single crystals at frequency of 9 GHz, the dissipation parameter $\alpha \sim 5 \times 10^{-5}$ and $\mu_{\rm res}^{\prime\prime} \sim 6000$.

It is worth noting that different dissipation parameters depend differently on ω , H_0 , and M_0 , as well as on temperature. In choosing a parameter to use, preference should be given to one the variation of which, in certain ranges of ω , H_0 , etc., is the least.

1.5 Uniform oscillations in a small ellipsoid

In the preceding sections the ac magnetization at a certain point of a ferromagnet was calculated, regarding the magnetic field at the same point as given. However, this field cannot be, usually, regarded as given but depends on magnetization distribution in the entire system. Only such quantities as the power flux of the incident electromagnetic wave at the input of the system can be regarded as given. The field and magnetization distribution over the system can be found by solving the boundary problem, with the use of Maxwell's equations and appropriate boundary conditions. The expressions for magnetic susceptibility χ , obtained in the preceding section, are to be used as material relations (Section 4.1) in such computations. Some of these boundary problems will be considered in Chapters 5 and 6. But the simplest of them, the problem of uniform oscillations of magnetization in a small ferromagnetic ellipsoid, is to be considered now. Having solved it, we shall be able to interpret the results of numerous experiments on ferromagnetic resonance in ferrites, which are usually carried out on small samples, mostly, on spheres.

1.5.1 Internal and external magnetic fields

Small dimensions of an ellipsoid, in comparison with other dimensions of the system, permit us to regard the external magnetic fields, both dc and ac, as given. (External field can be defined as a field at the place of the sample, in the absence of it.) Then, without solving the boundary problem, we may use the relation known from magnetostatics (e.g., [131, 44]):

$$\boldsymbol{H} = \boldsymbol{H}_{e} - \overset{\leftrightarrow}{N} \boldsymbol{M} \equiv \boldsymbol{H}_{e} + \boldsymbol{H}_{dem} \tag{1.82}$$

where H_e is the external magnetic field, H is the internal field, and M is the magnetization; both H and M are uniform if H_e is uniform in and near the ellipsoid. The tensor N in (1.82) is the demagnetization tensor. It is symmetric and becomes diagonal in the axes coinciding with the axes of the ellipsoid. The components of N in these axes, N_x , N_y , and N_z , are called demagnetization factors. They depend only on the shape of the ellipsoid (Appendix B), and their sum is

$$N_x + N_y + N_z = 4\pi. ag{1.83}$$

[Sometimes this sum is normalized to 1, then the factor 4π appears in (1.82).] The quantity $\mathbf{H}_{\text{dem}} = -N\mathbf{M}$ in (1.82) is the demagnetizing field.

Substituting the sums of dc and ac components of H_e , H, and M into (1.82), we get

$$\boldsymbol{H}_0 = \boldsymbol{H}_{e\,0} - \overset{\leftrightarrow}{N} \boldsymbol{M}_0 \tag{1.84}$$

$$\boldsymbol{h} = \boldsymbol{h}_{\mathrm{e}} - \overset{\leftrightarrow}{N} \boldsymbol{m} \tag{1.85}$$

Let us discuss now the conditions of applicability of (1.85).

- 1. The sample must be an *ellipsoid* [this is the condition of applicability of (1.84), too].
- 2. The sample dimensions must be *small* in comparison with the length of electromagnetic wave in the substance of the sample. This condition is satisfied, usually, for materials with small conductivity, e.g., for ferrites, small samples are used in experiments on ferromagnetic resonance in such materials. But in metals (Section 14.2) the depth of penetration of electromagnetic field into the substance (the skin depth) is very small ($\sim 10^{-4}$ cm at microwaves), and (1.85) becomes meaningless for samples with all dimensions larger than the skin depth.
- 3. The alternating magnetization must be *uniform*. For nonuniform oscillations of magnetization (Section 6.3) expression (1.85) does not hold.

Taking (1.84) into account, we get the equilibrium condition

$$\mathbf{M}_0 \times \left(\mathbf{H}_{e0} - \overset{\leftrightarrow}{N} \mathbf{M}_0 \right) = 0. \tag{1.86}$$

The linearized equation of motion (1.66), with allowance for (1.84) and (1.85), can be written as

$$i\omega m + \gamma m \left(\mathbf{H}_{e0} - \overset{\leftrightarrow}{N} \mathbf{M}_{0} \right) + \gamma \left(\overset{\leftrightarrow}{N} \mathbf{m} \right) \times \mathbf{M}_{0} - \frac{i\alpha\omega}{M_{0}} \mathbf{m} \times \mathbf{M}_{0} = -\gamma \mathbf{M}_{0} \times \mathbf{h}_{e}.$$
(1.87)

Equations (1.86) and (1.87) contain the *external* fields H_{e0} and h_e , which can be regarded as given quantities, as distinct from the internal fields H_0 and h.

1.5.2 Eigenoscillations

In the study of any oscillations we have to begin with the eigenoscillations, i.e., free (in the absence of external fields) and nondamped. So, assume in (1.87) $h_e = 0$ and $\alpha = 0$ and project it onto the axes of a Cartesian coordinate system in which the z-axis coincides with the direction of M_0 . Let the demagnetization tensor have, in these axes, the form

$$\overrightarrow{N} = \begin{vmatrix}
N_{11} & N_{12} & N_{13} \\
N_{12} & N_{22} & N_{23} \\
N_{13} & N_{23} & N_{33}
\end{vmatrix} .$$
(1.88)

Then we get two linear equations

$$(i\omega + \gamma N_{12}M_0)m_x + \gamma (H_{e0z} - N_{33}M_0 + N_{22}M_0)m_y = 0$$
$$-\gamma (H_{e0z} - N_{33}M_0 + N_{11}M_0)m_x + (i\omega + \gamma N_{12}M_0)m_y = 0.$$
(1.89)

The condition of compatibility of these equations gives the expression for the *eigenfrequency* (i.e., the frequency of eigenoscillations):

$$\omega_0 = \left[(\omega_H + \gamma N_{11} M_0)(\omega_H + \gamma N_{22} M_0) - \gamma^2 N_{12}^2 M_0^2 \right]^{1/2}$$
 (1.90)

where

$$\omega_H = \gamma (H_{e\,0\,z} - N_{3\,3}M_0). \tag{1.91}$$

The maximal absorption of electromagnetic energy by a small ferromagnetic ellipsoid takes place, as it will be shown below, at a frequency very near to the eigenfrequency (1.90). So, this frequency, which depends on the shape of the sample, is the *frequency of ferromagnetic resonance*. It should be emphasized that, before using (1.90) to calculate the ferromagnetic-resonance frequency, the direction of M_0 (and, so, of the z axis) must be found.

If the external field H_{e0} is directed along one of the axes of an ellipsoid, then M_0 and, consequently, the z-axis are also oriented along this ellipsoid axis. The tensor N becomes diagonal, and (1.90) acquires the form

$$\omega_0 = \gamma \left\{ \left[H_{e0} + (N_x - N_z) M_0 \right] \left[H_{e0} + (N_y - N_z) M_0 \right] \right\}^{1/2}. \tag{1.92}$$

It is the famous formula obtained by Kittel [220]

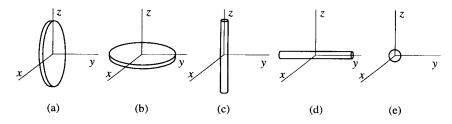


FIGURE 1.9 Limiting cases of an ellipsoid.

The ferromagnetic-resonance frequencies for limiting cases of an ellipsoid (Figure 1.9) are listed in Table 1.1.

TABLE 1.1 Frequencies of ferromagnetic resonance in small samples

	Magneti- zation		Demagneti- zation factors				-
Sample	direction	1.9	N_x	N_y	N_z	Eigenfrequency	
Infinitely thin	Tangential	(a)	0	4π	0	$\left(\frac{\omega_0}{\gamma}\right)^2 = H_{e0}(H_{e0} + 4\pi M_0)$	(1.93
plate	Normal	(b)	0	0	4π	$\frac{\omega_0}{\gamma} = H_{\rm e0} - 4\pi M_0$	(1.94
Infinitely thin	Longitudinal	(c)	2π	2π	0	$\frac{\omega_0}{\gamma} = H_{e0} + 2\pi M_0$	(1.95
cylinder	Transverse	(d)	2π	0	2π	$\left(\frac{\omega_0}{\gamma}\right)^2 = H_{e0}(H_{e0} - 2\pi M_0)$	(1.96
Sphere		(e)	$\frac{4\pi}{3}$	$\frac{4\pi}{3}$	$\frac{4\pi}{3}$	$\frac{\omega_0}{\gamma} = H_{e0}$	(1.97

For a sphere, expression (1.97) is extremely simple and does not include M_0 . This is the main reason why spherical samples are widely used in ferromagnetic-resonance experiments. Another reason is that small spheres (with diameters from several millimeters down to ~ 0.2 mm) with very smooth surfaces, necessary for such experiments, are made by very simple techniques (e.g., [146]).

It follows from (1.87) that for a sphere

$$i\omega m + \gamma m \times H_{e0} + \frac{i\alpha\omega}{M_0} m \times M_0 = -\gamma M_0 \times h_e.$$
 (1.98)

Comparing this equation with (1.66), we see that the motion of the ac magnetization in a sphere occurs as if in the external fields. Formula (1.97) follows from (1.98).

It should be noted that N_{11} , N_{22} , and N_{12} in (1.90) or N_x and N_y in (1.92) are the demagnetization factors for ac fields, while N_{33} or N_z are the demagnetization factors for dc fields. They differ from each other in two cases: (i) when (1.90) or (1.92) is used to find the ferromagnetic-resonance condition in metals (Section 14.2), and (ii) when an ac magnetic field exists only in a fraction of a nonmetallic sample, which is excited, e.g., through a hole in a resonator wall. In both cases formulae (1.90) or (1.92) can be used only approximately, because the ac field is nonuniform.

Consider now the *polarization* of eigenoscillations in a small ferromagnetic ellipsoid. From (1.89), taking (1.90) into account, we get

$$\frac{m_y}{m_x} = -i\sqrt{\frac{H_0 + N_{11}M_0}{H_0 + N_{22}M_0} - \xi^2} - \xi \tag{1.99}$$

where $\xi = N_{12}(N_{22} + H_0/M_0)$ and H_0 is the internal dc field (1.84). One can see from (1.99) that the ac magnetization is elliptically polarized. The axes of the polarization ellipse do not coincide with x- and y-axes, as the phase difference between m_y and m_x is not equal to $\pi/2$.

If $H_{e\,0}$ is directed along one of the ellipsoid axes (the 'Kittel case'), so that $N_{1\,2}=0$, then

$$\frac{m_y}{m_x} = -i\sqrt{\frac{H_{e0} + (N_x - N_z)}{H_{e0} + (N_y - N_z)}}.$$
 (1.100)

Now the axes of the polarization ellipse do coincide with x- and y-axes, the transverse axes of the sample. The large axis of the polarization ellipse coincides with the larger of these transverse axes. If the sample is a spheroid, the polarization is circular. It approaches circular in a general ellipsoid with increasing $H_{\rm e\,0}$.

Polarization can be characterized by a parameter named *ellipticity* and defined as

$$\mathcal{E} = 1 - \frac{|m_{\min}|^2}{|m_{\max}|^2} \tag{1.101}$$

where $|m_{\text{max}}|$ and $|m_{\text{min}}|$ are, respectively, the large and the small axes of the polarization ellipse. In the Kittel case they coincide with $|m_x|$ and $|m_y|$, and, if, e.g., $N_y > N_x$, then

$$\mathcal{E} = \frac{N_y - N_x}{N_y - N_z + H_{e0}/M_0}. (1.102)$$

Assume now in (1.87) $h_e = 0$, as before, but $\alpha \neq 0$. It is easy to see that the obtained equation differs from the equation with $\alpha = 0$ only by the substitution (1.68) where ω_H is determined now by (1.91). After this substitution we get the complex equation

$$\omega_0^2 - \omega^2 (1 + \alpha^2) + 2i\alpha\omega\omega_1 = 0 \tag{1.103}$$

where ω_0 is the eigenfrequency (1.90) and

$$\omega_1 = \omega_H + \frac{1}{2}(N_{11} + N_{22})\gamma M_0. \tag{1.104}$$

Substituting $\omega = \omega' + i\omega''$ into (1.103), we obtain

$$(\omega')^2 = \frac{1}{(1+\alpha^2)^2} \left\{ \omega_0^2 - \alpha^2 \left[\frac{1}{4} (N_{11} + N_{22})^2 + N_{12}^2 \right] \gamma^2 M_0^2 \right\}$$
 (1.105)

$$\omega'' = \frac{\alpha \omega_1}{1 + \alpha^2}.\tag{1.106}$$

Here, ω' is the frequency and ω'' is the damping coefficient of free oscillations. One can see from (1.105) that losses lead to the shift of the oscillation frequency which is of the second order in α .

The damping of oscillations is usually characterized by the quality factor Q defined as

$$Q = \frac{\omega'}{2\omega''} = \frac{\omega'W}{P} \tag{1.107}$$

where W is the energy stored in the system and P is the losses of energy per unit time. In our case, to a first approximation $(\alpha \ll 1)$,

$$Q_0 \approx \frac{\omega_0}{2\alpha\omega_1}.\tag{1.108}$$

This quantity can be called internal quality factor, as the losses only inside the sample are taken into account.

Expressions (1.105), (1.106), and (1.108) become especially simple for a spheroid magnetized in the direction of the axis of revolution. Then $N_{12}=0$, $N_{11}=N_{22}$, $\omega_1=\omega_0$, and

$$Q_0 = \frac{1}{2\alpha}.\tag{1.109}$$

This formula, as distinct from (1.108), holds for any value of α .

1.5.3 Forced oscillations

Assume now that $h_e \neq 0$ and $\alpha \neq 0$ in (1.87). The solution of this linear equation can be written in the form

$$m = \overset{\leftrightarrow}{\chi}{}^{e} h_{e} \tag{1.110}$$

where $\overset{\leftrightarrow}{\chi}^e$ is the external susceptibility tensor. It differs essentially from the tensor $\overset{\leftrightarrow}{\chi}$ defined by (1.41). The tensor $\overset{\leftrightarrow}{\chi}^e$ is introduced in the framework of magnetostatic approximation and only for a certain, uniform mode of oscillation in a sample of a certain, ellipsoidal shape. It characterizes the response of such a sample to an external ac magnetic field. The tensor $\overset{\leftrightarrow}{\chi}$, on the contrary, is the susceptibility of a substance, it 'does not know' whether the magnetostatic

approximation can be used or not and what the oscillation mode and the sample shape are. However, the latter is true only to the first approximation; some dependence of the tensor $\vec{\chi}$ components on the oscillation mode and sample shape does exist because the values of the dissipation parameters depend on these factors (Sections 11.2 and 11.3).

If the tensor $\vec{\chi}$ and the shape of the sample (an ellipsoid) are known, the external tensor $\vec{\chi}^e$ can be found by eliminating h from two tensor equations (1.41) and (1.85). It leads to

$$\left(\overrightarrow{\chi}^{e}\right)^{-1} = \left(\overrightarrow{\chi}\right)^{-1} + \overrightarrow{N} \tag{1.111}$$

where $(\vec{\chi}^e)^{-1}$ and $(\vec{\chi})^{-1}$ are the reciprocal tensors (the components of which form an inverse matrix [273], relative to the matrix formed by the components of tensor $\vec{\chi}$ or $\vec{\chi}^e$). The quantity $(N)^{-1}$, the reciprocal value of which appears in (1.111), can be called 'susceptibility of the shape'.

However, the calculation of tensor $\overrightarrow{\chi}^e$ components by the use of (1.111) is *cumbersome*, and it is easier to solve equation (1.87) directly. In the lossless case $(\alpha = 0)$ it results in

$$\vec{\chi}^{e} = \begin{vmatrix} \chi_{x}^{e} & \chi_{s}^{e} + i\chi_{a}^{e} & 0\\ \chi_{s}^{e} - i\chi_{a}^{e} & \chi_{y}^{e} & 0\\ 0 & 0 & 0 \end{vmatrix}$$
 (1.112)

$$\chi_{x,y,s}^{e} = D^{-1} \gamma M_0 \omega_{x,y,s} \qquad \chi_a^{e} = D^{-1} \gamma M_0 \omega$$
(1.113)

$$\omega_x = \omega_H + \gamma N_{22} M_0$$
 $\omega_y = \omega_H + \gamma N_{11} M_0$ $\omega_s = -\gamma N_{12} M_0$ (1.114)

$$D = \omega_0^2 - \omega^2 \qquad \omega_0^2 = \omega_x \omega_y - \omega_s^2 \tag{1.115}$$

where ω_0 is the eigenfrequency (1.90) and ω_H is defined by (1.91).

To take *losses* into account the replacement (1 68) should be made in (1.114). We cite here only the formulae for $\dot{\chi}^e$ components at resonance when $\omega_0^2 = \omega^2 (1 + \alpha^2)$:

$$(\chi_{x,y}^{e})'_{res} = \frac{\gamma M_{0}}{2\omega_{1}} \qquad (\chi_{x,y}^{e})''_{res} = \frac{\gamma M_{0}(\omega_{H} + N_{22,11}\gamma M_{0})}{2\alpha\omega\omega_{1}}$$

$$(\chi_{s}^{e})'_{res} = (\chi_{a}^{e})'_{res} = 0 \qquad (\chi_{s}^{e})''_{res} = \frac{N_{12}\gamma^{2}M_{0}^{2}}{2\alpha\omega\omega_{1}} \qquad (\chi_{a}^{e})''_{res} = \frac{\gamma M_{0}}{2\alpha\omega_{1}} \qquad (1.116)$$

where ω_1 is determined by (1.104).

For an ellipsoid of revolution (around the z-axis)

$$(\chi_s^e)_{\text{res}}^{"} = 0$$
 $(\chi_x^e)_{\text{res}}^{"} = (\chi_y^e)_{\text{res}}^{"} = (\chi_a^e)_{\text{res}}^{"} = \frac{\gamma M_0}{2\alpha\omega_0} \equiv \chi_{\text{res}}^{e"}.$ (1.117)

The linewidth ΔH is defined (analogous to Section 1.4) as an interval between H_{e0} values at which $(\chi_x^e)''$, $(\chi_y^e)''$, or $(\chi_a^e)''$ are equal to one half their values at

resonance. In the case of small losses, taking into account (1.68) and (1.107), it can be shown that

$$\Delta H = \frac{2\alpha\omega_0}{\gamma} = \frac{2\omega_r\omega_0}{\gamma\omega_H} = \frac{2\lambda\omega_0}{\gamma M_0} = \frac{\omega_0^2}{\gamma\omega_1 Q_0}.$$
 (1.118)

The linewidth $\Delta\omega$ (when ω is varied at constant $H_{e,0}$) is

$$\Delta\omega = 2\alpha\omega_1 = \frac{\omega_0}{Q_0}.\tag{1.119}$$

For an ellipsoid of revolution, in particular, for a sphere, $\omega_1 = \omega_0$ and $\Delta \omega = \gamma \Delta H$. If a certain dissipation parameter, α , ω_τ , or λ , is assumed to be constant, the expressions (1.118) or (1.119) give, formally, the dependence of ΔH or $\Delta \omega$ on ω , $H_{e\,0}$, and M_0 , as well as on the shape of the sample, because the tensor N components enter the expressions for ω_H , ω_0 , and ω_1 . However, the dissipation parameters depend on all mentioned quantities (Chapter 11). This dependence, found experimentally or theoretically, must be taken into account to obtain the actual dependence of ΔH on ω , $H_{e\,0}$, and other quantities.

For high-quality YIG single crystals the dissipation parameter α depends weakly on frequency in the microwave range and is of the order of 5×10^{-5} . Then the linewidth ΔH , according to (1.118), increases with growing frequency. At frequency of 9 GHz, $\Delta H \sim 0.3$ Oe.

From (1.117) and (1.118) it follows, for an ellipsoid of revolution, that

$$\Delta H \chi_{\text{res}}^{\text{e} "} = M_0. \tag{1.120}$$

For an arbitrary ellipsoid, a factor of the order of unity will appear in (1.120).

The components of the ac magnetization for forced oscillations can be easily calculated, using the expressions obtained from (1.113)–(1.115) by the substitution (1.68). The polarization of oscillations can be also found. We mention here only that, as it is clear from symmetry considerations, the polarization is circular when the external field is circularly polarized and the sample is an ellipsoid of revolution around the direction of M_0 . However, if the sample is an ellipsoid of revolution and the dissipation is small $(\alpha \ll 1)$, then the polarization of the ac magnetization at ferromagnetic resonance is very near to circular (i.e., the ellipticity is small) even in the case of linear polarization of the external ac magnetic field.

It will be shown in Sections 5.3 and 5.4 that the interaction of a small ferromagnetic ellipsoid with the electromagnetic field in a resonator or a waveguide is determined by the external susceptibility $\vec{\chi}^e$. Thus, the $\vec{\chi}^e$ components considered in this section and, consequently, the values of the dissipation parameters can be found from the measured characteristics of resonators or waveguides containing ferromagnetic (or ferrimagnetic, see Section 3.3) ellipsoids.



Anisotropic ferromagnet

2.1 Landau-Lifshitz equation

In this chapter uniform oscillations of magnetization in an anisotropic ferromagnet magnetized to saturation will be studied.

Anisotropy is a dependence of the properties of a substance or a body on the angles between the directions of applied fields and some preferred directions. These directions can be determined by the substance structure, by the shape of the body, or (if we consider the properties in ac fields) by the directions of some external steady fields. Two kinds of anisotropy were already treated in Chapter 1. First, the *gyrotropy* when the direction of the steady magnetization M_0 was the preferred one, and the high-frequency magnetic susceptibility acquired the tensor form (1.42). Second, we have seen in Section 1.5 that the ferromagnetic-resonance conditions depend on the orientation of M_0 relative to the ellipsoid axes. Such anisotropy can be referred to as the *shape anisotropy*. However, it was assumed throughout Chapter 1 that the substance itself (a ferromagnet), in the absence of steady magnetization, is isotropic.

Actually, most of ferromagnets are crystals characterized by the magnetocrystalline anisotropy. Then the preferred directions are the crystal axes, and all the quantities depend on the angles of M_0 relative to these axes. The magnetoelastic anisotropy is also present in ferromagnets, in this case the preferred directions are the directions of the external mechanical stresses. Other kinds of anisotropy, e.g., the anisotropy caused by electric fields or temperature gradients, exist, as well, but usually play a smaller role. The aim of this chapter is, first, to study general methods of allowance for the influence of anisotropy on ferromagnetic resonance and, second, to investigate, using these methods, the most important case of magnetocrystalline anisotropy.

In the classical theory of ferromagnetic resonance, different interactions leading to anisotropy are taken into account by corresponding terms of internal (at temperature T=0) or free (at T>0) energy. We are not concerned about the processes at the boundaries between different media, which are important only

for very small ferromagnetic bodies. Therefore, we shall deal only with *volume* densities of internal U_i or free U_f energy. It is known from thermodynamics (e.g., [244]) that

$$U_{\rm f} = U_{\rm i} - ST \tag{2.1}$$

where S is the volume density of entropy.¹ The term ST in (2.1) in many cases is not written explicitly but 'spread' over the whole expression for $U_{\rm f}$. All constants in this expression, as well as the magnetization M, become then functions of temperature.

2.1.1 Generalization of equation of motion

The equation of motion for the magnetization of a ferromagnet was proposed by Landau and Lifshitz [241]. It can not be derived strictly in the framework of a classical theory. We show this equation to be a reasonable generalization of the equation of motion (1.29) for an isotropic ferromagnet (which cannot be derived strictly in the framework of classical theory either).

Consider first the *equilibrium state* of an anisotropic ferromagnet. The necessary condition of it is that the energy should be stationary, i.e., the variation of it

$$\delta \int_{V} U dV = 0. \tag{2.2}$$

The condition of the vector M length conservation is also to be taken into account. As we have seen in Chapter 1, this condition (1.30) holds for an isotropic ferromagnet. The conservation of the vector M length is a result of the strong exchange interaction; the interactions leading to anisotropy are much weaker. Therefore, we may assume (1.30) to be valid for an anisotropic ferromagnet, too.

The condition of stationarity of energy under the supplementary condition (1.30) is (e.g., [273])

$$\frac{\delta}{\delta \mathbf{M}} \left(U + \lambda \mathbf{M}^2 \right) = \frac{\delta U}{\delta \mathbf{M}} + 2\lambda \mathbf{M} = 0 \tag{2.3}$$

where λ is the Lagrange arbitrary factor. It follows from (2.3) that

$$\mathbf{M} \times \frac{\delta U}{\delta \mathbf{M}} = 0. \tag{2.4}$$

The variational derivative $\delta U/\delta M$ has the form [273]

$$\frac{\delta U}{\delta \mathbf{M}} = \frac{\partial U}{\partial \mathbf{M}} - \sum_{p=1}^{3} \frac{\partial}{\partial x_p} \left[\frac{\partial U}{\partial (\partial \mathbf{M}/\partial x_p)} \right]. \tag{2.5}$$

¹In what follows we shall omit the words 'volume density'. The indices 'i' and 'f' will be also omitted when it does not lead to ambiguity.

Comparing (2.4) with (1.33), we see that the magnetic field in the condition of equilibrium is replaced by the quantity $\delta U/\delta M$. It is reasonable to suppose that an analogous replacement is to be made in the equation of motion (1.29), as well as in equations (1.61), (1.62), or (1.64) allowing for dissipation. Only the sign must be reversed because in these equations $H = -\partial U/\partial M$. We obtain then the Landau-Lifshitz equation

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \mathbf{H}_{\text{ef}} + \mathbf{R} \tag{2.6}$$

where the effective field

$$\boldsymbol{H}_{\text{ef}} = -\frac{\delta U}{\delta \boldsymbol{M}} \equiv -\frac{\partial U}{\partial \boldsymbol{M}} + \sum_{p=1}^{3} \frac{\partial}{\partial x_{p}} \left[\frac{\partial U}{\partial (\partial \boldsymbol{M}/\partial x_{p})} \right]$$
(2.7)

and R is the dissipative term. The condition of equilibrium can be written now as

$$\mathbf{M}_0 \times \mathbf{H}_{\text{ef }0} = 0. \tag{2.8}$$

The dissipative term in (1.62) can be supposed to be unchanged, and the equation of motion takes the form

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \mathbf{H}_{ef} + \frac{\alpha}{M} \mathbf{M} \times \frac{\partial \mathbf{M}}{\partial t}.$$
 (2.9)

In the dissipative terms of (1.61) and (1.64) the field H can be replaced by $H_{\rm ef}$.

The quantity γ should be, in general, a *tensor*. In the case of paramagnetic resonance (e.g., [1]) the entire anisotropy is taken into account by means of a tensor g-factor. There are experimental data [166] showing that, when the anisotropy is large, the allowance for the tensor g-factor becomes necessary in the case of a ferromagnet, too. However, it is not quite clear what equation of motion should be used in this case. The influence of the tensor character of the g-factor is usually small for ferromagnets, and so, we shall regard the quantities γ and g as scalars.

For an anisotropic ferromagnet,

$$U = U_{\rm ex} + U_{\rm mag} + U_{\rm an} \tag{2.10}$$

where $U_{\rm ex}$ is the exchange energy, $U_{\rm mag}$ is the magnetic energy, and $U_{\rm an}$ is the energy of anisotropy.

The exchange energy can be regarded as a sum of two terms:

$$U_{\rm ex} = U_{\rm ex\,0} + U_{\rm ex\,\sim}.\tag{2.11}$$

Here, $U_{\rm ex\,0}$ is the value of exchange energy when the magnetization is uniform, and $U_{\rm ex\,\sim}$ corresponds to the increase of this energy due to the nonuniformity of magnetization. The term $U_{\rm ex\,0}$ can be written in the form

$$U_{\text{ex }0} = \frac{1}{2} \boldsymbol{M} \overset{\leftrightarrow}{\Lambda} \boldsymbol{M} \tag{2.12}$$

where $\stackrel{\leftrightarrow}{\Lambda}$ is the exchange tensor. In many cases it can be regarded as a scalar. Then,

$$U_{\rm ex\,0} = \frac{1}{2}\Lambda M^2. \tag{2.13}$$

The effective field that, according to (2.7), corresponds to the energy term (2.13) is the 'molecular' field (1.22). This field does not enter the equation of motion, in any case, the main term $M \times H_{\rm ef}$ and the dissipative terms in the Landau–Lifshitz and Gilbert forms. As we now deal only with uniform magnetization oscillations, we do not touch on the term $U_{\rm ex} \sim 1$. It will be considered in Section 7.1.

The magnetic energy can be written as

$$U_{\text{mag}} = U_{\text{Z}} + U_{M} \tag{2.14}$$

where

$$U_{\rm Z} = -\boldsymbol{M}\boldsymbol{H}_{\rm e} \tag{2.15}$$

is the Zeeman energy, i.e., the energy of the magnetization in an external magnetic field H_e , and U_M is the internal magnetic energy resulting from the magnetic (dipole-dipole) interaction between magnetic moments of the sample. For a small ferromagnetic ellipsoid (Section 1.5) the effective field of this interaction is -NM, hence.

$$U_M = \frac{1}{2} \boldsymbol{M} \left(\stackrel{\leftrightarrow}{N} \boldsymbol{M} \right). \tag{2.16}$$

The term $U_{\rm an}$ represents all kinds of anisotropy. The expressions for this term in the case of magnetocrystalline anisotropy will be cited in Section 2.2.

To linearize the Landau-Lifshitz equation let us substitute $M=M_0+m\exp(\mathrm{i}\omega t)$ and

$$\boldsymbol{H}_{ef} = \boldsymbol{H}_{ef0} + \boldsymbol{h}_{ef} \exp(i\omega t) + \boldsymbol{h} \exp(i\omega t)$$
 (2.17)

into (2.9). In (2.17) we have excluded the given ac field h from the effective ac field, whereas the given steady field has been left in $H_{\rm ef\,0}$. The field h can be either an internal or an external field, according to what quantity we have to find, the susceptibility of the substance χ or the external susceptibility $\chi^{\rm e}$ (Section 1.5). Taking into account the equilibrium condition (2.8) and assuming all alternating quantities to be small, we obtain a linear equation for the complex amplitudes:

$$i\omega m + \gamma m \times H_{ef0} + \gamma M_0 \times h_{ef} + \frac{i\alpha\omega}{M_0} m \times M_0 = -\gamma M_0 \times h.$$
 (2.18)

It is easy to make sure that the results of Section 1.5 can be obtained by the formalism of the present section, as well. Actually, if the external fields H_{e0} and h_e are given, and the ferromagnet is isotropic, the energy U will be the sum of Zeeman energy (2.15) and the demagnetization energy (2.16). Applying (2.7), we find $H_{ef0} = H_{e0} - NM_0$ and $h_{ef} = -Nm$. Substituting these quantities

into (2.18), we obtain equation (1.87), from which all the results of Section 1.5 follow.

2.1.2 Methods of analysis of ferromagnetic resonance in anisotropic ferromagnet

One of these methods, the method of effective demagnetization factors, was suggested by Kittel [220] and elaborated by MacDonald [266]. Following this method, we try to represent the effective field in the form analogous to the demagnetizing field:

$$\boldsymbol{H}_{\text{ef}} = -\overset{\leftrightarrow}{N}^{\text{ef}}\boldsymbol{M} \tag{2.19}$$

introducing the tensor of effective demagnetization factors $N^{\rm ef}$. It will be demonstrated in Section 2.3 that such representation is possible in the case of small oscillations ($m \ll M_0$) if the z-axis is directed along the steady magnetization M_0 . The effective field having been written in the form (2.19), the problem of allowance for the anisotropy is solved, in principle, because all the formulae of Section 1.5 can be used. One must only replace the tensor N components in these formulae by the corresponding components of tensor $N^{\rm ef}$ or the sum $N + N^{\rm ef}$, according to Table 2.1.

TABLE 2.1Replacement of the demagnetization tensor components when the formulae of Section 1.5 are used to take the anisotropy into account.

Components of tensor \overrightarrow{N}^a	Components of tensor \overrightarrow{N} have to be replaced by	
	in calculating $\overset{\leftrightarrow}{\chi}$ components	in calculating $\overset{\leftrightarrow}{\chi}^e$ components and resonance conditions for an ellipsoid
$N_{ij} (i, j=1, 2)$ N_{33}	$N_{ij}^{\text{ef}} = N_{33}^{\text{ef}} + N_{33}^{\text{ef}}$	$N_{ij} + N_{ij}^{\text{ef}}$ $N_{33} + N_{33}^{\text{ef}}$

^a Axis 3 coincides with the direction of M_0 .

Another method used to analyze the ferromagnetic resonance in anisotropic media is the method of *spherical coordinates* proposed by Smit and Beljers [371] and by Suhl [390]. Skrotskii and Kurbatov [366] generalized it taking dissipation into account. This method is based on the transition from the variables M_x , M_y , and M_z to the variables θ , φ , and M where θ and φ are the angles of M in a spherical coordinate system, and M is the vector M length. If the latter is conserved, two variables, θ and φ , are enough.

Let us obtain the equations of motion in new variables using equation (2.9) (which assures the conservation of M) and assuming the magnetization to be

uniform. Then, it is easy to show that all three equations, projections of (2.9) onto the axes x, y, and z, are satisfied if the following two equations are valid (we write them in the case of small dissipation, i.e., $\alpha \ll 1$):

$$\frac{\partial \theta}{\partial t} = -\frac{\gamma}{M \sin \theta} \frac{\partial U}{\partial \varphi} - \frac{\alpha \gamma}{M} \frac{\partial U}{\partial \theta}$$

$$\frac{\partial \varphi}{\partial t} = \frac{\gamma}{M \sin \theta} \frac{\partial U}{\partial \theta} - \frac{\alpha \gamma}{M \sin^2 \theta} \frac{\partial U}{\partial \varphi}.$$
(2.20)

If we use equation (1.64) with the dissipative term that does not assure the conservation of M, two equations would be identical to (2.20) after the substitution $\omega_{\rm r} \to \alpha \omega_H$, and the third would be

$$\frac{\partial M}{\partial t} = -\omega_{\rm r} \left(\frac{M_0}{H_0} \frac{\partial U}{\partial M} - M \right). \tag{2.21}$$

Consider now the case of *small* deviations of M from M_0 . The angles θ_0 and φ_0 of M_0 can be found from the equilibrium conditions

$$\left(\frac{\partial U}{\partial \theta}\right)_{\theta=\theta_0, \, \varphi=\varphi_0} = 0 \qquad \left(\frac{\partial U}{\partial \varphi}\right)_{\theta=\theta_0, \, \varphi=\varphi_0} = 0 \tag{2.22}$$

which are equivalent to the condition (2.8). (One is to make certain, of course, that the obtained θ_0 and φ_0 values correspond to a *minimum* of energy.)

The derivatives $\partial U/\partial \theta$ and $\partial U/\partial \varphi$ in (2.20) are expressed as power series in small quantities $\delta \theta_{\sim} = \theta - \theta_0 = \delta \theta \exp(\mathrm{i}\omega t)$ and $\delta \varphi_{\sim} = \varphi - \varphi_0 = \delta \varphi \exp(\mathrm{i}\omega t)$. Taking into account the conditions (2.22) and discarding the terms with powers of $\delta \theta$ and $\delta \varphi$ higher than unity, we obtain *linear* equations

$$\left(i\omega + \frac{\gamma}{M_0 \sin \theta_0} U_{\theta\varphi} + \frac{\alpha \gamma}{M_0} U_{\theta\theta}\right) \delta\theta$$

$$+ \left(\frac{\gamma}{M_0 \sin \theta_0} U_{\varphi\varphi} + \frac{\alpha \gamma}{M_0} U_{\theta\varphi}\right) \delta\varphi = 0$$

$$\left(i\omega - \frac{\gamma}{M_0 \sin \theta_0} U_{\theta\varphi} + \frac{\alpha \gamma}{M_0 \sin^2 \theta_0} U_{\varphi\varphi}\right) \delta\varphi$$

$$- \left(\frac{\gamma}{M_0 \sin \theta_0} U_{\theta\theta} - \frac{\alpha \gamma}{M_0 \sin^2 \theta_0} U_{\theta\varphi}\right) \delta\theta = 0$$
(2.23)

where $U_{\theta\theta} = \partial^2 U/\partial \theta^2$, $U_{\varphi\varphi} = \partial^2 U/\partial \varphi^2$, and $U_{\theta\varphi} = \partial^2 U/\partial \theta \partial \varphi$ at equilibrium $(\theta = \theta_0 \text{ and } \varphi = \varphi_0)$.

Consider first the *eigenoscillations*. Then, the condition of compatibility of equations (2.23) with $\alpha = 0$ yields the expression for the eigenfrequency

$$\omega_0 = \frac{\gamma}{M_0 \sin \theta_0} \left(U_{\theta\theta} U_{\varphi\varphi} - U_{\theta\varphi}^2 \right)^{1/2} \tag{2.24}$$

known as the Smit-Suhl formula.

If the *dissipation* is taken into account, the frequency of free oscillations becomes complex. The real part of it is given, to a first approximation, by (2.24), and the imaginary part is

$$\omega'' = \frac{1}{2} \frac{\alpha \gamma}{M_0} \left(U_{\theta\theta} + \frac{1}{\sin^2 \theta_0} U_{\varphi\varphi} \right). \tag{2.25}$$

The Zeeman energy (2.15) (which is one of the parts of U) is written in spherical coordinates as

$$U_{\rm Z} = -MH_{\rm e} \left[\cos \theta \cos \theta_H + \sin \theta \sin \theta_H \cos(\varphi - \varphi_H) \right] \tag{2.26}$$

where θ_H and φ_H are the angles of the vector H_e . The total magnetic energy can be written analogously.

When the forced oscillations are considered, a term U_h , depending on a given ac field h, should be included in the energy. This field can be internal or external, depending on what problem is solved. The term U_h can be written by analogy with (2.26). We shall preserve the symbol U for the energy without this term, the total energy will be $U_1 = U + U_h$. Then, the same operations as in deriving (2.23), but with substitution of U_1 for U, lead to equations the left-hand sides of which are the same as in (2.23). In the right-hand sides of the first and of the second equations (2.23), respectively, the following terms appear:

$$\gamma h \sin \theta_h \sin(\varphi_0 - \varphi_h)$$
 $\gamma h \left[\cot \theta_0 \sin \theta_h \cos(\varphi_0 - \varphi_h) - \cos \theta_h \right]$ (2.27)

where θ_h and φ_h are the angles of the vector h, and h is its length.

Using the method of spherical coordinates, we must carefully take into account the equilibrium conditions. For instance, we ought not to take $\theta_0 = \theta_H$ even when the difference between these angles is small. We also must not direct the z axis along M_0 to avoid indeterminacies arising from $\sin \theta_0 = 0$. On the contrary, when the method of effective demagnetization factors is used, the z axis should coincide with the direction of M_0 .

2.2 Magnetocrystalline anisotropy

In this section we review briefly some general aspects of the most important kind of anisotropy in ferromagnets, the magnetocrystalline anisotropy.

2.2.1 Origins of magnetocrystalline anisotropy

The exchange interaction of spins, in the absence of orbital moments, is isotropic. Therefore, the magnetocrystalline anisotropy can be caused either by other interactions of spins or by the spin-orbital interaction.

One of the origins of the magnetocrystalline anisotropy in magnetically ordered crystals is the *magnetic* (dipole-dipole) interaction of elementary magnetic moments (Section 1.1). The energy of magnetic interaction of two magnetic moments with the same values and orientations, according to (1.3), is

$$\varepsilon_{ff'} = -\frac{3\mathfrak{M}^2}{r_{ff'}^3} \left(\cos^2 \theta_{ff'} - \frac{1}{3} \right) \equiv -C \left(\cos^2 \theta_{ff'} - \frac{1}{3} \right) \tag{2.28}$$

where $\theta_{ff'}$ is the angle between \mathfrak{M} and $r_{ff'}$. If the magnetic moments form a lattice, the internal magnetic energy U_M , which is the sum of the energies (2.28), should depend, in general, on the orientation of the moments relative to the lattice axes. However, for all cubic crystals, U_M turns out to be isotropic [208]. For non-cubic *ferromagnets*, the contribution of U_M to the energy of anisotropy is very small.

Another origin of the magnetocrystalline anisotropy is the anisotropy of the exchange interaction, which arises due to the spin-orbital interaction. It can be explained in the following manner [208]. The turns of spins, due to the spin-orbital interaction, result in the change of the shapes of atomic electron shells. This leads to the alteration of the exchange energy (which is a fraction of Coulomb energy of these shells).

The energy of anisotropic exchange interaction can be written (assuming the magnetization to be uniform) as (2.12). However, it is customary to regard the exchange constant Λ as a scalar and include the angle-dependent part of the energy (2.12) into the energy of anisotropy.

Both the above-considered origins of magnetocrystalline anisotropy, in spite of their different physical nature, are alike in the sense that they are both based on pair interactions of elementary magnetic moments. The angular dependence (2.28) is the first term of the expansion of an arbitrary angular dependence in the natural functions for this problem, the Legendre polynomials of $\cos\theta$ [273]. That is why Van Vleck proposed (e.g., [208]) the pseudodipole interaction [with such angular dependence as in (2.28) but with another coefficient C] as a formal origin of magnetocrystalline anisotropy. If we try to use such interaction to allow for the values of anisotropy in real ferromagnets, we find C to be 2–3 orders higher than in (2.28). A substantial contribution to the C values, found in such way, arises from the above-mentioned anisotropic exchange interaction.

The one-ion origin of magnetocrystalline anisotropy is quite different, at first sight, from the above-considered origins. Now the angle-dependent free energy is not the sum of pair-interaction energies, but is a sum of energies of individual ions (depending, of course, on their interaction). The ions are characterized by their energy spectrum, i.e., by the set of allowed energy levels. The Boltzmann distribution holds for them, and the free-energy density (in the case of one sort of

ions) can be calculated with the formula [244]

$$U_{\text{ion}} = -\kappa T \ln \left[N \sum_{j=1}^{n} \exp\left(-\frac{\varepsilon_{j}}{\kappa T}\right) \right]$$
 (2.29)

where ε_j are the ion energy levels, and N is the concentration of ions. The angular dependence of the energies ε_j is now the origin of the anisotropy. Numerous calculations of the one-ion contributions to magnetocrystalline anisotropy of ionic crystals, both ferromagnetic and antiferromagnetic, are in good agreement with experiment.

It is worth pointing out that in both cases, of anisotropic exchange and of one-ion anisotropy, the real cause of anisotropy is the spin-orbital interaction. The exchange interaction, which is anisotropic in the presence of spin-orbital coupling, influences the ionic energy levels and determines, together with the crystal field, their angular dependence. Therefore, the anisotropic exchange and the one-ion anisotropy should be considered, strictly speaking, not as independent mechanisms but models or theories corresponding more or less to the real experimental situation.

2.2.2 Phenomenological description

Irrespective of the nature of magnetocrystalline anisotropy, it is possible, as Akulov proposed (e.g., [208, 70]), to write down expressions for the energy of anisotropy in the form of power series in M projections on crystal axes. Such expressions must be compatible with the symmetry of the crystal lattice, i.e., remain invariant under all operations which form its symmetry group. The coefficients in these series are called *anisotropy constants*. Only the terms with odd powers of the magnetization projections can be present in these expressions, because magnetization, being an axial vector, changes its sign under the reversal of time, whereas energy must be invariant [410, 208].

For *rhombic* crystals the energy of anisotropy can be written as

$$U_{\rm an} = K_1^{(1)} \alpha_1^2 + K_1^{(2)} \alpha_2^2 + U_{\rm an}'$$
 (2.30)

where $\alpha_j = M_j/M$ (j = 1, 2, 3) are the direction cosines of M with respect to the symmetry axes, and U'_{an} is the sum of terms of higher order. We have taken into account that $\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 1$ and, therefore, omitted one of the terms $K_1^{(j)}\alpha_j^2$.

For so-called *uniaxial* ferromagnets: trigonal, tetragonal, and hexagonal (with one main axis, respectively, of the third, fourth, and sixth order), we can neglect, to a first approximation, the anisotropy in the basal plane (perpendicular to the main axis). Then, we get

$$U_{\rm an} = K_1 \sin^2 \theta + K_2 \sin^4 \theta + K_3 \sin^6 \theta + \dots$$
 (2.31)

where θ is the angle between M and the main axis. If the anisotropy in the

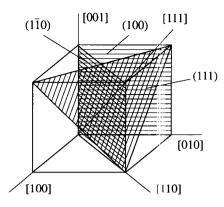


FIGURE 2.1 Symmetry axes and planes in a cubic crystal.

basal plane is taken into account, additional terms appear in $U_{\rm an}$: the term $K_2' \sin^4 \theta \cos 4\varphi$ for a tetragonal crystal and $K_3' \sin^6 \theta \cos 6\varphi$ for trigonal and hexagonal crystals, φ being the angle in the basal plane.

In the case of *cubic* crystals, the magnetocrystalline-anisotropy energy is written usually in the form proposed by Akulov

$$U_{\rm an} = K_1 \left(\alpha_1^2 \alpha_2^2 + \alpha_2^2 \alpha_3^2 + \alpha_3^2 \alpha_1^2 \right) + K_2 \alpha_1^2 \alpha_2^2 \alpha_3^2 + \dots$$
 (2.32)

where $\alpha_{1,2,3}$ are the direction cosines of M with respect to the directions [100], [010], and [001] (Figure 2.1). The first term in (2.32) can be replaced by $K'_1(\alpha_1^4 + \alpha_2^4 + \alpha_3^4)$, where $K'_1 = -K_1/2$. Passing in (2.32) from the direction cosines to the angles θ and φ , we obtain

$$U_{\rm an} = \frac{1}{4} K_1 \left(\sin^2 2\theta + \sin^4 \theta \sin^2 2\varphi \right) + \frac{1}{16} K_2 \sin^2 \theta \sin^2 2\theta \sin^2 2\varphi. \tag{2.33}$$

The angle-dependent parts of elastic and magnetoelastic energies of a ferromagnet (Section 12.1) are usually included in the energy of magnetocrystalline anisotropy. As the expressions (2.30)–(2.32) are determined only by the crystal symmetry, they also hold for the total anisotropy energy. But the values of anisotropy constants are changed, 'renormalized'. The measurement of anisotropy constants by 'static' methods, i.e., at small rates of the change of magnetization direction, yields these renormalized constants. But if the rate of magnetization change is high enough, e.g., at ferromagnetic resonance, the measurement of anisotropy constants gives another values of anisotropy contants. Fortunately, for many substances the difference is small. This topic will be discussed in Section 12.2.

2.2.3 Equilibrium orientations of magnetization

To study small oscillations of magnetization, in particular, at ferromagnetic resonance, we must first find the *steady state*, i.e., the equilibrium orientations of magnetization. If only the energy of magnetocrystalline anisotropy is taken into account, the equilibrium directions of magnetization are determined by the condition of minimum of this energy. These directions are referred to as the axes of easy magnetization or, simply, easy axes. (The directions of $U_{\rm an}$ maxima are called hard axes.)

Consider a *uniaxial* ferromagnet and limit ourselves in (2.31) to the first constant K_1 . Then, if $K_1 > 0$, the axis of anisotropy $(\theta = 0)$ is the easy axis. If $K_1 < 0$, this axis is the hard one, and the plane $\theta = \pi/2$ is the easy plane. The allowance for the anisotropy in this plane results in the appearance of some easy directions lying in it.

In the case of *cubic* crystals, we also limit ourselves, at first, to the constant K_1 . Then, if $K_1 > 0$, the directions $\langle 100 \rangle$ are the easy axes, 2 and the directions $\langle 111 \rangle$ ($\varphi = 45^\circ$, $\theta = \arccos\sqrt{1/3} = 54^\circ44'$) are the hard axes (Figure 2.1). If $K_1 < 0$, directions $\langle 111 \rangle$ are the easy axes, and directions $\langle 100 \rangle$ are the hard axes. When $K_2 \neq 0$ but $|K_2| < (9/4)|K_1|$, the easy and hard directions are the same as in the case of $K_2 = 0$.

In reality, to find the equilibrium orientation of magnetization it is necessary, along with the energy of magnetocrystalline anisotropy, to take into account the Zeeman energy (2.15), the internal magnetic energy (2.16), and also elastic and magnetoelastic energies (Section 12.1) if the external elastic stresses are present. In doing so one can choose between two quite equivalent methods: to minimize the total energy or to use the condition (2.8).

It should be noted that the uniform magnetization of the whole sample is not always the equilibrium state. In the absence of an external magnetic field or in a weak field, a ferromagnetic sample (with not too small dimensions) is divided into domains (Section 8.1). In the present chapter we assume the external field to be high enough to magnetize the sample to saturation. And, if we consider ellipsoidal samples in a uniform external field, the equilibrium magnetization is also uniform.

Let us first examine a sphere of uniaxial ferromagnet (Figure 2.2). For spherical samples there is no shape anisotropy, and the equilibrium orientation of magnetization can be found by minimizing the sum $U=U_{\rm an}+U_{\rm Z}$ where $U_{\rm an}$ is the magnetocrystalline energy (2.31), and $U_{\rm Z}$ is the Zeeman energy (2.26). The conditions for equilibrium are (2.22). From $\partial U/\partial \varphi=0$ it follows that $\varphi_0=\varphi_H$. Limiting ourselves to the first term in (2.31) we obtain from $\partial U/\partial \theta=0$ an equation

$$H_{A,1} \sin 2\theta_0 = H_{e,0} \sin 2(\theta_H - \theta_0)$$
 (2.34)

 $^{^2} The \ symbol\ \langle\ \rangle$ denotes one of the equivalent directions, e.g., $\langle 100\rangle$ denotes one of the directions [100], [010], and [001]. The symbol $\{\ \}$ denotes one of the equivalent planes, e.g., the plane $\{100\}$ is one of the planes (100), (010), and (001).

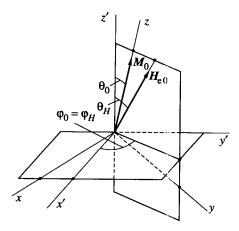


FIGURE 2.2 Coordinate axes for a sphere of a uniaxial ferromagnet $(K_1 < 0)$.

where the following notation is introduced:

$$H_{\rm A\,1} = \frac{K_1}{M_0}.\tag{2.35}$$

The analysis of (2.34) shows (Figure 2.3) that the orientations of M_0 and H_{e0} coincide when H_{e0} is directed along the easy axis (if $K_1 > 0$) or lies in the

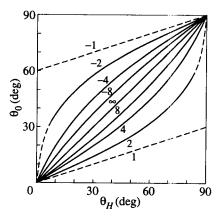


FIGURE 2.3

Equilibrium orientations of magnetization in a sphere of a uniaxial ferromagnet. Numbers at the curves indicate the $H_{\rm e0}/H_{\rm A1}$ values. It has been taken $H_{\rm A1}=4\pi\,M_0$. Dashed lines show that the solution is not realized, because the assumed steady state (uniform magnetization) is not realized, and domains appear.

easy plane (if $K_1 < 0$). When H_{e0} is directed along the hard axis or lies in the hard plane, the orientations of M_0 and H_{e0} coincide if $H_{e0} > 2|H_{A1}|$. But the condition of the uniformity of magnetization (Section 8.3) is in our case: $H_{e0} > 2H_{A1} + (4\pi/3)M_0$. Thus, in a *uniformly* magnetized sphere the M_0 direction coincides with the direction of H_{e0} if the latter is oriented along an easy or a hard axis, or lies in an easy or a hard plane. For all other H_{e0} orientations the direction of M_0 approaches the H_{e0} direction asymptotically as H_{e0} increases (Figure 2.3).

The behavior of the steady magnetization M_0 in *cubic* crystals is analogous to the behavior of it in uniaxial crystals. Namely, when M_0 is uniform, its direction coincides with the direction of H_{e0} if the latter is oriented along one of the axes $\langle 100 \rangle$, $\langle 110 \rangle$, or $\langle 111 \rangle$ and approaches the direction of H_{e0} asymptotically with growing H_{e0} for all other orientations.

Let us discuss briefly the problem of measurement of the magnetocrystallineanisotropy constants. Single-crystal samples in the form of ellipsoids should be used because in this case, the M_0 value being known, the shape anisotropy can be taken into account. It is very convenient to use spherical samples, for which the shape anisotropy does not exist and, so, the knowledge of M_0 is not obligatory. The samples must be turned around some axes, so that the M_0 vector will change its orientation with respect to the crystal axes. The values that are measured directly are either the torsion torques (e.g., [208, 70]) or the frequencies (or the steady magnetic fields at constant frequency) of ferromagnetic resonance. The latter case will be discussed in the next section.

2.3 Ferromagnetic resonance in a single crystal

Studying the influence of magnetocrystalline anisotropy on the magnetization oscillations in single crystals, we shall use, mainly, the method of effective demagnetization factors mentioned in Section 2.1. According to this method we represent the effective field of magnetocrystalline anisotropy (in the case of uniform magnetization):

$$\boldsymbol{H}_{\rm an} = -\frac{\partial U_{\rm an}}{\partial \boldsymbol{M}} \tag{2.36}$$

in the form

$$\boldsymbol{H}_{\mathrm{an}} = -\overset{\leftrightarrow}{N}^{\mathrm{an}} \boldsymbol{M}. \tag{2.37}$$

Two problems are to be solved: (i) calculation of permeability tensor $\overset{\leftrightarrow}{\mu}$ components of a single-crystal ferromagnet, and (ii) derivation of ferromagnetic-resonance conditions for a small single-crystal ellipsoid.

Solving the *first problem*, we have to replace, according to Table 2.1, the transverse \overrightarrow{N} components in the formulae of Section 1.5 by the transverse components

of the tensor N^{an} , and replace N_{33} by the sum $N_{33} + N_{33}^{\text{an}}$. The components of both tensors must be written in the same coordinate system, in which the third axis is directed along M_0 . Only in such system is it possible to express H_{an} in the form (2.37). The direction of M_0 should be, of course, found beforehand.

The general expressions for the permeability-tensor components follow from (1.112) and (1.113):

$$\overrightarrow{\mu} = \begin{vmatrix} \mu_x & \mu_s + i\mu_a & 0 \\ \mu_s - i\mu_a & \mu_y & 0 \\ 0 & 0 & 1 \end{vmatrix}$$
(2.38)

$$\mu_{x,y} = 1 + \frac{\omega_M \omega_{x,y}}{\omega_0^2 - \omega^2} \qquad \mu_s = \frac{\omega_M \omega_s}{\omega_0^2 - \omega^2} \qquad \mu_a = \frac{\omega_M \omega}{\omega_0^2 - \omega^2}$$
 (2.39)

where the frequency ω_0 has the form (1.115). The quantities $\omega_{x,y,s}$ are determined (if dissipation is not taken into account) by the formulae (1.114), in which the above-mentioned replacements must be made. To take dissipation into account it is sufficient to replace ω_H (in ω_x , ω_y , and ω_0) by $\omega_H + i\alpha\omega$.

2.3.1 Sphere of a uniaxial ferromagnet

Turning to the second problem, consider first the ferromagnetic resonance in a uniaxial ferromagnet. We ignore the anisotropy in the basal plane and take into account only the first anisotropy constant K_1 . Then

$$U_{\rm an} = K_1 \sin^2 \theta_0 \equiv K_1 \left(1 - \frac{M_{z'}^2}{M_0^2} \right) \tag{2.40}$$

where the z'-axis is the axis of anisotropy. We write down the x', y', and z' components of the effective field (2.36) and pass then to the coordinate system x, y, z, in which the z-axis is directed along M_0 and the x-axis coincides with the axis x' (Figure 2.2). Then, we obtain, as it is easy to make sure,

$$H_{\text{an }x} = 0$$
 $H_{\text{an }y} = \frac{2H_{\text{A 1}}}{M_0} \left(M_z \cos \theta_0 - M_y \sin \theta_0 \right) \sin \theta_0$ $H_{\text{an }z} = \frac{2H_{\text{A 1}}}{M_0} \left(M_z \cos \theta_0 - M_y \sin \theta_0 \right) \cos \theta_0$ (2.41)

where θ_0 is the angle between the axes z and z', and H_{A1} is determined by (2.35). Expressions (2.41) have the form (2.37). It should be noted that for small oscillations ($M_{x,y} \ll M_z \cong M_0$) the field H_{an} can be written in the form (2.37) even when we take into account the higher terms in (2.31). Taking into account two first terms, we obtain [266]

$$N_{11}^{\rm an} = N_{12}^{\rm an} = 0$$
 $N_{22}^{\rm an} = -\frac{2H_{\rm A}}{M_0}\sin^2\theta_0 + \frac{4H_{\rm A}}{M_0}\left(2\sin^2\theta_0 - 3\sin^4\theta_0\right)$

$$N_{33}^{\rm an} = -\frac{2H_{\rm A1}}{M_0}\cos^2\theta_0 - \frac{4H_{\rm A2}}{M_0}\left(\cos^2\theta_0 - \cos^4\theta_0\right) \tag{2.42}$$

where

$$H_{A2} = \frac{K_2}{M_0}. (2.43)$$

(Other $\stackrel{\leftrightarrow}{N}^{\rm an}$ components do not enter the ferromagnetic-resonance formulae.)

Let us now derive the expression for the ferromagnetic-resonance frequency in a *sphere* of uniaxial single crystal. According to Table 2.1 we have to substitute the sums of the tensor N components and the components (2.42) of the effective demagnetization tensor into (1.90) and (1.91). But for a sphere all N components are the same, and they cancel out. Then,

$$\frac{\omega_0^2}{\gamma^2} = \left[H_{e\,0\,z} + 2H_{A\,1}\cos 2\theta_0 + 4H_{A\,2}\sin^2\theta_0 (1 + 2\cos 2\theta_0) \right] \times \left[H_{e\,0\,z} + 2H_{A\,1}\cos^2\theta_0 - 2H_{A\,2}\sin^22\theta_0 \right]$$
(2.44)

where $H_{e0z} = H_{e0}\cos(\theta_0 - \theta_H)$; here θ_H and θ_0 are the angles between the vectors H_{e0} and M_0 , respectively, and the axis of anisotropy. The angle θ_H is given, and θ_0 must be found by solving the problem of the equilibrium state discussed in Section 2.2.

We now show (taking into consideration, for simplicity, only the first anisotropy constant K_1) that the expression (2.44) can be obtained by the method of spherical coordinates (Section 2.1) as well. The energy U in the Smit–Suhl formula (2.24) is now the sum of Zeeman energy and the energy of magnetocrystalline anisotropy. Using the equilibrium condition $\varphi_0 = \varphi_H$, we find from (2.24)

$$\frac{\omega_0^2}{\gamma^2} = \left[H_{e\,0} \cos(\theta_0 - \theta_H) + 2H_{A\,1} \cos 2\theta_0 \right] H_{e\,0} \frac{\sin \theta_H}{\sin \theta_0}. \tag{2.45}$$

Using the second equilibrium condition (2.34), it is easy to show that (2.45) coincides with (2.44) if $K_2 = 0$. Thus, it is inadmissible to ignore the difference between θ_0 and θ_H in (2.45) even if this difference is small.

However, in (2.44) we may assume $\theta_0 = \theta_H$ in the case of small anisotropy. And if we disregard the terms of second order in the ratios $H_{\rm A\,1}/H_{\rm e\,0}$ and $H_{\rm A\,2}/H_{\rm e\,0}$, the expression (2.44) takes the form

$$\frac{\omega_0}{\gamma} = H_{e\,0} + H_{A\,1} \left(\frac{1}{2} + \frac{3}{2} \cos 2\theta_H \right) + H_{A\,2} \left(-\frac{1}{4} + \cos 2\theta_H - \frac{3}{4} \cos 4\theta_H \right). \tag{2.46}$$

The results of calculation of the ferromagnetic-resonance conditions for a sphere of a uniaxial crystal with the use of (2.46), i.e., with the assumption $\theta_0 = \theta_H$, are shown in Figure (2.4).

The angular dependence of the resonance field (at $\omega = \text{const}$), calculated without the assumption that the directions of M_0 and $H_{e\,0}$ coincide, is shown in

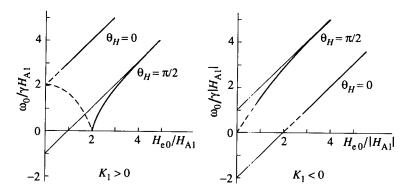


FIGURE 2.4

Ferromagnetic-resonance frequencies for a sphere of a uniaxial crystal, calculated by (2.48) with $H_{\rm A\,I}=4\pi M_0$ and $H_{\rm A\,2}=0$. Dashed lines show that the calculation is not correct, because the assumed uniform magnetization is not realized.

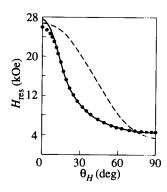


FIGURE 2.5

Angular dependence of $H_{\rm res}$ for a sphere of a crystal with easy plane of anisotropy. Solid curve is calculated using (2.34) and (2.44) with g=2.24, $H_{\rm A\,I}=-8.3$ kOe, and $H_{\rm A\,2}=0$. Dashed curve is calculated by (2.46) with the same values of parameters. Points represent the experimental data for the ferrimagnet RbNiF₃ at frequency of 31.4 GHz and temperature 78 K [143].

Figure 2.5; the result of calculation with this assumption and the experimental data are also plotted. One can see from this figure that for this crystal, with rather large anisotropy, the allowance for the difference between M_0 and H_{e0} directions is necessary.

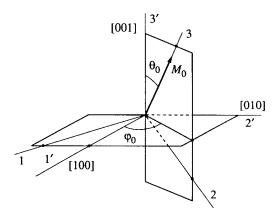


FIGURE 2.6Coordinate axes for a sphere of a cubic ferromagnet.

2.3.2 Sphere of a cubic ferromagnet

The derivation of the conditions for ferromagnetic resonance in cubic crystals leads to rather cumbersome calculations (e.g., [266]). Without dwelling on them, we shall cite the most important results.

If only the first constant K_1 in (2.32) is taken into account, the components of the tensor of effective demagnetization factors N^{an} have the form [266]

$$\begin{split} N_{11}^{\rm an} &= -3 \frac{H_{\rm A\,1}}{M_0} \sin^2\theta_0 \sin^22\varphi_0 \\ N_{22}^{\rm an} &= -3 \frac{H_{\rm A\,1}}{M_0} \sin^2\theta_0 \left(1 - \frac{1}{4} \sin^22\varphi_0\right) \\ N_{12}^{\rm an} &= -3 \frac{H_{\rm A\,1}}{M_0} \sin^2\theta_0 \cos\theta_0 \sin4\varphi_0 \\ N_{33}^{\rm an} &= \frac{H_{\rm A\,1}}{M_0} \left(1 + \cos^22\theta_0 - \sin^4\theta_0 \sin^22\varphi_0\right) \end{split} \tag{2.47}$$

(the coordinate axes are directed as in Figure 2.6). Substituting them for the tensor \overrightarrow{N} components in (1.90) and (1.91), we obtain the expression for the resonance frequency in a sphere of a cubic ferromagnet

$$\frac{\omega_0^2}{\gamma^2} = \left\{ H_{e0z} + H_{A1} \right.$$

$$\times \left[\frac{3}{2} + \frac{1}{2} \cos 4\theta_0 + \left(-\frac{15}{8} + 2 \cos 2\theta_0 - \frac{1}{8} \cos 4\theta_0 \right) \sin^2 2\varphi_0 \right] \right\}$$

$$\times \left\{ H_{e\,0\,z} + H_{A\,1} \left[2\cos 4\theta_0 + \left(\frac{1}{2}\cos 2\theta_0 - \frac{1}{2}\cos 4\theta_0 \right) \sin^2 2\varphi_0 \right] \right\}$$

$$- \frac{9}{4} H_{A\,1}^2 \sin^2 \theta_0 \sin^2 2\theta_0 \sin^2 4\varphi_0.$$
(2.48)

(According to Table 2.1, the components of the sum $\overrightarrow{N}^{an} + \overrightarrow{N}$ should be substituted, but, for a sphere, the components of \overrightarrow{N} cancel out.)

The most interesting is the case when the steady magnetization M_0 is in the $\{110\}$ plane, in which all symmetry axes of a cubic crystal lie. We cite the resonance condition for this case $(\varphi_0 = \pi/4)$ taking into account two anisotropy constants [176]:

$$\frac{(\omega_0^2)_{\{110\}}}{\gamma^2} = \left[H_{e0z} + H_{A1} \left(-\frac{3}{8} + 2\cos 2\theta_0 + \frac{3}{8}\cos 4\theta_0 \right) \right. \\
+ H_{A2} \left(\frac{5}{8} + \frac{5}{4}\cos 2\theta_0 + \frac{9}{8}\cos 4\theta_0 \right) \sin^2 \theta_0 \right] \\
\times \left[H_{e0z} + H_{A1} \left(\frac{1}{2}\cos 2\theta_0 + \frac{3}{2}\cos 4\theta_0 \right) \right. \\
+ H_{A2} \left(-\frac{7}{16} + \frac{3}{16}\cos 2\theta_0 \right) \sin^2 2\theta_0 \right]. \tag{2.49}$$

The formulae following from (2.49), in the particular cases when M_0 direction coincides with the symmetry axes, are given in Table 2.2. In this table H_{e0z} is replaced by H_{e0} , because the directions of M_0 and H_{e0} coincide for the symmetry axes (if H_{e0} is large enough to assure the absence of domains). Attention should be paid to the difference in the structure between formula (2.52) and the first two formulae in Table 2.2. This is due to the fact that the directions $\langle 100 \rangle$ and $\langle 111 \rangle$ are the directions of extrema, whereas the $\langle 110 \rangle$ direction is the saddle 'point' of the $U_{an}(\theta,\varphi)$ surface.

TABLE 2.2 Ferromagnetic-resonance frequencies for a sphere of a cubic crystal.

Direction of $m{M}_0$	θ_H	$rac{\omega}{\gamma}$	
(100)	0	$H_{e0}+2H_{A1}$	(2.50)
(111)	54° 44′	$H_{e0}-\frac{4}{3}H_{A1}-\frac{4}{9}H_{A2}$	(2.51)
(110)	90°	$\left[\left(H_{e0}-2H_{A1}\right)\left(H_{e0}+H_{A1}+\frac{1}{2}H_{A2}\right)\right]^{1/2}$	(2.52)

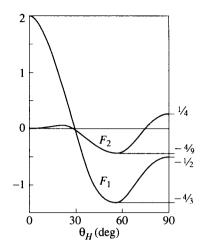


FIGURE 2.7 Functions defining the anisotropy of a cubic ferromagnet.

If the anisotropy is *small* $(H_{A1}, H_{A2} \ll H_{e0})$, (2.49) can be written as

$$\frac{\omega_0}{\gamma} = H_{e\,0} + H_{A\,1} F_1(\theta_H) + H_{A\,2} F_2(\theta_H) \tag{2.53}$$

where (Figure 2.7)

$$F_1(\theta) = -\frac{3}{16} + \frac{5}{4}\cos 2\theta + \frac{15}{16}\cos 4\theta \tag{2.54}$$

$$F_2(\theta) = -\frac{7}{64} + \frac{5}{128}\cos 2\theta + \frac{15}{64}\cos 4\theta - \frac{21}{128}\cos 6\theta. \tag{2.55}$$

The angular dependence of the resonance field calculated with formulae (2.53) and (2.54) is plotted in Figure (2.8). The experimental results for YIG are also shown. One can see that in the present case of small anisotropy, sufficiently high frequency, and room temperature, neither K_2 nor the difference between θ_0 and θ_H must be taken into account.

An expression for the resonance frequency in the case, when M_0 lies in the $\langle 100 \rangle$ plane, can also be easily obtained from (2.48). The angular dependence of $H_{\rm res}$, which was calculated disregarding K_2 and the difference between θ_0 and θ_H , is shown, for this case, in Figure 2.9. One can see from Figures 2.8 and 2.9 that in both planes there are directions in which $H_{\rm e\,0}=\omega/\gamma$. When single-crystal spheres are used in microwave ferrite devices, it is reasonable to orient them so that M_0 will coincide with one of these directions; then the resonance field is independent of K_1 and, hence, of the temperature.

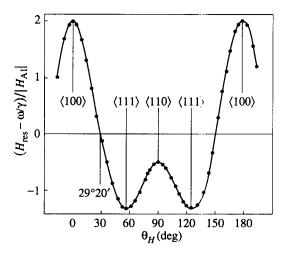


FIGURE 2.8

Angular dependence of $H_{\rm res}$ for a sphere of a cubic ferromagnet with $K_1 < 0$. θ_H is the angle between $H_{\rm c0}$ and the axis (100) in the {110} plane. The curve is calculated by (2.53) at $H_{\rm A2} = 0$. Points represent experimental data for a YIG sphere at a frequency of 9.3 GHz and at room temperature [159].

Consider now the case when M_0 lies in the $\{111\}$ plane. It is easy to show that, if $H_{A1} \ll H_{e0}$ and $H_{A2} = 0$, the $\{111\}$ plane is approximately isotropic. The anisotropy in this plane arises, first, due to the influence of the effective demagnetization factor N_{12}^{an} , i.e., of the last term in (2.48), and, second, due to the influence of K_2 . The $\langle 111 \rangle$ axis is the sixth order axis of this anisotropy, and the expression for the resonance frequency of a sphere, when $H_{A2} \ll H_{A1} \ll H_{e0}$, takes the form [72]

$$\frac{\omega_0}{\gamma} = H_{e0} - \frac{1}{2}H_{A1} + \frac{1}{18}H_{A2} - \frac{1}{2}\frac{H_{A1}^2}{H_{e0}} + \left(-\frac{1}{2}\frac{H_{A1}^2}{H_{e0}} + \frac{7}{36}H_{A2}\right)\cos 6\psi_0 \quad (2.56)$$

where ψ_0 is the angle between M_0 and the $\langle 110 \rangle$ axis in the $\{111\}$ plane. The general case of M_0 lying in an arbitrary plane of a cubic crystal was treated by Yakovlev and Burdin [453].

2.3.3 Simultaneous allowance for different kinds of anisotropy

In the problems discussed above the sample was a sphere, and only one kind of magnetocrystalline anisotropy, uniaxial or cubic. was taken into account. But often we have to consider simultaneously the shape anisotropy and one or more kinds of magnetocrystalline anisotropy. A typical example is the single-crystal YIG film grown epitaxially on a paramagnetic substrate. In such films there appears a growth anisotropy, usually the uniaxial, with the axis directed along the

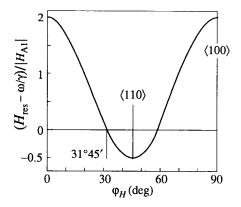


FIGURE 2.9 Angular dependence of $H_{\rm res}$ for a sphere of a cubic ferromagnet with $K_1 < 0$. φ_H is the angle between $\boldsymbol{H}_{\rm e0}$ and the axis [100] in the (100) plane. The curve is calculated by (2.48) with $\theta_0 = \pi/2$, and $H_{\rm A1} \ll H_{\rm e0}$ (so that $H_{\rm e0z} \simeq H_{\rm e0}$ and $\varphi_0 \simeq \varphi_H$).

normal to the film surface.

Both methods, of effective demagnetization factors and of spherical coordinates (Section 2.1), can be used to study the simultaneous influence of several kinds of anisotropy. Using the first method, we have to assume the effective demagnetization factors to be the sums of such factors for all kinds of anisotropy. When the second method is used, the energy U in (2.24) and (2.25) must be the sum of the Zeeman energy and the energies of all considered kinds of anisotropy (except the shape anisotropy if we want to find the tensor $\overrightarrow{\chi}$). It should be noted that from the additivity of energies, or effective demagnetization factors for different kinds of anisotropy, the additivity of their contributions to resonance frequency or resonance field does not follow, in general. One can see this, e.g., from formulae (1.90) or (2.24). But the additivity takes place approximately in the case of small contributions.

The calculations of the tensor $\overrightarrow{\chi}$ or $\overrightarrow{\chi}^e$ components and of the resonance fields or frequencies, in the presence of different kinds of anisotropy, by the method of effective demagnetization factors are usually cumbersome because one has to write down the components of all effective demagnetization tensors in the same coordinate system. We will limit ourselves to one simple example.

Let us find the ferromagnetic-resonance condition for a thin film of a cubic ferromagnet magnetized normally or tangentially to the surface of the film, which coincides with the $\{110\}$ plane. We take into account only the first cubic anisotropy constant K_1^c and the constant K_1^u of the uniaxial growth anisotropy with the axis normal to the film surface.

If the film is normally magnetized and assumed to be infinitely thin, the shape

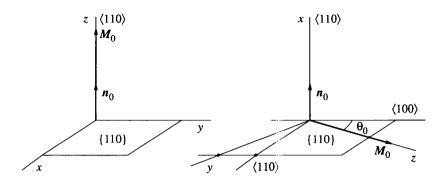


FIGURE 2.10

Coordinate axes for a film of a cubic single crystal magnetized normally and tangentially to its surface. n_0 is a unit vector of the normal to the film surface.

demagnetization factors, in the axes shown in Figure 2.10, are: $N_{11} = N_{22} = N_{12} = 0$, $N_{33} = 4\pi$. To find the effective demagnetization factors of the cubic anisotropy we have to take in (2.47) $\varphi_0 = \pi/4$ and $\theta_0 = \pi/2$. Then we get: $N_{11}^c = -3H_{A1}/M_0$, $N_{22}^c = N_{12}^c = 0$, $N_{33}^c = -H_{A1}/M_0$. To get the effective demagnetization factors of the uniaxial growth anisotropy, we must take $\theta_0 = 0$ in (2.42): $N_{11}^u = N_{22}^u = N_{12}^u = 0$, $N_{33}^u = -2H_{Au}$, where $H_{Au} = K_1^u/M_0$. Substituting the sums of these factors for the corresponding N components in (1.90), we obtain the ferromagnetic-resonance frequency in a normally magnetized film. We write the approximate expression for it in the case of small cubic anisotropy ($H_{A1} \ll H_{e0}$):

$$\frac{\omega}{\gamma} = H_{e0} - 4\pi M_0 + 2H_{Au} - \frac{1}{2}H_{A1}. \tag{2.57}$$

For a film magnetized tangentially, at an angle θ with the $\langle 100 \rangle$ axis, the shape demagnetization factors are: $N_{11} = 4\pi$, $N_{22} = N_{12} = N_{33} = 0$. To find the effective demagnetization factors of cubic anisotropy it is sufficient to take $\varphi_0 = \pi/4$ in (2.47). The effective demagnetization factors of uniaxial anisotropy are: $N_{11}^{\rm u} = -2H_{\rm A\,u}/M_0$, $N_{22}^{\rm u} = N_{12}^{\rm u} = N_{33}^{\rm u} = 0$. The expression for the resonance frequency is obtained by substituting the sums of these demagnetization factors into (1.90). We write it again in the case of small cubic anisotropy:

$$\frac{\omega}{\gamma} = \sqrt{H_{e0} (H_{e0} - 4\pi M_0 + 2H_{Au})} + H_{A1} F_1(\theta)$$
 (2.58)

where $F_1(\theta)$ is given by (2.54). If H_{e0} and M_0 are directed along (110) axis, $F_1 = 1/2$.

The measurement of the angular dependence of ferromagnetic-resonance field is the most precise and widely used technique for studying the magnetocrystalline anisotropy. From this dependence the values of g-factor and anisotropy constants

can be found, as well as the magnetization M_0 if a non-spherical sample is used. For weakly conducting substances, spherical samples are usually applied; if only the cubic anisotropy is present and two constants are enough to describe it, then these constants and the g-factor can be found from the measurement of resonance field in three H_{e0} directions, as in Table 2.2.

For a film grown so that its surface is the $\{110\}$ plane, two cubic anisotropy constants can be found at tangential magnetization of the film. If the resonance field at normal magnetization is measured, too, and there is no uniaxial anisotropy, the value of M_0 can be found. In the presence of this anisotropy, only the quantity $M_0 - K_1^{\rm u}/(2\pi M_0)$ can be found, as one can see from (2.57) and (2.58).

The values of the anisotropy constants, found in ferromagnetic-resonance experiment, do not coincide, in general, with the values found from static measurement. One reason, already mentioned in Section 2.1, is the difference between the contributions of magnetostriction stresses in these two cases. The second reason is the difference in contributions of the so-called fast-relaxing ions (Section 13.2). However, for ferrites with small anisotropy used in microwave devices, e.g., for YIG, both mentioned contributions are small, and the difference between the values of anisotropy constants, found by resonance and by static methods, is negligible.

2.4 Ferromagnetic resonance in a polycrystal

A polycrystal is an assembly of small single crystals (grains) irregular in shape, with dimensions of 10^{-4} to 10^{-2} cm. There are cavities (pores) between them, which occupy often an essential part of the entire volume. Crystal axes of the grains are usually oriented randomly. Only when special measures are taken in preparation of polycrystalline samples, e.g., pressing or thermal treatment in a magnetic field, the uniformity of the angular distribution of grains is broken. The properties of such *textured* polycrystals approach more or less the properties of single crystals.

We will consider only polycrystals without texture, which are, on the average, isotropic. For such media any tensor parameter, in particular, the magnetic susceptibility, has the form

$$\overrightarrow{\chi} = \begin{vmatrix}
\chi & i\chi_a & 0 \\
-i\chi_a & \chi & 0 \\
0 & 0 & \chi_{\parallel}
\end{vmatrix}$$
(2.59)

where the third axis coincides with the preferred direction, in our case, with the direction of the steady magnetization M_0 . Thus, all high-frequency properties of a polycrystalline ferromagnet are determined by three quantities: χ , χ_a , and χ_{\parallel} . In sufficiently high magnetic fields, when the material is magnetized to saturation, χ_{\parallel} is either equal to zero or has a small, non-resonant value (Section 1.4). So, to

describe the high-frequency properties of a polycrystal only two quantities, χ and χ_a , are usually needed. But since the polycrystal is a very complicated system, the dependence of its parameters χ and χ_a on frequency and steady magnetic field differs materially from such dependence for a truly isotropic medium treated in Chapter 1.

2.4.1 Independent-grain and strongly-coupled-grain approximations

At the very beginning of the ferromagnetic-resonance investigations, Van Vleck [418] proposed to regard a polycrystal as an ensemble of independent (non-interacting) grains, the resonance condition in each grain depending on the orientation of the crystal axes of the grain with respect to the external magnetic field. The applicability of such a model is based on two assumptions: disregard of the interactions between the magnetization oscillations in different grains and neglect of the influence of the shapes of grains and pores. A system in which these assumptions are strictly satisfied is an assembly of single-crystal spheres situated in a non-magnetic medium far from one another.

But suppose that the following condition holds:

$$H_{\mathsf{A}} \gg 4\pi M_0 \tag{2.60}$$

where H_A is some anisotropy field, e.g., $H_{A\,1}$ if the higher-order anisotropy constants are not larger than K_1 . Then both mentioned assumptions are satisfied approximately, and the model of independent grains can be applied.

To calculate χ and χ_a for a polycrystal on the independent-grain model, we should write the expressions for $\vec{\chi}^e$ components in a grain with arbitrary shape and orientation and average them. Such calculations are very difficult, if realizable, especially if the finite linewidths $(\Delta H)_0$ of the grains are taken into account. Therefore, Schlömann [339] supposed that $(\Delta H)_0 = 0$ and limited himself to the calculation of χ'' . Assuming a uniform distribution of grains over the orientations (a non-textured polycrystal), he obtained

$$\chi''(\omega, H_0) = \frac{\pi M_0}{4} \int_{\theta = -\pi/2}^{\pi/2} \int_{\varphi = 0}^{2\pi} \delta \left[H_0 - H_{\text{res}}(\omega, \theta, \varphi) \right] \sin \theta d\theta d\varphi \qquad (2.61)$$

where $H_{\rm res}(\omega,\theta,\varphi)$ is the resonance field of a grain, and $\delta(x)$ is the Dirac delta function (Appendix C).

The integral in (2.61) is the distribution function $w(H_0)$ of the grains over the values of H_0 . The following properties of such functions turned out to be useful in calculating χ'' : the discontinuities of $w(H_0)$ take place at the extrema of $H_{\text{res}}(\theta,\varphi)$, and the logarithmic singularities appear at the saddle points of $H_{\text{res}}(\theta,\varphi)$. If, for a cubic crystal, $K_1<0$ and other anisotropy constants can be neglected, $w(H_0)\neq 0$ in the range from $H_{\text{min}}=\omega/\gamma-(4/3)|H_{A1}|$ to $H_{\text{max}}=\omega/\gamma+2|H_{A1}|$ (Figure 2.8), and the peak of $w(H_0)$ appears at $H_0=\omega/\gamma-(1/2)|H_{A1}|$.

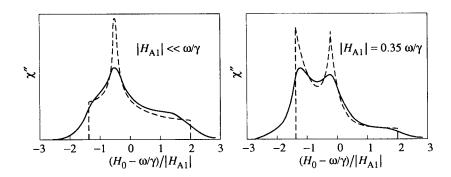


FIGURE 2.11

Ferromagnetic-resonance curves for a sphere of a cubic polycrystal with $K_1 < 0$ calculated in the independent-grain approximation. Dashed curves correspond to $(\Delta H)_0 = 0$ [339], and solid curves, to a finite $(\Delta H)_0$ value, where $(\Delta H)_0$ is the intrinsic linewidth of grains.

The calculated curves $\chi''(H_0)$ are plotted in Figure 2.11. The allowance for a finite $(\Delta H)_0$ results in smoothing out the singularities of these curves (Figure 2.11). But even then the resonance curve of a polycrystal, calculated on the model of independent grains, differs essentially from the resonance curve of an isotropic ferromagnet or a single crystal: it has ledges on the slopes and, if the anisotropy is large enough, has two maxima. These peculiarities were observed in ferrites with very large anisotropy, for which the condition (2.60) was satisfied [341]. They were also observed in ferrites with compensation points (Section 3.3) near these points [337] where the condition (2.60) holds as well.

When $(\Delta H)_0$ is sufficiently small, the linewidth of a polycrystal, in the independent-grain approximation, as one can see from Figure 2.11, is

$$(\Delta H)_{\rm an} \sim \frac{2K_1}{M_0} \tag{2.62}$$

and the shift of the resonance-curve maximum is

$$(\delta H)_{\rm an} \sim \frac{1}{2} \frac{K_1}{M_0}.$$
 (2.63)

For most polycrystalline ferromagnetic and ferrimagnetic materials the condition (2.60) does not hold, the experimental resonance curves do not have the specific shapes as in Figure 2.11, and their widths are much less than it follows from (2.62). The main reason for this is that the magnetization oscillations in different grains are *coupled* with each other by the alternating demagnetization fields. It leads to the 'dipole narrowing' of the resonance curves, i.e., to the appearance of the factor

$$\xi_{\rm d} = \frac{|H_{\rm A\,I}|}{4\pi M_0} \tag{2.64}$$

in expression (2.62). This factor is usually much less than unity for ferrites applied in microwave devices. The model of strongly coupled grains is more suitable for such materials. The polycrystal is regarded then as a *uniform* medium, subjected to a *nonuniform* effective field, which simulates different orientations of the grain axes. This field leads to the coupling of the initial uniform mode, excited by the external field, with other (non-uniform) modes. The coupling results in the transfer of energy from the initial mode to other modes and, hence, in the broadening of the resonance line of the initially excited mode. The theory of such process will be studied in detail in Section 11.3. It yields, for polycrystals, the ΔH values that agree on the order of magnitude with the result of multiplying (2.62) by the factor (2.64).

2.4.2 Influence of porosity

Pores and, in some cases, inclusions of other phases are an important cause of broadening of resonance curves in polycrystals. Porosity is the main reason why ΔH values of polycrystalline YIG samples (usually, as large as ~ 50 Oe) exceed considerably the contribution of anisotropy. Both models discussed above may be used to explain the influence of pores: the model of independent, in the present case, not grains but regions, and the model of non-uniform perturbation field, which leads to the coupling of different modes. We may assume (as a rough estimation based on the independent-region model) that, on the order of magnitude, the linewidth is equal to the demagnetization field of pores, averaged over the sample. This assumption leads to an approximate expression

$$(\Delta H)_{\mathbf{p}} \simeq 4\pi M_{0} \tag{2.65}$$

where $p=V_{\rm p}/V_0$ is the porosity, $V_{\rm p}$ is the entire volume of all pores, and V_0 is the volume of the sample. The calculation carried out by Schlömann (cited in [377]) on the model of a spherical pore in the center of a spherical sample results in an expression that differs from (2.65) by a factor of ~ 1.5 . This calculation yields also the shift of the resonance-curve maximum

$$(\delta H)_{\rm p} \simeq \frac{4\pi}{3} M_0 p. \tag{2.66}$$

In Figure 2.12 the values of $(\Delta H)_p$ are plotted vs porosity for a great number of YIG spheres. The spread of points in the figure, which is due to the difference in dimensions and shapes of pores, is rather large. Nevertheless, the experimental data in Figure 2.12, as well as the data of numerous other experiments, are in agreement with the result (2.65) of the estimate based on the model of independent regions. In reality, the magnetization oscillations in different regions of a porous sample are, of course, not independent, and the model of strongly coupled regions should be used. But in the case of porosity, the results of calculations based on this model (Section 11.3) coincide on the order of magnitude with the abovementioned estimate on the basis of the independent-region model. The reason is

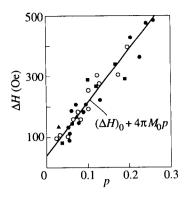


FIGURE 2.12 Dependence of ΔH on porosity for YIG polycrystalline spheres [160]. Different marks represent experimental points for spheres prepared by different methods. Measurements were made at frequency of 9 GHz and room temperature.

that, in the case of porosity, both the numerator and the denominator of the factor that represents the dipole narrowing contain, as distinct from (2.64), the values of the same order of πM_0 .

It follows from (2.65) and from Figure 2.12 that the contribution of porosity to the linewidth of polycrystalline samples can be very large even in the materials with not very high magnetization. Only in very dense samples ($p \ll 1\%$), can this contribution be made small. And if measures are taken simultaneously to diminish the contribution of anisotropy and the internal linewidth of grains, then very small values of ΔH can be obtained in polycrystalline samples, e.g., $\Delta H \sim 1$ Oe in YIG [311].



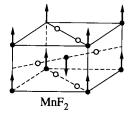
Antiferromagnets and ferrites

3.1 Antiferromagnetism and ferrimagnetism

Antiferromagnets are defined as substances in which the elementary magnetic moments are ordered, but the spontaneous (in the absence of an external magnetic field) magnetic moment of an elementary magnetic cell (and, hence, the moment of any macroscopic region) is either equal to zero or has a small value, as compared to the sum of elementary magnetic moments. The possibility of the existence of a small moment is included into this definition because the substances with an antiferromagnetic type of magnetic ordering but with a small average moment—the so-called weak ferromagnets—are usually related to antiferromagnets. In many antiferromagnets the elementary magnetic cell contains equal numbers of the same magnetic moments aligned in opposite directions. The static and dynamic magnetic susceptibilities of an antiferromagnet are small and (in single crystals) highly anisotropic.

In ferrimagnets the magnetic ordering is of the same type as in antiferromagnets. But the magnetic moment of an elementary magnetic cell has a great value, smaller than but comparable to the sum of elementary moments. The elementary magnetic cell of a ferrimagnet contains, in the simplest case, two groups of elementary magnetic moments directed in opposite sides. The numbers of the moments, or their values, or both are not equal to each other. The static and the dynamic susceptibilities of a ferrimagnet, in contrast to antiferromagnets, are of the same order as for ferromagnets.

The cause of ordering of magnetic moments in antiferromagnets and ferrimagnets is the *exchange* interaction of spins (Section 1.1). The exchange integrals in (1.26), corresponding to interactions between different moments, may have different signs. But the sign of the integral which corresponds to the strongest of these interactions should be *negative*, to stimulate the antiparallel orientation of the moments. The exchange interaction in antiferromagnets and ferrimagnets, as well as in most ferromagnets, is mainly *indirect*. In nonmetallic crystals the indirect exchange interaction via anions plays the main role [18].



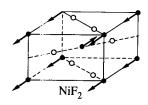


FIGURE 3.1

Magnetic structures of tetragonal antiferromagnets [29]. Full circles are Mn²⁺ and Ni²⁺ ions, open circles are F⁻ ions. The Ni²⁺ magnetic moments are slightly noncollinear.

At temperatures higher than the Neel temperature $^{1}T_{\rm N}$, magnetic order in antiferromagnets and ferrimagnets is destroyed by thermal motion, and these substances become paramagnets.

3.1.1 Crystal and magnetic structures

The idea of antiferromagnetic ordering was proposed independently by Landau and Neel as a hypothesis used to explain the unusual properties of some 'paramagnetic' crystals. Landau [240] suggested the model of layers of magnetic moments directed in opposite sides. Neel proposed the 'staggered' order, in which the nearest neighbors of each moment are oriented opposite to it. The magnetic neutronography (e.g., [29]) proved the reality of antiferromagnetic ordering and allowed the determination of magnetic structures of many substances. It became clear that both types of antiferromagnetic order, the layered and the staggered, as well as many more complicated types of magnetic order, do exist.

For example, in an antiferromagnetic crystal MnF₂, which has the body-centered tetragonal lattice (Figure 3.1), the moments at the apices and in the center of an elementary cell, directed along the forth-order axis, are antiparallel to each other. So, the Neel order is realized. The same order takes place in another tetragonal antiferromagnet, NiF₂ (Figure 3.1), but the moments lie now in the basal (perpendicular to the forth-order axis) plane. Furthermore, the angle between the moments at the apices and in the center of the cell, in NiF₂, differs slightly from π . So, a small spontaneous magnetization arises, and this antiferromagnet belongs to the above-mentioned weak ferromagnets.

Magnetic structures of some trigonal antiferromagnets are shown in Figure 3.2. For one of them, the hematite α -Fe₂O₃, a phase transition (the change of the magnetic-moment direction) occurs at the temperature $T_{\rm M}=250$ K (the Morin point). In the temperature range $T_{\rm M}< T< T_{\rm N}$, α -Fe₂O₃ is a weak ferromagnet.

¹The ordering temperature for *ferrimagnets* is referred sometimes to as Curie temperature.

²The cubic modification of the ferric oxide, γ -Fe₂O₃, is a ferrimagnet.

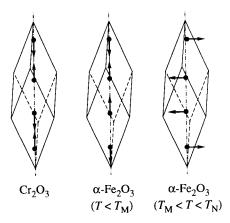


FIGURE 3.2 Magnetic structures of trigonal antiferromagnets [29]. Full circles are Cr^{3+} and Fe^{3+} ions, anions are not shown. The Fe^{3+} magnetic moments at $T_M < T < T_N$ are slightly noncollinear.

Another trigonal antiferromagnet MnCO₃ is a weak ferromagnet in the whole range of magnetic ordering, $T < T_N$ [60].

An example of antiferromagnets with the Landau ordering is the cubic crystal (with small trigonal distortions below the Neel temperature) MnO (Figure 3.3). In this antiferromagnet, unlike those shown in Figures 3.1 and 3.2, magnetic ordering results in the *doubling* of the dimensions of the magnetic elementary cell, as compared with the crystallographic (or crystallochemical) cell.

Most ferrimagnets, as well as most antiferromagnets, are ionic crystals. The base of their crystal structure is the lattice of anions. Cations are located in the voids between them. Voids occupied by cations are called cation positions (or *sites*). They differ in the number N of the nearest-neighbor anions. If N=4, these anions form a more or less regular tetrahedron, and the site is called tetrahedral. If N=6, the site is called octahedral, and if N=8, dodecahedral. The same ions can be in different sites, and different ions can be distributed, randomly or in regular manner, over the same sites.

Ferrimagnets, or ferrites,³ with two cubic crystal structures, spinels and garnets, and some hexagonal structures are most widely used in engineering and science.

Ferrites M²⁺Fe₂³⁺O₄ have the *spinel* structure (e.g., [236]). The cation M²⁺ can be Ni, Co, Fe, Mg, Mn or a combination of ions, as Li_{0.5}⁺Fe_{0.5}³⁺. Other trivalent ions, as Cr³⁺ and Al³⁺, can be substituted for Fe³⁺. Anions in spinel structure form a close-packed cubic lattice with tetrahedral and octahedral voids. The

³Ferrites, in a narrow sense, are the combinations MFe₂O₄, where M is a divalent ion. But this term is often used, in a broader sense, for any nonmetal ferrimagnets (and sometimes, nonmetal ferromagnets, as well).

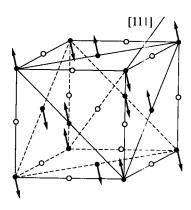


FIGURE 3.3

Magnetic structure of antiferromagnet MnO [29]. Full circles are Mn^{2+} ions, open circles are O^{2-} ions. Magnetic moments lie in {111} planes. Small contraction in the $\langle 111 \rangle$ direction (in magnetically ordered state) is not shown.

number of occupied tetrahedral voids (so-called A-sites) is half the number of occupied octahedral voids (B-sites). As a result, a rather complicated cubic lattice is formed with a cubic elementary cell containing eight formula units MFe₂O₄. For oxygenous spinels, the size of elementary cell (the lattice constant) $a \cong 8.5 \,\text{Å}$. The local symmetry is cubic in tetrahedral sites and is trigonal in octahedral sites. In the latter case there are four nonequivalent sites, which differ from each other in the direction of the third-order local axis.

The distribution of cations over the sites in spinels is usually written in the form $(M_xFe_{1-x})[M_{1-x}Fe_{1+x}O_4]$ where parentheses include the ions on tetrahedral sites and brackets, the ions on octahedral sites. If x=1, the spinel is called *normal*, and if x=0, it is called (completely) *inversed*. All ferrimagnetic oxyde spinels are completely or partly inversed. Chalcogenide ferromagnets $M'Cr_2X_4$ (X=S, Se; M'=Cd, Hg) have normal spinel structure [417]. Normal oxyde spinels (M=Zn, Cd) and normal chalcogenide spinel $ZnCr_2Se_4$ are antiferromagnets.

The cubic garnet structure (e.g., [138]) is even more complicated than the spinal structure. A cubic elementary cell, with the edge larger than 12 Å (12.376 Å for yttrium iron garnet or YIG), contains eight formula units $R_3Fe_5O_{12}$ where R is a trivalent rare-earth or yttrium ion. There are three types of cation sites in the garnet lattice: tetrahedral sites with tetragonal local symmetry, four nonequivalent octahedral sites with trigonal local symmetry, and six nonequivalent dodecahedral sites with orthorhombic symmetry. The distribution of ions over the sites in YIG is $\{Y_3\}(Fe_3)[Fe_2]O_4$ where braces include ions on dodecahedral sites.

The ferrites M"Fe₁₂O₁₉, M₂"M₂Fe₁₂O₂₂, and some others [229, 389] (where M" is a big divalent ion, as Ba, Sr, Pb, and M is one of the divalent ions listed above) are highly anisotropic *hexagonal* ferrimagnets. The dimensions of their

elementary cells along the main axis are ~ 50 Å, while the transverse dimensions are on the order of 5 Å.

The strongest exchange interaction in the considered ferrimagnets is the indirect interaction between cations on tetrahedral and on octahedral sites. The effective exchange integral of this interaction is negative, which leads to antiparallel orientation of the magnetic moments of these ions. Other exchange interactions may be either ferromagnetic or antiferromagnetic.

The phenomenological, quasiclassical treatment of antiferromagnets and ferrimagnets is based on the *sublattice model* proposed by Neel [296]. According to this model, we combine into sublattices all elementary magnetic moments which are situated on identical lattice sites and are parallel to each other. The magnetizations of the sublattices are defined as

$$M_j = \frac{1}{\delta V} \sum_f \langle \hat{\mathfrak{M}}_{jf} \rangle \tag{3.1}$$

where $\langle \hat{\mathfrak{M}} \rangle$ is the quantum-mechanical average of the elementary magnetic moment in the jth sublattice, and the summation is performed over all such moments in a small volume δV . The total magnetization is

$$\boldsymbol{M} = \sum_{i=1}^{N} \boldsymbol{M}_{i} \tag{3.2}$$

where N is the number of sublattices.

The fitness of this model is not obvious. It is proved, in addition to some quantum-mechanical considerations, by the agreement of numerous results, obtained on the base of this model, with experimental data.

The number of sublattices N should be equal to the number of magnetic ions in the primitive magnetic cell (i.e., in the elementary magnetic cell of the smallest volume). For example, N=2 for the antiferromagnets MnF_2 and NiF_2 (Figure 3.1), and N=4 for the trigonal antiferromagnets shown in Figure 3.2. For crystals with more complicated magnetic structures, the number of sublattices can be rather large. For example, in YIG the primitive magnetic cell (the volume of which is half the volume of the above-mentioned cubic elementary cell) contains $20 \, \mathrm{Fe}^{3+}$ ions, and such must be the number of sublattices.

However, to describe approximately certain properties of antiferromagnet or ferrimagnet, some sublattices can be combined with each other. In particular, we can combine in one sublattice all moments with the same orientation or the moments on the same sites, in spite of their different orientations. Eventually, we obtain two sublattices. The *two-sublattice* model is strict only for antiferromagnets with two magnetic ions in a primitive magnetic cell, as MnF₂ and NiF₂. But this model is often used in approximate treatment of more complicated substances. For YIG, 12 Fe³⁺ ions on tetrahedral sites and 8 such ions on octahedral sites can be combined, in considering some problems, into two sublattices with antiparallel orientations.

3.1.2 Equations of motion and energy terms

The theory of antiferromagnets and ferrimagnets, based on the sublattice model, can be constructed in a way analogous to the continual theory of ferromagnets (Section 2.1). The expression for the energy density U, depending on all the vectors M_j , is the initial point. It is reasonable to suggest that the Landau-Lifshitz equations hold for the sublattice magnetizations, too:

$$\frac{\partial \boldsymbol{M}_j}{\partial t} = -\gamma_j \boldsymbol{M}_j \times \boldsymbol{H}_{\text{ef},j} + \boldsymbol{R}_j \tag{3.3}$$

where γ_j are the magneto-mechanical ratios, different, in general, for different sublattices, and $H_{\text{ef}j}$ are the effective fields acting on the sublattices, which are defined by the expressions analogous to (2.7) but with substitution of M_j for M. The dissipative terms R_j can be written in one of the forms considered in Section 1.4. The Gilbert form, as in (1.62), is the most convenient because it does not contain the effective fields.

The uniform part of the exchange energy can be written now as

$$U_{\text{ex 0}} = -\frac{1}{2} \sum_{j=1}^{N} \sum_{j'=1}^{N} \Lambda_{j \, j'} M_{j} M_{j'}$$
(3.4)

where the constants $\Lambda_{jj'}$ represent the exchange interactions between sublattices $(j \neq j')$ and inside them (j = j'). We regard these constants as scalars, attributing the anisotropic (usually, small) part of the exchange energy to the energy of anisotropy. The effective field of the exchange enteraction that acts on the jth sublattice is

$$\boldsymbol{H}_{\boldsymbol{e} \mathbf{x} \, \mathbf{0} \, j} = \sum_{j'=1}^{N} \Lambda_{j \, j'} \boldsymbol{M}_{j}. \tag{3.5}$$

If $\Lambda_{j\,j'} > 0$, the exchange interaction between jth and j'th sublattices stimulates ferromagnetic ordering, and if $\Lambda_{j\,j'} < 0$, it stimulates the antiferromagnetic order. It is very important that the effective fields that represent the exchange interaction between the sublattices enter the equations of motion (3.3).

The energy of magnetocrystalline anisotropy for an antiferromagnet or a ferrimagnet must be written as a series in powers of the components $M_{j\,x,y,z}$ allowed by the symmetry of the lattice. The effective fields acting on each sublattice are found by differentiating the entire anisotropy energy with respect to the corresponding M_j .

Two points are worth noting. First, an assumption of the additivity of sublattice anisotropy energies is often made, i.e., the 'cross-terms', which contain the products of different-sublattice magnetization components, are excluded from the mentioned series. This is strictly valid only for one-ion source of anisotropy (Section 2.2). Second, in the case of antiferromagnets, the role of magnetocrystalline anisotropy, as we shall see, turns out to be much more important than for ferromagnets. The Zeeman energy for antiferromagnets and ferrimagnets should be written in the form (2.15), where M is the total magnetization (3.2). The magnetic sublattices in these substances are intermixed ('put into each other') on the microscopic level. Therefore, the internal magnetic energy U_M , which is the result of the long-range dipole—dipole interaction, also depends only on the total magnetization M. The demagnetizing fields, acting on all sublattices, are equal to each other. For an ellipsoid, the expressions (2.16) and (1.82) hold.

3.1.3 Ground states and small oscillations

Before studying magnetic oscillations in antiferromagnets and ferrimagnets, the ground states, i.e., the lengths and directions of all vectors $M_{j\,0}$, must be found. At T=0, the lengths of $M_{j\,0}$ are to be regarded as known, and the problem is to find the angles $\theta_{j\,0}$ and $\varphi_{j\,0}$ of these vectors. This problem can be solved by the same two equivalent ways as for ferromagnets (Section 2.1): by the minimization of the total energy U and by the use of the conditions

$$\boldsymbol{M}_{i\,0} \times \boldsymbol{H}_{\mathrm{ef}\,i\,0} = 0 \tag{3.6}$$

which follow from the equations of motion (3.3).

Some problems of this kind will be considered in Sections 3.2 and 3.3. Here we briefly discuss only the phenomenon of weak ferromagnetism (e.g., [392]). Dzyaloshinskii has shown [106] that the cause of this phenomenon is the presence of energy terms which are of the same nature as the 'ordinary' anisotropy-energy terms, but are minimized at angles between the vectors M_{j0} , different from those (e.g., zero or π) at which the exchange energy is minimal. Such terms are allowed by the symmetry only in some crystals. This problem was studied in detail by Turov [410]. We note only that in two-sublattice antiferromagnets, two types of such terms, of the second order in M_j components, can be present:

$$U_{\rm D} = -D\boldsymbol{z}_0 \left(\boldsymbol{M}_1 \times \boldsymbol{M}_2\right) \tag{3.7}$$

$$U_{\rm F} = -F \left(M_{1x} M_{2y} - M_{2x} M_{1y} \right) \tag{3.8}$$

where the z-axis is the main axis of anisotropy, tetragonal or trigonal.

The anisotropic exchange interaction (Section 2.2) can lead to the term (3.7), and the one-ion anisotropy, as Moria has shown [372], to the term (3.8). The term (3.7), the only one allowed in trigonal antiferromagnets, is responsible for weak ferromagnetism of α -Fe₂O₃ and MnCO₃. The term (3.8) is allowed in some tetragonal crystals and leads to weak ferromagnetism of NiF₂.

Both terms $U_{\rm D}$ and $U_{\rm F}$ have minima at the angle of $\pi/2$ between M_1 and M_2 . The term (3.8) 'demands', in addition, these vectors to be directed at angles $\pi/4$ and $-\pi/4$ with respect to the x-axis. The minimization of the total energy, consisting of $U_{\rm D}$ or $U_{\rm F}$ and the exchange energy $U_{\rm ex}$, results in small (because $U_{\rm ex}\gg U_{\rm D}$, $U_{\rm F}$) spontaneous noncollinearity of the vectors M_{10} and M_{20} .

At T > 0 the *lengths* of the vectors $M_{j\,0}$ depend on magnetic field and temperature. To find the ground state we must use now, in addition to the equilibrium conditions, some supplementary equations. The expressions analogous to (1.18)

$$M_{j\,0} = M_j^0 B_{J_j} \left(\frac{M_{j\,0}}{N_j \kappa T} H_{\text{ef}\,j\,0} \right) \tag{3.9}$$

may be used as such equations. Here $M_j^0 = \gamma_j \hbar J_j N_j$ is the sublattice magnetization at T=0, and $B_{J_j}(x)$ is the Brillouin function (1.19). Solving equations (3.9) together with the expressions, relating $H_{\rm efj0}$ to M_{j0} , and the equilibrium conditions, we can obtain, in principle, the lengths and orientations of M_{j0} at given $H_{\rm e0}$ and T.

This problem can be solved easily only for temperatures very *near* to or *higher* than the *Neel temperature* T_N . Then all $M_{j\,0}$ values, as well as the anisotropy constants, are small, and it is possible, first, to neglect the demagnetizing and anisotropy fields, and, second, to limit oneself to the first terms in the series expansions of the Brillouin functions. Let us consider a *two-sublattice* antiferromagnet or ferrimagnet. Then the effective fields, according to (3.5), are

$$H_{\text{ef }10} = H_{\text{e}0} + \Lambda_{11} M_{10} + \Lambda_{12} M_{20}$$
 $H_{\text{ef }20} = H_{\text{e}0} + \Lambda_{12} M_{10} + \Lambda_{22} M_{20}.$ (3.10)

The collinearity of H_{e0} , M_{10} , and M_{20} follows from (3.6), and, taking into account the first terms in the expansions of B_{J_j} , we get from (3.9) a system of linear equations for M_{10} and M_{20} . To find the *Neel temperature* we must set equal to zero the determinant of this system. Then, for an antiferromagnet with two identical sublattices, we get (designating $\Lambda_{11} = \Lambda_{22} = -\Lambda_1$ and $\Lambda_{12} = -\Lambda$)

$$T_{\rm N} = C \left(\Lambda - \Lambda_{\rm i} \right) \tag{3.11}$$

where C has the same form as the Curie constant (1.21), but all quantities, J, γ , and N relate now to a sublattice. Solving the mentioned system for $H_0 \neq 0$, we find the paramagnetic susceptibility of an antiferromagnet

$$\chi_{\rm p} = \frac{2C}{T - T_{\rm p}} \tag{3.12}$$

where $T_{\rm p} = -C(\Lambda - \Lambda_{\rm i})$ is the negative paramagnetic Curie temperature (Figure 1.3).

For a ferrimagnet, taking $|\Lambda_{11}|, |\Lambda_{22}| \ll |\Lambda_{12}|$, we obtain

$$T_{\rm N} \cong \sqrt{C_1 C_2} \,\Lambda \tag{3.13}$$

where $\Lambda = -\Lambda_{12}$, and C_1 and C_2 are the Curie constants of the corresponding sublattices. The temperature dependence of χ_p^{-1} is nonlinear in this case (Figure 1.3).

In ferrimagnets, the steady sublattice magnetizations not only differ from each other in magnitude but can differently depend on composition and temperature.

At certain compositions or certain temperatures, the total magnetization $\sum M_{j\,0}$ can become equal to zero. Such compositions or temperatures are called *magnetic compensation points*. As the sublattice magneto-mechanical ratios can also differ from each other, the *mechanical* compensation points (where $\sum M_{j\,0}/\gamma_j=0$) do not coincide with the magnetic compensation points.

To linearize the equations of motion (3.3) we write the magnetizations and effective fields as sums of steady and ac components and substitute these sums into (3.3). Assuming the ac components to be small as compared with the steady components, we obtain, in a zero approximation, conditions (3.6) and, in the first approximation, the system of N linear equations for the complex amplitudes

$$i\omega \boldsymbol{m}_{j} + \gamma_{i}\boldsymbol{m}_{j} \times \boldsymbol{H}_{efj0} + \gamma_{j}\boldsymbol{M}_{j0} \times \boldsymbol{h}_{efj} + \frac{i\alpha_{j}\omega}{M_{j0}}\boldsymbol{m}_{j} \times \boldsymbol{M}_{j0} = -\gamma_{j}\boldsymbol{M}_{j0} \times \boldsymbol{h}.$$
(3.14)

Here $h_{\mathrm{ef}\,j}$ are the complex amplitudes of the ac effective fields (without the field which we regard as given) and h is the complex amplitude of the given ac field. Equations (3.14) are coupled to each other, because every $h_{\mathrm{ef}\,j}$ depends, in general, on all the sublattice ac magnetizations.

Solving the coupled equations (3.14) is the basis of the theory of magnetic oscillations in antiferromagnets and ferrimagnets. Sections 3.2 and 3.3 will be devoted to this theory. Here we limit ourselves to some general remarks.

Setting equal to zero the determinant of 3N scalar equations that follow from (3.14), we obtain the characteristic equation for the frequencies of free oscillations. If we take $\alpha_j = 0$, the solutions of this equation will be the *eigenfrequencies*. We will see below that there are always N positive roots of this equation, corresponding to eigenoscillations of the considered system with N degrees of freedom.

If $h \neq 0$, the system of 3N nonuniform linear equations, obtained by projecting (3.14), has 3N solutions, which are complex amplitudes⁴ of m_j components for *forced oscillations*. All these components are linear functions of the h components, and the total ac magnetization is

$$\boldsymbol{m} \equiv \sum_{j=1}^{N} \boldsymbol{m}_{j} = \stackrel{\leftrightarrow}{\chi} \boldsymbol{h} \tag{3.15}$$

where $\overrightarrow{\chi}$ is the ac susceptibility tensor of an antiferromagnet or a ferrimagnet. If the ac demagnetizing field is included in $h_{\text{ef }j}$, the field h is the external field, and the tensor $\overrightarrow{\chi}$ in (3.15) is the external susceptibility tensor analogous to the tensor $\overrightarrow{\chi}^{\text{e}}$ of a ferromagnetic sample (Section 1.5). If the demagnetizing field is not included in $h_{\text{ef }j}$, then h is the internal ac field, and $\overrightarrow{\chi}$ in (3.15) is the susceptibility of the substance. The difference between these tensors is small for antiferromagnets in comparatively low steady magnetic fields. But for ferrimagnets this difference is as essential as for ferromagnets.

⁴The words 'complex amplitude' will be omitted from here on.

3.2 Antiferromagnetic resonance

Antiferromagnetic resonance was first investigated theoretically by Kittel [221] and Nagamiya [294] and was discovered experimentally in 1952 by Ubbink et al. [413]. Since then a lot of works has been devoted to the investigation of antiferromagnetic resonance in substances with different crystal and magnetic structures (e.g., [122]). The aim of the present section is, first, to show how the theory, discussed in the previous section, is applied to magnetic oscillations in antiferromagnets and, second, to expose the principal features of these oscillations. Therefore, we limit ourselves to the simplest case of antiferromagnet with uniaxial anisotropy and two identical sublattices.

The following assumptions are made:

- 1. The energy of anisotropy $U_{\rm an}$ is the sum of the anisotropy energies of the sublattices; writing them in the form (2.31), we allow for only the first constant of anisotropy. The anisotropy in the basal plane is also neglected.
- 2. The difference in the length of the M_{j0} vectors is neglected, i.e., strictly speaking, the case of T=0 is considered (Section 3.1.).
- 3. The demagnetizing fields are not taken into account.

As the effective fields of *intra-sublattice* exchange interaction do not enter the equations of motion, we may write the total energy as

$$U = \Lambda M_1 M_2 - H(M_1 + M_2) + K(\sin^2 \theta_1 + \sin^2 \theta_2)$$
 (3.16)

where $\Lambda \equiv -\Lambda_{12}$, $K \equiv K_1$, and θ_1 and θ_2 are the angles of M_1 and M_2 with respect to the axis of anisotropy (the z-axis). The magnetizations M_1 and M_2 are uniform; so, according to (2.6),

$$\boldsymbol{H}_{\text{ef }1,2} = -\frac{\partial U}{\partial \boldsymbol{M}_{1,2}} = -\Lambda \boldsymbol{M}_{2,1} + \boldsymbol{H} + \frac{K}{\left(M^{0}\right)^{2}} \boldsymbol{z}_{0} \left(\boldsymbol{M}_{1,2} \boldsymbol{z}_{0}\right)$$
(3.17)

where M^0 is the length of the vectors M_1 and M_2 .

3.2.1 Antiferromagnet with an easy axis of anisotropy: steady states

Consider, first, the case of K > 0. It is clear from symmetry considerations that the steady magnetizations M_{10} and M_{20} , the field H_0 , and the axis of anisotropy (the z-axis) lie in one plane. The angles between the z-axis and the vectors M_{10} , M_{20} , and H_0 are, respectively, θ_{10} , θ_{20} , and θ_H . To find the angles θ_{10} and θ_{20} we have to set equal to zero the derivatives of U with respect to θ_1 and θ_2 , or use the conditions (3.6). Both ways lead to the following necessary conditions for

⁵In what follows we omit the subscripts 0 at the angles θ_{10} and θ_{20} .

69

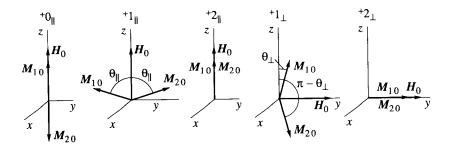


FIGURE 3.4
Ground states of a two-sublattice antiferromagnet with easy axis of anisotropy.

equilibrium:

$$\Lambda (M^{0})^{2} \sin (\theta_{1,2} - \theta_{2,1}) - H_{0}M^{0} \sin (\theta_{H} - \theta_{1,2}) + K \sin \theta_{1,2} \cos \theta_{1,2} = 0.$$
(3.18)

When the angles satisfying these conditions are obtained, we have to find out which of them corresponds to the minimum of energy.

Let us consider two particular cases: when the field H_0 is directed along the anisotropy axis $(H_0 \parallel z_0)$ and when H_0 is perpendicular to this axis $(H_0 \perp z_0)$. The symmetry allows, in these cases, the ground states shown in Figure 3.4.

It follows from (3.18) that

$$\cos \theta_{\parallel} = \frac{H_0}{2H_E - H_A} \tag{3.19}$$

$$\sin \theta_{\perp} = \frac{H_0}{2H_E + H_A} \tag{3.20}$$

where the angles θ_{\parallel} and θ_{\perp} are shown in Figure 3.4 and the following notations are used:

$$H_E = \Lambda M^0 \qquad H_A = K/M^0.$$
 (3.21)

It is easy to make sure that the energy (3.16) is minimal, for different states, in the H_0 intervals given in Table 3.1.

One can see from the table that, in the case of $H_0 \parallel z_0$, an antiparallel state $^+0_{\parallel}$ takes place in weak fields. With growing field the transition into state $^+1_{\parallel}$ (spin-flop) occurs, which is the first-order phase transition. In a very narrow field interval $H_{C\,1} < H_0 < H_{C\,2}$ (Table 3.1) both states are stable. Domain structures and hysteresis appear in this interval. With the further increase of H_0 the angle between the vectors $M_{1\,0}$ and $M_{2\,0}$ decreases, and at $H_0 = H_{E\,\parallel}$ a transition to the state $^+2_{\parallel}$ (spin-flip) occurs, which is usually a second-order phase transition.

In the case of $H_0 \perp z_0$, the angle between M_{10} and M_{20} decreases gradually with growing H_0 , and at $H_0 = H_{E\perp}$ a transition from $^+1_{\perp}$ state to $^+2_{\perp}$ state takes place.

For both considered orientations of H_0 , the total magnetization $M_0 = M_{10} + M_{20}$ is parallel to H_0 in all states, and a *scalar* static susceptibility $\chi_0 = M_0/H_0$ can be defined. The dependence of M_0 and χ_0 on H_0 is shown in Figure 3.5. One can see that at low fields a strong anisotropy of the susceptibility is present.

TABLE 3.1 Ground states and eigenfrequencies for a two-sublattice antiferromagnet with easy axis of anisotropy $(H_A > 0)$ at T = 0.

Field	Ground			•
direc-	state	Field	Eigenfrequencies ^c	
tion ^a	(Fig. 3.4)	range	$(\gamma_1 = \gamma_2 \equiv \gamma)$	
	+0 Antiparallel	0 <h<sub>0<h<sub>C2</h<sub></h<sub>	$\frac{\omega_{\pm}}{\gamma} = H_{C2} \pm H_0$	(3.
$oldsymbol{H}_0 \ oldsymbol{z}_0$	+ ₁ Noncollinear	$H_{CI} < H_0 < H_{E\parallel}$	$\frac{\omega_{\parallel 1}}{\gamma} = \left(\frac{2H_E H_{E\perp}}{H_{E\parallel}^2} H_0^2 - 2H_E H_A\right)^{1/2}$ $\left\{\omega_{\parallel 2} = 0\right\}$	(3.
	⁺² Parallel	$H_{E\parallel} < H_0$	$\frac{\omega_{\parallel 1}}{\gamma} = H_0 + H_A$ $\left\{ \frac{\omega_{\parallel 2}}{\gamma} = H_0 - H_{E \parallel} \right\}$	(3.
$oldsymbol{H}_0oldsymbol{\perp} oldsymbol{z}_0$.	+ ₁ Noncollinear	0< <i>H</i> ₀ < <i>H</i> _E \(\tag{H}_{E} \)	$\frac{\omega_{\perp 1}}{\gamma} = \left(H_{C2}^2 + \frac{H_{E \parallel}}{H_{E \perp}}H_0^2\right)^{1/2}$	(3.:
			$\frac{\omega_{\perp 2}}{\gamma} = \left(H_{C2}^2 - \frac{H_A}{H_{E\perp}}H_0^2\right)^{1/2}$	(3.2
	+2 ₁ Parallel	$H_{E\perp} < H_0$	$\frac{\omega_{\perp 1}}{\gamma} = \sqrt{H_0 (H_0 - H_A)}$	(3.2
			$\left\{\frac{\omega_{\perp}}{\gamma} = \sqrt{\left(H_0 - 2H_E\right)\left(H_0 - H_E\right)}\right\}$	

 $[^]a$ z_0 is a unit vector parallel to the anisotropy axis.

For most antiferromagnets, $H_A \ll H_E$. Then, the difference between $H_{C\,1}$ and $H_{C\,2}$, as well as the difference between $H_{E\,\parallel}$ and $H_{E\,\perp}$ (Table 3.1) can be ignored, and these approximate expressions can be used:

$$H_{C\,1} \cong H_{C\,2} \equiv H_C = \sqrt{2H_EH_A} \qquad H_{E\,\parallel} \cong H_{E\,\perp} \cong 2H_E.$$
 (3.28)

Taking (3.11) and (3.21) into account, we get the following estimates for antiferromagnets with Neel temperatures $T_{\rm N}\sim 100$ K and $H_A\sim 10$ –100 Oe: $H_E\sim 10^6$ Oe and $H_C\sim 10^4$ – 10^5 Oe.

 $^{^{}b}$ $H_{C1} = \sqrt{H_A (2H_E - H_A)}, \qquad H_{E\parallel} = 2H_E - H_A, \qquad H_A = K/M^0,$ $H_{C2} = \sqrt{H_A (2H_E + H_A)}, \qquad H_{E\perp} = 2H_E + H_A, \qquad H_E = \Lambda/M^0.$

^c Braces indicate the frequencies of modes that are not excited by a uniform ac magnetic field.

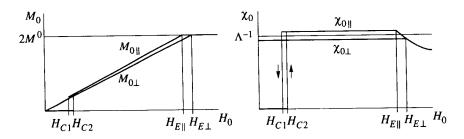


FIGURE 3.5 Steady magnetizations and susceptibilities of an antiferromagnet with easy axis of anisotropy in the field H_0 parallel (subscripts \parallel) and perpendicular (subscripts \perp) to the axis. H_0 is plotted not to scale to make the small intervals $H_{C\,2}-H_{C\,1}$ and $H_{E\,\perp}-H_{E\,\parallel}$ visible.

3.2.2 Oscillations in antiparallel state

We project now the linearized equations of motion (3.14) onto the coordinate axes and take into account (3.17). In the case of the *antiparallel* state ${}^+0_{\parallel}$ (Figure 3.4), we get $m_{1z}=m_{2z}=0$ and obtain a system of four equations for m_{1x},m_{2x},m_{1y} , and m_{2y} . After transition to circular variables $m_{j\pm}=m_{jx}\pm m_{jy}$ (j=1,2), we get two independent systems for the pairs of variables m_{1+},m_{2+} and m_{1-},m_{2-} :

$$[\pm \omega - i\alpha\omega - \gamma (H_0 + H_E + H_A)] m_{1\pm} - \gamma H_E m_{2\pm} = -\gamma M^0 h_{\pm}$$

$$\gamma H_E m_{1\pm} + [\pm \omega - i\alpha\omega - \gamma (H_0 - H_E - H_A)] m_{2\pm} = \gamma M^0 h_{\pm}. \quad (3.29)$$

To find the *eigenfrequencies* we set equal to zero the determinants of systems (3.29) with $\alpha_j=0$. We get two frequencies ω_+ and ω_- given in Table 3.1. Their dependence on H_0 is shown in Figure 3.6. If $H_0=0$, then $\omega_+=\omega_-=\omega_0$. The values of ω_0 are usually rather high, e.g., $f_0=\omega_0/2\pi=165$ GHz for Cr_2O_3 .

To analyze the character of eigenoscillations we can reason in the following way (as in Section 1.3). For the mode with frequency ω_+ , the determinant of system (3.29) with lower signs is not equal to zero. Therefore, this system has only the trivial solution $m_{1-}=m_{2-}=0$ at $\omega=\omega_+$. It means that $m_{1y}=-m_{1x}$ and $m_{2y}=-m_{2x}$, i.e., a circular precession of the vectors M_1 and M_2 with right-hand rotation takes place. It is possible to make sure in the same way that the mode with frequency ω_- is a left-hand circular precession.

The ratios of the sublattice ac magnetizations can be found from any of equations (3.29) (with $\alpha=0$ and $h_{\pm}=0$) using the values (3.24) of ω_{+} or ω_{-} . If $H_{A}\ll H_{E}$, these ratios are

$$m_{2\pm}/m_{1\pm} = -(1 \mp H_C/H_E)$$
 (3.30)

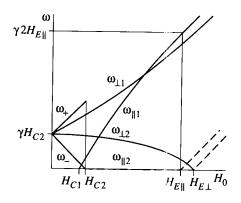


FIGURE 3.6

Eigenfrequencies of an antiferromagnet with easy axis of anisotropy. Subscripts \parallel and \perp correspond, respectively, to H_0 parallel and perpendicular to the axis. H_0 is plotted not to scale, as in Figure 3.5. Dashed lines relate to modes with m=0.

It follows from (3.30) that the total ac magnetization is small. The precession of the vectors M_1 and M_2 in the ${}^+0_{\parallel}$ state is illustrated for both modes by Figure 3.7.

To find the *damping* of free oscillations we must set equal to zero the determinant of (3.29) with $h_{\pm}=0$ but $\alpha\neq 0$. To the first approximation ($\alpha\ll 1$), we obtain the same expressions (3.24) for ω'_{\pm} and (if $H_A\ll H_E$)

$$\omega_{\pm}^{"} \cong \alpha \omega_{\pm}^{\prime} H_E / H_A. \tag{3.31}$$

Comparing (3.31) with the relation $\omega'' = \alpha \omega'$ for the ferromagnet, we see that, if the values of α are the same, the damping in antiferromagnet is much stronger. However, the α values in antiferromagnets and ferromagnets can differ essentially from each other.

Consider now the *forced* oscillations. The total ac magnetization $m=m_1+m_2$, which determines all the observed effects in antiferromagnetic resonance, is of the most interest. Solving the system (3.29), we obtain, for small dissipation $(\alpha \ll 1)$ and $H_A \ll H_E$,

$$m_{\pm} = m_{1\pm} + m_{2\pm} \cong \frac{2\gamma^2 M^0 H_A}{(\omega_+ - \omega)(\omega_- - \omega) + 2i\alpha\omega\gamma H_E} h_{\pm} \equiv \chi_{\pm} h_{\pm}.$$
 (3.32)

Here χ_+ and χ_- are the tensor $\overleftrightarrow{\chi}$ circular components (Section 1.3). At resonance $(\omega = \omega_+)$ or $\omega = \omega_-$) we get

$$\left(\chi_{\pm}^{\prime\prime}\right)_{\rm res} = \frac{\gamma M^0 H_A}{\alpha \omega_{\pm} H_E} \tag{3.33}$$

This quantity differs by a factor H_A/H_E from the resonance circular susceptibility

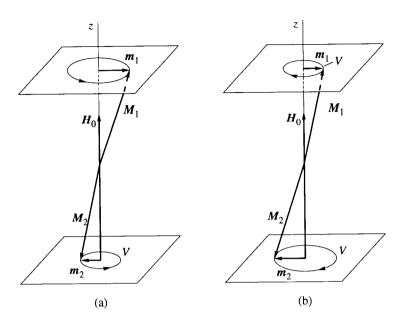


FIGURE 3.7 Precession of the sublattice-magnetization vectors in an antiferromagnet with easy axis of anisotropy in the antiparallel ground state ${}^+0_{\parallel}$: (a) mode with frequency ω_+ and (b) mode with frequency ω_- . The difference between $|m_1|$ and $|m_2|$ is exaggerated.

of a ferromagnet (Section 1.4) with the same values of ω and α .

The linewidths ΔH_{\pm} (or $\Delta \omega_{\pm}$) can be defined, analogously to a ferromagnet, as the differences of the H_0 (or ω) values at which $\chi''_{\pm}=1/2(\chi''_{\pm})_{\rm res}$. If we take again $\alpha\ll 1$ and $H_A\ll H_E$, we find

$$\Delta H_{\pm} = \frac{\Delta \omega_{\pm}}{\gamma} = \frac{2\alpha \omega_{\pm}}{\gamma} \frac{H_E}{H_A}.$$
 (3.34)

3.2.3 Oscillations in noncollinear state

The steady magnetizations in ${}^{+}1_{\parallel}$ state (Figure 3.4) are

$$\boldsymbol{M}_{10} = \left(\boldsymbol{z}_0 \cos \theta_{\parallel} - \boldsymbol{y}_0 \sin \theta_{\parallel}\right) M^0 \qquad \boldsymbol{M}_{20} = \left(\boldsymbol{z}_0 \cos \theta_{\parallel} + \boldsymbol{y}_0 \sin \theta_{\parallel}\right) M^0. \tag{3.35}$$

Projecting now two equations (3.14) with $\alpha=0$ and $h_{\pm}=0$ onto the coordinate axis, we obtain, with account for (3.17) and (3.35), a system of six equations for the components of vectors m_1 and m_2 . To get two *independent* systems (which should correspond to the eigenmodes) we must pass to the components of vectors $m=m_1+m_2$ and $l=m_1-m_2$. It should be noted that l is the complex amplitude of the ac component of the vector $L=M_1-M_2$, which is referred to

as the vector of antiferromagnetism.

One of the independent systems will contain the components m_x , m_y , and l_z and the other will contain m_z , l_x , and l_y . If we set equal to zero the determinant of the first system, we find expression (3.25) (in Table 3.1) for the eigenfrequency $\omega_{\parallel 1}$. The dependence of $\omega_{\parallel 1}$ on H_0 is shown in Figure 3.6. If we set equal to zero the determinant of the second system, we get $\omega_{\parallel 2}=0$.

The character of the eigenmodes in $^+1_{\parallel}$ state can be analyzed in the manner used above. For the first mode, the second of the above-mentioned independent system has only zero solutions. Thus, for this mode (Figure 3.8)

$$m_{1x} = m_{2x}$$
 $m_{1y} = m_{2y}$ $m_{1z} = -m_{2z}$. (3.36)

For the second mode, $m_x=m_y=0$; the component m_z is also equal to zero, as it follows directly from the second system. This mode is an infinitely slow rotation of vectors M_1 and M_2 around the z-axis. Such motion occurs without changing the energy of the system, and that is why the eigenfrequency is equal to zero. In reality, some factors not taken into account, e.g., the anisotropy in a basal plane, lead to the distinction of $\omega_{\parallel 2}$ from zero. But, nevertheless, the frequency of this mode will be small as compared with other eigenfrequencies of the system. Such modes are called *soft modes*. The appearance of a soft mode in the present case is due to the fact that the ground state $^+1_\parallel$ breaks the cylindrical symmetry of the energy. A general statement that a soft mode should appear, when the ground state breaks the symmetry of the energy of the system, is known as the Goldstone theorem (e.g., [218]).

It is clear from the character of the vector M precession (Figure 3.8) that the mode with eigenfrequency ω_1 is excited by the *transverse* (with respect to H_0) component of the ac magnetic field. The second mode cannot be excited by a uniform ac magnetic field at all. Thus, the tensor $\overrightarrow{\chi}$ for state $^+1_{\parallel}$ (as well as for state $^+0_{\parallel}$) has the form (1.47). The non-zero components of this tensor can be found by solving the system of equations for m_x, m_y , and l_z with $h \neq 0$ and $\alpha \neq 0$. It is clear that these components will pass through resonance, approximately, at $\omega = \omega_{\parallel 1}$.

Solving system (3.14) for the parallel state $^{+}2_{\parallel}$, we get two modes with frequencies given in Table 3.1. However, for the second mode m=0, i.e., this mode cannot be excited by a uniform ac magnetic field. The first mode coincides with the magnetization oscillations in a uniaxial ferromagnet (Section 2.3) with the steady magnetization $2M^{0}$ and the anisotropy constant K.

3.2.4 Oscillations in transverse and arbitrarily oriented fields

For the ground state ${}^+1_{\perp}$ (Figure 3.4) we obtain, in the same way as for the state ${}^+1_{\parallel}$, two independent systems of equations: in variables m_x , m_z , l_y and in variables m_y , l_x , l_z (H_0 is directed along the y-axis). Setting the determinants of these systems equal to zero, we obtain the eigenfrequencies of the two modes

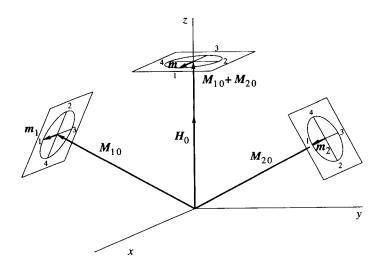


FIGURE 3.8

Precession of the sublattice-magnetization and total-magnetization vectors in an antiferromagnet with easy axis of anisotropy in the noncollinear ground state $^+1_{\parallel}$. Numbers denote the positions of the tips of the vectors at successive instants.

(Table 3.1). Their dependence on H_0 is shown in Figure 3.6. As $m_y=0$ for the first mode, this mode is exited by the *transverse* (with respect to H_0) ac magnetic field component. For the second mode $m_x=m_z=0$, and this mode is excited by the *longitudinal* (parallel to H_0) ac field component. Thus, the susceptibility tensor has the form

$$\vec{\chi} = \begin{vmatrix} \chi_{11} & 0 & i\chi_a \\ 0 & \chi_{22} & 0 \\ -i\chi_a & 0 & \chi_{33} \end{vmatrix}. \tag{3.37}$$

The frequency ω_1 is the resonance frequency for the components χ_{11} , χ_{33} , and χ_a of this tensor, and ω_2 is the resonance frequency for χ_{22} . At $H_0 = H_{E\perp}$ the first mode transforms into the 'ferromagnetic' mode in the parallel ground state $^+2_\perp$. Its frequency coincides with the eigenfrequency for a uniaxial ferromagnet (Section 2.3) with the corresponding orientation of H_0 .

The eigenfrequencies for arbitrarily H_0 orientations are shown in Figure 3.9. Using these data, the antiferromagnetic-resonance spectra in a polycrystal can be found in the approximation of independent grains (Section 2.4). This approximation is well suited for antiferromagnets if $H_0 \ll H_E$, because the total magnetizations, both steady and ac, are small in this case. One can see from Figure 3.9 that very broad absorption 'lines' should be observed in polycrystalline antiferromagnets in both cases: of variable H_0 at H_0 = const and of variable H_0 at H_0 = const.

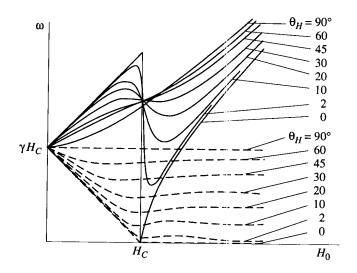


FIGURE 3.9

Eigenfrequencies of an antiferromagnet with easy axis of anisotropy for different angles between H_0 and the axis. Solid and dashed lines correspond to two modes. It is assumed $H_A \ll H_E$, and the small interval $H_{C\,2} - H_{C\,1}$ is not shown.

3.2.5 Antiferromagnet with easy plane of anisotropy

Consider now the case of K < 0, when M_1 and M_2 easy directions lie in the *basal plane*. Only the main results will be cited in this case; the method of obtaining them is the same as that used above.

Two ground states exist now for both H_0 orientations: a noncollinear state and a parallel state (Figure 3.10 and Table 3.2). The equilibrium angles in *noncollinear* states $^-1_{\parallel}$ and $^-1_{\perp}$ are determined by

$$\cos \theta_{\parallel} = \frac{H_0}{2H_E - H_A} \tag{3.38}$$

$$\sin \varphi_{\perp} = \frac{H_0}{2H_E} \tag{3.39}$$

where H_E and H_A are defined by (3.21), as before, but $H_A < 0$. Comparing Figure 3.10 with Figure 3.4, we see that the states $^-1_{\parallel}$, $^-2_{\parallel}$, and $^-2_{\perp}$ do not differ from the states $^+1_{\parallel}$, $^+2_{\parallel}$, and $^+2_{\perp}$, respectively. Therefore, the *expressions* for the eigenfrequencies in all the mentioned states with K < 0 are the same as in the corresponding states with K > 0 (Table 3.1). The difference is, however, in the sign of H_A . As a result, the *actual dependence* of $\omega_{\parallel 1}$ on H_0 in the state $^-1_{\parallel}$ (Figure 3.11) differs from such dependence in $^+1_{\parallel}$ state. It coincides approximately (if $|H_A| \ll H_E$) with $\omega_{\perp 1}$ vs H_0 dependence in the state $^+1_{\perp}$ (Figure 3.6).

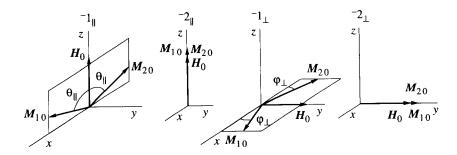


FIGURE 3.10 Ground states of a two-sublattice antiferromagnet with easy plane of anisotropy.

The state $^-1_{\perp}$, for K < 0 and H_0 lying in the easy plane, is an essentially new one. The eigenfrequencies in this state are given in Table 3.2, and their dependence on H_0 is shown in Figure 3.11. The character of oscillations of the vectors M_1 and M_2 in this state is illustrated by Figure 3.12. For the mode with frequency $\omega_{\perp 1}$, the components m_x , m_z , and l_y exist, and this mode is excited by the transverse component of ac magnetic field (with respect to H_0 , which is directed along the y-axis). The second mode, with m_y , l_x , and l_z not equal to zero, is excited by a longitudinal (parallel to H_0) ac field.

TABLE 3.2 Ground states and eigenfrequencies for a two-sublattice antiferromagnet with easy plane of anisotropy $(H_A < 0)$ at T = 0.

Field direc- tion ^a	Ground state (Fig. 3.10)	Field range ^b	Eigenfrequencies $(\gamma_1 = \gamma_2 \equiv \gamma)$	
$oldsymbol{H}_0 oldsymbol{z}_0$	- ₁ Noncollinear	$0 < H_0 < 2H_E + H_A $	Expression for $\omega_{\parallel 1}$	
			coincides with (3.23)	
	- 2 Parallel	$2H_E + H_A < H_0$	Expression for $\omega_{\parallel 1}$	
			coincides with (3.24)	
$oldsymbol{H}_0oldsymbol{oldsymbol{\perp}} oldsymbol{z}_0$	[—] 1⊥ Noncollinear	0 <h<sub>0<2H_E</h<sub>	$\frac{\omega_{\perp 1}}{\gamma} = H_0 \sqrt{1 + \frac{ H_A }{2H_E}}$	(3.40)
			$\frac{\omega_{\perp 2}}{\gamma} = \left(2H_E H_A - \frac{ H_A }{2H_E} H_0^2\right)^{1/2}$	(3.41)
	- ₂ Parallel	$2H_E < H_0$	Expression for $\omega_{\perp 1}$	
			coincides with (3.27)	

 $^{^{}a}z_{0}$ is a unit vector perpendicular to the anisotropy plane.

 $^{^{}b}H_{A}=K/M^{0},$ $H_{E}=\Lambda M^{0};$ it is assumed that $|H_{A}|\ll H_{E}.$

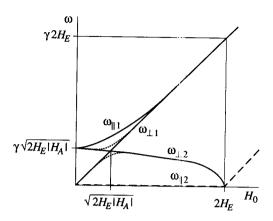


FIGURE 3.11

Eigenfrequencies of an antiferromagnet with easy plane of anisotropy at $H_0 \parallel z_0$ (subscripts \parallel) and $H_0 \perp z_0$ (subscripts \perp) where z_0 is a unit vector of the normal to the easy plane. Dashed lines relate to the modes with m=0. Dotted lines correspond to a small distinction of the angle between H_0 and z_0 from $\pi/2$.

Thus, the frequencies $\omega_{\perp 2}$ in different ground states $^+1_{\perp}$ and $^-1_{\perp}$ do not differ strongly from one another. On the contrary, the behavior of the frequency $\omega_{\perp 1}$ in $^-1_{\perp}$ state has no analog in the case of K>0. This frequency approaches zero at $H_0\to 0$. Such an important feature of the considered mode is due to the fact that the angle between M_1 and M_2 (Figure 3.12) does not change in the precession of these vectors. The antiferromagnetic resonance, in this case, can be and really was observed at rather low frequencies in weak steady magnetic fields (e.g., [300]).

Another peculiarity of the antiferromagnetic-resonance spectrum for K < 0 and H_0 , lying in the easy plane, is a *crossover* of the branches, i.e., the degeneration of the modes at $H \cong H_C$ (Figure 3.11). The degeneracy is removed with the deflection of H_0 from the easy plane.

The anisotropy in the basal plane influences materially only the first mode for K < 0 and H_0 lying in the easy plane. It results in the appearance of a gap in the spectrum, i.e., leads to a finite $\omega_{\perp 1}$ value at zero field. This value depends on the angle between H_0 and a certain direction in the basal plane. It should be noted that the gap can appear due to other factors, as well, e.g., due to the magnetoelastic interaction (Section 12.2).

Consider now the influence of a *weak moment* (i.e., of the noncollinearity of the sublattice steady magnetizations, Section 3.1) on the magnetic oscillations in the antiferromagnet with an easy plane of anisotropy and H_0 lying in this plane. The energy term (3.7) does not lead to a qualitative change of the ground state -1_{\perp}

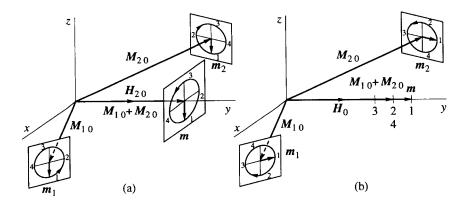


FIGURE 3.12

Precession of the sublattice-magnetization and total-magnetization vectors of an antiferromagnet with easy plane of anisotropy in the ground state $^{-}1_{\perp}$ (Figure 3.10) for modes with frequencies (a) $\omega_{\perp 1}$ and (b) $\omega_{\perp 2}$. Numbers are as in Figure 3.8.

(Figure 3.10), but now

$$\sin \varphi_{\perp} = \frac{H_0 + H_D}{2H_E} \tag{3.42}$$

where $H_{\rm D}=DM^0$. The term (3.8), in contrary to the term (3.7), is not invariant under rotations around the z-axis. Therefore, this term, aside from the fact that it stimulates a certain angle between M_{10} and M_{20} , is a source of the anisotropy in the basal plane. If, for simplicity, we suppose H_0 to be directed along the y-axis, the ground state will remain qualitatively the same as in Figure 3.10. Then, the effective field $H_{\rm F}=FM^0$ will appear in (3.42) instead of $H_{\rm D}$.

In the case of the term (3.7), the eigenfrequency of the first mode (for small φ_{\perp}) is

$$\left(\frac{\omega_1}{\gamma}\right)^2 = H_0 \left(H_0 + H_D\right) \tag{3.43}$$

and in the case of the term (3.8),

$$\left(\frac{\omega_1}{\gamma}\right)^2 = (H_0 + H_F)(H_0 + 4H_F).$$
 (3.44)

Comparing these expressions with (3.30), one can see that the allowance for weak ferromagnetism results in an essential change of the spectrum of the mode, for which there was no gap. In the case of the term (3.7), the spectrum changes but remains without a gap. In the case of the term (3.8), a gap appears, as one can see from (3.44).

We have considered the antiferromagnetic resonance in the simple case of a uniaxial two-sublattice antiferromagnet at T=0, without taking into account

the shape anisotropy of the sample (i.e., assuming it to be a sphere), and without regard for the sample dimensions. The study of more complicated cases is beyond the scope of this book. We limit ourselves to some brief remarks.

The shape of the sample, assuming it to be a small ellipsoid, can be taken into account, in magnetostatic approximation, in the same way as for a ferromagnet (Section 1.5). However, the magnetizations of an antiferromagnet, both steady and ac, are small if $H_0 \ll H_E$. Therefore, in such fields the influence of the sample shape on the antiferromagnetic resonance may be neglected.

The requirement for the sample *dimensions* to be small, as compared with the electromagnetic-wave length, is the condition for the applicability of the magnetostatic approximation. As the antiferromagnetic-resonance frequencies are usually very high (lie in the millimeter or submillimeter wavelength ranges), this requirement is difficult to fulfill in experiment.

The next remark concerns the influence of temperature. At T>0 the sublattice magnetizations M_{10} and M_{20} differ from M^0 and, in general, from each other. If their values are found, the above-considered theory of antiferromagnetic resonance can be applied almost without changes. It is necessary only to use the values of the parameters γ , H_A , and H_E at a given temperature and to take into account the difference between M_{10} and M_{20} . We cite here only the expression for eigenfrequencies in the antiparallel state $^+()_{\parallel}$ found (at $H_A \ll H_E$) with allowance for this difference [122]:

$$\frac{\omega_{\pm}}{\gamma} = \sqrt{2H_A H_E + H_0^2 \frac{\beta}{4}} \pm H_0 \left(1 - \frac{\beta}{2} \right). \tag{3.45}$$

Here H_A and H_E are defined according to (3.21) but with substitution of $\sqrt{M_{10}M_{20}}$ for M^0 , and $\beta = \Lambda(M_{10}-M_{20})/H_0 \equiv \chi_{0\parallel}/\chi_{0\perp}$ where $\chi_{0\parallel}$ and $\chi_{0\perp}$ are the steady susceptibilities for H_0 directed, respectively, along and perpendicular to the axis of anisotropy. The quantities γ and H_E are almost independent of temperature. Therefore, the actual temperature dependence of antiferromagnetic-resonance frequencies is determined by the temperature dependence of $\chi_{0\parallel}$ and H_A .

The last remark is related to antiferromagnets with more complicated magnetic structures. The number N of the sublattices, which is equal to the number of magnetic ions in a primitive magnetic cell (Section 3.1), can be rather large in such substances. The number of eigenmodes in each ground state is always equal to the number of sublattices. However, as it has been already mentioned in Section 3.1, some sublattices can be combined with each other to form ultimately two sublattices with antiparallel magnetizations. Then the above-discussed two-sublattice theory can be used. It yields, sometimes to a high accuracy, the spectra of two modes with the lowest frequencies. But the remaining (N-2) modes are lost.

In conclusion, we point out the peculiarities of antiferromagnetic resonance, as compared with the ferromagnetic resonance.

- 1. In antiferromagnets, there are several different ground states in different ranges of H_0 ; in every state there are as many modes as there are magnetic ions in a primitive magnetic cell.
- 2. The character and the value of the magnetocrystalline anisotropy influence materially the antiferromagnetic-resonance spectra; the contribution of the anisotropy is determined, as a rule, by the geometrical mean of the anisotropy field and large exchange field.
- 3. Antiferromagnetic-resonance spectra have, as a rule, gaps (finite frequencies at zero steady fields); only one of the branches for H_0 , lying in an easy plane of anisotropy, has no gap; the frequency increases for some branches, and decreases for others with growing H_0 .
- 4. The ac susceptibility-tensor components in antiferromagnets have small values in not very high steady magnetic fields.

The last peculiarity does not exclude, however, the possibility of antiferromagnets to be used in magnetic devices for the high-frequency part of the microwave range.

3.3 Magnetic oscillations in ferrimagnets

The theory of ferromagnetic resonance considered in Chapters 1 and 2 is applicable with some restrictions, as we will see, to one of the oscillation modes in ferrimagnets, just to the mode used in practice. The aim of the present section is to prove this statement and to discuss the restrictions.

3.3.1 Ground states of two-sublattice ferrimagnet

In contrast to antiferromagnets, the study of ferrimagnets (excluding the regions near the compensation points) can be performed, in the first approximation, without allowance for the magnetocrystalline anisotropy.

Consider, according to Schlömann [342], the ground states of a *two-sublattice* isotropic ferrimagnet.⁶ The energy density can be written as

$$U = -\frac{1}{2}\Lambda_{11}M_1^2 - \frac{1}{2}\Lambda_{22}M_2^2 - \Lambda_{12}M_1M_2 - H_0(M_1 + M_2)$$
 (3.46)

where Λ_{11} , Λ_{22} , and Λ_{12} are the constants of the exchange interaction inside sublattices and between them. Limiting our consideration to the zero temperature, we will regard the lengths of the vectors M_1 and M_2 as given. To find the equilibrium orientations of these vectors we set equal to zero the derivatives

⁶This problem was first solved by Tyablikov [412] on a microscopic model.

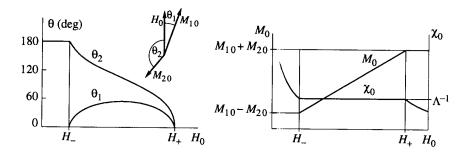


FIGURE 3.13

Equilibrium angles of the sublattice magnetizations, the total steady magnetization, and the static susceptibility of an isotropic two-sublattice ferrimagnet vs steady magnetic field. $M_{10} = 1.5 M_{20}$.

of (3.46) with respect to θ_1 and θ_2 . We get that either $\sin \theta_1 = \sin \theta_2 = 0$ or

$$\cos \theta_1 = \frac{H_0^2 + H_+ H_-}{2H_0 \Lambda M_{10}} \qquad \cos \theta_2 = \frac{H_0^2 - H_+ H_-}{2H_0 \Lambda M_{20}}$$
(3.47)

where $\Lambda \equiv -\Lambda_{12}$, and $H_{\pm} = \Lambda(M_{10} \pm M_{20})$ are the so-called exchange fields. It is easy to see that

$$M_{10}\sin\theta_1 = -M_{20}\sin\theta_2. \tag{3.48}$$

One can make sure that the energy (3.46) is minimal at θ_1 and θ_2 values given in Table 3.3. These equilibrium values are plotted vs H_0 in Figure 3.13. In ferrites, usually, $\Lambda \gtrsim 10^3$, and the first exchange field $H_- \sim 10^5$ Oe, the second exchange field H_+ is several times larger.

TABLE 3.3 Ground states of isotropic two-sublattice ferrimagnet at T = 0.

Ground state	Field range ^a	Equilibrium values of θ_1 and θ_2 (Figure 3.13)
Antiparallel	$0 < H_0 < H$	$\theta_{10} = 0$ $\theta_{20} = \pi$
Noncollinear	$H < H_0 < H_+$	Formulae (3.47)
Parallel	$H_+ < H_0$	$\theta_{10} = \theta_{20} = 0$

 $^{^{}a}H_{\pm} = \Lambda(M_{10} \pm M_{20}).$

It follows from (3.48) that the total steady magnetization $M_0 = M_{10} + M_{20}$ is always parallel to H_0 . The dependence of M_0 and $\chi_0 = M_0/H_0$ on H_0 is also shown in Figure 3.13.

To find the ground states at T>0 it is necessary to take into account the temperature dependence of M_{10} and M_{20} . If the larger (at T=0) magnetization decreases faster with growing temperature than the smaller one, then a temperature

compensation point (Section 3.1) appears. A typical example is the rare-earth garnets (e.g., [447, 138]), in which the magnetization of the dodecahedral (rare-earth) sublattice decreases faster with growing temperature than the magnetization of the combined (tetrahedral plus octahedral) iron sublattice.

3.3.2 Oscillations in antiparallel ground state

As we do not take into account for the present the demagnetizing fields and magnetocrystalline anisotropy, the effective fields that enter the equations of motion are, according to (3.46),

$$H_{\text{ef }1.2} = H_0 - \Lambda M_{2.1}. \tag{3.49}$$

Projecting the equations of motion (3.14) onto the coordinate axes and passing to the circular variables, we get

$$[\pm \omega - \gamma_1 (H_0 + \Lambda M_{20}) - i\alpha_1 \omega] m_{1\pm} - \gamma_1 \Lambda M_{10} m_{2\pm} = -\gamma_1 M_{10} h_{\pm}$$

$$\gamma_2 \Lambda M_{20} m_{1\pm} + [\pm \omega - \gamma_2 (H_0 - \Lambda M_{10}) + i\alpha_2 \omega] m_{2\pm} = \gamma_2 M_{20} h_{\pm}. (3.50)$$

Setting the determinant of this system with $\alpha_{1,2} = 0$ and $h_{\pm} = 0$ equal to zero, we obtain the equation for the eigenfrequencies:

$$\omega^{2} \pm \omega \left[\Lambda \left(\gamma_{2} M_{10} - \gamma_{1} M_{20} \right) - \left(\gamma_{1} + \gamma_{2} \right) H_{0} \right] - \gamma_{1} \gamma_{2} H_{0} \left[\Lambda \left(M_{10} - M_{20} \right) H_{0} \right].$$
 (3.51)

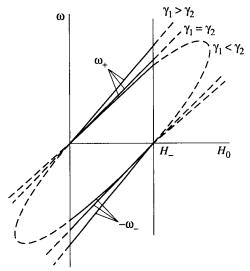


FIGURE 3.14 Eigenfrequencies of a two-sublattice ferrimagnet in the antiparallel ground state.

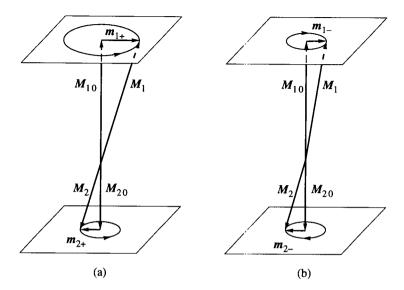


FIGURE 3.15

Precession of the sublattice magnetizations of a ferrimagnet in the antiparallel ground state for (a) the 'ferromagnetic' and (b) exchange modes. A small difference between the values of m_1 and m_2 is not shown.

The signs \pm in (3.51) correspond to the two modes of eigenoscillations. It is appropriate to replace these signs by the single sign +. Then we obtain an equation the roots of which represent the frequency ω_+ of the first mode and the frequency of the second mode with reversed sign $(-\omega_-)$. It is easy to make sure that it will be the equation of hyperbolas in (ω, H_0) plane (Figure 3.14). The form of the hyperbolas depends on the value of $(\gamma_1 - \gamma_2)$; if $\gamma_1 = \gamma_2$, the hyperbolas transform into a pair of parallel straight lines. Of course, only the segments of the hyperbolas in the limits $0 < H_0 < H_-$ have physical sense because only in these limits the ground state is the assumed antiparallel state. As the eigenfrequencies must be positive, the segments with $\omega > 0$ give the frequencies ω_+ , and those with $\omega < 0$ give $(-\omega_-)$. One can see from Figure 3.14 that the dependence of ω_+ , as well as of ω_- , on H_0 is, in general $(\gamma_1 \neq \gamma_2)$, nonlinear. The frequency ω_- is high at $H_0 = 0$ and decreases with growing H_0 . At $H_0 \cong H_-/2$ the degeneration of the two modes occurs.

Approximate expressions for ω_+ and ω_- (when $H_0 \ll H_-$), obtained by Wangsness [434], have the form

$$\omega_{+} \cong \frac{M_{10} - M_{20}}{M_{10}/\gamma_{1} - M_{20}/\gamma_{2}} H_{0} \equiv \gamma_{\text{ef}} H_{0}$$
 (3.52)

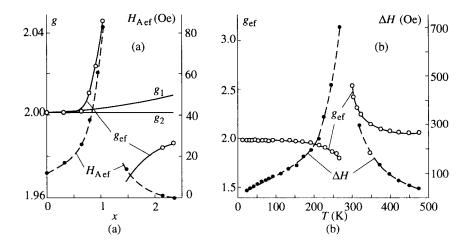


FIGURE 3.16

Effective parameters of ferrimagnets with (a) compositional and (b) temperature compensation points. (a) Garnet $Y_3Fe_{5-x}Ga_xO_{12}$, T=77 K; g-factor of the tetrahedral sublattice g_1 was calculated assuming g-factor of the octahedral sublattice g_2 to be independent of x [331]. (b) Garnet Gd₃Fe₅O₁₂; ΔH values are for $H \parallel \langle 111 \rangle$ [329].

$$\omega_{-} \cong \Lambda \left(\gamma_{2} M_{10} - \gamma_{1} M_{20} \right) - \frac{M_{10} \gamma_{2} / \gamma_{1} - M_{20} \gamma_{1} / \gamma_{2}}{M_{10} / \gamma_{1} - M_{20} / \gamma_{2}} H_{0} \equiv \omega_{E} - \gamma_{\text{ef}}' H_{0}. \tag{3.53}$$

The quantity γ_{ef} in (3.52) is the ratio of the total steady magnetic moment to the total steady moment of momentum.

It is easy to make sure, in the same way as for antiferromagnets (Section 3.2), that the mode with frequency ω_+ is a *right-hand* circular precession, and the mode with frequency ω_- is a *left-hand* precession around the direction of H_0 and M_0 (Figure 3.15). The ratios of the amplitudes of the sublattice ac magnetizations for these modes (if $H_0 \ll H_-$) are

$$\frac{m_{1+}}{m_{2+}} \cong -\frac{M_{10}}{M_{20}} \qquad \frac{m_{1-}}{m_{2-}} \cong \frac{\gamma_1}{\gamma_2}. \tag{3.54}$$

The first relation (3.54) means that the vectors M_1 and M_2 are always antiparallel to each other. No work is done against the exchange forces in such a movement, which is why the exchange constant Λ does not appear in expression (3.52). For the second mode, the vectors M_1 and M_2 do not remain antiparallel, and the frequency ω_- essentially depends on Λ . This mode is called the *exchange* mode, and the first one (with frequency ω_+) is called the *ferromagnetic* mode.

It follows from (3.52) that the frequency ω_+ tends to zero when approaching the magnetic compensation point and tends to infinity when approaching the mechanical compensation point. The frequency ω_- , which, at low H_0 values, lies usually in the infrared region, decreases when approaching the compensation

points, so that it can appear in the microwave region. Although formulae (3.52) and (3.53) hold (approximately) only under condition $H_0 \ll H_- \equiv \Lambda(M_{10} - M_{20})$, i.e., far from the compensation points, the mentioned tendencies agree with the experiment (Figure 3.16). However, the theory of magnetic oscillations in ferrimagnets *near the compensation points*, as the antiferromagnetic-resonance theory, can be developed only with allowance for the anisotropy (see, e.g., the paper by Geschwind and Walker [136]).

3.3.3 Oscillations in noncollinear ground state

The steady sublattice magnetizations for the noncollinear ground state (Table 3.3) can be written in the form

$$\mathbf{M}_{1,20} = (\mathbf{z}_0 \cos \theta_{1,2} + \mathbf{y}_0 \sin \theta_{1,2}) M_{1,20}$$
 (3.55)

where the angles θ_1 and θ_2 are determined by (3.47). Projecting the equations of motion (3.14) with $\alpha_{1,2} = 0$ and h = 0 onto the coordinate axes and taking into account (3.49) and (3.55), we obtain a set of six uniform linear equations for the components of m_1 and m_2 . Limiting ourselves, for simplicity, to the case $\gamma_1 = \gamma_2$, we get from the mentioned system the following equations for the vector $m = m_1 + m_2$ components:

$$\frac{\mathrm{i}\omega}{\gamma}m_x + H_0m_y = 0 \qquad -H_0m_x + \frac{\mathrm{i}\omega}{\gamma}m_y = 0 \qquad \frac{\mathrm{i}\omega}{\gamma}m_z = 0. \tag{3.56}$$

It follows from these equations that two modes are possible. For the first mode, $\omega_1 = \gamma H_0$ (Figure 3.17), $m_y = -\mathrm{i} m_x$, and $m_z = 0$. For the second mode, $\omega_2 = 0$ and $m_x = m_y = 0$. The appearance of the mode with zero frequency (a *soft* mode) could be expected because the ground state breaks the cylindrical symmetry of the energy.

Equations (3.56) and the frequency $\omega_1 = \gamma H_0$ coincide with the corresponding expressions for a *ferromagnet* (Section 1.3). Thus, in the noncollinear state, as in the antiparallel state, there exists a 'ferromagnetic' mode. The *character of precession* of the sublattice-magnetization vectors M_1 and M_2 can be found with the use of the initial equations for the components of m_1 and m_2 . For the second (soft) mode, an infinitely slow precession of both vectors M_1 and M_2 around the z-axis takes place. For the first mode, the tips of these vectors move along the ellipses, but the projections of the ellipses on the xy plane are circles, as well as the trajectory of the tip of the total magnetization vector M. One can assure that, for the first mode, the angle between the vectors M_1 and M_2 does not change in the precession; and that is why the frequency of this mode is independent of the exchange constant.

One of the modes in the third (parallel) ground state (Table 3.3) coincides with the oscillation mode for a ferromagnet with the steady magnetization $M_{10} + M_{20}$.

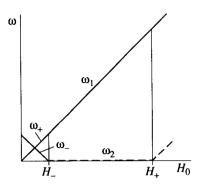


FIGURE 3.17

Eigenfrequencies of a two-sublattice ferrimagnet with $\gamma_1 = \gamma_2$. Dashed lines correspond to modes with m = 0.

3.3.4 Damped and forced oscillations

Let us limit ourselves to the oscillations in the *antiparallel* state. Then, to consider the influence of losses, we have to set equal to zero the determinant of system (3.50) with $\alpha_{1,2} \neq 0$. Assume α_1 and α_2 to be less than or of the order of unity. Assume also that the steady magnetic field is sufficiently low and we are far from the compensation points, so that the conditions $H_0 \ll H_-$ and (for the first mode) $\omega \ll \gamma_{1,2}H_-$ are satisfied. Then, for the first mode, we find

$$\omega' = \frac{\gamma_{\rm ef} H_0}{1 + \alpha_{\rm ef}^2} \tag{3.57}$$

$$\omega'' = \alpha_{\rm ef} \omega' \tag{3.58}$$

where $\gamma_{\rm ef}$ is determined by (3.52) and

$$\alpha_{\rm ef} = \frac{\alpha_1 M_{10}/\gamma_1 + \alpha_2 M_{20}/\gamma_2}{M_{10}/\gamma_1 - M_{20}/\gamma_2}.$$
 (3.59)

According to (3.61), α_{ef} tends to infinity at approaching the *mechanical* compensation point.

The damping of the mode with frequency ω_{-} can be treated in the same manner. Assuming $\alpha_{1,2} \ll 1$ and, as before, $H_0 \ll H_{-}$, we get an expression analogous to (3.58) but with a somewhat different effective dissipation parameter.

Consider now the *forced* oscillations, again in the antiparallel ground state. Solving system (3.50) with the *upper* signs in the presence of an ac field h_+ , we find $m_+ = m_{1+} + m_{2+} = \chi_+ h_+$, where, with the same assumptions as before $(H_0 \ll H_- \text{ and } \alpha_{1,2} \lesssim 1)$, we get

$$\chi_{+} = \frac{\gamma_{\rm ef} (M_{10} - M_{20})}{\omega_{+} - \omega + i\alpha_{\rm ef}\omega}.$$
 (3.60)

This result is of great importance: (3.60) coincides with the expression for the

circular susceptibility of a ferromagnet [which is obtained from (1.49) with the substitution (1.68)] if we replace M_0 by $(M_{10}-M_{20})$ and substitute $\gamma_{\rm ef}$ and $\alpha_{\rm ef}$ for γ and α .

It follows from (3.60), with regard for (3.52) and (3.59), that

$$\Delta H = 2\omega \frac{\alpha_1 M_{10}/\gamma_1 + \alpha_2 M_{20}/\gamma_2}{M_{10} - M_{20}}.$$
 (3.61)

The linewidth (3.61) increases with the approach to the *magnetic* compensation point. It should be noted that this increase, as well as the increase of $\Delta\omega=2\alpha_{\rm ef}\omega$ at approach to the mechanical compensation point, is a phenomenological effect not related to any new relaxation mechanism.

If γ_1 and γ_2 differ only slightly from each other, so that the magnetic and the mechanical compensation points are very near to each other, then both ΔH and $\Delta \omega$ increase in the field or frequency range in which the compensation points lie (Figure 3.16). But if there is a great difference between γ_1 and γ_2 , then large $\gamma_{\rm ef}$ values can be observed at a mechanical compensation point where the ΔH value is small. This effect was observed [250] in the garnet Eu₃Fe_{5-x}Ga_xO₁₂.

Solving system (3.50) with *lower* signs at $H_0 \ll H_-$ and $\alpha_{1,2} \ll 1$, we can find the susceptibility χ_- to be proportional to $(\gamma_1 - \gamma_2)^2$. The difference between γ_1 and γ_2 is usually small. Therefore, the ferrimagnetic resonance for the exchange mode, with frequency ω_- (which lies in the intrared range), is a weak effect. However, it was observed in rare-earth garnets [360].

An arbitrary transverse ac field is the sum of fields with right-hand and left-hand circular polarizations. Each of them excites ac magnetization with circular polarization and the corresponding direction of rotation. As the eigenfrequencies ω_+ and ω_- differ strongly from each other (except in the small regions near the compensation points or near $H_0=H_-/2$), the magnetizations m_+ and m_- , as a rule, are not exited simultaneously.

We have not yet taken into account the demagnetizing fields. So, the considered quantities χ_+ and χ_- are components of the susceptibility $\overset{\rightarrow}{\chi}$ of a substance (a ferrimagnet). The transition from $\overset{\rightarrow}{\chi}$ to the susceptibility $\overset{\rightarrow}{\chi}^e$ of a small ellipsoidal sample is a magnetostatic problem, independent of the properties of the substance. Therefore, all expressions for the eigenfrequencies and $\overset{\rightarrow}{\chi}^e$ components, obtained in Section 1.5, will be valid for a ferrimagnet with the effective parameters.

The above-considered theory of magnetic oscillations in ferrimagnets is valid, furthermore, only at T=0, if we regard the parameters $M_{j\,0}$, γ_{j} , and α_{j} as given quantities, which are independent of temperature. But if we take into account the temperature dependence of all parameters, the theory will be valid at T>0, too. The lengths of the sublattice steady magnetization vectors $M_{j\,0}$, and, hence, the length of the total magnetization vector M_{0} , depend now on temperature and must be found, together with the directions of these vectors, as mentioned in Section 3.1. For the 'ferromagnetic' mode in low fields and far from compensation points, the only parameters for which the temperature dependence is, practically, to be taken into account are: the total magnetization M_{0} , the effective dissipation parameter

 $\alpha_{\rm ef}$, and also the effective anisotropy constant (see below).

To take into account the magnetocrystalline anisotropy in ferrimagnets we have to add the energy terms that describe the anisotropy to the energy (3.46). It is often assumed, as in the case of antiferromagnets, that the energy of anisotropy is the sum of anisotropy energies of sublattices.

In the most important case of the 'ferromagnetic' mode, at $H_0 \ll H_-$ and far from compensation points, we obtain for the eigenfrequencies and χ components the same expressions as for ferromagnets, but the anisotropy constants in these expressions are the effective constants. If the additivity of sublattice anisotropy energies takes place, the effective anisotropy constants are, simply, the sums of the corresponding sublattice constants. The effective anisotropy fields are obtained by dividing the effective anisotropy constants by the total magnetization $M_{10} - M_{20}$. These fields increase with the approach to the magnetic compensation points (Figure 3.16).

Far enough from compensation points (or if there are no such points in the considered ferrimagnet), the influence of the magnetocrystalline anisotropy is, in common with ferromagnets, a first-order effect, the oscillations in an isotropic medium being the zero approximation. The angular dependence of the resonance frequencies or fields are then the same as for ferromagnets. That is why the experimental data obtained in ferrimagnets RbNiF₃ and Y₃Fe₅O₁₂ have been used in Section 2.3 (Figures 2.5 and 2.8) to illustrate the angular dependence of the ferromagnetic resonance fields. But near the compensation points the magnetocrystalline anisotropy, as it has been already mentioned, should be taken into account in the zero approximation, no matter how small the anisotropy is.

The equivalence of a ferrimagnet—under certain conditions—to a ferromagnet holds for an *arbitrary* number of sublattices. The sublattice steady magnetizations may even be noncollinear, but the total magnetization $M_0 = |\sum M_{j\,0}|$ should be large. Turov has shown [410] that in this case there always exists a 'ferromagnetic' mode, for which, in a sufficiently weak steady magnetic field, the 'bunch' of the sublattice-magnetization vectors precesses, as a whole, around the M_0 direction.

Ferrimagnets are the only magnetic materials widely used presently at *microwave* frequencies. Therefore, it is very important that, under the abovementioned conditions (which are usually satisfied in practice), ferrimagnets are equivalent to ferromagnets. We can 'forget' that they are ferrimagnets and apply all the results of the comparatively simple ferromagnetic-resonance theory.

However, the following peculiarities of magnetic oscillations in ferrimagnets are to be kept in mind:

- 1. In addition to the 'ferromagnetic' mode, there exist (N-1) exchange modes (N) is the number of sublattices), the frequencies of which, in weak steady magnetic fields, lie usually in the infrared range.
- 2. In strong steady magnetic fields, on the order of ΛM_0 , the frequencies of the 'ferromagnetic' and the exchange modes become comparable and both depend on the exchange constants.

- 3. In the vicinity of *compensation points*, the frequencies of the ferromagnetic and the exchange modes draw near and *depend on the exchange constants* even in weak steady fields; magnetocrystalline anisotropy influences here essentially the frequencies and the character of oscillations.
- 4. In the range of steady magnetic fields from $H_{-} = \Lambda(M_{10} M_{20})$ to $H_{+} = \Lambda(M_{10} + M_{20})$ (in the case of two sublattices) the ground state is noncollinear; a soft mode appears in this range in addition to a ferromagnetic mode.

Fundamentals of electrodynamics of gyrotropic media

4.1 Equations

In the preceding chapters we considered the behavior of ferromagnets, antiferromagnets, and ferrimagnets in *given* ac magnetic fields and obtained the tensor magnetic susceptibilities of these substances. However, the ac magnetic field cannot be, usually, regarded as given; it is to be found, together with the ac magnetization, by solving the corresponding electrodynamic problem. The simplest of such problems was already treated in Section 1.5. The magnetostatic approximation was applicable in that case, and a well-known solution of magnetostatic equations was used. We now pass to the general case when full Maxwell's equations, together with boundary conditions, are to be used.

The aim of the present chapter is to discuss the peculiarities of Maxwell's equations, as well as some methods of solving them and some general consequences of them, for the case of gyrotropic media. We define a gyrotropic medium as having nonsymmetric tensor parameters $\vec{\epsilon}$ and $\vec{\mu}$. In this and two following chapters the components of these tensors will be assumed to depend on ω , H_0 , and M_0 but not on the wave vector k. The dependence on k, i.e., the spatial dispersion, will be taken into account in Chapter 7.

4.1.1 General equations and boundary conditions

The fundamental equations of macroscopic electrodynamics, the *Maxwell equations* (e.g., [246, 191]) are

$$rot \mathbf{E} + \frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} = 0 \qquad \text{div } \mathbf{B} = 0
rot \mathbf{H} - \frac{1}{c} \frac{\partial \mathbf{D}}{\partial t} = \frac{4\pi}{c} \mathbf{J} \qquad \text{div } \mathbf{D} = 4\pi R. \tag{4.1}$$

Here E and D are the macroscopic electric-field and electric-displacement vectors, E and E are the macroscopic magnetic-field and magnetic-induction vectors, and E are the free electric-current and electric-charge densities. The quantities E and E are the space and time averages of the microscopic fields, respectively, electric and magnetic. The vectors E and E are defined as

$$D = E + 4\pi P \qquad H = B - 4\pi M \tag{4.2}$$

where P is the polarization, i.e., the electric-dipole-moment density, and M is the magnetization, which we dealt with in the previous chapters. The equation of charge conservation follows from (4.1):

$$\operatorname{div} \boldsymbol{J} + \frac{\partial R}{\partial t} = 0. \tag{4.3}$$

The boundary conditions, which hold for the field vectors in two adjacent media at their interface, follow also from the Maxwell equations (4.1) and can be written in the form

$$E_1 \times n_0 - E_2 \times n_0 = 0$$
 $H_1 \times n_0 - H_2 \times n_0 = \frac{4\pi}{c}I$ $D_1 n_0 - D_2 n_0 = 4\pi\eta$ $B_1 n_0 - B_2 n_0 = 0$ (4.4)

where n_0 is a unit normal to the interface directed from the first medium into the second; η and I are the surface densities, respectively, of the electric charge and of the current. For real media, η and I are equal to zero, but they are introduced for an interface of dielectric and metal. Now, the following conditions are satisfied, to a first approximation, by the fields in dielectric

$$E_1 \times n_0 = 0$$
 $D_1 n_0 = 4\pi \eta$ $H_1 \times n_0 = \frac{4\pi}{c} I$ $B_1 n_0 = 0$. (4.5)

To obtain a complete systems of equations the material equations are to be added to Maxwell's equations (4.1). The material equations reflect the properties of the considered substances and can be found from theories that describe these substances or from experiment. As the charge density is related to the current density by equation (4.3), it is sufficient to take into account the material equations that express the dependence of D, B, and J on E and H. It should be noted that in some cases, e.g., for metals (Section 14.2), it is impossible to consider separately the problems of obtaining the material equations and of integrating the Maxwell equations. But for weakly conducting ferro- or ferrimagnets magnetized to saturation, these problems can be separated. The Landau-Lifshitz equation of motion, as well as the expressions for the tensor $\overrightarrow{\chi}$ components, which are obtained by solving this equation, can be regarded as material equations for such substances.

The magnetic field in our case is a sum of the steady and ac fields. Suppose all the quantities in (4.1), including electric field, to be such sums. Assume that the ac components h_{\sim} , e_{\sim} , b_{\sim} , d_{\sim} , ρ_{\sim} , and j_{\sim} are small as compared with the

steady components, respectively, H_0 , E_0 , B_0 , D_0 , R_0 , and J_0 . Then, if the given fields and currents vary harmonically with time, all ac quantities have such time dependence, and we can use the method of complex amplitudes (Section 1.3).

Substituting the sums of steady and ac components of all quantities into (4.1), we obtain two independent systems of equations: for steady components and, according to the method of complex amplitudes, for the complex amplitudes of ac components. The first system, in its turn, divides into two independent systems: the electrostatic and the *magnetostatic*, which has the form

$$\operatorname{rot} \boldsymbol{H}_0 = \frac{4\pi}{c} \boldsymbol{J}_0 \qquad \operatorname{div} \boldsymbol{B}_0 = 0. \tag{4.6}$$

The system for the complex amplitudes of the ac quantities¹ is

$$rote + ik_0b = 0 (4.7)$$

$$roth - ik_0 d = \frac{4\pi}{c} j \tag{4.8}$$

$$div b = 0 (4.9)$$

$$\operatorname{div} \boldsymbol{d} = 4\pi\rho \tag{4.10}$$

where $k_0 = \omega/c$. The boundary conditions for the ac components are of the same form as (4.4) or (4.5).

Consider now the material equations for ac components. Neglecting the small 'mixed' terms, assume that d depends only on e, and b depends only on h. Then, for small amplitudes (in linear approximation)

$$\mathbf{d} = \stackrel{\leftrightarrow}{\varepsilon}_{\mathbf{d}} \mathbf{e} \tag{4.11}$$

$$\boldsymbol{b} = \overset{\leftrightarrow}{\mu} \boldsymbol{h} \tag{4.12}$$

where $\vec{\epsilon}_d$ is the *dielectric constant* (which can depend, nevertheless, on frequency, temperature, etc.) and $\vec{\mu}$ is the *permeability*, which was considered in detail in the preceding chapters. The current density can be written as

$$j = \stackrel{\leftrightarrow}{\sigma} e + j_{\text{ext}} \tag{4.13}$$

where $\vec{\sigma}$ is the high-frequency conductivity ($j = \vec{\sigma}e$ is the Ohm law). The quantity j_{ext} is the density of an *external* current, which is excited by the fields not taken into account explicitly in the considered problem.

Using (4.11)–(4.13), we can exclude d, b, and j from Maxwell's equations (4.7)–(4.10) and obtain

$$rote + ik_0 \overset{\leftrightarrow}{\mu} h = 0 \tag{4.14}$$

$$roth - ik_0 \stackrel{\leftrightarrow}{\varepsilon} e = \frac{4\pi}{c} j_{\text{ext}} \tag{4.15}$$

¹Later on we will omit, as in the preceding chapters, the words 'complex amplitudes'.

$$\operatorname{div}\left(\stackrel{\leftarrow}{\mu}\boldsymbol{h}\right)=0\tag{4.16}$$

$$\operatorname{div}\left(\stackrel{\leftrightarrow}{\varepsilon}\boldsymbol{e}\right) = 4\pi\rho_{\mathrm{ext}} \tag{4.17}$$

where $\rho_{\rm ext} = (i/\omega) {\rm div} j_{\rm ext}$ and

$$\stackrel{\leftrightarrow}{\varepsilon} = \stackrel{\leftrightarrow}{\varepsilon}_{\mathbf{d}} - i \frac{4\pi}{\omega} \stackrel{\leftrightarrow}{\sigma}. \tag{4.18}$$

The tensor $\stackrel{\leftrightarrow}{\varepsilon}$, as distinct from $\stackrel{\leftrightarrow}{\varepsilon}_d$, will be called *permittivity*. We can exclude e or h from (4.14) and (4.16) to obtain a second-order differential equation for each of these vectors.

Let us introduce designations for the tensor $\overrightarrow{\mu}$ components. (Analogous designations can be introduced for the components of the tensor $\overrightarrow{\varepsilon}$.) Represent $\overrightarrow{\mu}$ as a sum of a symmetric $\overrightarrow{\mu}_s$ and an antisymmetric $\overrightarrow{\mu}_{as}$ tensors. The components of the former are

$$(\mu_s)_{pq} = (\mu_s)_{qp} \equiv \mu_{pq} = \mu'_{pq} - i\mu''_{pq}$$
 $p, q = 1, 2, 3 \equiv x, y, z$ (4.19)

and the components of the latter are

$$(\mu_{as})_{pq} = -(\mu_{as})_{qp} \equiv i\mu_{apq} = i(\mu'_{apq} - i\mu''_{apq}) \qquad p \neq q$$
 (4.20)

where all the quantities μ'_{pq} , μ''_{pq} , μ'_{apq} , and μ''_{apq} are real. Three tensor $\overrightarrow{\mu}_{as}$ components μ_{a12} , μ_{a23} , and μ_{a31} can be regarded as components of the vector $4\pi G_{m}$, where G_{m} is the magnetic gyration vector (1.44). Then,

$$\mathbf{b} = \overset{\leftrightarrow}{\mu}_{s} \mathbf{h} + \mathrm{i} 4\pi \mathbf{h} \times \mathbf{G}_{m}. \tag{4.21}$$

The tensor $\overrightarrow{\mu}$ can be also represented as a sum of a Hermitian $(\overrightarrow{\mu}_H)$ and an anti-Hermitian $(\overrightarrow{\mu}_{aH})$ tensor with components

$$(\mu_{\rm H})_{pq} = \mu'_{pq} + i\mu'_{a\ pq} \qquad (\mu_{\rm aH})_{pq} = \mu''_{a\ pq} - i\mu''_{pq}.$$
 (4.22)

4.1.2 Equations for bigyrotropic media

Consider a medium which is isotropic in the absence of steady magnetization. The only preferred direction is then the direction of M_0 , and the parameters of such a medium have the form

$$\overrightarrow{\mu} = \begin{vmatrix}
\mu & i\mu_a & 0 \\
-i\mu_a & \mu & 0 \\
0 & 0 & \mu_{\parallel}
\end{vmatrix} \qquad \overrightarrow{\varepsilon} = \begin{vmatrix}
\varepsilon & i\varepsilon_a & 0 \\
-i\varepsilon_a & \varepsilon & 0 \\
0 & 0 & \varepsilon_{\parallel}
\end{vmatrix}$$
(4.23)

(the third axis is directed along M_0). For polycrystalline ferrites in microwave range, ε can be regarded as a scalar. In visible light and at higher frequencies, μ differs only slightly from unity. Nevertheless, we will deal with the medium the tensor parameters of which have *simultaneously* the form (4.23). The main reason

for considering such a medium (we call it bigyrotropic) is that the antisymmetric components of $\vec{\epsilon}$ and of $\vec{\mu}$ can be of the same order for ferrites in the infrared range and for some ferromagnetic semiconductors, even at microwave frequencies.

Let us consider the peculiarities of the electrodynamic equations for the medium with parameters (4.23) in the case of $j_{\text{ext}} = 0$. Excluding the transverse (with respect to M_0) h and e components from the projections of Maxwell's equations, we get the following equations for the longitudinal components:

$$\left(\nabla_{\perp}^{2} + \frac{\varepsilon_{\parallel}}{\varepsilon} \frac{\partial^{2}}{\partial z^{2}} + k_{0}^{2} \varepsilon_{\parallel} \mu_{\perp}\right) e_{z} + k_{0} \mu_{\parallel} \left(\frac{\varepsilon_{a}}{\varepsilon} + \frac{\mu_{a}}{\mu}\right) \frac{\partial}{\partial z} h_{z} = 0$$

$$\left(\nabla_{\perp}^{2} + \frac{\mu_{\parallel}}{\mu} \frac{\partial^{2}}{\partial z^{2}} + k_{0}^{2} \varepsilon_{\perp} \mu_{\parallel}\right) h_{z} - k_{0} \varepsilon_{\parallel} \left(\frac{\varepsilon_{a}}{\varepsilon} + \frac{\mu_{a}}{\mu}\right) \frac{\partial}{\partial z} e_{z} = 0$$
(4.24)

where

$$\varepsilon_{\perp} = \varepsilon - \frac{\varepsilon_a^2}{\varepsilon} \qquad \mu_{\perp} = \mu - \frac{\mu_a^2}{\mu}.$$
 (4.25)

Here ∇_{\perp} is the Hamilton nabla operator in the xy plane:

$$abla_{\perp} = x_0 \frac{\partial}{\partial x} + y_0 \frac{\partial}{\partial y} \qquad
abla_{\perp}^2 = \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2}.$$

It follows from (4.24) that the TE field (with $e_z=0$ and $h_z\neq 0$) and the TM field (with $h_z=0$ and $e_z\neq 0$) cannot exist in a gyrotropic medium except if $\partial/\partial z=0$. As to the TEM field, its existence is not forbidden by equations (4.24).

It is possible to exclude e_z or h_z from equations (4.24) and get the differential equation $\mathcal{L}(h_z) = 0$ or $\mathcal{L}(e_z) = 0$, where \mathcal{L} is a certain fourth-order linear operator [109] (see also [153]). The same equations hold for transverse components of e and h. The scalar potential function ψ can be introduced [109], which also satisfies the equation $\mathcal{L}(\psi) = 0$; all e and h components can be obtained from this function by differential operations. However, the fourth-order differential equation for the function ψ can be integrated analytically only in a limited number of problems.²

The situation becomes simpler in the particular, but important, case when the dependence of the ψ function on the coordinate z (in the direction of steady magnetization) is harmonic. It means that $\psi = Z(z)\psi_{\perp}(x,y)$, where Z(z) has the form of $\exp(\pm ik_z z)$, $\cos(k_z z)$, $\sin(k_z z)$ or is a linear combination of these functions. This case includes also the absence of the dependence on z, i.e., $k_z = 0$. If the z dependence is harmonic, so that $\partial^2/\partial z^2 = -k_z^2$, then $\mathcal L$ is a product of two second-order operators:

$$\mathcal{L} = (\nabla_{\perp}^2 + \kappa_1^2)(\nabla_{\perp}^2 + \kappa_2^2). \tag{4.26}$$

²For a detailed discussion of this subject see [153].

The quantities $\kappa_{1,2}^2$ are the roots of the quadratic equation

$$\kappa^4 - p\kappa^2 + q = 0 \tag{4.27}$$

where

$$p = (\varepsilon_{\parallel}\mu_{\perp} + \varepsilon_{\perp}\mu_{\parallel})k_{0}^{2} - \left(\frac{\varepsilon_{\parallel}}{\varepsilon} + \frac{\mu_{\parallel}}{\mu}\right)k_{z}^{2}$$

$$q = \varepsilon_{\parallel}\mu_{\parallel}\varepsilon_{\perp}\mu_{\perp}k_{0}^{4} + \frac{\varepsilon_{\parallel}\mu_{\parallel}}{\varepsilon\mu}k_{z}^{4} - 2\varepsilon_{\parallel}\mu_{\parallel}\left(1 + \frac{\varepsilon_{a}\mu_{a}}{\varepsilon\mu}\right)k_{0}^{2}k_{z}^{2}. \tag{4.28}$$

Thus, in the case of harmonic z dependence there are two modes; the ψ_{\perp} function for them satisfies the Helmholtz equation

$$\nabla_{\perp}^2 \psi_{\perp} + \kappa_{1,2}^2 \psi_{\perp} = 0. \tag{4.29}$$

The eigenvalues κ_1 and κ_2 determine the dependence of the fields on the transverse coordinates. After these values and the functions ψ_1 and ψ_2 are found—by solving (4.29) with corresponding boundary conditions—the e and h components can be rather easily calculated. Such a technique of solving the boundary electrodynamic problems for media with parameters (4.23) in the case of harmonic z dependence (or for fields independent on z) is considered in [153]; some examples will be given in Chapter 5.

4.2 Uniform plane waves

We consider first of all the propagation of a uniform plane wave in an unbounded medium with parameters (4.23). The wave is called *uniform* when the fields depend only on one coordinate, in the direction of propagation. For a uniform *plane* wave this coordinate is Cartesian, i.e., the wave front is a plane.

4.2.1 General relations

The complex amplitudes of the field vectors for a uniform plane wave can be written in the form

$$e = e_0 \exp(-ikr) \qquad h = h_0 \exp(-ikr) \tag{4.30}$$

where e_0 and h_0 are constant complex vectors and k is the wave vector. Substituting (4.30) into (4.14) and (4.16) with $j_{\text{ext}} = 0$, we get

$$\mathbf{k} \times \mathbf{e} - k_0 \overset{\rightarrow}{\mu} \mathbf{h} = 0 \qquad \mathbf{k} \times \mathbf{h} + k_0 \overset{\rightarrow}{\varepsilon} \mathbf{e} = 0$$
 (4.31)

where $k_0 = \omega/c$.

Projecting (4.31) onto the coordinate axes and setting equal to zero the determinant of the obtained system, we could find the dispersion relation, i.e., the dependence of ω on the length and direction of the wave vector k. This relation can be found, however, in a simpler manner if we use the technique considered in the preceding section. The quantities that appeared there now have the following sense: $\kappa = k \sin \theta_k$ and $k_z = k \cos \theta_k$, where θ_k is the angle between k and the direction of the steady magnetizatiom M_0 . Substituting these quantities into (4.27) and (4.28), we obtain directly the dispersion relation

$$\frac{k^4}{k_0^4} \left(\frac{\sin^2 \theta_k}{\varepsilon_{\parallel}} + \frac{\cos^2 \theta_k}{\varepsilon} \right) \left(\frac{\sin^2 \theta_k}{\mu_{\parallel}} + \frac{\cos^2 \theta_k}{\mu} \right) - \frac{k^2}{k_0^2} \left[\left(\frac{\varepsilon_{\perp}}{\varepsilon_{\parallel}} + \frac{\mu_{\perp}}{\mu_{\parallel}} \right) \sin^2 \theta_k \right]
+ 2 \left(1 + \frac{\varepsilon_a \mu_a}{\varepsilon \mu} \right) \cos^2 \theta_k + \varepsilon_{\perp} \mu_{\perp} = 0$$
(4.32)

where ε_{\perp} and μ_{\perp} are determined by (4.25). Two roots of this equation correspond to the two normal waves that propagate at the angle θ_k to M_0 .

It should be noted that the roots of (4.32), in general, *cannot* be written in the form

$$k^2 = k_0^2 \varepsilon_{\text{ef}} \mu_{\text{ef}} \tag{4.33}$$

where $\varepsilon_{\rm ef}$ would depend only on components of $\stackrel{\hookrightarrow}{\varepsilon}$ and $\mu_{\rm ef}$ would depend only on components of $\stackrel{\hookrightarrow}{\mu}$. But (4.33) holds if either ε or μ is a scalar. In particular, for scalar ε , it follows from (4.32) that

$$k^{2} = k_{0}^{2} \varepsilon \mu_{\text{ef}}(\theta_{k})$$

$$= k_{0}^{2} \varepsilon \frac{2 + \left(\frac{\mu_{\perp}}{\mu_{\parallel}} - 1\right) \sin^{2}\theta_{k} \pm \sqrt{\left(\frac{\mu_{\perp}}{\mu_{\parallel}} - 1\right)^{2} \sin^{4}\theta_{k} + 4\frac{\mu_{k}^{2}}{\mu^{2}} \cos^{2}\theta_{k}}}{2\left(\frac{\sin^{2}\theta_{k}}{\mu_{\parallel}} + \frac{\cos^{2}\theta_{k}}{\mu}\right)}. (4.34)$$

If (4.33) is valid, it is easy to make sure that

$$k' = \frac{1}{\sqrt{2}} k_0 \left(|\varepsilon_{\text{ef}}| |\mu_{\text{ef}}| + \varepsilon_{\text{ef}}' \mu_{\text{ef}}' - \varepsilon_{\text{ef}}'' \mu_{\text{ef}}'' \right)^{1/2}$$

$$k'' = \frac{1}{\sqrt{2}} k_0 \left(|\varepsilon_{\text{ef}}| |\mu_{\text{ef}}| - \varepsilon_{\text{ef}}' \mu_{\text{ef}}' + \varepsilon_{\text{ef}}'' \mu_{\text{ef}}'' \right)^{1/2}$$
(4.35)

where it has been assumed that $\varepsilon_{\rm ef} = \varepsilon'_{\rm ef} - {\rm i} \varepsilon''_{\rm ef}$, $\mu_{\rm ef} = \mu'_{\rm ef} - {\rm i} \mu''_{\rm ef}$, and $k = k' - {\rm i} k''$. Consider two limiting cases of a medium with scalar ε . In the first case, of an ideal dielectric, $\varepsilon'' = 0$, $\varepsilon' = \varepsilon$, and from (4.35), it follows that

$$k' = \frac{1}{\sqrt{2}} k_0 \sqrt{\varepsilon} \left(|\mu_{\text{ef}}| + \mu'_{\text{ef}} \right)^{1/2} \tag{4.36}$$

$$k'' = \frac{1}{\sqrt{2}} k_0 \sqrt{\varepsilon} \left(|\mu_{\text{ef}}| - \mu'_{\text{ef}} \right)^{1/2}. \tag{4.37}$$

In the second case, of a well-conducting *metal*, we may assume, to the first approximation, that $\varepsilon'=0$ and, according to (4.18), $\varepsilon''=4\pi\sigma/\omega$. Then, it follows from (4.35) that

$$k' = k_0 \sqrt{\frac{2\pi\sigma}{\omega}} \left(|\mu_{\rm ef}| - \mu_{\rm ef}'' \right)^{1/2} \equiv \frac{1}{\delta_1} \sqrt{\mu_{\rm ef} L}$$
 (4.38)

$$k'' = k_0 \sqrt{\frac{2\pi\sigma}{\omega}} \left(|\mu_{\text{ef}}| + \mu_{\text{ef}}'' \right)^{1/2} \equiv \frac{1}{\delta_1} \sqrt{\mu_{\text{ef }R}}.$$
 (4.39)

Here

$$\delta_1 = \frac{1}{k_0} \sqrt{\frac{\omega}{2\pi\sigma}} = \frac{c}{\sqrt{2\pi\sigma\omega}} \tag{4.40}$$

is the skin depth, i.e., the penetration length of an electromagnetic field into metal. Note that if the magnetic losses are taken into account $(\mu''_{ef} \neq 0)$, then $k' \neq k''$.

If the value of ε'' is finite but small $(\varepsilon'' \ll \varepsilon')$, we get, taking for simplicity $\mu''_{\rm ef} = 0$, that $k' \cong k_0 \sqrt{\varepsilon' \mu'_{\rm ef}}$ and

$$k'' = \frac{1}{2}k_0\varepsilon''\sqrt{\frac{\mu'_{\rm ef}}{\varepsilon'}} \equiv \frac{2\pi\sigma}{c}\sqrt{\frac{\mu'_{\rm ef}}{\varepsilon'}}.$$
 (4.41)

4.2.2 Longitudinal magnetization

In the case of so-called longitudinal magnetization, i.e., of wave propagation in the direction of steady magnetization ($\theta_k = 0$) the roots of (4.32) are

$$k_{\pm} = k_0 \sqrt{(\varepsilon \pm \varepsilon_a)(\mu \pm \mu_a)}. \tag{4.42}$$

Upper and lower signs in (4.42) correspond to two waves. To find the field structure for these waves we project equations (4.31) for $\theta_k = 0$ onto the coordinate axes and, according to the symmetry of the problem, pass to the circular components (Section 1.3) of e and h. Then, we get

$$ke_{\pm} \pm ik_0(\mu \pm \mu_a)h_{\pm} = 0$$
$$-kh_{\pm} \pm ik_0(\varepsilon \pm \varepsilon_a)e_{\pm} = 0$$
(4.43)

and $e_z = h_z = 0$. It is easy to make sure that upper and lower signs in (4.43) correspond to the same signs in (4.42). It can be shown, in the same manner as in Sections 1.3 and 3.2, that the transverse fields e and h have the right-hand circular polarization for the plus signs in (4.42) and (4.43), and have the left-hand circular polarization for the minus signs.

Substituting (4.42) into (4.43), we find

$$\frac{e_{\pm}}{h_{\pm}} = \mp i \sqrt{\frac{\mu \pm \mu_a}{\varepsilon \pm \varepsilon_a}} \equiv \mp i \zeta_{\pm}. \tag{4.44}$$

The quantity ζ_{\pm} can be called *wave impedance* of the medium for waves with the right-hand and left-hand circular polarizations propagating in the direction of steady magnetization. It is easy to make sure that for both waves

$$\zeta = \frac{e_x}{h_y} = -\frac{e_y}{h_x}. (4.45)$$

It is clear that all relations, obtained above for $\theta_k=0$, are valid for $\theta_k=\pi$, too, if the sense of polarization rotation (right-hand or left-hand) is determined in both cases with respect to M_0 . It follows from (4.42) and (4.44) that for $\theta_k=0,\pi$, i.e., in the case of longitudinal magnetization, it is possible to introduce the effective parameters $\varepsilon_{\rm ef\pm}=\varepsilon\pm\varepsilon_a$ and $\mu_{\rm ef\pm}=\mu\pm\mu_a$.

The constancy of the field structure in the course of propagation is the definition of a normal wave. The waves with right-hand and left-hand circular polarization are the normal waves in the considered case of the longitudinal magnetization. Any other wave can be represented as a sum of normal waves. The difference between their propagation constants k_z leads to the variation of the field structure (polarization) of the total wave in the course of propagation.

Suppose, e.g., that at z = 0 there is a linearly polarized wave with the electric field $e(0) = e_0x_0$. It can be represented as a sum of the right-hand and the left-hand circularly polarized waves:

$$e(0) = \frac{e_0}{2}(x_0 - iy_0) + \frac{e_0}{2}(x_0 + iy_0).$$
 (4.46)

At z = l the wave transforms into

$$e(l) = \frac{e_0}{2}(x_0 - iy_0) \exp[-i(k'_+ - ik''_+)l] + \frac{e_0}{2}(x_0 + iy_0) \exp[-i(k'_- - ik''_-)l].$$
(4.47)

It can be shown that (4.47) corresponds to an elliptically polarized wave and that the major axis of the polarization ellipse makes the angle

$$\vartheta = \frac{1}{2} \left(k'_{+} - k'_{-} \right) l \tag{4.48}$$

with the direction of the linear polarization at z=0. The ellipticity of the wave can be defined analogously to (1.101). At z=0 the ellipticity $\mathcal{E}=1$, and at z=l

$$\mathcal{E} = \cosh^{-2}\left(\frac{1}{2}|k''_{+} - k''_{-}|l\right). \tag{4.49}$$

If there are no losses $(k''_+ = k''_- = 0)$, the polarization at z = l remains linear $(\mathcal{E} = 1)$.

Formula (4.48) is valid for an arbitrary elliptical polarization of the wave at z=0, as well. In this case, ϑ is the angle of the turn of the major axis of polarization ellipse on the way from z=0 to z=l.

The turn of polarization in a longitudinally magnetized medium is the Fara-

day effect.³ It follows from (4.48) and (4.42) that this effect is caused by the antisymmetric components of $\vec{\epsilon}$ and $\vec{\mu}$. As the signs of these components are determined by the direction of the steady magnetization M_0 and are independent of the direction of the wave propagation, the sign of the angle ϑ remains the same when the wave propagates in opposite direction. This is an important feature of the Faraday effect. For instance, the angle ϑ is doubled when a wave traverses the distance l in the forward and reverse directions.

Let us consider now in more detail the propagation of electromagnetic waves in longitudinally magnetized weakly conducting *ferromagnet*, or ferrimagnet (ferrite). Two cases are of the most interest: one is realized at microwave frequencies, another, in optics. In the first case, ε can be regarded as a scalar independent of frequency, and the expressions obtained in the previous chapters can be used for μ components. Suppose that the ferromagnet (or ferrimagnet) is isotropic and is magnetized to saturation and, at first, do not take losses into account. Then expression (1.58) for $\mu \pm \mu_a$ can be used. Substituting it into (4.42), we get the dispersion relation

$$(\omega_H \mp \omega) \left(\frac{k^2}{k_0^2 \varepsilon} - 1\right) - \omega_M = 0 \tag{4.50}$$

where ω_H and ω_M are determined by (1.40) and (1.55), and the upper and lower signs correspond to the waves with right-hand and left-hand rotation of the polarization, respectively. The ω vs k curves for these waves are plotted in Figure 4.1. For the wave with left-hand rotation (it can be called ordinary), the $\omega(k)$ dependence differs but slightly from such that would take place if μ was a scalar independent of frequency. For the wave with right-hand rotation (extraordinary wave) the $\omega(k)$ dependence contains two branches. At one of them ω infinitely increases with growing k, approaching the straight line $\omega = c\sqrt{\varepsilon}k$. At the second branch, ω tends to the constant value ω_H with growing k. The phase velocity $v_{\rm ph} = \omega/k$ and the group velocity $v_{\rm gr} = \partial \omega/\partial k$ become very small at this branch at large k values.

One can see from Figure 4.1 that (without allowing for losses) the wave with right-hand rotation does not exist in the frequency range $\omega_H < \omega < \omega_H + \omega_M$, in which the effective permeability $\mu + \mu_a$ is negative (Figure 1.6). If losses are taken into account, the extraordinary wave does exist in this range, but the damping of it is very strong (k'' > k').

In the other case, which is realized at *optical* frequencies, $\omega \gg \omega_H, \omega_M$. From (1.69) we get then to the first approximation, assuming $\alpha \ll 1$,

$$\mu' \cong 1$$
 $\mu'_a \cong -\frac{\omega_M}{\omega}$ $\mu'' \cong \alpha \frac{\omega_M}{\omega}$ $\mu''_a \cong 0.$ (4.51)

Now we may not regard ε as a scalar, ε_a' can be even larger than μ_a' . But we can take $\varepsilon_a' \ll \varepsilon'$ and $\varepsilon'' \ll \varepsilon'$. Then, from (4.48) and (4.42) with regard for (4.51) it

³We use the term 'turn of polarization' instead of the traditional term 'rotation of the plane of polarization'.

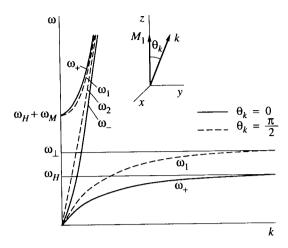


FIGURE 4.1Dispersion relations for electromagnetic waves in an unbounded nonconducting ferromagnet magnetized to saturation (without allowing for losses).

follows that

$$\vartheta = \frac{1}{2c} \left(-\omega_M \sqrt{\varepsilon'} + \varepsilon'_a \frac{\omega}{\sqrt{\varepsilon'}} \right) l. \tag{4.52}$$

The first term in (4.52) is the 'magnetic' (i.e., determined by μ_a') Faraday turn of polarization; in the considered approximation it is *independent* of frequency. Its value for usual ferrites ($\varepsilon' \sim 15$, $M_0 \sim 200\,\mathrm{G}$) is $\sim 40\,\mathrm{deg\,cm^{-1}}$. The second term is the 'electric' Faraday turn; it usually depends strongly on frequency because ε_a' changes rapidly with frequency near the absorption lines of the substance. It should be noted that, in some papers on the propagation of optical waves in ferromagnets, a statement can be found that all the observed effects can be described using only ε components, i.e., taking $\mu=1$. It follows from the content of this chapter that such a statement is absolutely groundless.

The problems of reflection from and passing through interfaces, normal to the direction of propagation, are easily solved in the case of longitudinal magnetization, as well as the problem of passage through a gyrotropic layer. Waves with circular polarization pass through and reflect from the interfaces of different media without change of the polarization, and the complex amplitudes of the reflected and transmitted waves are easily found from the boundary conditions. The incident wave with an arbitrary elliptical (e.g., a linear) polarization is resolved into two waves with circular polarization. Their transmission and reflection are considered, and then the complex amplitudes of transmitted and reflected waves with circular polarization are summed to get the total transmitted and total reflected waves. Two results of such calculations are to be mentioned. First, the magnetooptical

Kerr effect, i.e., the turn of the major axis of the polarization ellipse of the wave reflected from an interface of an isotropic and lossy gyrotropic media. The second interesting result is the oscillating dependence of the angle of the polarization turn on the thickness of the gyrotropic layer [140].

4.2.3 Transverse magnetization

In the case of $\theta_k = \pi/2$, the solutions of the dispersion equation (4.32) are

$$k_1 = k_0 \sqrt{\varepsilon_{\parallel} \mu_{\perp}} \tag{4.53}$$

$$k_2 = k_0 \sqrt{\varepsilon_\perp \mu_\parallel} \tag{4.54}$$

where ε_{\perp} and μ_{\perp} are determined by (4.25). The system of six equations, the projections of (4.31), splits now into two independent systems. One of them is (z-axis is the direction of steady magnetization and y-axis is the direction of propagation)

$$ke_z - k_0 \mu h_x - ik_0 \mu_a h_y = 0$$

$$-i\mu_a h_x + \mu h_y = 0$$

$$k_0 \varepsilon_{\parallel} e_z - k h_x = 0.$$
(4.55)

The second system is obtained from (4.55) by the substitutions $e \rightleftharpoons h$ and $\stackrel{\rightarrow}{\varepsilon} \rightleftharpoons -\stackrel{\rightarrow}{\mu}$. It is easy to show that (4.53) is the compatibility condition of the system (4.55), and (4.54) is the compatibility condition of the second system.

So, for the first normal wave, the nonzero field components are e_z , h_x , and h_y . According to the second equation of (4.55), $b_y = 0$ for this wave. Thus, the vectors e and e are transverse (with respect to the direction of propagation), linearly polarized, and perpendicular to each other. The vector e is elliptically polarized in the plane perpendicular to e0. For the second wave, with the dispersion relation (4.54), the nonzero components are e1, e2, and e3; the vectors e1 and e2 are now transverse and linearly polarized.

The wave impedance can be defined, in the case of transverse magnetization, as the ratio of transverse (with respect to the propagation direction) components of e and h. It follows from (4.55) and (4.53) that the wave impedance of the first normal wave is

$$\zeta_1 = \frac{e_z}{h_x} = \sqrt{\frac{\mu_\perp}{\varepsilon_\parallel}}. (4.56)$$

For the second normal wave,

$$\zeta_2 = \frac{e_x}{h_z} = \sqrt{\frac{\mu_{\parallel}}{\varepsilon_{\perp}}}. (4.57)$$

It is clear from the expressions for $k_{1,2}$ and $\zeta_{1,2}$ that the effective parameters for the first wave are μ_{\perp} and ε_{\parallel} , and for the second wave, μ_{\parallel} and ε_{\perp} .

If a wave with field structure (polarization), different from the field structure of a normal wave, propagates in a transversely magnetized medium, the polarization of this wave changes in the course of propagation. It should be emphasized that the transformation of polarization in this case has the same cause as the Cotton–Mouton effect [246]. In contrast to the Faraday effect, it does not depend on the direction of M_0 because μ_a and ε_a appear in (4.53) and (4.54) only in second powers. This transformation, also in contrast to Faraday effect, occurs in the opposite direction when the propagation direction is reversed.

Consider now the particular case of a transversely magnetized *ferrite* in the microwave range. In this case, ε can be regarded as a scalar independent of frequency. If the ferrite is magnetized to saturation, then $\mu_{\parallel}=1$ and $k_1=k_0\sqrt{\varepsilon\mu_{\perp}}$ while $k_2=k_0\sqrt{\varepsilon}$. The second (ordinary) wave propagates now as in a nonmagnetic dielectric. To obtain the *dispersion law* of the first (extraordinary) wave we substitute (1.60) (without allowance for losses) into the expression for k_1 . Then, we get

$$\left(\omega_{\perp}^2 - \omega^2\right) \left(\frac{k^2}{k_0^2 \varepsilon} - 1\right) - \omega_{\perp}^2 = 0. \tag{4.58}$$

The $\omega(k)$ dependence that follows from (4.58) contains two branches (Figure 4.1). One of them assymptotically approaches, with growing k, the dispersion curve of the ordinary wave. The frequency in the second branch tends to the constant value ω_{\perp} .

One can see from Figure 4.1 that the extraordinary wave does not exist (if losses are not taken into account) in the frequency range $\omega_{\perp} < \omega < \omega_H + \omega_M$, in which (Figure 1.6) the effective permeability μ_{\perp} is negative. With allowance for losses, the extraordinary wave exists but is strongly damped in this range.

At the lower limit of the forbidden frequency range $\mu_{\perp} \to \infty$, and at the upper limit, $\mu_{\perp} = 0$. So, these limits are, respectively, the *resonance* and *antiresonance* points of the effective permeability. We have come across an analogous situation in the case of the longitudinal magnetization. The resonance points of the effective permeabilities are different in the two cases, whereas the antiresonance points coincide (Figure 1.6). It is easy to show, using (4.34), that the antiresonance frequency ω_{antires} (defined by the condition $\mu_{\text{ef}} = 0$) is

$$\omega_{\text{antires}} = \omega_H + \omega_M \tag{4.59}$$

irrespective of the propagation direction.

If the losses are taken into account, the resonance point is defined as a point where μ''_{ef} is maximal, and the antiresonance point is defined as a point where $\mu'_{ef} = 0$ and μ''_{ef} is small. It is easy to make sure that k' = k'' at the antiresonance point, as well as near the resonance point (where $\mu'_{ef} = 0$). Near resonance the value of k' = k'' is large, approximately proportional to $\alpha^{-1/2}$, whereas at antiresonance it is small, proportional to α .

Expressions (4.50) and (4.58) can be regarded as dispersion equations for pairs of *coupled waves*. The first waves in these pairs are magnetic or spin waves. To

obtain their 'uncoupled' dispersion relations we must set to zero the expressions in the first parentheses in (4.50) and (4.58). Dispersion relations for the second waves, which are the electromagnetic waves in a medium with $\mu=1$, are found by setting equal to zero the expressions in the second parentheses in (4.50) and (4.58). The last terms in these equations represent the coupling of the waves. It results in the repulsion of the dispersion curves of spin waves and electromagnetic waves (Figure 4.1). Such treatment, 'in terms of coupled modes', brings nothing new in the considered problem of waves in an unbounded uniform medium. But for more complicated problems it can be very useful.

4.3 Nonreciprocity

The presence of $\stackrel{\smile}{\varepsilon}$ or $\stackrel{\smile}{\mu}$ antisymmetric components, caused by steady magnetization, results in some phenomena in systems containing such media, which are referred to as nonreciprocal effects. This term is used because in such effects the well-known reciprocical theorem (e.g., [191]) is violated. One of the nonreciprocal effects is the turn of polarization of a linearly polarized wave (the Faraday effect) in a longitudinally magnetized gyrotropic medium. This effect was considered in the preceding section for an unbounded medium. Analogous effects are observed, as we will see in Chapter 5, in waveguides containing gyrotropic media, as well. Other nonreciprocal effects, which are possible only in systems, containing boundaries between different media, will be studied in Chapters 5 and 6.

The aim of the present section is to consider some general relationships that can be used in the analysis of nonreciprocal effects. The most important of these relationships is the generalized Lorentz lemma.

The Lorentz lemma was proposed for media with symmetric tensor parameters and was used in deriving the reciprocical theorem. We will generalize this lemma to a system containing media with arbitrary tensors $\vec{\epsilon}$ and $\vec{\mu}$. The system can contain any boundaries, including metallic surfaces. Two ac electromagnetic fields with the same frequency and complex amplitudes e_1 , h_1 and e_2 , h_2 are excited by the external currents, respectively, $j_{\text{ext 1}}$ and $j_{\text{ext 2}}$.

We write the Maxwell equation (4.14) for the fields with subscripts 1 and 2, and equation (4.16) for the same fields, and scalarly multiply these equations, respectively, by h_2 , $(-h_1)$, e_2 , and $(-e_1)$. Then, we sum all the obtained expressions and represent the tensors $\overrightarrow{\mu}$ and $\overrightarrow{\varepsilon}$ as sums of symmetric and antisymmetric tensors (Section 4.1). It is easy to make sure that

$$h_1 \overset{\leftrightarrow}{\mu}_s h_2 = h_2 \overset{\leftrightarrow}{\mu}_s h_1 \qquad h_1 \overset{\leftrightarrow}{\mu}_{as} h_2 = -h_2 \overset{\leftrightarrow}{\mu}_{as} h_1.$$
 (4.60)

Taking into account (4.60) and analogous relationships for the electric fields and

tensors $\stackrel{\leftrightarrow}{\varepsilon}_s$ and $\stackrel{\leftrightarrow}{\varepsilon}_{as}$, we get

$$\frac{c}{4\pi}\operatorname{div}\left(\boldsymbol{e}_{1}\times\boldsymbol{h}_{2}-\boldsymbol{e}_{2}\times\boldsymbol{h}_{1}\right)+\frac{\mathrm{i}\omega}{2\pi}\left(\boldsymbol{h}_{2}\overset{\rightarrow}{\mu}_{\mathrm{as}}\boldsymbol{h}_{1}-\boldsymbol{e}_{2}\overset{\rightarrow}{\varepsilon}_{\mathrm{as}}\boldsymbol{e}_{1}\right)=\boldsymbol{j}_{\mathrm{ext}\,1}\boldsymbol{e}_{2}-\boldsymbol{j}_{\mathrm{ext}\,2}\boldsymbol{e}_{1}.$$
(4.61)

This expression represents the Lorentz lemma generalized to media with nonsymmetric tensor parameters.

Integrating (4.61) over a volume V, we obtain, with the use of the Gauss theorem (e.g., [273]),

$$\frac{c}{4\pi} \int_{S} (\boldsymbol{e}_{1} \times \boldsymbol{h}_{2} - \boldsymbol{e}_{2} \times \boldsymbol{h}_{1}) \boldsymbol{n}_{0} dS + \frac{i\omega}{2\pi} \int_{V_{a}} \left(\boldsymbol{h}_{2} \overset{\rightarrow}{\mu}_{as} \boldsymbol{h}_{1} - \boldsymbol{e}_{2} \overset{\rightarrow}{\varepsilon}_{as} \boldsymbol{e}_{1} \right) dV$$

$$= \int_{V_{1}} \boldsymbol{j}_{ext 1} \boldsymbol{e}_{2} dV - \int_{V_{2}} \boldsymbol{j}_{ext 2} \boldsymbol{e}_{1} dV. \tag{4.62}$$

Here S is the surface that encloses the volume V, n_0 is a unit outward normal to S, V_a is the part of the volume V that contains gyrotropic media, and $V_{1,2}$ are the parts of V in which the currents $j_{\text{ext 1}}$ and $j_{\text{ext 2}}$ exist. The expression (4.62) is the generalized Lorentz lemma in the *integral* form.

The volume V must be chosen according to the character of the problem. In the antenna theory (e.g., [191]) the infinitely large volume V includes both the transmitting and the receiving antennae. Then, the surface integral in (4.62) tends to zero; and, if $\overrightarrow{\mu}$ and $\overrightarrow{\varepsilon}$ of all media are symmetric tensors (in particular, scalars), the reciprocity theorem follows from (4.62).

When waveguide devices are investigated, the volume V is restricted (Section 5.4) by the walls of waveguides (or of a waveguide junction) and the waveguide cross sections. The currents $j_{\text{ext 1,2}}$ are in this case, usually, outside the volume V, so that the right-hand side of (4.62) is equal to zero. And, if we assume the metallic waveguide walls to be ideally conducting, the surface integral over the walls is equal to zero, too. Then, it follows from (4.62) that

$$\frac{c}{4\pi} \sum_{p=1}^{n} \int_{S_{p}} \left(e_{p1} \times h_{p2} - e_{p2} \times h_{p1} \right) n_{0p} dS$$

$$= -\frac{i\omega}{2\pi} \int_{V_{a}} \left(h_{1} \overset{\leftrightarrow}{\mu}_{as} h_{2} - e_{1} \overset{\leftrightarrow}{\varepsilon}_{as} e_{2} \right) dV \equiv J_{a}. \tag{4.63}$$

Here p is the number of an input or an output waveguide, S_p is its cross section, n_{0p} is a unit normal to this cross section, e_p and h_p are the fields at it. The nonreciprocal microwave ferrite devices, for which $J_a \neq 0$, will be treated in Chapter 5. Here we limit ourselves to some general remarks. For a magnetically gyrotropic medium, it follows from (4.21) that $\overrightarrow{\mu}_{as}h_2 = \mathrm{i}4\pi h_2 \times G_m$. Taking this into account, we get

$$J_{\mathbf{a}} = 2\omega \int_{V_{\mathbf{a}}} \mathbf{G}_{\mathbf{m}} (\mathbf{h}_{1} \times \mathbf{h}_{2}) \, dV. \tag{4.64}$$

The antisymmetric components of $\overset{\leftrightarrow}{\chi}$ and $\overset{\leftrightarrow}{\mu}$ and, hence, the vector $G_{\rm m}$ are proportional to M_0 . Therefore, the quantity $J_{\rm a}$ changes its sign when the direction of the steady magnetization is reversed.

The quantity J_a can be equal to zero, besides the trivial case $\mu_a=0$, for two reasons. The first reason is related to the structure of the fields h_1 and h_2 . One can see from (4.64) that $J_a=0$ if the complex amplitudes h_1 and h_2 are parallel to each other in the entire volume V_a . This takes place when a small ferrite sample is situated in the waveguide at a point of *linear* polarization of the magnetic field. The second reason relates to the *symmetry of the device*: the integrals in (4.64) over different parts of the volume V_a can compensate each other. A representative example is a ferrite slab located symmetrically in a rectangular waveguide (Section 5.2). It should be emphasized that the entire system can be reciprocal in the above-discussed sense ($J_a=0$), while the field structures are nonreciprocal, i.e., different for opposite directions of propagation.

Thus, the existence of steady magnetization is not sufficient but necessary for observing nonreciprocal effects (and designing nonreciprocal devices). This is in agreement with the principle of symmetry of the kinetic coefficients (the *Onsager principle*) [246], which, for μ components, can be written as

$$\mu_{pq}(\omega, \mathbf{k}, \mathbf{B}_0) = \mu_{qp}(\omega, -\mathbf{k}, -\mathbf{B}_0) \tag{4.65}$$

where $B_0 = H_0 + 4\pi M_0$.

It should be noted that antisymmetric components of $\stackrel{\smile}{\varepsilon}$ (may be of $\stackrel{\smile}{\mu}$, too) can arise in some substances without any action of steady magnetization. These components depend linearly on k and, so, are equal to zero if k=0. The sense of the turn of polarization in such substances changes, as distinct from the Faraday effect, to the opposite when the direction of propagation is reversed. This phenomenon is called natural optical activity [246], as distinguished from gyrotropy, which is discussed in detail in this book.

4.4 Energy relations

The energy relations are quadratic (in field amplitudes) expressions that follow from Maxwell's equations and represent the relationship between energy flows and energy losses in an electromagnetic field. As we are interested in *stationary* processes, when all the linear quantities are harmonic functions of time, we consider in the present section, as in Section 4.3, the quadratic relations for the *complex amplitudes*.

Suppose that there are two real quantities $a_{\sim} = a_0 \cos(\omega t + \varphi_a)$ and $b_{\sim} = b_0 \cos(\omega t + \varphi_b)$, and the complex quantities $\dot{a} = a_0 \exp(i\omega t + i\varphi_a)$ and $\dot{b} = b_0 \exp(i\omega t + i\varphi_b)$ are introduced, so that a_{\sim} and b_{\sim} are the real parts of \dot{a} and \dot{b} . Then, it is easy to show that the average of the product $a_{\sim}b_{\sim}$ over the period of

oscillations is

$$\overline{a_{\sim}b_{\sim}} \equiv \int_0^T a_{\sim}b_{\sim}dt = \frac{1}{2}\operatorname{Re}(ab^*) = \frac{1}{2}\operatorname{Re}(a^*b) \tag{4.66}$$

(the quantities $a=a_0\exp(\mathrm{i}\varphi_a)$ and $b=b_0\exp(\mathrm{i}\varphi_b)$ are the complex amplitudes of a_{\sim} and b_{\sim}). Expressions analogous to (4.66) hold for both scalar and vector products of vector quantities.

4.4.1 Equation of energy balance

We multiply the Maxwell equation (4.14) scalarly by h^* and the complex conjugate of (4.16), by -e. Summing the obtained expressions, we get

$$\frac{c}{4\pi}\operatorname{div}\left(\boldsymbol{e}\times\boldsymbol{h}^{*}\right)+\frac{\mathrm{i}\omega}{4\pi}\left(\boldsymbol{h}^{*}\overset{\cdot}{\mu}\boldsymbol{h}-\boldsymbol{e}\overset{\cdot}{\varepsilon}^{*}\boldsymbol{e}^{*}\right)+\boldsymbol{e}\boldsymbol{j}_{\mathrm{ext}}^{*}=0. \tag{4.67}$$

The real part of (4.67) can be written in the form

$$\operatorname{div}\boldsymbol{\Pi} + P + P_{\text{ext}} = 0 \tag{4.68}$$

where

$$\boldsymbol{\Pi} = \frac{c}{8\pi} \operatorname{Re} \left(\boldsymbol{e} \times \boldsymbol{h}^* \right) \tag{4.69}$$

$$P = -\frac{\omega}{8\pi} \text{Im} \left(\mathbf{h}^* \overset{\rightarrow}{\mu} \mathbf{h} - \mathbf{e}^* \overset{\rightarrow}{\varepsilon}^* \mathbf{e}^* \right)$$
 (4.70)

$$P_{\text{ext}} = \frac{1}{2} \text{Re} \left(e j_{\text{ext}}^* \right). \tag{4.71}$$

Taking into account the relationships, analogous to (4.66), for the vector and scalar products, we make sure that II is the time-averaged Poynting vector, i.e., the mean value of the density of the electromagnetic-energy flow, P is the mean value of the density of the energy losses, and $P_{\rm ext}$ is the mean value of the density of the power of losses due to external currents. Thus, (4.68) is the equation of energy balance for the ac electromagnetic field (in differential form).

Using (4.18) and (4.13), it is possible to rewrite (4.68) as

$$\operatorname{div} \boldsymbol{\Pi} + P_{\mathsf{m}} + P_{\mathsf{e}} = 0 \tag{4.72}$$

where $P_{\rm m} = -\omega/(8\pi)\,{\rm Im}({\bf h}^* \overset{\leftrightarrow}{\mu} {\bf h})$ is the density of magnetic losses, and

$$P_{\rm e} = \frac{1}{2} \operatorname{Re}(ej^*) \tag{4.73}$$

is the density of *total* electric losses due to both ohmic and external currents. Integrating (4.68) over a volume V, we find

$$\int_{S} \boldsymbol{\Pi} \boldsymbol{n}_{0} dS + \int_{V} P dV + \int_{V} P_{\text{ext}} dV = 0$$
 (4.74)

where S is the surface that encloses the volume V and n_0 is a unit outward normal to S. Expression (4.74) is the energy balance equation in integral form, i.e., the complex Pointing theorem for an ac electromagnetic field.

4.4.2 Energy losses

Let us consider in more detail the quantity (4.70). It is easy to show that Hermitian tensors $\overrightarrow{\mu}_H$ (Section 4.1) and $\overrightarrow{\varepsilon}_H$ do not contribute to P, and the contribution of anti-Hermitian tensors can be written in the form

$$P = \frac{\omega}{8\pi} \operatorname{Im} \left(\mathbf{h} \stackrel{*}{\mu}_{aH}^* \mathbf{h}^* + \mathbf{e} \stackrel{\cdot \cdot \cdot}{a}_{aH}^* \mathbf{e}^* \right). \tag{4.75}$$

So, the energy losses are determined by *anti-Hermitian* parts of the tensors $\overrightarrow{\mu}$ and $\overrightarrow{\varepsilon}$, i.e., by the imaginary parts of their symmetric components and the real parts of the antisymmetric components. [That is why the factor i has been introduced before the antisymmetric components in (4.20).]

Consider, e.g., a medium with permeability as in (4.23) but with scalar permittivity. Such a medium represents, for microwave range, a ferromagnet or a ferrimagnet (ferrite) which, in the absence of steady magnetization, is assumed to be isotropic. For this medium

$$\overrightarrow{\mu}_{aH}^{*} = \begin{vmatrix} i\mu'' & \mu_{a}'' & 0 \\ -\mu_{a}'' & i\mu'' & 0 \\ 0 & 0 & i\mu_{\parallel}'' \end{vmatrix} \qquad \overrightarrow{\varepsilon}_{aH}^{*} = \begin{vmatrix} i\varepsilon'' & 0 & 0 \\ 0 & i\varepsilon'' & 0 \\ 0 & 0 & i\varepsilon'' \end{vmatrix}.$$
(4.76)

The complex amplitudes of the components of h and e vectors with arbitrary polarization can be written as $h_p = h_p' + i h_p''$ and $e_p = e_p' + i e_p''$, where $p = 1, 2, 3 \equiv x, y, z$. Then, as it is easy to make sure,

$$P = \frac{\omega}{8\pi} \left[\mu'' \left(|h_x|^2 + |h_y|^2 \right) + \mu''_{\parallel} |h_z|^2 + 2\mu''_a \left(h'_y h''_x - h'_x h''_y \right) + \varepsilon'' |e|^2 \right]. \tag{4.77}$$

For media with tensor $\stackrel{\hookrightarrow}{\varepsilon}$ (e.g., for plasmas, both gaseous and solid-state, and for ferromagnets or ferrimagnets in optical range) the power of electrical losses should be written analogous to magnetic losses in (4.77).

One can see from (4.77) that the dissipation (positive losses) of electromagnetic energy is determined by *positive* values of μ'' , μ''_{\parallel} , and ε'' , if the signs are chosen as in (4.19). Such a conclusion cannot be made concerning μ''_a (as well as ε''_a), because the quantity $h'_y h''_x - h''_x h'_y$ in (4.77) and analogous quantity for electric field components can have different signs depending on the polarization of the fields. These quantities are positive for circularly or elliptically polarized fields with right-hand rotation (with respect to the direction of steady magnetization), and are negative in the case of left-hand rotation. For linearly polarized fields these quantities are equal to zero. Thus, the same values of μ''_a and ε''_a make positive, negative, or zero contribution to dissipation of electromagnetic energy, depending on the polarization of the field.

For a passive medium (without any intrinsic energy sources), it should be P > 0 for any polarization. This leads to the following *limitations* on the values of anti-Hermitian parts of $\overset{\hookrightarrow}{\mu}$ components:

$$\mu'' > 0 \qquad \mu''_{||} > 0 \qquad |\mu''_{a}| < \mu''$$
 (4.78)

and to analogous limitations on the values of the components of $\stackrel{\hookrightarrow}{\varepsilon}$. The signs of μ_a'' and ε_a'' can be arbitrary, and just as the signs of μ_a' and ε_a' , they depended on the direction of the steady magnetization.

4.5 Perturbation method

The difficulties we meet with in solving boundary electrodynamical problems are especially great in the case of gyrotropic media. Therefore, in this case the approximate methods are of particular importance. The simplest and most general of them is the perturbation method. The unperturbed system is, usually, a system containing no gyrotropic media, and the perturbation is either a small change of parameters in an arbitrary large volume or a substantial change of parameters but in a small volume. The second case is more interesting from the practical point of view.

The perturbation method is effective in calculating the quantities that are functionals of the electromagnetic field, such as eigenfrequencies of resonators or propagation constants of waveguides. The perturbation formulae for these quantities can be deduced from general quadratic relations, which can be called *perturbation lemmas*.

To derive these lemmas consider two systems: an unperturbed (initial), with scalar parameters μ_0 and ε_0 , and a perturbed, with tensor parameters μ and ε . An electromagnetic field with frequency ω_0 and complex amplitudes of the field vectors h_0 and e_0 exists in the initial system, and a field with frequency ω and complex amplitudes h and e exists in the perturbed system There are no external currents in both systems. We write equations (4.14) and (4.16) for the perturbed system and the complex conjugates of these equations, for the initial system. Then we multiply these equations by the appropriate vectors, as in the derivation of (4.61) or (4.67). Summing all the obtained expressions, we find (assuming μ_0 and ε_0 to be real) the perturbation lemma

$$\operatorname{div}\left(\boldsymbol{e}\times\boldsymbol{h}_{0}^{*}+\boldsymbol{e}_{0}^{*}\times\boldsymbol{h}\right)+\frac{\mathrm{i}}{c}\left(\omega\boldsymbol{h}_{0}^{*}\overset{\leftarrow}{\mu}\boldsymbol{h}-\omega_{0}\boldsymbol{h}\mu_{0}\boldsymbol{h}_{0}^{*}+\omega\boldsymbol{e}_{0}^{*}\overset{\leftarrow}{\varepsilon}\boldsymbol{e}-\omega_{0}\boldsymbol{e}\varepsilon_{0}\boldsymbol{e}^{*}\right)=0.$$
(4.79)

In the same manner, but starting from equations (4.14) and (4.16) for both systems (not from the complex conjugate of (4.16) for the initial one), we can obtain a lemma that differs from (4.79) by the replacement $h_0^* \to h_0$ and $e_0^* \to -e_0$.

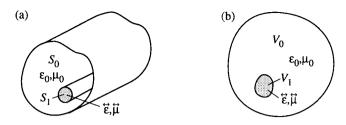


FIGURE 4.2 Gyrotropic perturbations (a) of a waveguide and (b) of a resonator.

4.5.1 Gyrotropic perturbation of a waveguide

Consider an infinite waveguide with cross section S_0 filled, in the unperturbed state, with a medium the parameters of which are μ_0 and ε_0 . A wave with complex amplitudes $e_0 = e_0^0 \exp(-\mathrm{i}k_{\eta 0}\eta)$ and $h_0 = h_0^0 \exp(-\mathrm{i}k_{\eta 0}\eta)$ propagates in this waveguide (η -axis is directed along the axis of the waveguide). The eigenfunctions of the unperturbed waveguide e_0^0 and h_0^0 and the propagation constant $k_{\eta 0}$ are assumed to be known. The perturbation is an infinite cylinder with cross section S_1 and parameters μ and κ inserted in the waveguide (Figure 4.2). The complex amplitudes of the field in the perturbed waveguide are $e = e^0 \exp(-\mathrm{i}k_\eta \eta)$ and $e = e^0 \exp(-\mathrm{i}k_\eta \eta)$. The propagation constant $e = e^0 \exp(-\mathrm{i}k_\eta \eta)$. The propagation constant $e = e^0 \exp(-\mathrm{i}k_\eta \eta)$.

Substituting the quantities e_0 , h_0 , e, and h into the lemma (4.79) (where, in the present case, $\omega = \omega_0$), integrating it over the waveguide cross section S_0 , and taking into account the boundary conditions at the waveguide walls, we obtain, according to Nikolskii [299],

$$k_{\eta} - k_{\eta 0} = \frac{\omega}{c} \frac{\int_{S_{1}} \left(h_{0}^{0*} \delta \overset{\leftrightarrow}{\mu} h^{0} + e_{0}^{0*} \delta \overset{\leftrightarrow}{\varepsilon} e^{0} \right) dS}{\int_{S_{0}} \left(e_{\perp}^{0} \times h_{0\perp}^{0*} + e_{0\perp}^{0*} \times h_{\perp}^{0} \right) \eta_{0} dS}.$$
 (4.80)

Here the subscript \perp denotes the transverse (with respect to the waveguide axis) components of the eigenfunctions of the waveguide, $\delta \overset{\rightarrow}{\mu} = \overset{\rightarrow}{\mu} - \mu_0$, $\delta \overset{\rightarrow}{\varepsilon} = \overset{\rightarrow}{\varepsilon} - \varepsilon_0$, and η_0 is a unit vector directed along the waveguide axis.

Formula (4.80), as well as the lemma (4.79), is rigorous, but the eigenfunctions e^0 and h^0 are unknown. If the surface S_1 is small as compared with S_0 , then, in the denominator of (4.80), we can approximately replace the unknown functions e^0 and h^0 by the known functions e^0 and h^0 . Taking into consideration the formula of the waveguide theory (e.g., [151]) $h^0_{0\perp} = (\eta_0 \times e^0_{0\perp})/\zeta_0$ (where ζ_0 is the characteristic impedance of the unperturbed waveguide), we get

$$k_{\eta} - k_{\eta 0} \cong \frac{\omega \zeta_0}{2cN_0} \int_{S_1} \left(h_0^{0*} \delta \overset{\leftrightarrow}{\mu} h^0 + e_0^{0*} \delta \overset{\leftrightarrow}{\varepsilon} e^0 \right) dS \tag{4.81}$$

where $N_0 = \int_{S_0} |e_{0\perp}^0| dS$ is the normalization constant of the unperturbed-waveguide eigenfunctions.

4.5.2 Gyrotropic perturbation of a resonator

Let us derive a formula for the eigenfrequency of a hollow electromagnetic resonator with a small gyrotropic sample (Figure 4.2). The field (e_0, h_0) in (4.79) represents now the field of one of the modes of the unperturbed resonator, and (e, h) is the field of 'the same' mode of the resonator with the sample. ('The same' means that this mode transforms into the mode of an unperturbed resonator when the sample volume $V_1 \rightarrow 0$.) Integrating (4.79) over the resonator volume V_0 and taking into account the boundary conditions at the resonator walls (regarded as ideally conducting), we find [298]

$$\frac{\omega - \omega_0}{\omega} = -\frac{\int_{V_1} \left(\mathbf{h}_0^* \delta \overset{\rightarrow}{\mu} \mathbf{h} + \mathbf{e}_0^* \delta \overset{\rightarrow}{\varepsilon} \mathbf{e} \right) dV}{\int_{V_0} \left(\mathbf{h}_0^* \mu_0 \mathbf{h} + \mathbf{e}_0^* \varepsilon_0 \mathbf{e} \right) dV}.$$
 (4.82)

If $V_1 \ll V_0$, we may, as in the previous case, replace the perturbed fields in the denominator by the unperturbed fields. Then we get

$$\frac{\omega - \omega_0}{\omega} \cong -\frac{1}{2W_0} \int_{V_1} \left(\mathbf{h}_0^* \delta \overset{\rightarrow}{\mu} \mathbf{h} + \mathbf{e}_0^* \delta \overset{\rightarrow}{\varepsilon} \mathbf{e} \right) dV \tag{4.83}$$

where

$$W_0 = \int_{V_0} \varepsilon_0 |e_0|^2 dV = \int_{V_0} \mu_0 |h_0|^2 dV$$
 (4.84)

is the energy of the electromagnetic field in the unperturbed resonator multiplied by 8π .

In (4.81) and (4.83), the quantities of the type $h_0^* \delta \overset{\leftrightarrow}{\mu} h$ take place. We write them down in the particular case of a medium with parameters (4.23). For instance,

$$\mathbf{h}_{0}^{*} \delta \overset{\leftrightarrow}{\mu} \mathbf{h} = \delta \mu \mathbf{h}_{0}^{*} \mathbf{h}_{\perp} + \mathrm{i} \mu_{a} \mathbf{z}_{0} \left(\mathbf{h}_{0}^{*} \times \mathbf{h}_{\perp} \right) + \delta \mu_{\parallel} h_{0z}^{*} h_{z} \tag{4.85}$$

where $\delta\mu=\mu-\mu_0$, $\delta\mu_{\parallel}=\mu_{\parallel}-\mu_0$, the z-axis is directed along the steady magnetization M_0 , and the subscripts \perp denote now the components perpendicular to this axis.

If we allow for dissipation, i.e., take into account the anti-Hermitian parts of $\stackrel{\leftarrow}{\varepsilon}$ and $\stackrel{\rightarrow}{\mu}$, the right-hand sides of (4.81) and (4.83) become complex. These formulae then give the changes of both the real parts and the imaginary parts of k_{η} or ω . Therefore, they can be used to calculate the decrement of a wave in a ferrite-loaded waveguide and the quality factor of a resonator with a ferrite sample, which is related to the imaginary part of the eigenfrequency by the expression (1.107).

4.5.3 Quasistatic approximation

The perturbed fields in (4.81) and (4.83) differ essentially from the unperturbed fields, no matter how small the sample may be, and are to be approximated in some way or other. The simplest is the quasistatic approximation, which consists in the following: the relation between the perturbed (internal) ac field in the sample

and the unperturbed ac field is assumed to be the same as the relation between the *static* internal and external fields. (The external field is the field at the point of a waveguide or a resonator where the sample is, but without the sample.)

This is just the approximation used in Section 1.5. The magnetostatic problem of the relation between the internal and the external fields has a simple solution (1.85) if the sample is a small ellipsoid in a nonmagnetic medium ($\mu_0 = 1$). Having excluded the internal field h from (1.85) and the expression

$$h + 4\pi m = \overset{\leftrightarrow}{\mu} h \tag{4.86}$$

we obtained, in Section 1.5, the relation between the magnetization m and the external field h_e (now designated as h_0). To find the relation between h and h_0 , which we are interested in at the present, we exclude m from (1.85) and (4.86) and obtain (for $\mu_0 = 1$)

$$\boldsymbol{h} = \left(1 + \frac{1}{4\pi} \overset{\leftrightarrow}{N} \delta \overset{\leftrightarrow}{\mu}\right)^{-1} \boldsymbol{h}_0 \equiv \overset{\leftrightarrow}{T} \boldsymbol{h}_0 \tag{4.87}$$

where the superscript -1 denotes the inverse tensor. If $\mu_0 \neq 1$, the quantity $\delta \overrightarrow{\mu}$ is replaced by $\delta \overrightarrow{\mu}/\mu_0$.

Assume that μ has the form (4.23), $\mu_0 = 1$, and M_0 is directed along one of the ellipsoid axes. The tensor T components, i.e., the relations between the components of h and h_0 , found on these assumptions, are listed in Table 4.1 for the limiting cases of an ellipsoid. Analogous relations hold between the components of e and e_0 .

A necessary condition for the applicability of the quasistatic approximation is that at least one of the sample dimensions (d) must be small as compared with the length of electromagnetic wave in the sample. If we take into account that the transverse diagonal components μ and ε are of the same order of magnitude as other components, respectively, of $\overrightarrow{\mu}$ and $\overrightarrow{\varepsilon}$, then we may write this condition as

$$\frac{\omega}{c}\sqrt{|\mu||\varepsilon|}d\lesssim \frac{\pi}{6}.\tag{4.93}$$

Here d is the diameter of a sphere, the diameter of a cylinder, or the thickness of a slab. It must be emphasized that other dimensions of a cylinder or a slab should not be small. Then, the internal field will vary in the plane of the slab or along the length of the cylinder, but in the same way as the external field.

If all dimensions of the sample are small, the integration in (4.83) can be replaced by multiplication by V_1 . The integration in (4.81) can be replaced by multiplication by S_1 if all dimensions of the cylinder cross section are small.

The second necessary condition for the applicability of the quasistatical approximation (in the considered form), already mentioned in Section 1.5, is that the oscillation mode must be uniform.

The above-obtained perturbation formulae contain the tensor parameters of the substance $\vec{\mu}=1+4\pi\vec{\chi}$ and $\vec{\varepsilon}=1+4\pi\vec{\chi}_{el}$ (where $\vec{\chi}_{el}$ is the ac electric

 TABLE 4.1

 Relations between components of perturbed and unperturbed fields in the quasistatic approximation.

Sample	Direction of magne- tization	Notation in Figure (1.9) h_x		h_y	h_z	
, del	Tangential	(a)	h_{0x}	$\frac{i\mu_a}{\mu}h_{0x} + \frac{1}{\mu}h_{0y}$	h_{0z}	(4.88)
Stato	Normal	(p)	h_{0x}	h_{0y}	$rac{1}{\mu_{\parallel}}h_{0z}$	(4.89)
il.	Longitudinal (c)	(0)	$\frac{2(\mu+1)}{(\mu+1)^2 - \mu_a^2} h_{0x} - \frac{2i\mu_a}{(\mu+1)^2 - \mu_a^2} h_{0y}$	$\frac{2(\mu+1)}{(\mu+1)^2 - \mu_a^2} h_{0x} - \frac{2i\mu_a}{(\mu+1)^2 - \mu_a^2} h_{0y} \frac{2i\mu_a}{(\mu+1)^2 - \mu_a^2} h_{0x} + \frac{2(\mu+1)}{(\mu+1)^2 - \mu_a^2} h_{0y} h_{0z}$		(4.90)
Cymine	Transverse	(p)	$\frac{2}{\mu + 1} h_{0x} - \frac{i\mu_a}{\mu + 1} h_{0y}$	h_{0y}	$\frac{2}{\mu_{\parallel} + 1} h_{0z} (4.91)$	(4.91)
Sphere		(e)	$\frac{3(\mu+2)}{(\mu+2)^2 - \mu_a^2} h_{0x} - \frac{3i\mu_a}{(\mu+2)^2 - \mu_a^2} h_{0y}$	$\frac{3(\mu+2)}{(\mu+2)^2 - \mu_a^2} h_{0x} - \frac{3i\mu_a}{(\mu+2)^2 - \mu_a^2} h_{0y} \frac{3i\mu_a}{(\mu+2)^2 - \mu_a^2} h_{0x} + \frac{3(\mu+2)}{(\mu+2)^2 - \mu_a^2} h_{0y} \frac{3}{\mu_{\parallel} + 2} h_{0z} $ (4.92)	$\frac{3}{\mu_{\parallel}+2}h_{0z}$	(4.92)

susceptibility). But, if the sample is a small ellipsoid or, in limiting cases, a thin slab or a thin cylinder, the *external* susceptibility tensors $\vec{\chi}^e$ and $\vec{\chi}^e_{el}$ (Section 1.5), which relate the ac magnetization m and ac polarization to the *external* ac fields, can be inserted into the perturbation formulae. Consider, e.g., formula (4.83) and assume $\mu_0 = 1$ and $\varepsilon_0 = 1$. The expression $h_0^* \delta \vec{\mu} h$ in (4.83) can be rewritten as follows:

$$\boldsymbol{h}_0^* \delta \overset{\leftrightarrow}{\mu} \boldsymbol{h} = \boldsymbol{h}_0^* 4\pi \overset{\leftrightarrow}{\chi} \boldsymbol{h} = 4\pi \boldsymbol{h}_0^* \overset{\leftrightarrow}{\chi}^e \boldsymbol{h}_0. \tag{4.94}$$

The expression $e_0^* \delta \stackrel{\leftrightarrow}{\varepsilon} e$, as well as the analogous expressions in (4.81) can be transformed in the same way. Thus, the introduction of external susceptibilities allows one to exclude the internal (perturbed) fields from the perturbation formulae, and there is no need in approximating them.

If the substance (ferromagnet or ferrite) is isotropic, in the absence of steady magnetization, and the sample (an ellipsoid) is magnetized along one of its axes, the tensor $\dot{\chi}^e$ is given by (1.112) with $\chi_s = 0$. Then, the expression $h_0 \dot{\chi}^e h_0$, which appears in (4.83), takes the form

$$h_0^* \dot{\chi}^e h_0 = \chi_x^e |h_{0x}^2|^2 + \chi_y^e |h_{0y}|^2 + i \chi_a^e z_0 \left(h_0^* \times h_0 \right). \tag{4.95}$$

It is easy to make sure that the last term in (4.95) is equal to zero for a linear polarization of h_0 , and is real and has different signs, for circular polarization of h_0 with different senses of rotation.

4.5.4 Resonator with walls of real metal

The perturbation method can be used, as well, to calculate approximately the parameters of electromagnetic resonators or waveguides with walls made of non-ideal, in particular, ferromagnetic metal. Consider, e.g., a resonator, a part S_1 of the surface of which is a boundary with metal having high but *finite* conductivity. The rest of the resonator surface is a boundary with ideally conducting metal. There are no gyrotropic samples inside the resonator.

We integrate (4.79) over the volume of this resonator and take into account that the tangential component of the electric field is not equal to zero on S_1 . Then, neglecting the distinction between the perturbed (ω) and unperturbed (ω_0) values of frequency, except in the difference $(\omega - \omega_0)$, we get the perturbation formula obtained by Slater [367]:

$$\frac{\omega - \omega_0}{\omega_0} \equiv \frac{\omega' - \omega_0}{\omega_0} + i \frac{1}{2Q} = \frac{ic}{2\omega_0 W_0} \int_{S_1} (\boldsymbol{e} \times \boldsymbol{h}^*) \, \boldsymbol{n}_0 dS. \tag{4.96}$$

Here W_0 is determined by (4.84), n_0 is a unit outward normal to S_1 , and e and h are the perturbed field vectors. It should be noted that the real part of the integral in (4.96) is the energy flow through S_1 multiplied by $8\pi/c$; this is in agreement with the definition (1.108) of the quality factor Q.

According to the perturbation method, it is possible to substitute h_0 for h in (4.96). The unperturbed field h_0 is now the field in the resonator with ideally

conducting walls, which is tangential to S_1 . The relation of the tangential electric-field component e_{τ} to h_0 can be found from the analysis of the wave propagation within the wall in the direction from the surface of the resonator. Note that such calculation in the case of nonferromagnetic well-conducting metal leads to the Leontovich boundary condition (e.g., [191])

$$\frac{e_{\tau}}{h_0} = \frac{1+i}{2}k_0\delta_1 \tag{4.97}$$

where δ_1 is the skin depth (4.40) in metal with $\mu=1$. The case of ferromagnetic metal will be considered in Section 14.2.

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Waveguides and resonators with gyrotropic media. Microwave ferrite devices

5.1 Waveguide with longitudinally magnetized medium

In this chapter we will study electromagnetic waves in waveguides and oscillations in resonators containing gyrotropic media, in particular, the magnetized ferrites. We will limit ourselves to the media with parameters (4.23) and often will regard ε as a scalar. The first two sections of this chapter will be devoted to the so-called regular waveguides (infinitely long and with constant cross section) completely or partly filled with a gyrotropic medium.

The case of a medium magnetized in the direction of the waveguide axis (a longitudinally magnetized medium) will be treated first. In this case, the longitudinal field components satisfy the equations that are obtained from (4.24) by the replacement $\partial/\partial z \rightarrow -ik_z$. It follows from these equations (as mentioned in Section 4.1) that, if $h_z = 0$, then $e_z = 0$, too, and vice versa. Thus, the modes of the waveguide filled with a longitudinally magnetized gyrotropic medium are not TE or TM waves. However, they turn into TE or TM waves when $\mu_a \rightarrow 0$ and $\varepsilon_a \to 0$. Therefore, they can be referred to as quasi-TE and quasi-TM waves. Furthermore, they turn into TE or TM modes when $k_z \rightarrow 0$. Equations (4.24) coincide then with the equations for an isotropic medium with parameters $arepsilon_{\parallel}$ and μ_{\perp} (for TM waves) or with parameters ε_{\perp} and μ_{\parallel} (for TE waves). In can be shown (e.g., [153]) that for magnetically-gyrotropic media ($\varepsilon_a=0$), in particular, for ferrites at microwaves, the boundary conditions at $k_z = 0$ coincide, as well, with the boundary conditions for an isotropic medium. Therefore, the following expressions for the critical wavelengths, analogous with such expressions for an isotropic medium, are valid:

$$\lambda_{\rm cr\,TM} = (\lambda_{\rm cr\,TM})_0 \sqrt{\varepsilon \mu_{\perp}} \qquad \lambda_{\rm cr\,TE} = (\lambda_{\rm cr\,TE})_0 \sqrt{\varepsilon \mu_{\parallel}}$$
 (5.1)

where $(\lambda_{\rm cr\,TM})_0$ and $(\lambda_{\rm cr\,TE})_0$ are the critical wavelengths in an empty waveguide. To find the propagation constant k_z and the field structure in a waveguide with

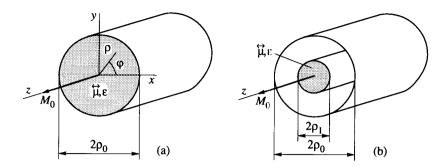


FIGURE 5.1 Circular waveguides with longitudinally magnetized ferrite.

a longitudinally magnetized gyrotropic medium the method of scalar potential functions mentioned in Section 4.1 can be used. This method is described in considerable detail in [153]. Here we will limit ourselves to some remarks. As the z dependence is harmonic for a wave propagating—as in our case—in z direction, the functions $\psi_{\perp 1,2}$ satisfy equation (4.29), and the quantities $\kappa_{1,2}$ are the roots of (4.27). The boundary conditions at the waveguide walls can be satisfied only by some linear combinations of two fields that correspond to the functions $\psi_{\perp 1}$ and $\psi_{\perp 2}$. These combinations are the normal modes of the waveguide. However, this problem can be solved analytically only in a limited number of cases. A circular waveguide filled with a longitudinally magnetized medium belongs to them.

5.1.1 Circular waveguide

Consider first a circular waveguide [Figure 5.1(a)] with perfectly conducting walls completely filled with the medium for which $\stackrel{\hookrightarrow}{\mu}$ has the form (4.23) and ε is a scalar. A particular solution of (4.29) in cylindrical coordinates (Figure 5.1) that remains finite at $\rho=0$ has the form [293]

$$\psi = \mathbf{J}_m(\kappa \rho) \exp(\mathrm{i} m \varphi) \tag{5.2}$$

where J_m is the Bessel function and $m=0,\pm 1,\pm 2,\ldots$ The scalar function ψ that corresponds to a *normal wave* can be written as

$$\psi = \left[A_1 \mathbf{J}_m(\kappa_1 \rho) + A_2 \mathbf{J}_m(\kappa_2 \rho) \right] \exp(im\varphi - ik_z z). \tag{5.3}$$

Substituting (5.3) into the boundary conditions for the function ψ [151] at $\rho = \rho_0$, we obtain a system of two uniform linear equations for A_1 and A_2 . Setting equal to zero the determinant of this system, we get the transcendental

equation

$$F_{1} - F_{2} = 0$$

$$F_{1,2} = \left(\frac{k_{0}^{2} \varepsilon \mu_{\perp} - k_{z}^{2}}{\kappa_{1,2}} - \kappa_{1,2}\right) \frac{J'_{m}(\kappa_{1,2}\rho_{0})}{J_{m}(\kappa_{1,2}\rho_{0})} + \frac{\mu_{a}m}{\mu\rho_{0}} \frac{k_{z}^{2}}{\kappa_{1,2}^{2}}$$
(5.4)

where $J'(\kappa\rho_0)$ is the derivative of $J(\kappa\rho_0)$ with respect to the argument. This equation was derived independently by Kales [203], Gintsburg [139], and Suhl and Walker [397]. Solving it together with the expressions for the roots $\kappa_{1,2}$ of (4.27), we can find κ_1 , κ_2 , and the propagation constant k_z .

It is very important that k_z occurs in (5.4), as well as in (4.27), only to the second power. Therefore, the value of $|k_z|$ is independent of the direction of propagation. At the same time, μ_a and m occur in (5.4) to the first powers, as a product $\mu_a m$. The sign of μ_a is determined by the direction of M_0 , and the sign of m represents the direction of the polarization rotation (right-hand for m < 0 and left-hand for m > 0) with respect to the direction of propagation. Therefore, the sign of $\mu_a m$ shows the direction of the polarization rotation with respect to the direction of the steady magnetization. The waves with right-hand and left-hand rotation with respect to this direction have different propagation constants $|k_{z+}|$ and $|k_{z-}|$. This distinction, in analogy with the unbounded medium (Section 4.2), leads to the turn of polarization, i.e., to the Faraday effect.

The analysis of equation (5.4) was carried out in detail in [397]. It was shown, in particular, that formula (4.48) is to be replaced now by

$$\vartheta = \frac{1}{2|m|} \left(k'_{z+} - k'_{z-} \right) l. \tag{5.5}$$

We can define a vector which lies in the cross section of the waveguide and is rigidly bound to the field structure. This vector can be referred to as a vector of *polarization of the wave*. It plays, in the case of a waveguide, the role of the field-polarization vector (Section 4.2), the turn of which is the Faraday effect.

5.1.2 Circular waveguide with ferrite rod

The circular (as well as any other) waveguide completely filled with gyrotropic medium (ferrite) is disadvantageous from the practical point of view. First, it has a low 'quality factor' (i.e., the ratio of the angle ϑ to the losses). Second, strong reflections at the boundary of this waveguide and the empty waveguide make matching difficult. These shortcomings can be diminished if the waveguide is *partly* filled with ferrite, e.g., if the circular waveguide contains a circular ferrite rod [Figure 5.1(a)].

This problem can also be solved strictly. The field in the ferrite rod $(\rho < \rho_1)$ must be represented by a sum of two fields corresponding to κ_1 and κ_2 . The field in the isotropic medium $(\rho_1 < \rho < \rho_0)$ with parameters $\mu_0 = 1$ and ε_0 is a sum of TE and TM fields. Each of them should contain Bessel functions $J_m(\kappa_0\rho)$ as

well as Bessel functions of the second kind (or Neumann functions) $N_m(\kappa_0\rho)$ [293] because the point $\rho=0$ (at which $N_m\to\infty$) does not belong now to the considered region. Thus, six arbitrary constants appear in the expressions for field components. The boundary conditions at $\rho=\rho_0$ and $\rho=\rho_1$ yield a system of six equations. Setting equal to zero the determinant of this system, we get a transcendental equation for the quantities k_z , κ_1 , κ_2 , and κ_0 , which is to be solved together with the expressions for the roots κ_1 and κ_2 of (4.27) and the expression $\kappa_0^2=k_0^2\varepsilon_0-k_z^2$. Omitting cumbersome calculations [285], we note that only k_z^2 and the product $\mu_a m$ occur in the mentioned equation as well as in (5.4). Therefore, the properties of the circular waveguide with a longitudinally magnetized ferrite rod are, qualitatively, the same as those of the circular waveguide filled with ferrite. In particular, formula (5.5) is valid now, too.

If $\rho_1/\rho_0 \ll 1$, formula (4.81) with the quasistatic approximation of the perturbed field (Table 4.1) can be used to calculate $k_{z\pm}$ and the angle ϑ for the circular waveguide with ferrite rod. Such calculation [153], in the most interesting case of the quasi-TE₁₁ wave, yields

$$\vartheta = 4.2k_{z0} \left(\frac{\rho_1}{\rho_0}\right)^2 \frac{\mu_a}{(\mu+1)^2 - \mu_a^2} l \tag{5.6}$$

where k_{z0} is the propagation constant in an empty waveguide. To estimate the limit of validity of this expression we use formula (4.93) and get, for $\varepsilon_0 = 10$, $\rho_1/\rho_0 \lesssim 0.05$.

Another variant of the perturbation method (Section 4.5), the weakly-gyrotropic approximation, can also be used [285]. Now the waveguide with the same ε and μ but with $\mu_a=0$ is regarded as the unperturbed system, and the appearance of the antisymmetric component μ_a is the perturbation; the ratio μ_a/μ is a small parameter. The results of calculations of the angle ϑ by all mentioned methods are shown in Figure 5.2.

The turn of polarization (the Faraday effect) in a circular waveguide can be regarded alternatively as a result of energy transfer from a linearly polarized, e.g., quasi- TE_{11} , wave to another wave, also linearly polarized quasi- TE_{11} but with the polarization vector (or the field pattern) turned through the angle of $\pi/2$ with respect to the polarization of the first wave. These waves are the normal waves of an empty waveguide, but they become *coupled* in a waveguide with longitudinally magnetized gyrotropic medium. Such treatment can be applied, as well, in the case of waveguide with a square cross section. The longitudinally magnetized gyrotropic medium leads to the coupling of the waves quasi- TE_{10} and quasi- TE_{01} in this waveguide.

The treatment in terms of *coupled waves* is especially useful if the distinction between the waves in the waveguide with a gyrotropic medium and the corresponding waves in the waveguide with an isotropic medium is small. This takes place when the radius of the ferrite rod is small, or the values of ε_a and μ_a are small.

The latter case is realized in optics. Consider, for instance, a plane optical

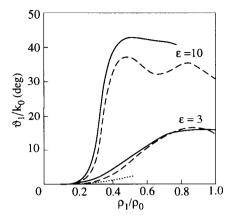


FIGURE 5.2

Turn of polarization in a circular waveguide with ferrite rod [285]. ϑ_1 is the angle of the turn on a distance l, and k_0 is the wave number in free space. Solid curves correspond to the rigorous calculation, dashed curves, to the calculation in the weakly-gyrotropic approximation, and the dotted curve, to the calculation using (5.6). $\mu=1$, $\mu_a=0.5$, $k_0=0.6\pi/\rho_0$.

waveguide (e.g., [7]), which consists of three dielectric layers with permittivities ε_1 , ε_2 , and ε_3 , the permittivity of the middle layer ε_2 being the greatest. (In fact, this waveguide is a thin film with ε_2 on a substrate with $\varepsilon_1 < \varepsilon_2$, a third 'layer' is air.) In the absence of gyrotropic media the normal waves in such waveguide are TE and TM waves. But if one of the layers is gyrotropic, these waves are coupled. If one of them is excited at the input, its energy is transferred into another wave during the propagation. Of course, this process can be considered, as well, in terms of *normal waves* of gyrotropic waveguide, which are neither TE nor TM. They are not transformed into one another but have different k_z values, and this results in the transformation of the structure of the propagating wave, which is the sum of these normal waves.

5.1.3 Faraday ferrite devices

The direction of the turn of the wave polarization in a waveguide with a longitudinally magnetized gyrotropic medium does not depend, as previously mentioned, on the direction of propagation, and it is reversed when the direction of the steady magnetization is changed. This effect, which is a manifestation of the nonreciprosity of such systems (Section 4.3), is used in designing nonreciprocal microwave and optical ferrite devices. The devices of such type (referred to as polarization or Faraday devices) were investigated by Hogan [183] (see also [247]).

Let us consider the device shown schematically in Figure 5.3. It is a section of a circular waveguide with a longitudinally magnetized ferrite cylinder on its

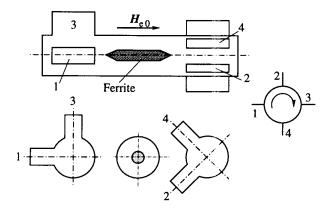


FIGURE 5.3
Faraday circulator.

axis and with two pairs of input and output rectangular waveguides. The linear polarization of the wave TE_{11} is turned by the ferrite sample through the angle $\vartheta=\pi/4$. The angle between the pairs of rectangular waveguides has the same value. It is easy to see that the wave coming, e.g., from port 1 (Figure 5.3) passes into port 2, and the wave from port 3 passes into port 4. As the sign of the angle ϑ is independent of the direction of propagation, the wave from port 2 will pass into port 3, and the wave from port 4 will pass into port 1. A device with such properties is called a *circulator* (in the considered case, a four-port circulator). If the external steady field H_{e0} is turned to the opposite direction, the direction of energy circulation is reversed.

When matched loads are connected to ports 3 and 4, the circulator becomes an *isolator*, i.e., a device that isolates a generator (connected to port 1) from a load, e.g., from an antenna (connected to port 2). If a transmitter and an antenna are connected, as before, to ports 1 and 2, respectively, and a receiver is connected to port 3, the device works as a *duplexer* [247]. A Faraday circulator and, hence, an isolator or a duplexer have usually, in a frequency band of $\sim 10\%$, the following parameters: forward losses less than 0.5 dB and backward losses 25–30 dB. Changing, continuously or discretely, the magnetic field $H_{e\,0}$, we obtain an amplitude *modulator* or a *switch*. The frequencies of modulation as high as ~ 10 MHz can be reached with comparatively low magnetic-field amplitudes. All the above-mentioned Faraday devices can be constructed using the waveguide with square cross section, as well.

A circular or a square waveguide with a longitudinally magnetized ferrite sample can also be applied in a controllable phase shifter or phase modulator. In this case, the circularly polarized wave is to be used with k_z , depending on magnetic field. It is worth noting, however, that a linearly polarized wave in a waveguide in which only this wave propagates can be used, as well, to design a controllable phase

shifter. As an example, the reciprocal Reggia-Spencer phase shifter [326] (see also [247]) may be mentioned.

5.2 Waveguide with transversely magnetized ferrite

In this section we will study the propagation of waves in waveguides that contain gyrotropic media magnetized at right angle to the waveguide axis. First of all, the rectangular waveguide completely filled with such a medium will be treated.

5.2.1 Rectangular waveguide filled with ferrite

Consider a rectangular waveguide (Figure 5.4) filled with the medium with parameters (4.23). The direction of magnetization, which coincides, as usual, with the z-axis, is normal to the wide waveguide walls. The simplest modes in this waveguide are such for which the fields do not depend on z. Let us first ignore the boundary conditions. Then, as it follows from (4.24), TE waves (with $h_z=0$) and TM waves (with $e_z=0$) can exist if $\partial/\partial z=0$. Substituting $e=e_0\exp(-\mathrm{i}k_yy)$ and $h=h_0\exp(-\mathrm{i}k_yy)$ into equations (4.14) and (4.16) and projecting these equations onto the coordinate axes, we obtain two independent systems: the following one¹

$$k_y e_z - k_0 \mu h_x - i k_0 \mu_a h_y = 0$$

$$i \frac{\partial e_z}{\partial x} - i k_0 \mu_a h_x + k_0 \mu h_y = 0$$

$$k_0 \varepsilon_{\parallel} e_z - k_y h_x + i \frac{\partial h_y}{\partial x} = 0$$
(5.7)

and a system for the components e_x , e_y , and h_z . The system (5.7) represents the waves TE_{n0} , and the second one represents the waves TM_{n0} (the subscripts n and 0 indicate the numbers of variations of the field-component amplitudes along the axes, respectively, x and z).

However, the waves TM_{n0} do not exist in this waveguide because they do not satisfy the boundary conditions. Indeed, the components e_x and e_y should be equal to zero at the walls z=0 and z=b and, hence, everywhere because $\partial/\partial z=0$.

For TE_{n0} waves, excluding h_x and x_y from (5.7), we find

$$\frac{\mathrm{d}^2 e_z}{\mathrm{d}x^2} + \kappa_x^2 e_z = 0 \tag{5.8}$$

¹In (5.7) and later on we omit the subscripts 0 at the components of e_0 and h_0 .

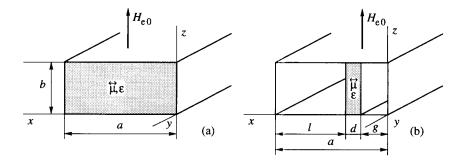


FIGURE 5.4
Rectangular waveguides with transversely magnetized ferrite.

where

$$\kappa_x^2 = k_0^2 \varepsilon_{\parallel} \mu_{\perp} - k_y^2. \tag{5.9}$$

The solution of (5.8), which satisfies the boundary conditions at x = 0 and x = a, has the form

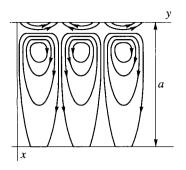
$$e_z = A \sin \kappa_x x$$
 $\kappa_x = \frac{n\pi}{a}$ $n = 1, 2, 3, \dots$ (5.10)

From (5.9) and (5.10) we obtain the propagation constant

$$k_y = \sqrt{k_0^2 \varepsilon_{\parallel} \mu_{\perp} - \left(\frac{n\pi}{\alpha}\right)^2}.$$
 (5.11)

Thus, the structure of the electric field of the waves TE_{n0} in a rectangular waveguide filled with transversely magnetized ferrite is the same as in the waveguide filled with an isotropic medium. It is easy to make sure that the structure of the ac magnetic induction is also the same as in the case of an isotropic medium. However, the structure of the ac magnetic field is quite different (Figure 5.5) and nonreciprocal. It changes with the reversal of the direction of propagation or the direction of magnetization and remains the same if both these directions are reversed.

The field structure in a rectangular waveguide filled with transversely magnetized medium is much more complicated if we allow for the field dependence on both transverse coordinates x and z. Normal waves are, then, the superpositions of partial waves with two values of κ_x . They are no more waves TE or TM but have six field components [356, 285]. It follows from (5.11) that, if $\mu_{\perp} < 0$, only such modes can propagate. The x dependences of the fields are represented, then, either by hyperbolic (with imaginary κ_x) or by trigonometric functions. In the first case, the fields 'press themselves' to one of the narrow (perpendicular to the x-axis) walls of the waveguide, depending on the direction of propagation. Such modes are referred to as ferrite-metal modes.



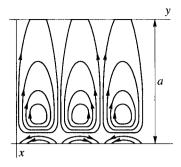


FIGURE 5.5

Magnetic force lines of a TE₁₀ wave in a rectangular waveguide filled with ferrite for two directions of propagation. $\varepsilon = 9$, $\mu = 0.9$, $\mu_a = 0.6$, $k_0 = 1.41\pi/a$.

5.2.2 Rectangular waveguide with ferrite plates

Let us turn now to waveguides partly filled with transversely magnetized gyrotropic medium. The simplest case is a rectangular waveguide with a ferrite plate parallel to the narrow waveguide walls (Figure 5.4). We limit ourselves again to the modes with $\partial/\partial z = 0$. Equation (5.8) is valid inside the plate; outside the plate

$$\frac{de_z^2}{dx^2} + \kappa_{x\,0}^2 e_z = 0 \tag{5.12}$$

$$\kappa_{x\,0}^2 = k_0^2 - k_y^2. \tag{5.13}$$

The solutions of (5.8) and (5.12) that satisfy the boundary conditions at x=0 and x=a are

$$e_z = A \sin \kappa_x 0x \qquad 0 < x < g$$

$$e_z = B \sin \kappa_x (x - g) + C \cos \kappa_x (x - g) \qquad g < x < g + d$$

$$e_z = D \sin \kappa_x 0 (a - x) \qquad g + d < x < a. \quad (5.14)$$

Four conditions of continuity of e_z and h_y at x = g and x = g + d yield a system of equations for the coefficients A, B, C, and D. Setting equal to zero the determinant of this system, we obtain the equation derived first by Kales, Chait and Sakiotis [204]:

$$p \kappa_{x 0} \cot \kappa_x d (\tan \kappa_{x 0} g + \tan \kappa_{x 0} l) - (p^2 + q^2) \tan \kappa_{x 0} g \tan \kappa_{x 0} l$$
$$+ \kappa_{x 0}^2 + \kappa_{x 0} q (\tan \kappa_{x 0} g - \tan \kappa_{x 0} l) = 0 \quad (5.15)$$

where $p = \kappa_x/\mu_\perp$, $q = \mu_a k_y/(\mu\mu_\perp)$ and l = a - g - d.

Equation (5.15) contains the product $\mu_a k_y$. Therefore, the roots of this equation, $|k_y^+|$ and $|k_y^-|$, as well as the field patterns, are different for opposite directions

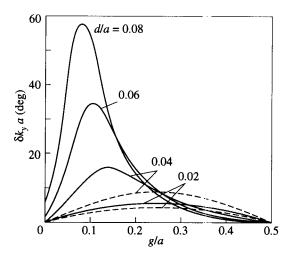


FIGURE 5.6

Dependence of nonreciprocal difference of propagation constants in a rectangular waveguide with ferrite plate on the location and thickness of the plate [Figure 5.4(b)]. Solid curves represent the results of rigorous calculation [158], dashed curves correspond to the calculation by the perturbation method (Section 4.5). $\varepsilon = 9$, $\mu = 0.9$, $\mu_a = 0.5$, $k_0 = 1.44\pi/a$.

of propagation or opposite directions of magnetization; they remain the same if both of these directions are reversed. The replacement $g \rightleftharpoons l$ results in the same change of $|k_y|$. If g = l, then $|k_y^+| = |k_y^-|$. An equation for critical frequency (or for critical waveguide dimensions at constant frequency) is obtained by taking $k_y = 0$ in (5.15). Then, μ_a will appear in this equation only to the second power. So, the *critical* values of frequency or of waveguide dimensions are independent of the directions of magnetization and propagation.

The dependence of the nonreciprocal difference $\delta k_y = |k_y^+| - |k_y^-|$ on the thickness and location of the ferrite plate obtained by numerical solution of equation (5.15) [158] is shown in Figure (5.6). The results of calculation by the perturbation method with quasistatic approximation of the internal field (Section 4.5) are also plotted. One can see that the perturbation method may be used only for $d \lesssim 0.02a$.

The field structures in a waveguide with a transversely magnetized plate are quite different, as it follows from (5.13), for $|k_y| < k_0$ and $|k_y| > k_0$. In the first case the x dependence outside the plate is represented by trigonometric functions, while in the second case it is represented by hyperbolic functions. The field structure inside the plate, according to (5.9), depends on the value of μ_{\perp} . If $\mu_{\perp} < 0$, i.e., the frequency lies in the range $\omega_{\perp} < \omega < \omega_H + \omega_M$ (Figure 1.6), the x dependence of the fields in the ferrite plate is represented by hyperbolic functions. In unbounded transversely magnetized ferrite, the wave propagation

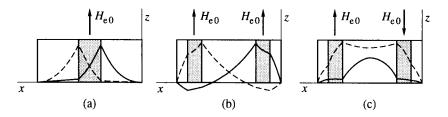


FIGURE 5.7

Electric-field patterns in waveguides with magnetized ferrite plates ($\partial/\partial z=0$). Solid and dashed lines correspond to opposite directions of propagation. (a) $\mu_{\perp}<0$ and (b,c) $\mu_{\perp}>0$.

in this case would be impossible. In a waveguide containing a ferrite plate with $\mu_{\perp} < 0$ the wave does propagate, but its field is 'pushed out' of the regions where the polarization of magnetic field is near right-hand circular. For opposite directions of propagation—as well as for opposite directions of magnetization—the fields 'press themselves' to the opposite surfaces of the plate (Figure 5.7). This is a manifestation of the *nonreciprocal field-displacement* effect.

The transcendental equations analogous to (5.15) can be obtained, in the case of $\partial/\partial z = 0$, for rectangular waveguides with an arbitrary number of transversely magnetized ferrite plates parallel to the narrow waveguide walls. The most interesting is the case of two plates located symmetrically in the waveguide and magnetized either in the same or in opposite directions (Figure 5.7). In the first case the propagation constants $|k_y|$ do not differ from each other for opposite directions of propagation, but the field structures are different. In the second case both the $|k_y|$ values and the field structures depend on the direction of propagation.

When the *energy losses* in ferrite are taken into account, the $\stackrel{\smile}{\mu}$ components and ε gain imaginary parts and the dispersion equations, e.g., (5.15), become complex. Solutions of these equations yield complex propagation constants $k_y=k_y'-\mathrm{i}k_y''$; the quantities k_y'' are the damping coefficients (decrements) of the waves. If the imaginary parts of μ , μ_a , and ε are small as compared with the real parts, the following approximate formula can be used:

$$k_y'' = \frac{\partial k_y'}{\partial \varepsilon'} \varepsilon'' + \frac{\partial k_y'}{\partial \mu'} \mu'' + \frac{\partial k_y'}{\partial \mu_a'} \mu_a''. \tag{5.16}$$

Near ferromagnetic resonance, where μ'' and μ''_a are of the same order of magnitude as μ' and μ'_a , this formula cannot be applied and it is necessary to find the complex roots of the dispersion equations. The results of this calculation [158] are shown in Figure 5.8. One can see from this figure that, in a position of the ferrite plate near the point of circular polarization of the magnetic field, the losses depend strongly on the direction of propagation. This phenomenon, which can be named nonreciprocal damping, is widely used in microwave ferrite devices. Note that the

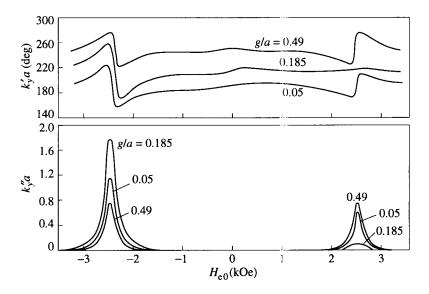


FIGURE 5.8

Real and imaginary parts of the propagation constant versus the external steady magnetic field for different locations of the magnetized ferrite plate in a rectangular waveguide [Figure 5.4(b)] calculated by computer solving of (5.15) [158]. Thickness of the plate d = 0.02a. Frequency is 9.4 GHz, $M_0 = 170$ G, $\omega_{\rm r} = 2 \times 10^9$ s⁻¹, $\varepsilon = 9$, the values of μ and μ_a were obtained using (1.69).

maximal damping occurs at the external magnetic-field value that coincides with the ferromagnetic-resonance field calculated with formula (1.92) for an ellipsoid with the same ratio of dimensions as for the ferrite plate in the waveguide.

5.2.3 Microwave ferrite devices

Devices in which the waveguides with transversely magnetized ferrite are used can perform almost all functions that perform the Faraday devices discussed in the previous section. Their parameters, in most cases, are not worse than the parameters of Faraday devices, whereas the constructions are simpler.

Consider first the isolators. One of them, a resonance isolator, in which the above-mentioned effect of nonreciprocal damping is used, is shown schematically in Figure 5.9(a). The dielectric plate serves to enhance the concentration of the field in the ferrite plate. Resonance isolators of this type have, in centimeter-wavelength range, forward losses of 0.2-0.3 dB and isolation (the ratio of backward to the forward losses) of more than 100 in a frequency band of approximately 20%.

Every waveguide that contains points with circular (or nearly circular) polarization of the magnetic field can be used to design the resonance isolator. In the case of a microstrip or a coaxial waveguide, a dielectric sample should be inserted to

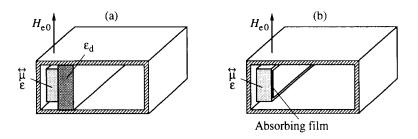


FIGURE 5.9

Microwave ferrite isolators using (a) resonance absorptions and (b) field-displacement effect.

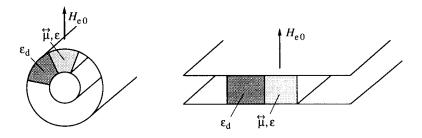


FIGURE 5.10 Coaxial resonance isolator and its parallel-plane analog.

obtain such points. Microstrip and coaxial waveguides with dielectric and ferrite samples cannot be analyzed rigorously, and a parallel-plane waveguide is often used as an approximate model. In Figure 5.10, a coaxial isolator and its parallel-plane analog are shown. It is clear from symmetry considerations that, without the dielectric sample, it is impossible to attain different losses at different directions of propagation. The microstrip and coaxial waveguides are wide-band. To get a wide-band isolator it is necessary only to ensure the ferromagnetic-resonance condition in the whole frequency band. This can be achieved, for instance, by the use of a nonuniform steady magnetic field. The frequency band of such isolators can be as large as an octave, the forward losses being 0.2–0.4 dB, and isolation, not less than 50.

The design of an isolator that uses the above-mentioned *field-displacement* effect is shown schematically in Figure 5.9(b). The dimensions and the position of the ferrite plate are chosen to make the electric field of the forward wave equal to zero at the place of the absorber. It has been pointed out above that one of the waves is effectively 'pushed out' of the ferrite plate if $\mu_{\perp} < 0$. This requirement must be fulfilled for good performance of a field-displacement isolator. The characteristic parameters of this device are: forward losses 0.1–0.3 dB and isolation ~ 100 . The field-displacement effect has been applied, as well, in constructing nonreciprocal

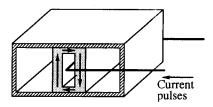


FIGURE 5.11Latching ferrite phase shifter. The arrows show one of the two magnetization directions.

devices, isolators and circulators using wide microstrip waveguides [330]. These devices have been called *edge guided* devices.

Let us briefly consider the ferrite microwave phase shifters. The rectangular waveguide with a magnetized ferrite plate, which has been discussed above, is the simplest nonreciprocal phase shifter. The steady magnetic field is, in this case, much less (or, sometimes, greater) than its resonance value $H_{\rm res}$. Then the decrement k_y'' can be sufficiently small at required values of the nonreciprocal difference $\delta k_y'$, as seen in Figure 5.8. The fields exceeding $H_{\rm res}$ are used at low frequencies to avoid the so-called *initial losses* caused by intrinsic ferromagnetic resonance (Section 8.3).

To design a *reciprocal* phase shifter we must put the ferrite plate into the central plane of the waveguide (g = l) in Figure 5.4) or use two plates symmetrically placed and magnetized in the same directions (Figure 5.7).

A 'toroidal' nonreciprocal phase shifter is shown schematically in Figure 5.11. If the ferrite has a sufficiently great value of remanent induction B_r and low coercive field H_c , then the phase shift can be controlled by short (e.g., microsecond) current pulses, and the phase shifter is a 'latching' device (with 'magnetic memory'). It should be noted that the phase shifts in this device can be calculated approximately on a model of a waveguide with two ferrite plates magnetized in opposite directions (Figure 5.7). The typical parameters of such devices are: losses 0.5–1 dB for the phase shift of 360° (i.e., the figure of merit is more than 300 deg dB⁻¹) in a 10% frequency band.

5.3 Resonators with gyrotropic media

We turn now to another fundamental problem of the electrodynamics of systems with gyrotropic media, to the problem of oscillations of an electromagnetic resonator containing such medium.

5.3.1 Eigenoscillations and forced oscillations

Consider a hollow resonator containing a medium the parameters of which, $\vec{\epsilon}$ and $\vec{\mu}$ are arbitrary functions of coordinates. Let us neglect, first, all kinds of losses, i.e., assume $\vec{\epsilon}$ and $\vec{\mu}$ to be Hermitian tensors (Section 4.1) and suppose the conductivity of the walls to be infinite. We introduce the complex amplitudes Ce_{ν} and iCh_{ν} of the fields of eigenoscillations (C is an arbitrary real quantity, and e_{ν} and h_{ν} are the eigenvectors). These complex amplitudes must satisfy the Maxwell equations (4.14) and (4.16) with $j_{\rm ext}=0$ and the boundary conditions (4.5). Hence,

$$\operatorname{rot} h_{\nu} = \frac{\omega_{\nu}}{c} \stackrel{\leftrightarrow}{\varepsilon} e_{\nu} \qquad \operatorname{rot} e_{\nu} = \frac{\omega_{\nu}}{c} \stackrel{\longleftrightarrow}{\mu} h_{\nu} \tag{5.17}$$

and, at the resonator surface S,

$$\mathbf{e}_{\nu} \times \mathbf{n}_0 = 0 \qquad \mathbf{n}_0 \overset{\leftrightarrow}{\mu} \mathbf{h}_{\nu} = 0 \tag{5.18}$$

where n_0 is a unit normal to S, ω_{ν} is the eigenfrequency corresponding to eigenvectors e_{ν} and h_{ν} , and ν indicates the number of an oscillation mode.

If $\vec{\epsilon}$ and $\vec{\mu}$ are symmetric tensors (in particular, scalars), their components are real in the absence of losses. Then, the eigenvectors e_{ν} and h_{ν} satisfy equations (5.17) with real coefficients and can be taken as real. The corresponding eigenoscillations are standing waves. However, if $\vec{\mu}$ or $\vec{\epsilon}$ have antisymmetric components (which are imaginary in the absence of losses), the solutions of (5.17) are complex. It means that the fields of eigenoscillations of a resonator with gyrotropic medium are not standing waves. The eigenfrequencies, nevertheless, remain real [153], as it should be for a system without losses.

Consider now the forced oscillations of the resonator, i.e., the excitation of harmonic oscillations, with frequency ω , under the action of external current density $j_{\rm ext}$, external charge density $\rho_{\rm ext}$, external magnetization $m_{\rm ext}$, and external fields at the apertures S_1 in the resonator walls. The quantities $j_{\rm ext}$, $\rho_{\rm ext}$, and $m_{\rm ext}$, as well as the external electric field $e_{\rm ext}$ and the external magnetic induction $b_{\rm ext}$ at S_1 , are assumed to be known. The Maxwell equations for the fields of forced oscillation and the boundary conditions are written as follows:

$$\operatorname{rot} e + \mathrm{i} k_0 \overset{\leftrightarrow}{\mu} h = -\mathrm{i} 4\pi k_0 m_{\text{ext}} \tag{5.19}$$

$$\operatorname{div}\left(\stackrel{\leftrightarrow}{\mu}\boldsymbol{h}\right) = -4\pi\operatorname{div}\boldsymbol{m}_{\mathsf{ext}} \tag{5.20}$$

$$\operatorname{rot} \boldsymbol{h} - \mathrm{i} k_0 \stackrel{\leftrightarrow}{\varepsilon} \boldsymbol{e} = \frac{4\pi}{c} \boldsymbol{j}_{\mathrm{ext}} \tag{5.21}$$

$$\operatorname{div}\left(\stackrel{\leftarrow}{\varepsilon}e\right) = 4\pi\rho_{\rm ext} \tag{5.22}$$

$$n_0 \times (e \times n_0) = e_{\text{ext}}$$
 $n_0 \overset{\rightarrow}{\mu} h = n_0 b_{\text{ext}}$ on S_1
 $n_0 \times (e \times n_0) = 0$ on $S - S_1$. (5.23)

The complex amplitudes of the forced-oscillation fields can be represented in the form

$$e = \sum_{\nu=1}^{\infty} \mathcal{E}_{\nu} e_{\nu} - \nabla \varphi \qquad h = \sum_{\nu=1}^{\infty} \mathcal{H}_{\nu} h_{\nu} + \nabla \psi$$
 (5.24)

where e_{ν} and h_{ν} are the above-considered eigenvectors, and the coefficients \mathcal{E}_{ν} and \mathcal{H}_{ν} , as well as the gradient functions φ and ψ , are to be found. (The distinction of the signs before $\nabla \varphi$ and $\nabla \psi$ in (5.24) is due to tradition.)

Note that the eigenvectors e_{ν} and h_{ν} satisfy the *orthogonality* relations [153]

$$\int_{V_0} e_{\nu}^* \stackrel{\leftrightarrow}{\varepsilon} \nabla \varphi dV = \int_{V_0} h_{\nu}^* \stackrel{\leftrightarrow}{\mu} \nabla \psi dV = 0$$
 (5.25)

$$\int_{V_0} \boldsymbol{e}_{\nu}^* \stackrel{\leftrightarrow}{\varepsilon} \boldsymbol{e}_{\nu'} dV = \int_{V_0} \boldsymbol{h}_{\nu}^* \stackrel{\leftrightarrow}{\mu} \boldsymbol{h}_{\nu'} dV = \Delta_{\nu\nu'}$$
 (5.26)

where V_0 is the resonator volume and $\Delta_{\nu\nu'}$ is the Kronecker delta symbol (Appendix C).

To find the coefficients \mathcal{E}_{ν} and \mathcal{H}_{ν} we multiply the first expression in (5.24) by $\vec{\epsilon}$ and then multiply it scalarly by e_{ν} . The second expression in (5.24) is multiplied, similarly, by $\vec{\mu}$ and by h_{ν} . Integrating the obtained expressions over V_0 and taking into account the orthogonality relations (5.25) and (5.26), we get the formulae

$$\mathcal{E}_{\nu} = \int_{V_0} e_{\nu}^* \stackrel{\leftrightarrow}{\varepsilon} e dV \qquad \mathcal{H}_{\nu} = \int_{V_0} h_{\nu}^* \stackrel{\leftrightarrow}{\mu} h dV. \tag{5.27}$$

Then we multiply (5.19) by h_{ν}^{*} and (5.21) by e_{ν}^{*} and integrate over V_{0} . After some transformations, using (5.27) and the boundary conditions and taking into account that $\vec{\varepsilon}$ and $\vec{\mu}$ are Hermitian tensors, we obtain ultimately

$$\mathcal{E}_{\nu} = \frac{-\mathrm{i}\omega I_{\nu} + \omega_{\nu} F_{\nu} - \mathrm{i}\omega \omega_{\nu} G_{\nu}}{\omega_{\nu}^{2} - \omega^{2}}$$
 (5.28)

$$\mathcal{H}_{\nu} = \frac{\omega_{\nu} I_{\nu} + i\omega F_{\nu} + \omega^2 G_{\nu}}{\omega_{\nu}^2 - \omega^2}$$
 (5.29)

where

$$I_{\nu} = 4\pi \int_{V_0} e_{\nu}^* j_{\text{ext}} dV$$
 (5.30)

$$G_{\nu} = c \int_{V_0} \boldsymbol{h}_{\nu}^* \boldsymbol{m}_{\text{ext}} dV$$
 (5.31)

$$F_{\nu} = c \int_{S_1} \left(\boldsymbol{h}_{\nu}^* \times \boldsymbol{e}_{\text{ext}} \right) n_0 dS. \tag{5.32}$$

If the losses are small ($\mu'' \ll \mu'$, $\mu''_a \ll \mu'_a$, etc.), they can be taken into account approximately by the following substitution in (5.28) and (5.30):

$$\omega_{\nu} \to \omega_{\nu}' + i\omega_{\nu}'' \equiv \omega_{\nu}' + i\frac{\omega_{\nu}'}{2Q_{\nu}}$$
 (5.33)

where ω_{ν}' can be assumed to be equal to ω_{ν} calculated without allowance for losses, and Q_{ν} is the quality factor of the resonator for the ν th mode.

We do not consider the calculation of the gradient functions as they do not play an important role in most cases. We note only that φ is determined by $\rho_{\rm ext}$ and ψ is determined by $m_{\rm ext}$ and $b_{\rm ext}$.

5.3.2 Waveguide resonators

A waveguide resonator is a section of a waveguide bounded by two metal planes perpendicular to the waveguide axis. The transverse components of the electric field (which is a superposition of fields of the incident and reflected waves) should be equal to zero at these planes. This boundary condition can be satisfied by the waves of a single mode only if the electric field structure for this mode is reciprocal. If the propagation constant $k(\omega)$ is also reciprocal, the equation for the resonator eigenfrequencies ω_{ν} has the form

$$k(\omega_{\nu}) = \frac{p\pi}{l}$$
 $p = 1, 2, 3, \dots$ (5.34)

where l is the resonator length. If the propagation constant is *nonreciprocal*, then $k(\omega)$ in the left-hand side of (5.34) is replaced by $[k_{+}(\omega) + k_{-}(\omega)]/2$.

It was shown in the previous section that both electric-field structure and propagation constant are reciprocal for waves TE_{n0} in a rectangular waveguide filled with transversely magnetized ferrite. Therefore, an equation for the eigenfrequencies ω_{ν} of a resonator that is a section of such a waveguide can easily be found using (5.11) and (5.34) [247]

$$\left(\frac{\omega_{\nu}}{\omega_{\nu 0}}\right)^{2} = \frac{\omega_{H} \left(\omega_{H} + \omega_{M}\right) - \omega_{\nu}^{2}}{\left(\omega_{H} + \omega_{M}\right)^{2} - \omega_{\nu}^{2}}$$
(5.35)

where $\omega_{\nu 0}=(c/\sqrt{\varepsilon})\sqrt{(n\pi/a)^2+(p\pi/l)^2}$ is the eigenfrequency of the rectangular resonator filled with isotropic medium with the same permittivity ε and $\mu=1$. The dependence of ω_{ν} on ω_H calculated by equations (5.35) is shown in Figure 5.12. It resembles the Wien graph for the eigenfrequencies of coupled oscillatory circuits (e.g., [256]). Thus, the resonator with ferrite can be regarded as a system consisting of two coupled subsystems: the resonator and ferrite.

The electric field structure and the propagation constant of a circular waveguide with a longitudinally magnetized *ferrite cylinder* (Section 5.1) are also both reciprocal. Therefore, the eigenfrequencies of the waveguide resonator that is a section of such a waveguide (Figure 5.13) can be, as well, calculated using equation (5.34). These frequencies will be different for the right-hand and the left-hand

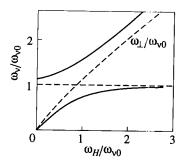


FIGURE 5.12

Magnetic-field dependence of the eigenfrequencies of a rectangular resonator filled with magnetized ferrite calculated using (5.35) (solid curves). Dashed lines show the uncoupled frequencies of the resonator $(\omega_{\nu 0})$ and of ferrite (ω_{\perp}) . $\omega_M = 0.5\omega_{\nu 0}$.

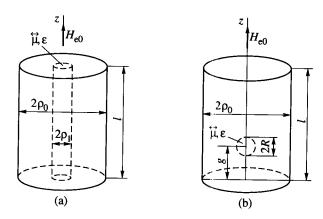


FIGURE 5.13
Cylindrical resonators with ferrite samples.

circular polarizations (relative to the direction of the steady magnetization), i.e., for $\exp(-i\varphi)$ and $\exp(i\varphi)$ dependences of the resonator fields on the azimuth angle. It should be noted that the repulsion of the ω_{ν} vs ω_{H} curves (analogous to that shown in Figure 5.12) will be much stronger for the right-hand polarized mode because in this case the resonator—in terms of coupled oscillations—interacts stronger with ferrite.

5.3.3 Ferrite resonators

The concept of coupled oscillations can be applied, as well, to open resonators consisting of dielectric (with $\varepsilon\gg 1$) and ferrite samples. However, of greater in-

terest are the so-called ferrite resonators, i.e., open resonators that contain nothing but a ferrite sample. The oscillations of such resonators, which can be regarded as coupled oscillations of an open dielectric resonator and ferrite, are called *magnetodynamic* oscillations. It should be pointed out that these oscillations take place in all experiments on ferromagnetic resonance in samples (e.g., ellipsoids) of a weakly conducting substance. The treatment of ferromagnetic resonance in Section 1.5, in which this fact was ignored, is approximately correct only if the dimensions of the sample are small in comparison with the wavelength $2\pi c/(\omega\sqrt{\varepsilon})$.

The eigenfrequencies of magnetodynamic oscillations can be calculated using the *method of coupled oscillations* in the form proposed by Auld [25]. Let us consider briefly this method. We rewrite, first, equations (5.17) for *eigenoscillations* (assuming ε to be a scalar and omitting the subscripts ν) in the form resembling (5.19) and (5.21):

$$rote + ik_0 h = -ik_0 4\pi m \qquad roth - ik_0 \varepsilon e = 0. \tag{5.36}$$

These equations can be regarded as equations for forced oscillations of a resonator with an isotropic medium excited by the magnetization m. Together with the equation of motion of the magnetization (1.36) they form a system of equations for coupled oscillations of a resonator and ferrite.

Taking into account (5.24), we can write (1.36) as

$$i\omega \boldsymbol{m} + \gamma \boldsymbol{m} \times \boldsymbol{H}_0 + \gamma \boldsymbol{M}_0 \times \nabla \psi = -\gamma \boldsymbol{M}_0 \times \sum_{\nu} \mathcal{H}_{\nu} \boldsymbol{h}_{\nu}.$$
 (5.37)

As $I_{\nu}=F_{\nu}=0$ and G_{ν} is determined by (5.31), expression (5.29) now has the form

$$\mathcal{H}_{\nu} = \frac{c \,\omega^2}{\omega_{\nu}^2 - \omega^2} \int_{V_1} \boldsymbol{h}_{\nu}^* \boldsymbol{m} dV$$
 (5.38)

where the integration is over the volume of the sample. So, we have obtained a system of equations (5.37) and (5.38), in which ω_{ν} are the eigenfrequencies of the resonator with isotropic medium, h_{ν} and $\nabla \psi$ are the eigenfunctions of this resonator, and ω is the unknown frequency of the coupled oscillations. The magnetization m in (5.38) can be represented by an infinite sum of eigenfunctions. If the sample dimensions are small, the magnetizations of magnetostatic modes (Section 6.3) may be taken as such eigenfunctions. The infinite system of equations (5.37) and (5.38) is rigorous, but it is difficult to find its strict solutions. Approximate solutions can be found if we limit ourselves to a finite number of eigenmodes of the resonator and the sample, which most strongly interact with each other.

This method was used [276] to analyze the magnetodynamic oscillations of a ferrite sphere. Only the uniform mode of the magnetization oscillations and the two lowest degenerate modes of a dielectric sphere were taken into account. The frequency ω_1 of these modes is equal approximately (if $\varepsilon \gg 1$) to $\pi c/(R\sqrt{\varepsilon})$ where R is the radius of the sphere. The calculation yields the following expression for

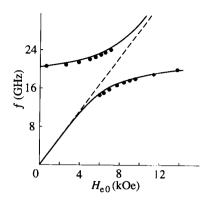


FIGURE 5.14

Eigenfrequencies of magnetodynamic oscillations of a YIG sphere with 2R=3.72 mm. Solid curves represent the theoretical dependence (5.39), circles represent the experimental data [328], the dashed line corresponds to the Kittel frequency of uniform ferromagnetic resonance at $R\to 0$.

the ferromagnetic-resonance field in a ferrite sphere:

$$H_{\text{res}} = \frac{\omega}{\gamma} + \frac{4}{\pi^2} 4\pi M_0 \frac{\omega^2}{\omega_1^2 - \omega^2}.$$
 (5.39)

The field dependence of the resonance frequency calculated by (5.39) is plotted in Figure 5.14 together with experimental data. One can see from this figure, as well as from (5.39), that the 'usual' condition for ferromagnetic resonance in a sphere $(H_{\text{res}} = \omega/\gamma)$ is approximately valid only if $\omega \ll \omega_1$, i.e., at low frequencies or for small spheres. In this case, it follows from (5.39) that

$$H_{\text{res}} = \frac{\omega}{\gamma} + \frac{4}{\pi^4} 4\pi M_0 \varepsilon \left(k_0 R\right)^2. \tag{5.40}$$

It should be noted that the influence of the sample dimensions on the frequency of ferromagnetic resonance (or, more correctly, of magnetodynamic oscillations) was calculated by Hurd [187] in another way, solving Maxwell's equations by the method of successive approximations. He obtained

$$H_{\text{res}} = \frac{\omega}{\gamma} + \frac{2}{45} 4\pi M_0 (\varepsilon + 5) (k_0 R)^2.$$
 (5.41)

If $\varepsilon \gg 1$, this expression differs from (5.40) only by a factor very near to 1. Formula (5.41), in contrast to (5.40), is valid at *arbitrary* values of ε , but, as well as (5.40), it holds only for *small spheres*. Formula (5.39) is not limited by this condition but is valid only at $\varepsilon \gg 1$.

5.3.4 Use of perturbation method

Let us return to the case of a ferrite sample in a hollow resonator. If the volume of the sample is small, as compared with the resonator volume, the perturbation method with quasistatic approximation of the internal field (Section 4.5) can be used to calculate the eigenfrequencies and the quality factors of the resonator. As an example of the application of this method, consider a cylindrical resonator with the mode TE_{mnp} containing a small ferrite sphere on its axis [Figure 5.13(b)]. (Some other examples can be found in [153].) If the sphere is magnetized along the resonator axis, the mode with circular polarization should be taken as an unperturbed mode because this mode will remain an eigenmode after perturbation.

The unperturbed-field components can be written in the form [151] (see also [153]):

$$h_z = \kappa^2 Z \psi_{\perp}$$
 $h_{\perp} = \frac{dZ}{dz} \nabla \psi_{\perp}$ $e = k_0 Z z_0 \times \nabla \psi_{\perp}$
 $Z = \sin k_z z$ $\psi_{\perp} = J_{|m|}(\kappa \rho) \exp(im\varphi)$ $k_z = \frac{\pi p}{e}$ (5.42)

where $\kappa \rho_0$ is the *n*th root of the equation $J'_{|m|}(\kappa \rho_0) = 0$ ($J'_{|m|}$ is the derivative of the Bessel function with respect to the argument) and ρ_0 is the radius of the resonator. Using formula (4.83) and Table 4.1, we obtain for the mode TE_{111}

$$\frac{\omega - \omega_0}{\omega} = -8.4 \frac{R^3}{l\rho_0^2} \left[\left(\frac{\pi}{k_0 l} \right)^2 \frac{\mu \pm \mu_a - 1}{\mu \pm \mu_a + 2} \cos^2 \frac{\pi g}{l} + \frac{\varepsilon - 1}{\varepsilon + 2} \sin^2 \frac{\pi g}{l} \right]$$
(5.43)

where R is the radius of the ferrite sphere, and the signs \pm correspond, respectively, to the right-hand and left-hand polarizations of the mode.

If the sphere is magnetized in the direction perpendicular to the resonator axis and parallel to the ac magnetic field, both the unperturbed and perturbed eigenmodes are the modes with linear polarization. In this case, $\mu \pm \mu_a$ in (5.43) will be replaced by μ_{\parallel} .

Resonators with small ferrite samples can be used, as Nikol'skii proposed [298], (see also [153]) to measure μ components and ε of ferrites. For polycrystalline ferrites, there are four complex parameters: μ , μ_a , μ_{\parallel} , and ε . To find their real and imaginary parts it is necessary to measure the changes of the resonance frequency and of the quality factor of a resonator in four independent experiments. Suppose that a sphere in the cylindrical resonator with TE₁₁₁ mode (Figure 5.13) is used. Then the real and imaginary parts of μ and μ_a can be found, according to (5.43), from the measurements with a sphere magnetized along the resonator axis and put at g=0. The component μ_{\parallel} is found using the sphere in the same position but magnetized in the direction perpendicular to the resonator axis. To find ε the sphere must be put at g=l/2.

Resonators with small ferromagnetic, ferrimagnetic, or antiferromagnetic samples, mostly spheres, are widely used in measurements of the *magnetic-resonance* parameters: the resonance field and the resonance linewidth. In this case, the

second term in the integrand of (4.83) can be neglected, either because it is small as compared with the first term, or because the frequency difference is measured with respect to the frequency of the resonator with the same sample but far from the magnetic resonance. The first term in the integrand of (4.83) can be transformed according to (4.94), and (4.83) takes the form

$$\frac{\omega - \omega_0}{\omega} = -\frac{2\pi}{W_0} \int_{V_1} \boldsymbol{h}_0^{\star - \epsilon} \boldsymbol{h}_0 dV$$
 (5.44)

where h_0 is the external magnetic field and $\overset{\leftrightarrow}{\chi}{}^e$ is the external susceptibility tensor.

Consider the most important case in which the sample is in the region of a *linearly* polarized ac magnetic field and the dimensions of the sample are *small* enough to regard this field as independent of coordinates in the volume of the sample. Then, taking (4.84) into account, we find from (5.44) that

$$\delta\omega = -2\pi\omega_0 \alpha \frac{V_1}{V_0} \chi^{e'} \tag{5.45}$$

$$\delta\left(\frac{1}{Q}\right) = 4\pi\alpha \frac{V_1}{V_0} \chi^{\epsilon''}.$$
 (5.46)

Here χ^e is the transverse diagonal component of $\dot{\chi}^e$, V_1 is the volume of the sample, V_0 is the volume of the resonator, and

$$\alpha = \frac{V_0 |h_0^0|^2}{\int_{V_0} |h_0|^2 dV}$$
 (5.47)

 (h_0^0) is the field at the point where the sample is located). The factor α can be easily calculated for resonators of simple shapes. For instance, $\alpha=2$ in the case of a sample in the magnetic-field maximum of a rectangular resonator with TE_{n0} mode. For other convex resonators, α differs but not very strongly from this value. It should be pointed out that $\delta\omega$ [or $\delta(1/Q)$] is the difference between ω (or 1/Q) values measured either with and without the sample or, in both cases, with the sample but at and far from ferromagnetic resonance. The latter case is more often used (if the resonance curve is not too wide).

5.4 Waveguides and waveguide junctions with ferrite samples

In this section the properties of waveguides with ferrite samples will be studied. We begin with the simplest problem of this type, the problem of a small ferrite sample in a regular (i.e., unbounded and with constant cross section) waveguide.

5.4.1 Ferrite ellipsoid in a waveguide

Consider, according to [154], an ellipsoid with parameters μ and ε in a regular waveguide. The amplitude of the incident wave is given, and the electromagnetic field in the waveguide far from the ellipsoid is to be found. The perturbation method in such a variant, when the given field of the incident wave is assumed to be the unperturbed field acting on the sample, can lead to an approximately correct solution only if the ferrite sample is very small. For realistic dimensions and parameters of the sample, we must suppose that the sample magnetization is determined by the *self-consistent* field, which is the sum of field h_0 of the incident wave and the field h_r radiated by the sample. If condition (4.93) is satisfied, the magnetization can be written as

$$\boldsymbol{m} = \overset{\leftrightarrow}{\chi}{}^{\mathrm{e}}(\boldsymbol{h}_0 + \boldsymbol{h}_{\mathrm{r}}). \tag{5.48}$$

The radiation field excited by the magnetization m, in general, is the sum of all normal waves propagating in the waveguide and the near (nonpropagating) modes. However, it is of no sense to substitute the highly nonuniform near fields into equation (5.48), which is valid only for the uniform fields. And if there exists only one propagating wave, then only the field of this wave must be taken into account.

Assume, e.g., that the ellipsoid is located (Figure 5.15) at the axis of a rectangular waveguide in which only the wave TE_{10} propagates. The transverse component of the given magnetic field of this wave is

$$h_{0x} = \sin \frac{\pi x}{a} \exp(-\mathrm{i}k_y y). \tag{5.49}$$

The radiation field should be calculated by solving the problem of excitation of the field in a waveguide by a given magnetization, which is analogous, in some sense, to the problem of excitation of the field in a resonator considered in the preceding section. We write down the result of such calculation for the field TE_{10} [154]:

$$h_{\mathsf{r}\,x} = -\mathrm{i}w m_x \sin\frac{\pi x}{a} \exp(\mp \mathrm{i}k_y y) \qquad w = 4\pi \frac{V_1 k_y}{S} \tag{5.50}$$

where V_1 is the volume of the ellipsoid and S is the area of the waveguide cross section. Substituting (5.49) and (5.50) into the projection of (5.48), we find m_x and the transverse component of the radiation field

$$h_{\text{r}x} = -\frac{\mathrm{i}w\chi^{\text{e}}}{1 + \mathrm{i}w\chi^{\text{e}}}\sin\frac{\pi x}{a}\exp(\mp\mathrm{i}k_{y}y). \tag{5.51}$$

The *reflection* coefficient related to the cross section in which the center of the sample lies is

$$\Gamma \equiv \frac{h_{\text{r}x}(y=0)}{h_{0x}(y=0)} = \frac{-iw\chi^{\text{e}}}{1+iw\chi^{\text{e}}}.$$
 (5.52)

FIGURE 5.15

Dependence of the transmission |D| and reflection $|\Gamma|$ coefficients and of the relative absorbed power T at resonance on the coefficient of coupling for a magnetized ferrite ellipsoid in a rectangular waveguide [154].

The transmission coefficient related to the same cross section

$$D \equiv \frac{h_{0x}(y=0) + h_{rx}(y=0)}{h_{0x}(y=0)} = \frac{1}{1 + iw\chi^{e}}.$$
 (5.53)

The ratio of the power absorbed by the sample to the power of the incident wave

$$T = 1 - |\Gamma|^2 - |D|^2. \tag{5.54}$$

At ferromagnetic resonance ($\chi^{e'} \cong 0$, $\chi^{e''} = \chi^{e''}_{res}$)

$$\Gamma_{\text{res}} = \frac{-q}{1+q} \qquad D_{\text{res}} = \frac{1}{1+q} \qquad T_{\text{res}} = \frac{2q}{(1+q)^2}$$
(5.55)

$$q = w\chi_{\text{res}}^{e''} = 4\pi \frac{V_1}{S} k_y \chi_{\text{res}}^{e''}.$$
 (5.56)

The quantity q can be called the *coefficient of coupling* of the sample with the waveguide. The dependences of $\Gamma_{\rm res}$, $D_{\rm res}$, and $T_{\rm res}$ on q are plotted in Figure 5.15. With increasing q, i.e., with growing sample volume and narrowing resonance line, $|\Gamma| \to 1$, $D \to 0$, and $T \to 0$.

Analogous calculation for the sample at a point of *circular polarization* of the magnetic field with right-hand rotation (with respect to the direction of the steady magnetization) shows that, in this case, $\Gamma_{\rm res}=0$ at any value of q, while $D\to 1$ and $T\to 0$ at q=0 and $q\to\infty$; the total absorption (T=1) occurs at q=1. The sample located at a point of the left-hand circular polarization does not influence, as could be expected, the wave propagation in the waveguide. So, a section of a rectangular waveguide with a ferrite sample located at a point of circular polarization and magnetized along a normal to the wide waveguide wall is a nonreciprocal band-rejection filter.

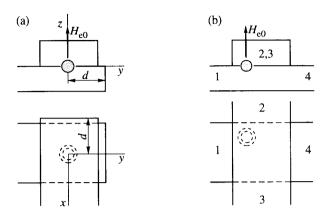


FIGURE 5.16

Rectangular waveguides coupled by a magnetized ferrite sphere located (a) at points with linear polarization of the ac magnetic field (a band-pass filter) and (b) at points with circular polarization (a four-port circulator).

The problem of an ellipsoid in a *short-circuited* waveguide can be solved, as well [154]. Both the external (h_0) and the radiation (h_r) fields are, in this case, the sums of the fields of incident waves and waves reflected from the shorting plane. If this plane is located at a distance $d = n\pi/k_y$ (n = 0, 1, 2...) from the sample, then

$$\Gamma_{\text{res}} = \frac{1 - 2q}{1 + 2q}.\tag{5.57}$$

5.4.2 Coupling of orthogonal waveguides. Ferrite band-pass filters

Let us discuss now the problem of a ferrite ellipsoid that couples two waveguides. Consider, e.g., two rectangular waveguides perpendicular to each other, with a hole in their common wall and a small ferrite ellipsoid in the hole [154]. Assume that the ac magnetic field is uniform in the volume of the sample and is the sum of TE_{10} fields of both waveguides. Of course, these assumptions are not valid strictly, but they allow one to solve the problem rather easily and obtain qualitatively correct results.

If the hole is in the *middle* of both the waveguide wide walls, as in Figure 5.16(a), then, with the mentioned assumptions, it follows from (5.48) that

$$m_{x} = \chi^{e} (h_{0x1} + h_{rx1}) + i\chi_{a}^{e} (h_{0y2} + h_{ry2})$$

$$m_{y} = -i\chi_{a}^{e} (h_{0x1} + h_{rx1}) + \chi^{e} (h_{0y2} + h_{ry2})$$
(5.58)

where the subscripts 1 and 2 correspond, respectively, to the lower and upper waveguides in Figure 5.16, and the axes are directed as in this figure. The

characteristics of the considered waveguide junction can be obtained [154] in the same manner as in the above-treated problem. We cite, as an example, the expression for the transmission coefficient in the case of Figure 5.16(a) when both waveguides are short-circuited at the distance d from the sample:

$$D_{\text{res}} = \frac{4iq \cos^2 k_y d \exp(-2ik_y d)}{1 + 4q \cos k_y d \exp(-ik_y d)}.$$
 (5.59)

One can see that $|D_{\rm res}| \to 1$ (if $k_y d = 0, \pi$) when $q \to \infty$. This means that the ferrite sample of sufficiently large dimensions or with sufficiently narrow resonance line reradiates almost all power into the second waveguide.

Ferrite samples in waveguides and waveguide junctions are widely used in microwave engineering to design ferrite filters (e.g., [179]), which can be tuned over very broad frequency ranges by changing the steady magnetic field. It has been already mentioned above that a nonreciprocal absorption band-rejection filter is realized with the use of a ferrite sample at a point of the magnetic-field circular polarization. Using a sample at a point of linear polarization (at the waveguide axis), a reflection-type band-rejection filter can be made.

The above-considered junction of two short-circuited waveguides with a ferrite sample in a hole between them [Figure 5.16(a)] is a band-pass filter. Small losses in the center of the transmission band (at ferromagnetic resonance) take place in such filter if $q \gg 1$. But if the sample is put at a point of the circular polarization, the minimal losses would occur when q = 1.

The nonreciprocity, which appears when the ferrite sample is located at a point of the circular polarization of the magnetic field, provides a possibility to realize a *filter-circulator* [Figure 5.16(b)]. If the device is feeded from port 1, the ac magnetic field of the incident wave has, at the point where the sample is located, the right-hand circular polarization (relative to the direction of the steady magnetization shown in the figure). Only such a wave, i.e., the wave that propagates towards port 2, will be excited by the sample in the upper waveguide. There will be no reflected wave in the input port 1, and no power will be transmitted to port 4. It is easy to make sure that the power from port 2 is transmitted into port 3, and so on. Thus, the device is a circulator (Section 5.1). At the same time, it is a filter, which can be tuned by changing the steady magnetic field.

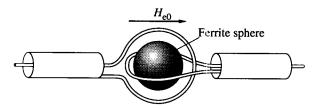


FIGURE 5.17
Coaxial ferrite filter.

Other waveguides can also be applied in designing microwave ferrite filters [179]. The *coaxial* filters (Figure 5.17) are the most widely used. As the parameters of the coaxial waveguide and of the coils weakly depend on frequency, such filters can be tuned (by changing the steady magnetic field) in frequency bands up to several octaves, which lie in a very broad range, approximately, of 0.1–20 GHz.

The losses (at the central frequency) of the band-pass ferrite filters, in which, as in the above-considered examples, one ferrite sample is used, are 0.5 –1 dB. The bands (at constant magnetic field) are some tens of megacycles. To improve the shape of the amplitude-frequency characteristic, filters with two, three, and even more ferrite samples can be used.

5.4.3 General properties of nonreciprocal junctions

Ferrite band-pass and band-rejection filters discussed above are examples of non-reciprocal waveguide junctions. To study the general characteristics of such junctions the scattering matrix is used to great advantage (e.g., [247]). Let us review briefly some properties of this matrix.

Consider a junction with n waveguide ports (Figure 5.18). In every port there are, in general, an incident wave and a wave reflected from the junction. Their fields can be written as

$$e_p^+ = A_p \mathcal{E}_p \exp\left(-ik_{zp}z\right) \qquad e_p^- = B_p \mathcal{E}_p \exp\left(ik_{zp}z\right)$$

$$h_p^+ = A_p \mathcal{H}_p \exp\left(-ik_{zp}z\right) \qquad h_p^- = B_p \mathcal{H}_p \exp\left(ik_{zp}z\right)$$
 (5.60)

where \mathcal{E}_p and \mathcal{H}_p are the eigenfunctions of the waveguides that form the ports and p is the number of a port $(p = 1, 2, \dots n)$.

Assuming the junction to be linear, we can write

$$B_p = \sum_{q=1}^{n} S_{pq} A_q \tag{5.61}$$

or, in the matrix form,

$$||B|| = ||S|| \, ||A|| \tag{5.62}$$

where ||A|| and ||B|| are column matrices of the amplitudes A_p and B_p and ||S|| is a square scattering matrix:

$$||S|| = \begin{vmatrix} S_{11} & S_{12} & \dots & S_{1n} \\ S_{21} & S_{22} & \dots & S_{2n} \\ \dots & \dots & \dots & \dots \\ S_{n1} & S_{n2} & \dots & S_{nn} \end{vmatrix} .$$
 (5.63)

The element S_{pp} is the reflection coefficient in the port p in the absence of incident waves (i.e., in the absence of sources and unmatched loads) in all other ports. The

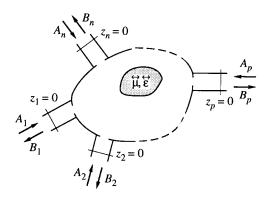


FIGURE 5.18 Nonreciprocal waveguide junction.

element S_{pq} is the transmission coefficient from port q into port p in the absence of incident waves in all ports but the q port.

For a junction without losses the scattering matrix ||S|| is unitary² (e.g., [247]). The scattering matrix for a reciprocal junction is symmetric $(S_{pq} = S_{qp})$. For a nonreciprocal junction,

$$S_{qp} - S_{pq} \equiv \delta S_{pq} \neq 0. \tag{5.64}$$

To find the nonreciprocal difference δS_{pq} formula (4.63) can be used. The fields with subscripts 1 and 2 in this formula are the sums of the fields of incident and reflected waves. Suppose that, for a process 1, the incident wave exists only in port p, and for a process 2, only in port q. Then, taking (5.60) into account, we can obtain from (4.63)

$$\delta S_{pq} = \frac{1}{2} \frac{J_a}{A_p A_q}.\tag{5.65}$$

Thus, $J_a \neq 0$ is the condition for nonsymmetry of the scattering matrix, i.e., for the nonreciprocity of the junction.

Consider, first, a *two-port* junction. In the absence of losses, the unitary matrix of this junction can be written in the form [247]

$$||S|| = \left| \left| \begin{array}{cc} a \exp(i\alpha) & \sqrt{1 - a^2} \exp(i\alpha + i\varphi) \\ -\sqrt{1 - a^2} \exp(i\beta - i\varphi) & a \exp(i\beta) \end{array} \right| \right|$$
 (5.66)

where a, α , β , and φ are arbitrary (but $a \le 1$) real quantities. One can see from (5.66) that the two-port junction *without* losses can be a nonreciprocal

²A matrix ||S|| is called unitary (e.g., [293]) if $||\tilde{S}|| \cdot ||S||^* = |I|$, where $||\tilde{S}||$ is a transpose matrix with elements $(\tilde{S})_{pq} = S_{qp}, ||S^*||$ is a complex conjugate matrix with elements $(S^*)_{pq} = S_{pq}^*$, and ||I|| is a diagonal matrix with elements $I_{pp} = 1$ (a unit matrix).

phase shifter but cannot be an isolator. The scattering matrix of an ideal isolator $(S_{11} = S_{22} = S_{21} = 0, |S_{12}| = 1)$ is not unitary. A junction with such matrix can be realized only in the presence of *losses*. One more interesting conclusion follows from (5.66): as $|S_{11}| = |S_{22}|$, if we match an arbitrary two-port junction (without losses) from one side, it will be matched from another side, too.

Let us pass now to *three-port* junctions. It is easy to show, using the unitarity of the scattering matrix, that a reciprocal three-port junction without losses cannot be matched simultaneously from all three ports. A *nonreciprocal* three-port junction *without losses* can be matched from all three ports and then becomes an ideal circulator. Let us prove this important theorem.

If the junction is matched, i.e., $S_{11} = S_{22} = S_{33} = 0$, then it follows from the unitarity of the scattering matrix that

$$S_{12}^*S_{13} = 0$$
 $S_{21}^*S_{23} = 0$ $S_{31}^*S_{32} = 0$ $|S_{12}|^2 + |S_{32}|^2 = 1$ $|S_{21}|^2 + |S_{31}|^2 = 1$ $|S_{13}|^2 + |S_{23}|^2 = 1$. (5.67)

The condition $S_{12}^*S_{13}=0$ can be satisfied if either $S_{12}=0$ or $S_{13}=0$. In the first case, it follows from other conditions (5.67) that

$$S_{12} = S_{23} = S_{31} = 0$$
 $|S_{21}| = |S_{13}| = |S_{32}| = 1$ (5.68)

and in the second case

$$S_{21} = S_{13} = S_{32} = 0$$
 $|S_{12}| = |S_{23}| = |S_{31}| = 1.$ (5.69)

The matrices with elements (5.68) and (5.69) represent ideal circulators with the directions of energy transmission, respectively, $1 \rightarrow 2 \rightarrow 3 \rightarrow 1$ and $1 \rightarrow 3 \rightarrow 2 \rightarrow 1$. The direction realized, depends, of course, on the direction of the magnetization of the ferrite sample in the junction.

Circulators with four and even more ports can be made, as well. But then, the reciprocity and perfect matching are not sufficient for the junction to be a circulator. The phase shifts between transmission coefficients into different ports must have definite values.

Symmetric three-port circulators, called Y circulators, have been designed using different waveguides: rectangular, coaxial, microstrip. These circulators are, perhaps, the most used microwave ferrite devices. The rectangular-waveguide Y circulator is shown in Figure 5.19. Its operation can be explained in the following way. The ferrite cylinder in the circulator can be regarded as a ferrite rod in a cylindrical resonator with the quasi-TM₁₁₀ mode (independent of coordinate z in the direction of the rod axis). The magnetic force lines for this mode are shown in Figure 5.19 in the cases of isotropic ($\mu_a = 0$) and gyrotropic ($\mu_a \neq 0$) rods. As pointed out in Section 5.3, the field in the resonator is the sum of the fields of the right-hand and left-hand circular polarized oscillations, i.e., waves propagating in opposite directions 'along the azimuth'. In the case of an isotropic (not magnetized) ferrite rod [Figure 5.19(b)] the wavelengths of these waves are

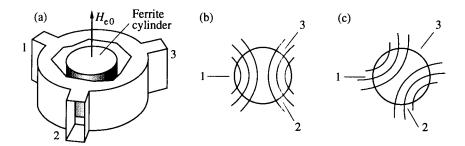


FIGURE 5.19

Y circulator: (a) an example of design, (b) ac magnetic force lines in and near the ferrite cylinder when $\mu_a = 0$, and (c) magnetic force lines when $\mu_a \neq 0$.

equal, and the maximum of the total field is located opposite the center of the input port (e.g., port 1) cross section. The power coming from this port is divided into equal parts between ports 2 and 3. However, if the ferrite cylinder is magnetized, the wavelengths of the waves propagating along the azimuth in opposite directions are different, and the field maximum shifts to one of ports 2 or 3. By choosing the proper parameters of the device (dimensions and the value of the steady magnetic field) one can make the field minimum to coincide [Figure 5.19(c)] with the center of port 2 or 3 (depending on the direction of magnetization of the ferrite cylinder). Then, all the power from port 1 will be transmitted into one of these ports.

The above-stated reasoning can be applied, as well, to other designs of a Y circulator because the main part of energy is concentrated in the ferrite sample, and the configuration of the surroundings does not play an important role.

The Y circulator is a resonance device, and its frequency band cannot be very large. To broaden it a ferrite sample with a highly nonuniform internal steady magnetic field can be used. Frequency bands wider than 30% can be achieved in such way. The forward losses of a Y circulator are usually less than 0.5 dB, and isolation is more than 20 dB [179, 330, 352].

Magnetostatic waves and oscillations

6.1 Magnetostatic approximation

It was shown in Section 4.2 that 'slow branches' (with small phase and group velocities) appear in the spectrum of electromagnetic waves in an unbounded magnetized ferromagnet. Similar branches exist, as well, in the spectra of waves in waveguides containing ferromagnetic (or ferrimagnetic) media [356]. Solving boundary problems for systems with gyrotropic media, we meet with great difficulties. They increase especially in the regions of strong dispersion, in which the slow branches appear. At the same time, for slow waves

$$k \gg k_0 \equiv \frac{\omega}{c}.\tag{6.1}$$

This allows us to use the method of successive approximations. In the zero approximation, taking (6.1) into account, we neglect the term $ik_0 \in e$ in the Maxwell equation (4.16). Then, this equation and (4.15) take the same form as the magnetostatic equations (4.6). In the problems we deal with, $j_{ext} = 0$. Hence, the equations can be written as

$$rot h = 0 div \left(\overrightarrow{\mu} h \right) = 0. (6.2)$$

Having solved these equations with regard for boundary conditions, we find h and $m = \stackrel{\leftrightarrow}{\chi} h$, the dispersion relations for normal waves, the eigenfrequencies of resonators, etc.

In the first approximation with respect to k_0/k , the electric field e can be found from (4.14) and (4.17). In the case of $\rho_{\rm ext}=0$ and constant scalar ε , these equations take the form

$$rote = -k_0 \overrightarrow{\mu} h \qquad dive = 0. \tag{6.3}$$

In the *second* approximation, using equation (4.16), we can calculate corrections to the dispersion relations and eigenfrequencies. The waves and oscillations for which this method can be applied are called magnetostatic waves and oscillations.

The above-mentioned zero approximation was already used in Sections 1.5 and 4.5. However, only *ellipsoidal* samples were considered, and an additional assumption of the *uniformity* of the ac magnetization was made. Therefore, it was possible to use the solution (1.82) of the magnetostatic problem for an ellipsoid. Now we have to solve the magnetostatic equations for other boundary conditions and without assumption of uniformity of the magnetization.

With increasing wave number k the exchange interaction (Section 1.1) becomes important. But in a certain interval of k values (which will be defined below) it is possible to neglect this interaction. Magnetostatic waves with such wave numbers must be called nonexchange magnetostatic waves. Often they are called, simply, magnetostatic waves, and magnetostatic waves with allowance for exchange interaction are called spin waves, although both of them are spin waves, and both are magnetostatic waves. Moreover, the greater k is, the more applicable is the magnetostatic approximation.

We consider first the nonexchange magnetostatic waves as a limiting case of the waves studied in Section 4.2. If (6.1) holds, it is possible to retain only the first term in (4.32). Then, for scalar ε , we get

$$\frac{\mu}{\mu_{\parallel}} = -\cot^2 \theta_k \equiv \frac{k_z^2}{k_x^2 + k_y^2} \tag{6.4}$$

where θ_k is the angle between M_0 and k. For a ferromagnet magnetized to saturation, $\mu_{\parallel}=1$, and, neglecting losses, we find from (6.4) and (1.54) that

$$\omega^2 = \omega_H \left(\omega_H + \omega_M \sin^2 \theta_k \right). \tag{6.5}$$

One can see from (6.5) that ω depends on the *direction of propagation* and lies in the range

$$\omega_H \le \omega \le \omega_\perp \equiv \sqrt{\omega_H(\omega_H + \omega_M)}$$
 (6.6)

in which $\mu < 0$. The frequency ω is *independent* of the wave number k, i.e., the group velocity $v_{\rm gr} \equiv \partial \omega / \partial k = 0$.

The field structure of the considered waves can be easily found using the general results of Section 4.2. In particular, for $\theta_k = 0$ vectors e and h are transverse and right-hand circularly polarized. For $\theta_k = \pi/2$ the vector e is parallel to M_0 , and h is parallel to the propagation direction. It is easy to make sure that in both cases and for arbitrary θ_k values, as well, the power flow (Section 4.4) is equal to zero. It correlates with the fact that $v_{\rm gr} = 0$ because the velocity of the energy transport coincides (rigorously in the absence of losses) with the group velocity.

The decrement k'' of the nonexchange magnetostatic waves in an unbounded medium is *infinite* for an arbitrary value of the dissipation parameter of the medium. This follows from the general relation

$$\omega'' = v_{\rm gr}k'' \tag{6.7}$$

which is valid for an arbitrary dispersion law in the case of small amplitudes and

small losses. In this relation, k'' is the imaginary part of the wave number for a stationary wave ($\omega''=0$), and ω'' is the imaginary part of the eigenfrequency (k''=0), which differs from the dissipation parameter ω_r (Section 1.4) only by a factor of the order of unity.

In reality, there are three reasons that lead to *finite group velocity* and, therefore, to finite values of energy flow and damping of magnetostatic waves: the influence of the term in Maxwell's equation neglected in the zero magnetostatic approximation, the influence of the exchange interaction, and the influence of boundary conditions (Section 6.2). However, in a certain range of k' values the influence of all three factors on the dispersion law appears to be small, and expression (6.5) can be used in the analysis of some important problems.

One of them is the degeneration of uniform magnetization oscillations and nonexchange magnetostatic waves. Comparing (6.5) with formula (1.92) for the eigenfrequency ω_0 of uniform oscillations of an ellipsoid (when the directions of H_{e0} and M_0 coincide), we see that ω_0 lies either in the interval (6.6) or above it. It is easy to make sure that the condition for degeneration (i.e., for ω_0 to lie in the mentioned interval) is

$$H_{e0} > \left(N_z + \frac{N_x N_y}{N_z}\right) M_0 \tag{6.8}$$

where $H_{\rm e\,0}$ is the external field and $N_{x,y,z}$ are the demagnetization factors of the sample. This condition cannot be satisfied for a thin cylinder magnetized along its axis, and it is always satisfied for normally magnetized thin film. For a sphere (6.8) can be written as

$$\omega_0 > \frac{2}{3}\omega_M. \tag{6.9}$$

We now have to derive the equations that can be used to solve more complicated boundary problems in the magnetostatic approximation. According to the first equation (6.2), the magnetostatic potential ψ can be introduced:

$$h = \nabla \psi. \tag{6.10}$$

Substituting (6.10) into the second equation (6.2), we obtain an equation

$$\operatorname{div}\left(\overrightarrow{\mu}\nabla\psi\right) = 0\tag{6.11}$$

which can be called *generalized Walker's equation*. To obtain the *boundary conditions* we introduce local coordinates ξ , η , ζ with the ζ axis coinciding with the normal to the boundary surface S. It follows then from the general conditions (4.4) that on S

$$\frac{\partial \psi_1}{\partial \xi} = \frac{\partial \psi_2}{\partial \xi} \qquad \frac{\partial \psi_1}{\partial \eta} = \frac{\partial \psi_2}{\partial \eta} \qquad \left(\overrightarrow{\mu}_1 \nabla \psi_1 \right)_{\zeta} = \left(\overrightarrow{\mu}_2 \nabla \psi_2 \right)_{\zeta} \tag{6.12}$$

where the subscripts 1 and 2 correspond to the two media.

Let us show that expressions (6.4) and (6.5) can be found from equation (6.11). Substituting the complex amplitude $\psi = \psi_0 \exp(-\mathrm{i}k_x x - \mathrm{i}k_y y - \mathrm{i}k_z z)$ (where ψ_0 is a constant quantity) into (6.11), we get

$$\mathbf{k}\left(\overrightarrow{\mu}\mathbf{k}\right) = 0. \tag{6.13}$$

For $\overrightarrow{\mu}$ in the form (4.23), equation (6.4) directly follows from (6.13), and (6.5) follows from (6.4) if $\mu_{\parallel}=1$. In the latter case (6.11) takes the form in which this equation was first written by Walker [432]:

$$\mu \left(\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} \right) + \frac{\partial^2 \psi}{\partial z^2} = 0 \tag{6.14}$$

(z axis is directed along M_0).

For a single crystal magnetized to saturation in the M_0 direction, $\vec{\mu}$ has the form (2.38), and (6.11) is written as

$$\mu_x \frac{\partial^2 \psi}{\partial x^2} + \mu_y \frac{\partial^2 \psi}{\partial y^2} + 2\mu_s \frac{\partial^2 \psi}{\partial x \partial y} + \frac{\partial^2 \psi}{\partial z^2} = 0$$
 (6.15)

where μ_x , μ_y , and μ_s have the form (2.39). It should be noted that the antisymmetric component μ_a does not enter (6.14) and (6.15), but it appears in the boundary conditions (6.12). To obtain the expressions for ω_x , ω_y , and ω_s [in (2.39)] we have to substitute in (1.114) the components $N_{11}^{\rm an}$, $N_{22}^{\rm an}$, and $N_{12}^{\rm an}$ of the anisotropy tensor $N_{11}^{\rm an}$ for the corresponding components of the demagnetization tensor $N_{11}^{\rm an}$ and substitute the sum $N_{11}^{\rm an}$ for $N_{12}^{\rm an}$ for $N_{13}^{\rm an}$ (Table 2.1).

For a uniform plane wave, $\psi = \psi_0 \exp(-ikr)$. Then, it follows from (6.15) that

$$\mu_x \cos^2 \varphi_k + \mu_y \sin^2 \varphi_k + \mu_s \sin 2\varphi_k + \cot \theta_k = 0. \tag{6.16}$$

Here θ_k and φ_k are the vector k angles in the coordinate system in which the polar axis coincides with the M_0 direction. The tensor N^{an} components must be written, of course, in the same system. Substituting (2.39) into (6.16), we get

$$\omega^2 = \omega_x \omega_y - \omega_s^2 + \omega_M \left(\frac{1}{2} (\omega_x + \omega_y) + \frac{1}{2} (\omega_x - \omega_y) \cos 2\varphi_k + \omega_s \sin 2\varphi_k \right) \sin^2 \theta_k.$$
(6.17)

Consider first a *uniaxial crystal*. Suppose that M_0 and H_0 directions coincide, neglect the anisotropy in the basal plane, and take into account only the first anisotropy constant in (2.31). Then, using expressions (2.42) (with $H_{A2} = 0$), we obtain from (6.17)

$$\left(\frac{\omega}{\gamma}\right)^2 = (H_0 + 2H_{A1}\cos 2\theta_0) \left(H_0 + 2H_{A1}\cos^2\theta_0\right) + 4\pi M_0 \left[H_0 + H_{A1} \left(3\cos^2\theta_0 - 1 - \sin^2\theta_0\cos 2\varphi_k\right)\right] \sin^2\theta_k.$$
 (6.18)

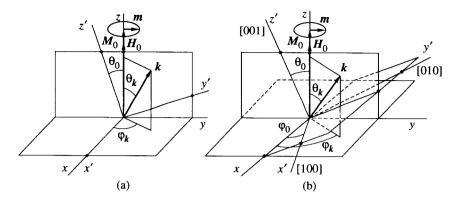


FIGURE 6.1 Coordinate axes for magnetostatic waves in (a) uniaxial and (b) cubic crystals. Axes x', y', and z' are the crystallographic axes.

Here $H_{A1} = K_1/M_0$, θ_0 is the angle between M_0 and the anisotropy axis z', and θ_k and φ_k are the angles of the vector k (Figure 6.1).

For a *cubic crystal*, we suppose again that the directions of M_0 and H_0 coincide, limit ourselves to the case of $H_{A2}=0$, and consider the particularly interesting case when M_0 lies in the {110} plane. Then, analogously to the previous case but substituting expressions (2.47) into (1.114) with $\varphi_0 = \pi/4$, we find

$$\left(\frac{\omega}{\gamma}\right)^{2} = \left[H_{0} + H_{A1}\left(-\frac{3}{8} + 2\cos 2\theta_{0} + \frac{3}{8}\cos 4\theta_{0}\right)\right] \times \left[H_{0} + H_{A1}\left(\frac{1}{2}\cos 2\theta_{0} + \frac{3}{2}\cos 4\theta_{0}\right)\right] + 4\pi M_{0}\left\{H_{0} + H_{A1}\left[-\frac{3}{16} + \frac{5}{4}\cos 2\theta_{0} + \frac{15}{16}\cos 4\theta_{0} + \left(\frac{3}{16} - \frac{3}{4}\cos 2\theta_{0} + \frac{9}{16}\cos 4\theta_{0}\right)\cos 2\varphi_{k}\right]\right\}\sin^{2}\theta_{k}$$
 (6.19)

where θ_0 is the angle between M_0 and the direction $\langle 100 \rangle$ (Figure 6.1). The dependence of the frequency on θ_0 for different directions of propagation is shown in Figure 6.2. One can see from the figure that ω does not depend on φ_k when M_0 is directed along the $\langle 100 \rangle$ or $\langle 111 \rangle$ axis, and this dependence is the strongest when M_0 is directed along the axis $\langle 110 \rangle$.

Comparing formulae (6.18) or (6.19) with formula (6.5) for an isotropic medium, we see that, if M_0 is directed along the anisotropy axis in a uniaxial crystal, as well as along the axes $\langle 100 \rangle$ or $\langle 111 \rangle$ in a cubic crystal, these formulae coincide

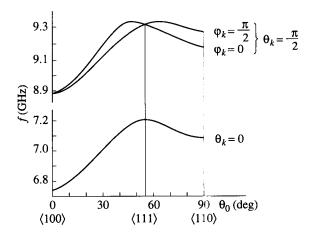


FIGURE 6.2 Dependence of the frequency of a magnetostatic wave in a cubic crystal on the direction of M_0 for different propagation directions. θ_0 is the angle between the M_0 and $\langle 100 \rangle$ axis in a $\{110\}$ plane. $M_0 = 139$ G, $K_1 = 6 \times 10^3$, $H_0 = 2.5$ kOe.

after the following substitution in (6.5):

$$H_0 \to H_0 + H^{\mathbf{A}}. \tag{6.20}$$

Here, $H^A = 2H_{A1}$ for a uniaxial crystal or for the axis $\langle 100 \rangle$ in a cubic crystal, and $H^A = -(4/3)H_{A1}$ for the axis $\langle 111 \rangle$ in a cubic crystal (in the last case, if only the first anisotropy constant is taken into account). These coincidences are the manifestations of a general theorem, which states that, for the mentioned directions of magnetization (the directions of extrema of the energy of anisotropy) and only for them, the solutions of all electrodynamic problems in an anisotropic medium are obtained from the solutions in an isotropic medium by the substitution (6.20).

The validity of the nonexchange magnetostatic approximation is limited, from low k values, by the need to use full Maxwell's equations, and from high k values, by the need to take into account the exchange interaction. To estimate the *lower limit* the following condition can be used: the difference between the frequency (6.5) and the correct value of the frequency, found, e.g., from (4.42) or (4.48), must not exceed a certain quantity. For media with narrow resonance lines, $\gamma \Delta H$ can be taken as such quantity. Then, we get

$$k > k_{\min} = k_0 \sqrt{\frac{4\pi M_0}{\Delta H}}.$$
 (6.21)

For a YIG single crystal ($4\pi M_0 = 1750 \,\mathrm{G}$, $\Delta H = 0.3 \,\mathrm{Oe}$), $k_{\mathrm{min}} = 250 \,\mathrm{cm}^{-1}$. For uniform oscillations in finite samples, k_{min} in (6.21) must be replaced by $2\pi/d_{\mathrm{max}}$ where d is the smallest of the sample dimensions.

The exchange interaction leads to the increase of the frequencies of magnetic waves (Section 7.1) by a quantity $\sim \gamma D k^2$ (where D is the nonuniform-exchange constant). Requiring that the quantity $\gamma D k^2$ should not exceed (for a single crystal) $\gamma \Delta H$, we obtain the estimate of the *upper limit* of the validity of the nonexchange magnetostatic approximation:

$$k < k_{\text{max}} = \sqrt{\frac{\Delta H}{D}}. (6.22)$$

For a YIG, $D = 5.2 \times 10^{-9}$, and $k_{\text{max}} \cong 6 \times 10^3$. So, there is an interval of k values (for a YIG, approximately, $10^2 - 10^4$) in which the nonexchange magnetostatic approximation may be used to calculate the frequencies of magnetic waves or oscillations.

6.2 Nonexchange magnetostatic waves in plates and rods

Magnetostatic waves in single-crystal ferrite films can be used in microwave engineering to design miniature devices such as filters, resonators, and delay lines. Therefore, we will study these waves in some detail: in this section, in the nonexchange approximation and in Section 7.2, making allowance for exchange interaction.

6.2.1 Volume waves in plates

Consider first an isotropic ferromagnetic plate magnetized to saturation along the normal to its surfaces (Figure 6.3). Suppose that both surfaces of the plate are metallized and there is no dependence of the fields on the coordinate x in the direction perpendicular to the direction of propagation. Then, the solution of (6.14) is 1

$$\psi = (A\cos k_z z + B\sin k_z z)\exp(-iky). \tag{6.23}$$

The boundary condition at z = 0 and z = d is

$$\left(\stackrel{\leftrightarrow}{\mu}\nabla\psi\right)\boldsymbol{n}_0=0\tag{6.24}$$

or in our case $\partial \psi / \partial n = 0$. Taking this into account, we get B = 0 and

$$k_z = \frac{n\pi}{d} \tag{6.25}$$

where d is the plate thickness and $n=1,2,3\ldots$ is the number of half-waves of ψ (and, hence, of the field components) in z direction.

¹Here and later on we omit the subscript at the vector k component in the direction of propagation.

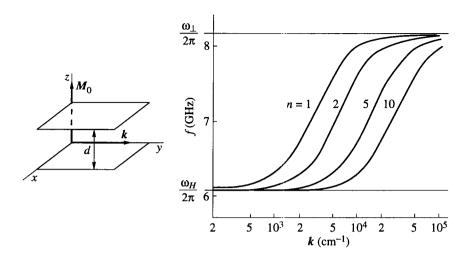


FIGURE 6.3

Dispersion curves of magnetostatic waves in a normally magnetized metallized ferromagnetic plate. $M_0=139~{\rm G},~H_0=3.9~{\rm kOe},~d=10~\mu{\rm m}.$

Substitution of (6.23) into (6.14) leads to

$$k_z^2 = -\mu k^2. (6.26)$$

As $k_z^2 > 0$, the propagating waves $(k^2 > 0)$ exist only if $\mu < 0$, i.e., in the frequency range (6.6). Expression (6.26), together with (6.25) and the frequency dependence of μ (1.54), determine the dispersion relation $\omega(k)$. It can be written in the form

$$\omega^2 = \omega_H \left(\omega_H + \frac{\omega_M}{1 + Z_p^2/(kd)^2} \right) \tag{6.27}$$

where $Z_n = n\pi$. In the limiting case of $kd \to \infty$, (6.27) transforms into the dispersion relation (6.5) for $\theta_k = \pi/2$. For finite k values, unlike the unbounded medium, ω depends on k, and the group velocity $v_{\rm gr} \equiv \partial \omega/\partial k \neq 0$.

In the considered case, ω increases with the growth of k (Figure 6.3) and $v_{\rm gr}>0$. Such waves are called *forward* waves. The expression for $v_{\rm gr}$ is obtained by differentiating (6.27). It follows from this expression, as well as from Figure 6.3, that $v_{\rm gr}$ passes through a maximum at a value of k near $n\pi/d$. The maximal $v_{\rm gr}$ values are of the order of

$$\left(v_{\rm gr}\right)_{\rm max} \sim \frac{\gamma M_0 d}{n}.$$
 (6.28)

For a YIG film $(d = 10 \ \mu\text{m}, n = 1), (v_{gr})_{max} \sim 2.5 \times 10^6.$

The components of the magnetic field h and magnetization $m = \overset{\rightarrow}{\chi} h$ are found easily from $\psi = A \cos k_z z \exp(-iky)$. The electric field e can be found, in the first

approximation, from equations (6.3) and the boundary conditions $e_x = e_y = 0$ at z = 0, d. It turns out that, if $k, k_z \ll k_0$ (which is the condition for the magnetostatic approximation to be valid), e is small as compared to h.

Consider now, following Bar'yakhtar and Kaganov [39], a ferromagnetic plate magnetized, as before, normally to its surface but *not metallized*. We have now to 'sew together' the fields in three regions: z < 0, 0 < z < d, and z > d, using the boundary conditions at the interfaces between these regions. For the potential inside the plate, the expression (6.23) is valid, and outside the plate

$$\psi_0 = \begin{cases} C \exp(\kappa_{0z} z - iky) & z < 0 \\ D \exp(-\kappa_{0z} z - iky) & z > d \end{cases}$$
 (6.29)

where κ_{0z} is real and positive. In the plate, (6.26) holds, and the substitution of ψ_0 into the Laplace equation results in

$$\kappa_{0z}^2 = k^2. {(6.30)}$$

To satisfy the boundary conditions (6.12) it is necessary, in this case, to take $\psi = \psi_0$ and $\partial \psi/\partial z = \partial \psi_0/\partial z$ at z = 0, d. This leads to a system of linear equations for the coefficients A, B, C, and D. Equating to zero the determinant of the system and taking into account (6.26) and (6.30), we obtain the transcendental equation

$$\tan Z = \frac{2Zkd}{Z^2 - (kd)^2} \tag{6.31}$$

where $Z = k_z d = \sqrt{-\mu} k d$.

Equation (6.31) has an infinite number of roots Z_n ($n=1,2,3,\ldots$) corresponding to different modes. These roots can be found by numerical or graphical (Figure 6.4) methods. Then, taking into account the frequency dependence of μ , we obtain the dispersion relation $\omega(k)$. It can be written, as before, in the form (6.27), but the quantities Z_n depend now on kd. The frequency range remains the same as for the metallized plate, the ω vs k curves are like those shown in Figure 6.3, and the estimate (6.28) is valid.

In the case of a tangentially magnetized plate (Figure 6.5), the potential in the plate can be written as

$$\psi = (A\cos k_x x + B\sin k_x x)\exp\left(-ik_y y - ik_z z\right). \tag{6.32}$$

Substituting it into equation (6.14), we get

$$-\mu \left(k_x^2 + k_y^2\right) = k_z^2. {(6.33)}$$

Consider, for simplicity, a *metallized* plate. The boundary condition (6.24) leads now to

$$\mu \frac{\partial \psi}{\partial z} + i\mu_a \frac{\partial \psi}{\partial y} = 0 \tag{6.34}$$

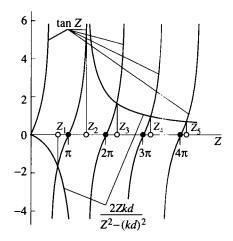


FIGURE 6.4

Graphical solution of equation (6.31) for a free normally magnetized plate. kd=4. Full circles represent Z_n values for a metallized plate.

at x = 0 and x = d. Being applied to (6.33), these conditions result in a system of equations for the coefficients A and B. Setting the determinant of this system equal to zero, we find

$$(\mu^2 k_x^2 + \mu_a^2 k_y^2) \sin k_x d = 0. (6.35)$$

As we limit ourselves, for the present, to the *volume* waves (with *trigonometric* dependence of the potential in the plate on the coordinate in the direction normal to the plate), we assume k_x in (6.35) to be real. Then, it follows from (6.35) that

$$k_x d = n\pi \equiv X_n. \tag{6.36}$$

Substituting (6.36) into (6.33) and taking into account the frequency dependence of μ , we obtain the dispersion relation

$$\omega^{2} = \omega_{H} \left(\omega_{H} + \frac{\omega_{M}}{1 + \frac{k_{z}^{2} d^{2}}{k_{y}^{2} d^{2} + X_{n}^{2}}} \right) \equiv \omega_{H} \left(\omega_{H} + \frac{\omega_{M}}{1 + \frac{\cos^{2} \theta_{k}}{\sin^{2} \theta_{k} + X_{n}^{2} / (kd)^{2}}} \right)$$
(6.37)

where $k^2 = k_y^2 + k_z^2$ and θ_k is the angle between the direction of magnetization and the direction of propagation (Figure 6.5).

The dispersion curves, calculated by formula (6.37), are plotted in Figure 6.5. One can see that, for $\theta_k = \pi/2$, the frequency does not depend on k. In the other limiting case of $\theta_k = 0$, i.e., when the wave propagates along M_0 , ω lies in the range (6.6) and decreases with growing k, i.e., $v_{\rm gr}$ is negative. This means

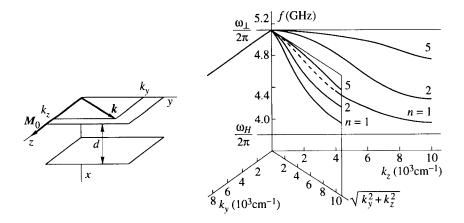


FIGURE 6.5 Dispersion curves of volume magnetostatic waves in a tangentially magnetized metallized plate. $M_0 = 90 \text{ G}$, $H_0 = 1.35 \text{ kOe}$, $d = 10 \mu\text{m}$.

that the group velocity vector $v_{\rm gr} = \partial \omega/\partial k$ is antiparallel to the vector k and to the phase velocity $v_{\rm ph} = k\omega/k^2$. Such waves are called backward waves. If $\theta_k \neq 0, \pi/2$, the dependence of ω on $k = (k_y^2 + k_z^2)^{1/2}$ is also a decreasing one (Figure 6.5), but the angle between group and phase velocities is neither equal to zero nor to π . This is the consequence of the anisotropy in the yz plane due to magnetization in the z direction. The direction of $v_{\rm ph}$ is usually determined by the exciting conductor (antenna), and the direction of $v_{\rm gr}$ depends on the direction and value of the steady magnetic field and on ω .

We will not consider the problem of magnetostatic volume wave propagation in a *free* (nonmetallized) tangentially magnetized plate, which was treated in detail by Damon and Eshbach [82]. We note only that the frequency range and the character of the dependence of ω on k_y and k_z remain the same as for the metallized plate. Formula (6.37) also remains valid, but the quantities X_n depend now on k_y and k_z .

6.2.2 Surface waves

We have considered as yet only such solutions of equation (6.14) (corresponding to the volume waves) for which the dependence of ψ inside the ferromagnetic plate on the coordinate ζ in the direction of the normal to the plate surface is represented by trigonometric functions. However, there exist solutions with $k_\zeta^2 < 0$, for which the dependence of ψ on ζ is represented by *hyperbolic* functions not only outside the ferromagnet but also *inside* it. Waves corresponding to such solutions are called *surface waves*.

For surface waves, the simpler problem of wave propagation along an interface

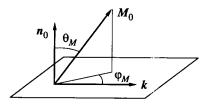


FIGURE 6.6
Surface wave at the boundary of a ferromagnet with metal or dielectric.

of half-infinite ferromagnet and half-infinite dielectric (or metal) is also meaningful. Gintsburg [141] was the first to study this problem. Consider a half-infinite ferromagnet magnetized tangentially to its surface and a wave propagating parallel to the surface and normally to the direction of magnetization ($\theta_M = \varphi_M = \pi/2$ in Figure 6.6). Consider first the propagation along the interface of the ferromagnet with perfect metal. The potential can be written in the form $\psi = A \exp(-\kappa x - \mathrm{i} ky)$ where κ is real and positive, and

$$k = s_k |k|. ag{6.38}$$

Here, $s_k = \pm 1$ where the upper sign corresponds to the propagation in the positive direction, and the lower sign corresponds to the propagation in the negative direction of the y axis.

Substituting ψ into equation (6.14), we get

$$\mu\left(\kappa^2 - k^2\right) = 0. \tag{6.39}$$

The boundary condition (6.24) leads to

$$\mu \kappa = \mu_a k. \tag{6.40}$$

It follows from (6.39) that either

$$\kappa = |k| \tag{6.41}$$

or $\mu=0$. The latter case is of no interest because then, according to (6.40), k=0. Note that, according to (1.54), μ_a changes the sign if the direction of M_0 is changed from positive, with respect to the z axis [what was assumed in deriving (1.54)], to negative. Hence, we may write

$$\mu_a = \tilde{\mu}_a s_M \tag{6.42}$$

where $\tilde{\mu}_a$ is defined according to (1.54), and $s_M=1$ if $M_0=z_0M_0$, and $s_M=-1$ if $M_0=-z_0M_0$. Substituting (6.38) and (6.42) into (6.40) and taking (6.41) into account, we get

$$\frac{\mu}{\mu_a} = s_M s_k \equiv s. \tag{6.43}$$

It follows from (1.49) or from Figure 1.5 that the ratio μ/μ_a is never equal to +1 and is equal to -1 at

$$\omega = \omega_H + \omega_M. \tag{6.44}$$

Thus, the frequency of a surface nonexchange magnetostatic wave, propagating along the boundary of a ferromagnet with metal, is independent of k and lies above the range (6.6). The direction of propagation is determined by the condition s = -1. It is easy to make sure that this condition is equivalent to the condition

$$\frac{\mathbf{k}}{k} = \mathbf{n}_0 \times \frac{\mathbf{M}_0}{M_0} \tag{6.45}$$

where n_0 is a unit normal to the boundary directed into the ferromagnet.

Consider now the wave propagating along the boundary of a ferromagnet and a *dielectric*. The potential in the ferromagnet (x > 0) is written as before, and expression (6.39) holds. In the dielectric (x < 0), $\psi_0 = C \exp(\kappa_0 x - \mathrm{i} ky)$. Substituting ψ_0 into the Laplace equation, we find $\kappa_0^2 = k^2$. So,

$$\kappa_0 = \kappa = |k|. \tag{6.46}$$

From the boundary conditions (6.12) at x = 0, it follows that A = C and

$$\kappa_0 + \mu \kappa = \mu_a k. \tag{6.47}$$

Taking into account (6.46), (6.38), and (6.42), we obtain from (6.47)

$$\frac{1+\mu}{\mu_a} = s_M s_k = s. ag{6.48}$$

It is easy to make sure that (6.48) is satisfied only for s = -1, and

$$\omega = \omega_H + \frac{1}{2}\omega_M. \tag{6.49}$$

Thus, the surface wave propagating along the boundary with a dielectric is characterized by the same nonreciprocity as the wave at the boundary with metal. Its frequency also lies above the frequency range of volume waves but nearer to the upper limit of this range.

Eshbach and Damon [112] studied surface waves at the boundary ferromagnet-dielectric for *arbitrary directions* of magnetization and propagation (Figure 6.6). It was shown that the waves exist if

$$\sin \theta_M \sin \varphi_M \ge \frac{\omega_H}{\omega_H + \omega_M} \tag{6.50}$$

and their frequencies are determined by a very simple formula:

$$\omega = \frac{\omega_H}{2} \frac{1}{\sin \theta_M \sin \varphi_M} + \frac{\omega_H + \omega_M}{2} \sin \theta_M \sin \varphi_M. \tag{6.51}$$

Expression (6.49) is a particular case of (6.51).

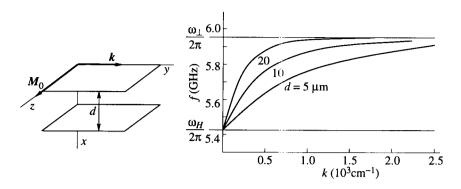


FIGURE 6.7

Dispersion curves for surface waves in a free ferromagnetic plate. $M_0=139~{\rm G},\,H=1.25~{\rm kOe}.$

Passing to the surface waves in *plates*, we limit ourselves to the simplest but very important case of a wave propagating *normally to the direction of magnetization* in a tangentially magnetized plate. Such a wave in a metallized plate is of no interest because its frequency does not depend on k, and we consider a *free* plate (Figure 6.7). This problem was studied by Damon and Eshbach [82].

The potential inside the plate can be written in the form

$$\psi = [A \exp(-\kappa x) + B \exp(\kappa x - \kappa d)] \exp(-iky)$$
 (6.52)

and outside the plate

$$\psi_0 = \begin{cases} C \exp(\kappa_0 x - iky) & x < 0 \\ D \exp(-\kappa_0 x + \kappa_0 d - iky) & x > d. \end{cases}$$
 (6.53)

Expression (6.46) holds as before, and a system of equations for the coefficients A, B, C, and D follows from the boundary conditions. Equating its determinant to zero, we obtain

$$\frac{\mu_a^2 k^2 - (\kappa \mu + \kappa_0)^2}{\mu_a^2 k^2 - (\kappa \mu - \kappa_0)^2} = \exp(-2\kappa d). \tag{6.54}$$

Taking into account (6.46) and dependences (1.54) of μ and μ_a on ω , ω_M , and ω_H , we find the dispersion relation

$$\omega^2 = \left(\omega_H + \frac{\omega_M}{2}\right)^2 - \left(\frac{\omega_M}{2}\right)^2 \exp(-2kd). \tag{6.55}$$

As it should be expected from the symmetry considerations, the frequency does not depend on the directions of M_0 and k. It depends on k (Figure 6.7) and lies in the range

$$\omega_{\perp} \le \omega \le \omega_H + \frac{1}{2}\omega_M. \tag{6.56}$$

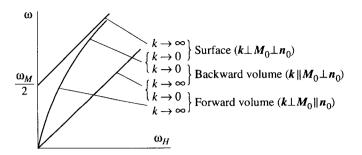


FIGURE 6.8 Regions of existence of nonexchange magnetostatic waves in a free ferromagnetic plate. n_0 is a unit normal to the plate surface.

This range adjoins the range (6.6), in which the frequencies of the volume waves lie (Figure 6.8). The group velocity of the considered surface wave is positive. This is in accordance with the general rule that magnetostatic waves, propagating normally to the direction of magnetization, are forward waves, and waves, propagating along this direction, are backward waves. The value of the *group velocity* is easily found by differentiating (6.55):

$$v_{\rm gr} = \frac{d\omega_M^2}{4\omega} \exp(-2kd). \tag{6.57}$$

In contrast to volume waves, this quantity decreases monotonically with growing k.

Having solved the system of equations for the coefficients A, B, C, and D, we find the potentials ψ and ψ_0 and can calculate the magnetic field $h = \nabla \psi$, the magnetization $m = \stackrel{\leftarrow}{\chi} h$, and, in the first approximation, the electric field e. We cite here only some qualitative results of these calculations. The potentials and all field components turn out to be nonreciprocal, i.e., their coordinate dependences change with the reversal of M_0 or k (Figure 6.9). The fields and magnetization 'press themselves' to one of the plate surfaces, depending on the direction of the $M_0 \times k$ vector (or on the sign of $s = s_M s_k$). The magnetic field and magnetization inside the plate are elliptically polarized. The magnetic field outside the plate is circularly polarized, the directions of polarization rotation being opposite at opposite sides of the plate. The electric field is linearly polarized in z direction.

Seshadri [358] studied a more complicated case when a tangentially magnetized ferromagnetic plate *adjoins metal* at one side (x = 0) and air at the other side (x = d). The dispersion relation for the surface wave, in this case, has the form

$$\left(1 + 2\frac{\omega_H}{\omega_M} + 2s\frac{\omega}{\omega_M}\right)\frac{\omega_H + \omega_M - s\omega}{\omega_H + \omega_M + s\omega} = \exp(-2kd). \tag{6.58}$$

In contrast to (6.55), s appears in this expression, i.e., the dispersion relation is

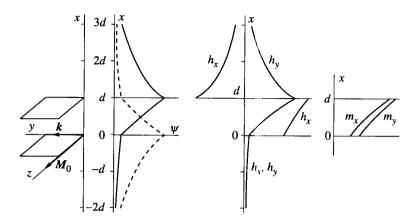


FIGURE 6.9

Potential, field, and magnetization patterns for a surface magnetostatic wave in a tangentially magnetized plate. $M_0=139~{\rm G}, H_0=1.25~{\rm kOe}, d=10~{\rm \mu m}$. Solid and dashed lines correspond to different directions of propagation.

nonreciprocal. If s = 1, the frequency lies in the range

$$\omega_{\perp} \le \omega \le \omega_H + \omega_M. \tag{6.59}$$

When $kd \to \infty$, it approaches the frequency (6.44) of the wave propagating along the boundary of ferromagnet with metal. If s=-1, the frequency lies in the range (6.56) and approaches, when $kd \to \infty$, the frequency (6.49) of the wave propagating along the boundary of a ferromagnet and a dielectric. The curves ω vs k for both values of s are plotted in Figure 6.10(c).

The dispersion relations of surface waves have been calculated, as well, for the structures shown in Figure 6.10(d),(e) [58, 457]. An interesting feature of these structures is the nonmonotonical dependence $\omega(k)$ for s=1: the wave is forward at small k values and is backward at large k values. Volume and surface waves in some other structures were also investigated (e.g., [8]). By varying the thicknesses and the magnetizations of the layers it is possible to approach the required dispersion relations, in particular, with approximately constant $v_{\rm gr}$ (for broadband delay lines) or with linear dependence $v_{\rm gr}(\omega)$ (for pulse-compression devices [4]).

6.2.3 Magnetostatic waves in waveguides with finite cross section

The existence of slow electromagnetic waves in ferrite-loaded waveguides with small cross section was first demonstrated theoretically by Seidel [356]. His, rather complicated, analysis was based on full Maxwell's equations. But if the waves are regarded from the very beginning as magnetostatic, such problems can

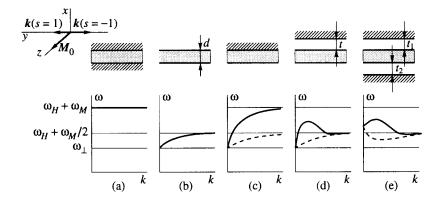


FIGURE 6.10

Frequencies of surface magnetostatic waves in different structures containing a tangentially magnetized ferrite plate. Solid lines and dashed lines correspond to s=1 and s=-1, respectively. Curves $\omega(k)$ relate to $t=t_1=2d$, $t_2=5d$.

be solved easily. In the case of a *metallized rectangular* ferrite rod, the solution was carried out by Auld and Mechta [27].

The magnetostatic potential can be written as

$$\psi = (A_x \cos k_x x + B_x \sin k_x x)(A_z \cos k_z z + B_z \sin k_z z) \exp(-iky). \quad (6.60)$$

Substituting this into the Walker equation (6.14), we get

$$-\mu (k_x^2 + k^2) = k_z^2. (6.61)$$

The boundary conditions at z = 0 and z = b (Figure 5.4) lead to

$$B_z = 0$$
 $k_z = \frac{m\pi}{b}$ $(m = 1, 2, 3...).$ (6.62)

There are two possibilities to satisfy the boundary conditions at x = 0 and x = a:

$$B_x = -\frac{s\tilde{\mu}_a k}{\mu k_x} A_x$$
 $k_x = \frac{n\pi}{a}$ $(n = 1, 2, 3...)$ (6.63)

$$B_x = iA_x$$
 $k_x = i\kappa_x$ $\kappa_x = \frac{sk\tilde{\mu}_a}{\mu}$. (6.64)

The first possibility corresponds to volume waves. Then, from (6.61)–(6.63) and the frequency dependence of μ , it follows:

$$\omega^2 = \omega_H \left[\omega_H + \omega_M \left(1 + \frac{(m\pi/b)^2}{(n\pi/a)^2 + k^2} \right)^{-1} \right]. \tag{6.65}$$

The second possibility corresponds to waves with hyperbolic x dependence and trigonometric z dependence. In this case, (6.61) transforms into $k_z^2 = -\mu_\perp k^2$,

and, taking (1.60) into account, we get

$$\omega^2 = (\omega_H + \omega_M) \left(\omega_H + \frac{\omega_M}{1 + (m\pi)^2/(kb)^2} \right). \tag{6.66}$$

The dispersion relations (6.65) and (6.66) are reciprocal, but the potentials and, hence, the field structures are nonreciprocal, as one can see from (6.63) and (6.64). Both waves are forward, as would be expected because the direction of propagation is normal to M_0 .

The problem of magnetostatic-wave propagation in a longitudinally magnetized *round rod* can also be solved rigorously. We must now use cylindrical coordinates (Figure 5.1), in which equation (6.11) takes the form

$$\mu \left(\frac{\partial^2 \psi}{\partial \rho^2} + \frac{1}{\rho} \frac{\partial \psi}{\partial \rho} + \frac{1}{\rho^2} \frac{\partial^2 \psi}{\partial \varphi^2} \right) - \frac{\partial^2 \psi}{\partial z^2} = 0. \tag{6.67}$$

Consider, for simplicity, a *metallized* rod. Note that it is the same problem as in Section 5.1 but solved now in the magnetostatic approximation. The solution of (6.67) can be written in the form

$$\psi = \mathbf{J}_m(\kappa \rho) \exp(\mathrm{i}m\varphi - \mathrm{i}kz) \tag{6.68}$$

where J_m is the Bessel function and $m = 0, \pm 1, \pm 2, \ldots$ The boundary condition

$$\mu \frac{\partial \psi}{\partial \rho} + i\mu_a \frac{1}{\rho} \frac{\partial \psi}{\partial \varphi} = 0 \quad \text{at } \rho = \rho_0$$
 (6.69)

follows from (6.24). Substituting (6.68) into (6.67), we find $k^2 = -\mu \kappa^2$, and it follows from (6.69):

$$\frac{XJ'_{m}(X)}{J_{m}(X)} = m\frac{\mu_{a}}{\mu} \tag{6.70}$$

where $X=\kappa\rho_0$, and prime denotes the differentiation with respect to X. This equation is much simpler than equation (5.4), which was obtained without using the magnetostatic approximation. Taking into account the frequency dependence of μ and μ_a , we find from (6.70) the dispersion relation

$$\omega^2 = \omega_H \left(\omega_H + \frac{\omega_M}{1 + (k\rho_0)^2 / X_{mn}^2(\omega)} \right) \tag{6.71}$$

where $X_{mn}(\omega)$ is the *n*th root of (6.70).

If m=0, equation (6.70) becomes $J_1(X)=0$ and has only real roots independent of ω , which correspond to volume waves. Formula (6.71) gives, in this case, the explicit dependence $\omega(k)$ (Figure 6.11). If $m\neq 0$, equation (6.70) has, at each |m|, an infinite number of real roots, which depend on frequency and the sign of mM_{0z} , i.e., on the direction of polarization rotation with respect to the direction of the steady magnetization. For the left-hand rotation, equation (6.70) has imaginary roots, too, one root at each value of |m|. These roots correspond to

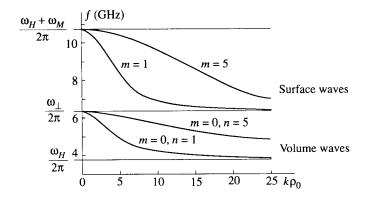


FIGURE 6.11 Dispersion characteristics of magnetostatic waves in a round metal waveguide filled with longitudinally magnetized ferrite. $M_0 = 200 \text{ G}$, $H_0 = 1.35 \text{ kOe}$.

surface waves (Figure 6.11). One can see from the figure that, in accordance with the above-mentioned rule, all waves are backward.

The magnetostatic waves in a *nonmetallized* rod were investigated by Joseph and Schlömann [192]. The volume-wave dispersion characteristics, in this case, do not differ qualitatively from the dispersion characteristics in a metallized rod. However, the surface waves exist in a smaller range (6.56).

Magnetostatic waves in ferrite films of finite width are of certain interest from the practical point of view. Such films can be regarded as rectangular rods. But the problem of wave propagation in a free (nonmetallized) rectangular rod has no strict analytical solution. To solve it approximately the method of 'magnetic walls' can be used. According to this method, we exclude the regions z < 0 and z > w (Figure 6.12) from consideration and assume fictitious boundary conditions $(\vec{\mu}h)n_0$ at the planes z = 0 and z = w. At the surfaces x = 0 and x = d, the real boundary conditions are to be satisfied. Such problem has a simple solution, which is a good approximation if the dimension in the direction normal to the 'magnetic walls' (w in Figure 6.12) is much larger than another dimension (d).

Consider, e.g., a surface wave. The potential can be written as

$$\psi = \psi_{\perp} \left(F \cos k_z z + G \sin k_z z \right) \tag{6.72}$$

where ψ_{\perp} has the form (6.52) inside the plate and the form (6.53) outside it. The boundary conditions at x=0 and x=d lead to (6.54), and from the boundary conditions at the magnetic walls z=0 and z=w it follows that F=0 and

$$k_z = \frac{n\pi}{w}$$
 $(n = 1, 2, 3, ...).$ (6.73)

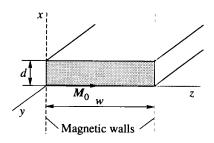


FIGURE 6.12Tangentially magnetized ferromagnetic plate of finite width.

Substituting (6.72) into the Walker equation (6.14), we get, instead of (6.39),

$$\mu(\kappa^2 - k^2) - k_z^2 = 0. ag{6.74}$$

From the Laplace equation for the potential outside the plate, it follows that

$$\kappa_0^2 - k^2 - k_z^2 = 0. ag{6.75}$$

Expressions (6.54) and (6.73)–(6.75), together with the dependence of μ and μ_a on ω , determine the dispersion relation. It differs essentially from the dispersion relation in an infinite film only for small values of kw.

Using the method of magnetic walls, O'Keefe and Patterson [303] considered a more complicated structure, shown in Figure 6.10(d). Some interesting features were found, in particular, the existence of a *volume* wave in a certain frequency range below ω_{\perp} .

6.2.4 Energy flow and losses

Let us limit ourselves to magnetostatic waves in plane structures, which are of most practical interest. Consider a structure (Figure 6.13) consisting of N ferrite and dielectric layers and suppose that $v_{\rm ph}$ and $v_{\rm gr}$ are both directed along the η axis. Then, the *energy flow* in this direction, related to the unit length of the structure in the ξ direction, is

$$P_{1}(\xi) = \sum_{j=1}^{N} \int_{(j)} \Pi_{j\eta}(\xi, \zeta) d\zeta$$
 (6.76)

where Π_j is the mean value of the Pointing vector (4.67), and integration is over the thickness of each layer of the structure. The electric field, needed to calculate $\Pi_{j\eta}$, can be found using equations (6.3). If there is no dependence on ξ , it follows from (6.3):

$$e_{\xi} = -\frac{k_0}{k} b_{\zeta} \tag{6.77}$$

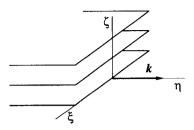


FIGURE 6.13
A planar structure.

and, as $h_{\xi} = 0$, we obtain

$$\Pi_{\eta} = -\frac{c}{8\pi} \operatorname{Re} \left(e_{\xi} h_{\zeta}^{*} \right) = \frac{\omega}{8\pi k} \operatorname{Re} \left[h_{\zeta}^{*} \left(\overrightarrow{\mu} \boldsymbol{h} \right)_{\zeta} \right]. \tag{6.78}$$

As a simple example, consider a volume wave in a normally magnetized metallized plate (Figure 6.3). Then,

$$P_{1} = \int_{0}^{d} \Pi_{y} dz = \frac{1}{16\pi} \frac{\omega d}{k} h_{z \text{ max}}^{2}.$$
 (6.79)

The energy flow for a wave in a free (nonmetallized) plate is to be found by summing in (6.76) the integrals over three regions: the plate and two dielectric half-spaces. The energy flows in different regions may have different signs, but the total flow, of course, is in the direction of group velocity.

The losses of magnetostatic waves have the following sources: magnetic losses determined by anti-Hermitian parts of $\vec{\mu}$ components (Section 4.4); electric losses determined by imaginary parts of ε in ferrite and dielectric layers; losses in metallic layers; electromagnetic radiation (if the structure is not entirely screened); excitation of other types of waves in the structure, first of all, of the elastic waves.

The first source is, usually, the most important. To find its contribution we can use the above-discussed dispersion relation assuming μ and μ_a in these relations to be complex. If the dispersion relation is written in the form $F(\omega, \omega_H, k) = 0$ [as, e.g., relations (6.27), (6.37), or (6.55)], then it is sufficient to make the replacement (1.68). Solving the obtained complex equation, we can get k = k' - ik''. If the losses are *small*, we can expand $F(\omega, \omega_H + i\alpha\omega, k' - ik'')$ in power series in $i\alpha\omega$ and (-ik''). Equating to zero the sum of linear terms in these series, we obtain

$$k'' = \alpha \frac{\omega}{v_{\rm gr}} \frac{\partial \omega}{\partial \omega_H}.$$
 (6.80)

The quantity ω_{τ} can be introduced which characterizes the attenuation of the wave per *unit time* of propagation. If we turn, in the factor $\exp(i\omega t - ik'y - k''y)$,

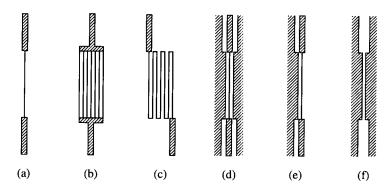


FIGURE 6.14

Transducers used to excite and receive magnetostatic waves in ferrite films. Microstrip transducers: (a) single microstrip, (b) lattice, (c) meander. Coplanar transducers: (d) symmetric, (e) nonsymmetric, (f) slot-line.

to the coordinate system moving in the direction of the wave propagation with the velocity $v_{\rm gr}$, we see that in the new system the attenuation is determined by the factor $\exp(-\omega_{\tau}t)$ where

$$\omega_{\tau} = v_{\rm gr} k'' = \alpha \omega \frac{\partial \omega}{\partial \omega_H}.$$
 (6.81)

Comparing this expression with (6.7), we make sure that ω_{τ} coincides with the decrement ω'' of oscillations (when k''=0). For the surface wave in a free (nonmetallized) film, it follows from (6.81) and (6.55) that

$$\omega_{\tau} = \alpha \left(\omega_H + \frac{1}{2} \omega_M \right). \tag{6.82}$$

6.2.5 Magnetostatic waves in ferrite films: excitation, applications

To excite magnetostatic waves in ferrite films (usually, YIG epitaxial films on GGG substrates) thin metal conductors, placed at or near the film surface, are used. The simplest exciting element (transducer or 'antenna') is a straight microstrip or wire conductor (Figure 6.14). Because of relation (6.1), the wave front of the excited wave is approximately parallel to the conductor, i.e., k and $v_{\rm gr}$ vectors are perpendicular to it. If the field structure of the wave is reciprocal, waves propagating in both directions have equal amplitudes. But if the field structure is nonreciprocal, the amplitude is larger in the direction for which the fields have greater values at the surface where the transducer is placed.

As the first approximation, the problem of wave excitation by *given currents* can be treated. Such problems were solved by Ganguly and Webb [134], Emtage [108], Kalinikos (see references in [205]), and by others. In reality, the currents in the

transducers are not given and should be found together with the amplitudes of the excited waves. Such *self-consistent* theories were worked out by Vugalter and Makhalin [431] and Gilinskii and Shcheglov [137].

The main result of all theories of magnetostatic-wave excitation is that the amplitude of the wave with a certain k value is proportional to the kth space Fourier harmonic of the current.

A comparatively simple problem (which can be used as a starting point in more complicated cases) is the problem of magnetostatic-wave excitation by a *linear current I* at the surface of the film. The solution of this problem can be written in the form

$$h(\eta, \zeta) = CIh^{0}(\eta, \zeta) \tag{6.83}$$

where $h(\eta, \zeta)$ is the complex amplitude of the magnetic field of the excited wave, $h^0(\eta, \zeta)$ is the normalized magnetic-field eigenfunction, and C is the complex quantity found by solving the problem; it is assumed that $\partial/\partial \xi = 0$ where ξ is the coordinate in the direction of the current.

Consider now a transducer consisting of N parallel linear conductors with currents $I_j = |I_j| \exp(i\varphi_j)$, which are situated at distances l_j from a certain point. Then,

$$\boldsymbol{h} = C\boldsymbol{h}^0 \sum_{j=1}^{N} |I_j| \exp(\mathrm{i}\varphi_j + \mathrm{i}kl_j). \tag{6.84}$$

In the particular case of equal $(|I_j| = \text{const} \equiv I)$ and cophased $(\varphi_j = 0)$ currents at equal distances δl from each other, we get $h = CIF_N h^0$ where 'the structural factor'

$$F_N = \frac{\sin(k\,\delta l\,N/2)}{\sin(k\,\delta l/2)}.\tag{6.85}$$

An analogous expression can be obtained for the antiphased currents $(\varphi_j - \varphi_{j-1} = \pi)$. The transducer (b) in Figure 6.13 can be regarded as cophased, and transducers (c), (d), and (e), as antiphased.

Expression (6.85) can be used to find the dependence of the intensity of excitation on the width b of the conductor. Assuming the uniform current distribution over the width of the conductor, we regard the conductor as a system of N equal cophased currents I/N at distances b/N. At $N \to \infty$ we get

$$C(b) = C(0)F_b$$
 $F_b = \frac{\sin(kb/2)}{kb/2}$. (6.86)

According to (6.86), the decrease of the excitation intensity with increasing b becomes essential at $b \gtrsim \pi/(2k)$. This estimate can be used, notwithstanding that the current distribution over the conductor width is far from uniform.

The power flow of the excited wave, related to a unit length of the transducer,

can be written in the form

$$P_1 = P_1^+ + P_1^- = \frac{1}{2} R_{1 \, \text{rad}}^+ |I|^2 + \frac{1}{2} R_{1 \, \text{rad}}^- |I|^2 = \frac{1}{2} R_{1 \, \text{rad}} |I|^2$$
 (6.87)

where I is the complex amplitude of the transducer current, indices + and - correspond to the waves propagating in opposite directions, and $R_{1\,\mathrm{rad}}^+$, $R_{1\,\mathrm{rad}}^-$, and $R_{1\,\mathrm{rad}}$ are the radiation resistances. For the complete calculation of the magnetostatic-wave excitation, we have to find the current distribution along the transducer. The theory of transmission lines with losses (e.g., [191]) can be used for this purpose. The radiation resistance $R_{1\,\mathrm{rad}}$ is the main part of the distributed resistance, one of the four distributed parameters of such lines. It was shown [428] that the theory of transmission lines with losses can be applied to the receiving transducer, as well.

In conclusion, we list the main distinctive features of magnetostatic waves in films.

- 1. Broad frequency range ($\sim 1-50$ GHz) limited from above only by the high values of the steady magnetic field, and from below, by the rise of domains.
- 2. Possibility of tuning by variation of the steady magnetic field.
- 3. The range of wave numbers ($\sim 10-10^4~\rm cm^{-1}$) independent of frequency and convenient for applications.
- 4. Low group velocity controllable by the steady magnetic field.
- 5. Possibility to change the dispersion law by simple means, e.g., by the choice of the wave type and the thickness of layers.
- 6. Comparatively low propagation losses.
- 7. Effective excitation by transducers of simple design.

These features result in broad application possibilities of magnetostatic waves in designing miniature controllable and nonreciprocal microwave devices. Among them there are delay lines, filters, resonators (e.g., [3, 189, 5]), as well as nonlinear devices (Section 10.5).

6.3 Magnetostatic oscillations (Walker's modes)

In ferromagnetic or ferrimagnetic samples of finite dimensions, magnetization oscillations with discrete eigenfrequencies should take place. In this section we will study these oscillations in the magnetostatic approximation. The uniform mode of such oscillations was already considered in this approximation in Section 1.5. *Nonuniform* oscillations were observed, as it became clear afterwards, in early ferromagnetic-resonance experiments as subsidiary maxima superimposed on the main resonance curve. White and Solt [439] and Dillon [93] were the first to resolve these maxima and give a qualitative explanation of them. The rigorous theory was worked out by Walker [432] (see also [433]) for the case of an ellipsoid

of revolution (spheroid). We will cite the main results of this theory below, after considering simpler examples.

6.3.1 Metallized cylinder

Consider a 'waveguide' resonator (Section 5.3) obtained from a round metallized ferrite rod magnetized in the direction of its axis (Figure 6.15). As the electric-field structure and the propagation constant k of this waveguide are both reciprocal (Sections 6.2), the eigenfrequencies of the resonator are determined by expression (5.34). Substituting it into the dispersion relation (6.71), we get the equation for the eigenfrequencies

$$\omega^2 = \omega_H \left\{ \omega_H + \omega_M \left[1 + \frac{(\pi p)^2}{X_{m,n}^2(\omega)} \left(\frac{\rho_0}{l} \right)^2 \right]^{-1} \right\}$$
 (6.88)

where $X_{m,n}(\omega)$ are the roots of (6.70), $m=0,\pm 1,\pm 2,\ldots, n=1,2,3,\ldots$, and $p=1,2,3,\ldots$. One can see from (6.88) that the eigenfrequencies depend on the *ratio* of the resonator dimensions and on three integral numbers m,n, and p, which characterize the oscillation mode. The range in which the eigenfrequencies lie is the same as for propagating waves, both volume and surface:

$$\omega_H < \omega < \omega_H + \omega_M. \tag{6.89}$$

If the cylindrical surface is not metallized, the frequency range would be

$$\omega_H < \omega < \omega_H + \frac{1}{2}\omega_M. \tag{6.90}$$

(In this case the plane metal surfaces must be assumed to be infinite in order for the problem to have a rigorous solution).

The components of $h = \nabla \psi$ and $m = \overrightarrow{\chi} h$ can be found from the potential

$$\psi = J_m(\kappa \rho) \exp(-im\varphi) \cos k_z z \tag{6.91}$$

where $k_z = \pi p/l$. It should be noted that by taking into account the boundary conditions for the potential (6.91), we can find expression (6.88) without using the dispersion relation for the propagating wave.

6.3.2 Sphere and ellipsoid of revolution

The problem of magnetostatic oscillations in a sphere is of great interest because spherical ferrite samples are widely used for ferromagnetic-resonance experiments and in microwave ferrite devices, especially in ferrite filters (Section 5.3). The magnetostatic oscillations in a sphere can be treated as a particular case of such oscillations in an ellipsoid of revolution (spheroid) analyzed by Walker [432]. However, some mathematical difficulties, arising in this way, make an indepen-

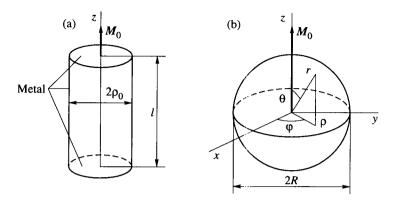


FIGURE 6.15
Magnetostatic ferrite resonators: (a) metallized cylinder, (b) sphere.

dent solution of the problem for a sphere more expedient. Such a solution was performed by Fletcher and Bell [119].

Consider a sphere (Figure 6.15) of an isotropic nonconducting ferromagnet magnetized to saturation. We must find the solutions of the Walker equation (6.14) for the potential ψ inside the sphere and of the Laplace equation for the potential ψ_0 outside it and satisfy the boundary conditions (6.12) at the sphere surface. These conditions lead to

$$\psi = \psi_0 \qquad \left(\stackrel{\leftrightarrow}{\mu} \nabla \psi \right) r_0 = \frac{\partial \psi_0}{\partial r} \qquad \text{at } r = R$$
 (6.92)

where r_0 is a unit vector directed along the radius. To satisfy the boundary conditions the solutions for ψ and ψ_0 should be found in a coordinate system in which the surface r=R is a coordinate surface. The spherical coordinate system r, θ , φ is, of course, such a system, and the solution of Laplace's equation in this system has the form [293]

$$\psi_0 = r^{-(n+1)} P_n^{|m|}(\cos \theta) \exp(-\mathrm{i}m\varphi) \tag{6.93}$$

where $P_n^{|m|}$ is the first-kind associated Legendre functions [273], $n=1,2,3,\ldots$, and $m=0,\pm 1,\pm 2,\ldots,\pm n$.

However, the solution of Walker's equation *cannot* be obtained in spherical coordinates. Therefore, more complicated coordinates ξ , η , φ were introduced in [119], which are related to the Cartesian coordinates in the following way:

$$x = \sqrt{\mu - 1}R\sqrt{\xi^2 - 1} \sin\eta \cos\varphi$$
$$y = \sqrt{\mu - 1}R\sqrt{\xi^2 - 1} \sin\eta \sin\varphi$$

$$z = \sqrt{\frac{\mu - 1}{\mu}} R \, \xi \, \cos \eta. \tag{6.94}$$

The solution of (6.14) in these coordinates, finite at r = 0, is

$$\psi = P_n^{|m|}(\xi) P_n^{|m|}(\cos \eta) \exp(-\mathrm{i}m\varphi). \tag{6.95}$$

The sphere surface r=R is the coordinate surface $\xi=\xi_R$ where

$$\xi_R = \sqrt{\frac{\mu}{\mu - 1}}.\tag{6.96}$$

Substitution of (6.93) and (6.95) into the boundary conditions (6.92) yields the equation

$$\xi_R \frac{\left[P_n^{|m|}(\xi_R)\right]'}{P_n^{|m|}(\xi_R)} + n - 1 + \mu_a m = 0 \tag{6.97}$$

where the prime denotes the differentiation with respect to the argument. This equation and the frequency dependences of μ and μ_a determine the eigenfrequencies of magnetostatic oscillations in a sphere.

As it should be expected, the eigenfrequencies do not depend on R. They depend on ω_H , ω_M , and on three integers n, m, and r. The integer r characterizes the number of the root of equation (6.97); by tradition, the number of the root is (r+1) if m>0 and is r if $m\leq 0$. Therefore the quantity of roots is equal to $(r_{\max}+1)$ at m>0 and is equal to r_{\max} at $m\leq 0$. The analysis of (6.97) shows that r_{\max} is equal to the integral part of (n-|m|)/2 [119].

The components of $h = \nabla \psi$ and $m = \stackrel{\checkmark}{\nu} h$ can be determined, for each mode, from (6.95). Positive and negative m values correspond, respectively, to the right-hand and to the left-hand rotation of the entire h and m pattern around the M_0 direction. The local polarization of h and m is, in general, elliptic and different at different points of the sphere.

Consider first the case of n = |m|. Equation (6.97) transforms then into

$$\mu + \frac{m}{|m|}\mu_a = -\frac{|m|+1}{|m|}. (6.98)$$

It is easy to make sure, taking (1.54) into account, that equation (6.98) can be satisfied only if m > 0. It has then, for each m value, only one root

$$\omega_{m,m,0} = \omega_H + \frac{m}{2m+1} \omega_M \equiv \gamma H_{e0} + \gamma \frac{4\pi}{3} \frac{m-1}{2m+1} M_0. \tag{6.99}$$

The potential in this case is

$$\psi = \rho^m \exp(-im\varphi) \equiv (x - iy)^m \tag{6.100}$$

where $\rho = \sqrt{x^2 + y^2}$. The magnetization components are

$$m_x = im_y = (x - iy)^{m-1} \equiv \rho^{m-1} \exp \left[-i(m-1)\varphi \right].$$
 (6.101)

The magnetization has, for these modes, the right-hand circular polarization at all points, and its amplitude increases with growing distance from the z axis. In the simplest case of n=m=1 the magnetization does not depend on coordinates, and the frequency is equal to $\gamma H_{e\,0}$. This mode (1,1,0) is the *uniform mode* studied in detail in Section 1.5.

For the family of modes with n = m + 1, it follows from (6.97):

$$\mu + \frac{m}{|m|}\mu_a = -\frac{|m|+3}{|m|}. (6.102)$$

This equation is also satisfied only if m > 0 and has, for each m, one root

$$\omega_{m+1,m,0} = \omega_H + \frac{m}{2m+3}\omega_M \equiv \gamma H_{e0} + \gamma \frac{4\pi}{3} \frac{m-3}{2m+3} M_0.$$
 (6.103)

The potential and the magnetization components, for this family, gain an additional factor z, as compared with (6.100) and (6.101), so that the magnetization is equal to zero at the equatorial plane. The polarization is circular with right-hand rotation at every point, as for the family (m, m, 0).

One can see from (6.99) and (6.103) that, for both considered families, the differences $\omega - \gamma H_{e0}$ are proportional to M_0 and do not depend on H_{e0} . This feature, as well as the circular polarization of h and m, takes place only for the modes (m, m, 0) and (m + 1, m, 0).

The frequencies and magnetization components can also be easily found for the modes with m = 0. In this case, (6.97) takes the form

$$\xi_R \frac{P_n'(\xi_R)}{P_n(\xi_R)} = -(n+1) \tag{6.104}$$

where $P_n(\xi)$ are the Legendre polynomials [273]. This equation has no roots for n=1. If n=2, then $P_2(\xi)=(3\xi^2-1)/2$, the root of (6.104) is $\xi_R^2=1/5$, and

$$\omega_{2,0,1}^2 = \omega_H \left(\omega_H + \frac{4}{5} \omega_M \right).$$
 (6.105)

The magnetization components for the mode (2,0,1) are

$$m_x = \chi x + i\chi_a y \qquad m_y = -i\chi_a x + \chi y. \tag{6.106}$$

The magnetization is now elliptically polarized, and the difference $\omega - \gamma H_{\rm e\,0}$ depends on $H_{\rm e\,0}$, as for all magnetostatic modes of a sphere except the families (m,m,0) and (m+1,m,0).

The calculated field and magnetization components and the eigenfrequencies for all magnetostatic modes of a sphere with $n \leq 5$ are given in [119]. The field dependences of the frequencies for some modes are shown in Figure 6.16. One can see in this figure the numerous degenerations of modes: 'accidental' intersections of ω vs $H_{e\,0}$ curves, as well as the entire coincidence of the frequencies of modes (m, m, 0) and (3m + 1, 3m, 0). The eigenfrequencies of all magnetostatic

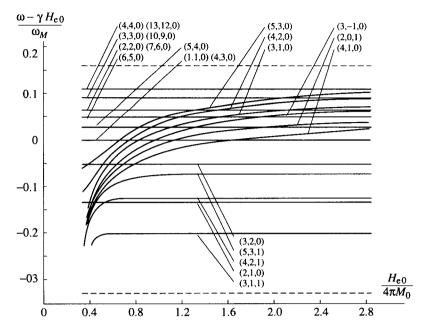


FIGURE 6.16Eigenfrequencies of magnetostatic oscillations of a sphere versus external static magnetic field [119]. Dashed lines indicate the limits of the entire magnetostatic-mode spectrum.

modes of a sphere lie in the range (6.90) [119]. Hence, the resonance $H_{\rm e\,0}$ values at constant frequency are in the limits

$$\frac{\omega}{\gamma} - \frac{2\pi}{3} M_0 < H_{e0} < \frac{\omega}{\gamma} + \frac{4\pi}{3} M_0. \tag{6.107}$$

It is worth noting that the measurement of differences between the frequencies of two magnetostatic modes from (m, m, 0) or (m + 1, m, 0) families is a precise and convenient method to determine the steady magnetization M_0 .

Let us now cite some results concerning magnetostatic modes of an *ellipsoid of revolution* (spheroid) magnetized along the axis of revolution [432]. The setting up of the problem and the assumptions are the same as in the case of a sphere. Many properties of magnetostatic oscillations, mentioned above for a sphere, take place for a spheroid, as well.

In particular, the rule for determining the quantity of modes with given m and n remains the same as for a sphere. For the mode families (m, m, 0) and (m+1, m, 0), the difference $\omega - \omega_H$ is independent of ω_H and the magnetization is circularly polarized.

The frequencies of all magnetostatic modes of a spheroid (with semiaxes a

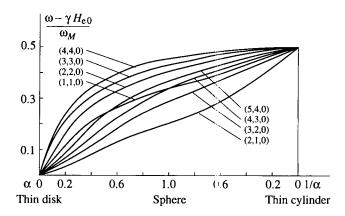


FIGURE 6.17 Dependence of the magnetostatic-mode frequencies of an ellipsoid of revolution on the ratio of ellipsoid axes [432]. Note that H_0 is the internal field.

and c) lie in the same range (6.90) where now $\omega_H = \gamma H_{\rm e0} - \gamma N_z M_0$. At $a/c \equiv \alpha \rightarrow 0$ (when the spheroid transforms into an infinitely thin disc), the mode spectrum turns into a point $\omega = \omega_H = \gamma (H_{\rm e0} - 4\pi M_0)$. At $\alpha \rightarrow \infty$ (infinitely thin cylinder) it turns into a point $\omega = \omega_H + \omega_m/2 = \gamma (H_{\rm e0} + 2\pi M_0)$ (Figure 6.17).

6.3.3 Damping, excitation, and coupling

To take the damping of magnetostatic oscillations into account we have to substitute the complex μ and μ_a values into the characteristic equations, e.g., (6.97). The imaginary parts ω''_{ν} of the roots of these equations represent the damping. It appears that the quality factors of nonuniform magnetostatic oscillations differ little from the quality factor of the uniform mode.

The magnetostatic oscillations in small samples usually are excited by the electromagnetic fields of resonators or waveguides in which the samples are placed. In the theory of such excitation the following *orthogonality relations* [318] are used:

$$\int_{V} \boldsymbol{m}_{\nu}(\boldsymbol{r}) \times \boldsymbol{m}_{\nu'}(\boldsymbol{r}) dV = 0$$

$$\int_{V} \boldsymbol{m}_{\nu}(\boldsymbol{r}) \boldsymbol{m}_{\nu'}^{*}(\boldsymbol{r}) = D\Delta_{\nu\nu'}$$

$$\int_{V} \boldsymbol{m}_{\nu}(\boldsymbol{r}) \times \boldsymbol{m}_{\nu'}^{*}(\boldsymbol{r}) dV = i\boldsymbol{z}_{0} D\Delta_{\nu\nu'}.$$
(6.108)

Here ν and ν' are the joint mode indices including n, m, and r; $m_{\nu}(r)$ and $m_{\nu'}(r)$

are the normalized magnetizations of different modes, D is the normalization constant; the integration is over the volume of the sample; $\Delta_{\nu\nu'}$ is the Kronecker delta-symbol (Appendix C); and z_0 is a unit vector directed along M_0 .

As the functions $m_{\nu}(r)$ make up a full system, the magnetization of *forced* oscillations can be written in the form

$$m(r) = \sum_{\nu} C_{\nu} m_{\nu}(r). \tag{6.109}$$

Taking the orthogonality relations (6.108) into account, we can obtain the following expression for the coefficients C_{ν} :

$$C_{\nu} = C\omega^2 \frac{\int_V \boldsymbol{h}(\boldsymbol{r}) \boldsymbol{m}_{\nu}^*(\boldsymbol{r}) dV}{\omega^2 - \omega_{\nu}'^2 + 2i\omega_{\nu}'\omega_{\nu}''}$$
(6.110)

where h(r) is the given field and C is a constant depending on the normalization of eigenfunctions $m_{\nu}(r)$.

According to (6.110), the coefficients C_{ν} change in a resonance manner with varying ω or H_{e0} . And if ω''_{ν} is sufficiently small, the magnetostatic modes are excited one after another as ω or H_{e0} varies.

It is clear from (6.110) that the condition for excitation of a certain mode is that the 'excitation integral' in the numerator of (6.110) should not be equal to zero. If the field h(r) is uniform, this integral differs from zero only for the uniform mode (1,1,0). To excite a nonuniform mode, a *nonuniform* field is needed. The amplitude of the excited mode is greater the nearer the field pattern is to the structure of the magnetization of the mode to be excited.

In reality, the nonuniform magnetostatic modes are often observed when the excitation integral in (6.110) seems to be equal to zero. This is due sometimes to the inaccuracy of the sample location or to the disturbance of the field by the sample holder. But there are also more fundamental reasons of such 'illegal' excitation.

One of them is the influence of magnetocrystalline anisotropy. If M_0 is directed along the axis in a uniaxial crystal or along $\langle 100 \rangle$ or $\langle 111 \rangle$ axes in a cubic crystal, then, according to the above-mentioned general theorem (Section 6.1), the entire spectrum of H_{e0} resonance values of the magnetostatic modes in a single-crystal sample will be shifted by the quantity H^A with respect to the spectrum in an isotropic sample. However, the situation becomes much more complicated for an arbitrary M_0 direction. In this case, the solutions of the magnetostatic equations (the eigenmodes) are no more the Walker modes. But, if the anisotropy is not very large, it is advisable to seek the solutions in the form of series in the Walker modes. Krivchenkov and Pil'shchikov showed [235] that (for a sphere) only such terms in these series are not equal to zero which correspond to the Walker modes with the same parity (even or odd) of the n values and with the same parity of the m values, relative to the n and m values of the initial mode.

Let us discuss the same problem in terms of coupled oscillations. We now have to say that the anisotropy leads to the *coupling* of oscillations, which were

independent (eigen) modes in an isotropic sample. The coupling results in the frequency shift of the original mode and in the excitation of all modes that are coupled with it. Near the points of degeneration [i.e., of crossing of the unperturbed curves $\omega(H_{e\,0})$ of the coupled modes] the repulsion of these curves occurs (e.g., [121]).

Returning to an isotropic medium, we remind the reader that Walker's theory is valid for a system with cylindrical symmetry in respect to the M_0 direction. If this symmetry is broken (for an arbitrary ellipsoid or for an ellipsoid of revolution but magnetized in an arbitrary direction), the situation is similar to the above-considered situation in the case of an anisotropic medium. Any disturbances of cylindrical symmetry, including macroscopic defects, as pores, clifts, and so on, can be treated (in terms of coupled oscillations) as factors leading to the coupling of Walker's modes. This is the second reason of 'illegal' excitation of the nonuniform magnetostatic oscillations.

The third reason is the *finite* sample *dimensions*. It was pointed out in Section 5.3 that, for a sphere with finite radius, the 'retarding' terms in Maxwell's equations (discarded in the zero magnetostatic approximation) result in the correction (5.41) of the resonance field of the uniform mode. Similar corrections take place for the nonuniform modes [318] but decrease with increasing n and m. The influence of the 'retarding' terms is also the source of coupling of magnetostatic modes. Sui Yangshen has shown [398] that the coupling appears, in this case, between modes with the same parity of n and with the same m values.

According to Walker's theory, the magnetostatic oscillations arise due to the resonance frequency dependence (1.54) of the $\stackrel{\smile}{\mu}$ components. This dependence takes place for any *magnetized* isotropic substance. Therefore, nonuniform magnetostatic oscillations should be observed even in paramagnets, but the range (6.90) is very narrow in this case at not very low temperatures.

However, neither the specific form (1.54) of the $\overrightarrow{\mu}$ components, nor the existence of the steady magnetization are the necessary conditions for the appearance of nonuniform magnetostatic oscillations. They were observed [45] in a uniaxial antiferromagnet in the antiparallel state (Section 3.2), in which the steady magnetization is equal to zero.

Spin waves

7.1 Spin waves in unbounded ferromagnet

In the preceding chapter, the waves of magnetization were considered in such range of wave numbers k that the influence of exchange interaction on the dispersion law could be ignored. Now we begin to study the waves with larger k values when this interaction is to be taken into account. Such waves are usually referred to as spin waves, although this term can be related, as well, to the waves studied in Chapter 6.

In Section 7.1 we consider spin waves in an unbounded uniform ferromagnet magnetized to saturation. We will use, as before, the continuum model describing the ferromagnet by the magnetization M(r,t).

7.1.1 Energy and effective field of exchange interaction

The energy of exchange interaction in a ferromagnet can be represented by the sum (2.11) of the uniform term $U_{\rm ex\,0}$ and the *nonuniform* term $U_{\rm ex\,\sim}$. The latter is equal to the increase of the exchange energy due to the nonparallelism of neighboring magnetic moments, i.e., to the dependence of M on coordinates. This term can be written in the form [14]

$$U_{\text{ex}\sim} = \frac{1}{2} \sum_{p=1}^{3} \sum_{s=1}^{3} q_{ps} \frac{\partial \mathbf{M}}{\partial x_{p}} \frac{\partial \mathbf{M}}{\partial x_{s}}$$
 (7.1)

where q_{ps} are the components of a tensor \overrightarrow{q} . Using (2.7), we obtain from (2.12) and (7.1) the effective field of the exchange interaction

$$H_{\text{ex}} = H_{\text{ex}\,0} + H_{\text{ex}\sim} \equiv \stackrel{\leftrightarrow}{\Lambda} M + \sum_{p=1}^{3} \sum_{s=1}^{3} q_{ps} \frac{\partial^{2} M}{\partial x_{p} \partial x_{s}}.$$
 (7.2)

For an isotropic ferromagnet, Λ and q are scalars, $H_{\rm ex\,0}$ transforms into (1.57),

and $H_{\rm ex}\sim$ takes the form

$$\boldsymbol{H}_{\mathsf{ex}\sim} = q \nabla^2 \boldsymbol{M} \,. \tag{7.3}$$

In this chapter we deal only with a ferromagnet magnetized to saturation, in which M_0 does not change quickly in space. Then, the field $H_{\rm ex}$ has only an alternating component. Its complex amplitude in an isotropic ferromagnet is

$$\boldsymbol{h}_{\mathsf{ex}} = q \nabla^2 \boldsymbol{m}. \tag{7.4}$$

The quantities D and η connected with q by the relations

$$\eta = \gamma D = \gamma M_0 q \tag{7.5}$$

are also used. All three quantities can be called the *nonuniform exchange constants* or constants of spin stiffness.

7.1.2 Dispersion law

The dispersion relation for spin waves was obtained at first by Bloch [52] on the microscopic model. This relation was generalized by Holstein and Primakoff [184] (Section 7.4). On the macroscopic, continuum model, the spin-wave dispersion law can be derived by two, quite equivalent, methods.

The *first* method is based on expression (6.4), which is valid regardless of whether the exchange interaction is taken into account or not; only the form of μ depends on that (dealing with a ferromagnet magnetized to saturation, we assume $\mu_{\parallel}=1$). To obtain the expression for μ we must solve the equation of motion, e.g., (2.18) with the effective field (7.4). For a plane wave, $m=m_0\exp(-\mathrm{i}kr)$, $h=h_0\exp(-\mathrm{i}kr)$, and it follows from (7.4):

$$h_{\rm ex} = -qk^2m. (7.6)$$

It is easy to make sure that equation (2.18) with this field differs from equation (1.66) only by the replacement

$$\omega_H \to \omega_H + \eta k^2. \tag{7.7}$$

Therefore, we can, without solving anew the equation of motion, make this replacement in the final expressions for $\overrightarrow{\mu}$ components. In particular, we get from (1.54)

$$\mu = \frac{(\omega_H + \eta k^2)(\omega_H + \eta k^2 + \omega_M) - \omega^2}{(\omega_H + \eta k^2)^2 - \omega^2} \qquad \mu_a = \frac{\omega \omega_M}{(\omega_H + \eta k^2)^2 - \omega^2}.$$
 (7.8)

If the dissipation should be taken into account, it is sufficient to make the replacement (1.68) in (7.8)

The parameters of the medium μ and μ_a now depend not only on frequency but also on the wave number k. Such dependence (in general case, the dependence

on the direction of k, as well) is called space dispersion.

Substituting (7.8) into (6.3) and assuming $\mu_{\parallel} = 1$, we get the dispersion relation

$$\omega^{2} = \left(\omega_{H} + \eta k^{2}\right) \left(\omega_{H} + \eta k^{2} + \omega_{M} \frac{k_{x}^{2} + k_{y}^{2}}{k^{2}}\right)$$

$$\equiv \left(\omega_{H} + \eta k^{2}\right) \left(\omega_{H} + \eta k^{2} + \omega_{M} \sin^{2} \theta_{k}\right). \tag{7.9}$$

It can be rewritten as

$$\omega^2 = A_k^2 - |B_k|^2 \tag{7.10}$$

where

$$A_k = \omega_H + \eta k^2 + \frac{1}{2}\omega_M \sin^2 \theta_k \qquad |B_k| = \frac{1}{2}\omega_M \sin^2 \theta_k.$$
 (7.11)

The *second* method of deriving the dispersion law (7.9) was used by Herring and Kittel [180]. They found, first of all, the dependence of the internal magnetic field h_M on the ac magnetization, which is determined by the magnetostatic equations (6.2). For plane waves, it follows from these equations that

$$\boldsymbol{h}_M = -\frac{4\pi}{k^2} \boldsymbol{k}(\boldsymbol{m}\boldsymbol{k}). \tag{7.12}$$

This field is substituted, then, into the equation of motion (2.18), which, in this case, takes the form

$$\mathbf{i}\omega \mathbf{m} + (\omega_H + \mathbf{i}\alpha\omega)\mathbf{m} \times \mathbf{z}_0 + \frac{\omega_M}{4\pi}\mathbf{z}_0 \times (\mathbf{h}_{\mathsf{ex}} + \mathbf{h}_M) = 0$$
 (7.13)

(z_0 is a unit vector parallel to M_0). Projecting (7.13) with $\alpha = 0$ onto the axes x and y and equating the determinant of the obtained system to zero, we get (7.9).

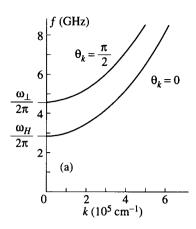
The curves ω vs k at constant $H_0 = \omega_H/\gamma$ and the curves H_0 vs k at constant ω are plotted in Figure 7.1. At very large k values, when $\eta k^2 \gg \omega_H, \omega_M$, the spin-wave dispersion relation approaches the quadratic law $\omega = \eta k^2$, obtained in 1930 by Bloch [52]. If $\omega_M < \omega_H + \eta k^2$,

$$\omega \cong A_k \equiv \omega_H + \eta k^2 + \frac{1}{2}\omega_M \sin^2 \theta_k. \tag{7.14}$$

The spin-wave phase velocity $v_{\rm ph}=\omega/k$ passes through a minimum at certain values of $k=k_1$ and $\omega=\omega_1$ depending on the angle θ_k . In particular, at $\theta_k=0$

$$k_1 = \sqrt{\frac{\omega_H}{\eta}}$$
 $\omega_1 = 2\omega_H$ $v_{\text{ph min}} = 2\sqrt{\eta\omega_H}$. (7.15)

¹There exists another type of the space dispersion, a linear dependence of the antisymmetric $\stackrel{\smile}{\varepsilon}$ components on k leading to the phenomenon of natural optical activity (e.g., [246]).



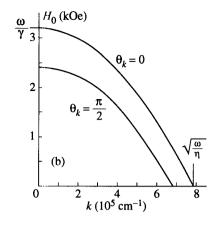


FIGURE 7.1

Dispersion characteristics of spin waves in an isotropic ferromagnet with $M_0=139~{\rm G}$ and $\eta=9.16\times10^{-2}$ (YIG at room temperature): (a) $H_0={\rm const}=1~{\rm kOe}$, (b) $\omega={\rm const}=2\pi\,9~{\rm GHz}$.

The group velocity

$$v_{\rm gr} \equiv \frac{\partial \omega}{\partial k} = \frac{2\eta k}{\omega} \left(\omega_H + \eta k^2 + \frac{1}{2} \omega_M \sin^2 \theta_k \right) \equiv 2\eta k \xi$$
 (7.16)

where ξ is the ratio of the approximate value (7.14) of the frequency to the exact value.

Expression (7.9) can be written in the form

$$\eta k^2 = \omega_{Hc}(\theta_k) - \omega_H \tag{7.17}$$

where

$$\omega_{Hc}(\theta_k) \equiv \gamma H_{0c}(\theta_k) = \sqrt{\omega^2 + \left(\frac{1}{2}\omega_M \sin^2\theta_k\right)^2} - \frac{1}{2}\omega_M \sin^2\theta_k. \quad (7.18)$$

One can see from (7.17), as well as from Figure 7.1, that the propagating spin waves (with $k^2>0$) exist only if $H_0< H_{0c}(\theta_k)$. The quantity $H_{0c}(\theta_k)$ is maximal at $\theta_k=0$:

$$H_{0c}(0) = \frac{\omega}{\gamma}.\tag{7.19}$$

The maximal value of k also takes place at $\theta_k = 0$:

$$k_{\max} = \sqrt{\frac{\omega}{\eta}}. (7.20)$$

For YIG at 9 GHz, $k_{\text{max}} \cong 8 \times 10^5$.

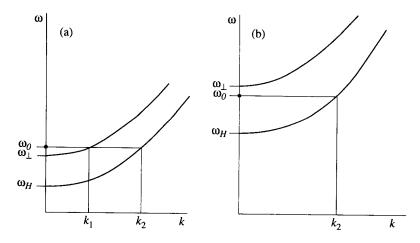


FIGURE 7.2 Degeneration of spin waves with uniform oscillations of magnetization in a ferromagnetic sphere: (a) when condition (6.8) is satisfied, (b) when it is not satisfied.

Let us discuss now the *degeneration* of the uniform precession of magnetization with spin waves. If $k \gg d^{-1}$ (where d is the minimal sample dimension), the influence of the boundary conditions for the ac fields on the spin-wave dispersion law is immaterial. Then, expression (7.9) can be used for the spin-wave frequency, together with formula (1.92) for the frequency of uniform precession. It is easy to show that the degeneration always exists if the exchange interaction is taken into account, contrary to nonexchange spin waves (Section 6.1). The degeneration takes place in the range $k_1 < k < k_2$ (Figure 7.2) if condition (6.8) is satisfied, and in the range $0 < k < k_2$ if this condition is not satisfied. For an ellipsoid of revolution,

$$k_2 = \sqrt{\frac{\gamma N_\perp M_0}{\eta}} \tag{7.21}$$

Consider now spin waves in an anisotropic (single-crystal) ferromagnet. We will not take into account the anisotropy of the nonuniform exchange constant (which usually turns out to be small), i.e., we will regard q as a scalar and use formula (7.4). When we substitute the effective field (7.4) into the linearized equation of motion for an anisotropic ferromagnet (Section 2.3), we notice that this equation differs from the equation without $h_{\rm ex}$ only by the replacement (7.7). Therefore, it is sufficient to make this replacement in the corresponding nonexchange dispersion relations, e.g., in (6.18) or (6.19). Let us cite, as an example, the simple expression

$$\left(\frac{\omega}{\gamma}\right)^2 = (H_0 + H^A + Dk^2) \left(H_0 + H^A + Dk^2 + 4\pi M_0 \sin^2 \theta_k\right)$$
 (7.22)

184 7 Spin waves

which is valid in the following cases: when M_0 is directed along the axis—or lies in the easy plane—of a uniaxial crystal, when M_0 is directed along the $\langle 100 \rangle$ axis of a cubic crystal, and when it is directed along the $\langle 111 \rangle$ axis of such crystal. In the first two cases, as it was pointed out in Section 6.1, $H^A = 2K_1/M_0$, and in the third case $H^A = -4/3 K_1/M_0$.

7.1.3 Magnetization, field components, and damping

To get the ac magnetization components of a spin wave we must find the solutions of the system obtained by projecting (7.13) (with $\alpha=0$) onto coordinate axes; expressions (7.6), (7.12), and (7.9) are to be taken into account. Directing the coordinate axes in such way that k vector will lie in yz plane, we get

$$\frac{m_y}{m_x} = -i \left(1 + \frac{\omega_M \sin^2 \theta_k}{\omega_H + \eta k^2} \right)^{-1/2} = -i \frac{A_k + |B_k|}{A_k - |B_k|}.$$
 (7.23)

If $\theta_k = 0$, then $m_y = -\mathrm{i} m_x$, i.e., the ac magnetization is *right-hand circularly* polarized. If $\theta_k \neq 0$, the polarization is *elliptical*; the polarization ellipse, as it follows from (7.23), is compressed in the direction of the k projection on xy plane. The ellipticity (1.101) decreases with increasing k.

The electromagnetic-field components of a spin wave can be found using the general solutions of Maxwell's equations for propagating waves in an unbounded medium (Section 4.2). But the expressions obtained with allowance for exchange interaction should now be taken for μ and μ_a . The energy flow can be calculated using the general formula (4.69). In contrast to nonexchange magnetostatic waves in an unbounded medium (Section 6.1), the energy flow is now not equal to zero.

To analyze the damping of spin waves we can make the replacement (1.68) in the dispersion relation (7.9). Two statements of the problem are possible. In the first case, the attenuation of a stationary propagating wave ($\omega''=0$) is considered. In the second case, we are concerned with the damping of a standing wave (k''=0). Formula (6.7), which relates k'' (for the first case) to the ω'' (for the second case) holds, if the losses are small, for an arbitrary dispersion law (Section 6.1). Hence, this formula is valid for exchange spin waves, too.

In the first case ($\omega'' = 0$, k = k' - ik'') two real equations that are equivalent to the complex dispersion relation give the dependence of k' and k'' on ω . Without dwelling on the solution of these equations, we only cite the *exact* formula

$$k'k'' = \frac{\alpha\omega}{2\eta}. (7.24)$$

The dependence $\omega(k',k'')$ is shown schematically in Figure 7.3. If $k'' \ll k'$, the value of k, found without regard to losses, can be taken for k'; the value of k'' is then obtained from (7.24). But if the condition $k'' \ll k'$ is not satisfied, one of the quantities, k' or k'', should be found from the exact equations following from the complex dispersion relation; the other can be found using (7.24).

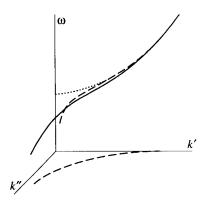


FIGURE 7.3

Dispersion characteristics of a spin wave $(\theta_k = 0)$ with allowance for losses. Dashed lines are projections of the curve $\omega(k',k'')$ onto the planes (ω,k') and (k',k''), dotted line represents $\omega(k')$ dependence when losses are not taken into account.

Expression (7.24) shows that k'' increases with decreasing k' and becomes equal to it at

$$k' = \sqrt{\frac{\alpha\omega}{2\eta}} \equiv k_{\min}. \tag{7.25}$$

When k'' exceeds k', the process cannot be referred to as a propagating wave. An estimate for a YIG single crystal ($\Delta H = 0.3$ Oe) gives $k_{\min} = 4 \times 10^3$. It should be noted that the 'disappearance' of spin waves in an unbounded medium at k'' > k' is the consequence of the decrease of group velocity, and it is removed by taking boundary conditions into account. So, spin waves with small k' in plates and rods, considered in Section 6.2, do not disappear.

In the second case $(k''=0, \ \omega=\omega'+i\omega'')$, the inequality $\omega''<\omega'$ is always satisfied if $\alpha<1$. The complex dispersion equation can now be solved in the first approximation assuming ω' to be the same as in the absence of losses. Then,

$$\omega'' = \alpha \omega \xi \tag{7.26}$$

where ξ is the same as in (7.16).

The following quantities are often used in examining the damped spin waves: the *free path*

$$l_k = \frac{1}{2k''} \tag{7.27}$$

and time of life

$$\tau_k = \frac{1}{2\omega''}. (7.28)$$

They characterize the damping, respectively, in space and in time of the squared spin-wave amplitude. These quantities have direct meaning for the quasiparticles that correspond to spin waves (Section 7.3).

7.2 Spin waves in bounded bodies

In this section we will study the influence of the nonuniformity of the medium and, first of all, the influence of the boundaries between different media on spin-wave propagation. As in Section 6.2, the equation of motion and the Maxwell equations should now be solved making allowance for the electrodynamic boundary conditions. The magnetostatic approximation can be used (for nonmetallic ferromagnets)² even more rightfully than in Section 6.2. But first, the effective field of exchange interaction should be substituted in the equation of motion. And second, the supplementary (exchange) boundary conditions should be introduced.

7.2.1 Exchange boundary conditions

The need of supplementary boundary conditions, when the exchange interaction is taken into account, follows formally from the fact that the *derivatives* of m, with respect to coordinates, appear in the equations of motion. Therefore, the order of differential equations which are obtained from the equation of motion and the electrodynamic equations increases. Solutions of these equations contain more arbitrary constants, and 'ordinary' electrodynamic boundary conditions are not enough to find these constants.

The physical reason for introducing the supplementary boundary conditions is that the fields, acting on magnetic moments in a *thin layer* near the boundary, differ essentially from the fields inside the sample

The supplementary (exchange) boundary conditions, as Rado and Weertman have shown [325], can be deduced from the equation of motion of the magnetization, like the electrodynamic boundary conditions are deduced from the Maxwell equations. Let us write the equation of motion (2.9) in the form

$$\frac{1}{\gamma} \frac{\partial \mathbf{M}}{\partial t} + \mathbf{M} \times \mathbf{H}_{ef} + q\mathbf{M} \times \nabla^2 \mathbf{M} + \mathbf{M} \times \mathbf{H}_{s} = 0$$
 (7.29)

where $q\nabla^2 M$ is the nonuniform part (7.3) of the exchange effective field; H_s is the effective field of the surface anisotropy, which exists only in the thin boundary layer; and H_{ef} includes the external magnetic field and all effective fields which vary slowly in space: effective fields of anisotropy, effective fields responsible for dissipation, etc.

²Spin waves in metals will be considered in Section 14.2.

The surface anisotropy, which describes the special conditions in the boundary layer, is usually regarded as uniaxial, with easy or hard axis normal to the boundary surface. However, it can be unidirectional, i.e., when the opposite directions of the normal to the boundary are not equivalent. A more general case is also possible when the easy directions lie on a cone with the axis normal to the boundary. We will consider the case of *uniaxial* surface anisotropy. Then, taking into account only the first constant of this anisotropy, we can write, according to (2.7) and (2.40),

$$H_{\rm s} = \frac{2K_1}{M_0^2} (Mn_0)n_0 \tag{7.30}$$

where n_0 is a unit external normal to the boundary of the ferromagnet.

Consider a boundary of a ferromagnet with a nonmagnetic medium and integrate (7.29) over a volume V (Figure 7.4) of a disc 'slit' by the boundary. The thickness of the disc 2d is assumed to be small but larger than the thickness d_s of the boundary layer. The two first terms of (7.29) make negligible contributions, and the result of integration can be written in the form

$$I_1 + I_2 = 0$$
 $I_1 = q \int_V \mathbf{M} \times \nabla^2 \mathbf{M} dV$ $I_2 = 2 \int_V \frac{K_1}{M_0^2} (\mathbf{M} \mathbf{n}_0) \mathbf{M} \times \mathbf{n}_0 dV.$ (7.31)

Transforming I_1 into a surface integral and assuming the area of the disk σ to be small, we get

$$I_1 = -\sigma q \mathbf{M} \times \frac{\partial \mathbf{M}}{\partial n} \tag{7.32}$$

$$I_2 = \sigma \int_0^{-d_s} \frac{2K_1}{M_0^2} (\boldsymbol{M} \boldsymbol{n}_0) (\boldsymbol{M} \times \boldsymbol{n}_0) \, \mathrm{d}\zeta$$
 (7.33)

where ζ is the coordinate in the direction of n_0 . Integral (7.33) can be written as

$$I_2 = \sigma \frac{2K_s}{M_0^2} (\boldsymbol{M} \boldsymbol{n}_0) \boldsymbol{M} \times \boldsymbol{n}_0$$
 (7.34)

which may be regarded as a definition of the constant of the surface anisotropy K_s . If M_0 does not depend on ζ , then

$$K_{\rm s} = \int_0^{-d_{\rm s}} K_1 \mathrm{d}\zeta. \tag{7.35}$$

Substituting (7.32) and (7.34) into (7.31), we get the boundary condition

$$q\mathbf{M} \times \frac{\partial \mathbf{M}}{\partial n} + \frac{2K_s}{M_0^2} (\mathbf{n}_0 \mathbf{M}) \, \mathbf{n}_0 \times \mathbf{M} = 0 \tag{7.36}$$

which is applied to the vector M in the ferromagnet outside a thin boundary layer. This layer is excluded from consideration, and its properties are taken into account by a single parameter K_s .

188 7 Spin waves

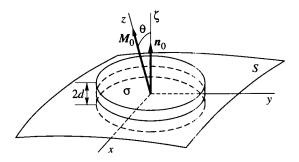


FIGURE 7.4 Boundary of a ferromagnet ($\zeta < 0$) with a nonmagnetic medium.

In the case of *small oscillations* of magnetization, we substitute $M = M_0 + m \exp(i\omega t)$ into (7.36). Then, assuming $M_0 = \text{const}$ (in the absence of domains) and equating the sum of terms linear in m to zero, we obtain the exchange boundary condition for the complex amplitude m:

$$z_0 \times \frac{\partial \boldsymbol{m}}{\partial \boldsymbol{n}} + \xi \left[(\boldsymbol{n}_0 \boldsymbol{m}) \boldsymbol{n}_0 \times \boldsymbol{z}_0 + (\boldsymbol{n}_0 \boldsymbol{z}_0) \boldsymbol{n}_0 \times \boldsymbol{m} \right] = 0. \tag{7.37}$$

Here z_0 is a unit vector in the direction of M_0 and

$$\xi = \frac{2K_{\rm s}}{qM_0^2}.\tag{7.38}$$

This parameter can be either positive or negative. according to the sign of K_s .

Projecting (7.37) onto the coordinate axes shown in Figure 7.4, we obtain the boundary conditions in the form proposed by Soohoo [374]:

$$\frac{\partial m_x}{\partial n} + \xi m_x \cos 2\theta = 0 \qquad \frac{\partial m_y}{\partial n} + \xi m_y \cos^2 \theta = 0 \tag{7.39}$$

where θ is the angle between M_0 and the normal to the boundary surface (Figure 7.4). If $\theta = 0$ (normal magnetization),

$$\frac{\partial m_{x,y}}{\partial n} + \xi m_{x,y} = 0 \tag{7.40}$$

and for $\theta = \pi/2$ (tangential magnetization),

$$\frac{\partial m_x}{\partial n} - \xi m_x = 0 \qquad \frac{\partial m_y}{\partial n} = 0. \tag{7.41}$$

The parameter ξ characterizes the degree of fastening ('pinning') of magnetic moments at the boundary and can be called the *pinning parameter*. In the limiting case of $\xi = 0$ (no pinning or *free magnetic moments*), it follows from (7.39):

$$\frac{\partial m}{\partial n} = 0. ag{7.42}$$

In the other limiting case of *perfect pinning* $(\xi \to \infty)$, if $\theta \neq \pi/2$,

$$m = 0. (7.43)$$

The boundary condition (7.42) was first used by Ament and Rado [16], and condition (7.43) was proposed by Kittel [223]. The general case was studied by Rado and Weertman [325] and by Kaganov [195].

It should be noted that the relative values of the first and second terms in (7.39), (7.40), or (7.41) depend not only on ξ but also on the rate of change of the ac magnetization in the direction of the normal to the boundary surface. For spin waves, these values depend on the projection of k onto this direction.

7.2.2 Standing spin waves in films

Proceeding to the study of spin waves in ferromagnetic films, consider first the case when the projection of the wave vector onto the film surface $k_{\parallel}=0$. Such standing spin waves can be regarded as magnetization oscillations, uniform over the film surface and nonuniform in the direction normal to the surface. Kittel [223] predicted that such oscillations can be excited by a *uniform* ac magnetic field. Seavey and Tannenwald [355] corroborated this prediction experimentally. This phenomenon was called spin-wave resonance (SWR) and was investigated in a wealth of experimental and theoretical works (see, e.g., books [333, 374] and a review article [125]).

Let us consider first the eigenmodes of standing spin waves in films, in the absence of damping and exciting field. We have to find joint solutions of the equation of motion and magnetostatic equations that satisfy the electrodynamic and the above-studied exchange boundary conditions. Solving this problem, we can apply the same two methods as in the case of waves in an unbounded medium (Section 7.1). Using the second of these methods, we must first find the relation between magnetic field and magnetization that follows from magnetostatic equations with electrodynamic boundary conditions. From expression (7.12) we obtain in the present case, when k is parallel to n_0 ,

$$\boldsymbol{h}_M = -4\pi \boldsymbol{n}_0(\boldsymbol{m}\boldsymbol{n}_0). \tag{7.44}$$

For eigenoscillations, h_M is the total ac magnetic field. It is equal to zero outside the film and has no tangential component inside. Thus, the electrodynamic boundary condition $h_{\tau 1} = h_{\tau 2}$ is satisfied. It is easy to make sure that the second boundary condition, $b_{n 1} = b_{n 2}$, is also satisfied by the field (7.44). This field must be substituted into (7.13), and the solutions of this equation that satisfy the exchange boundary conditions are to be found.

Consider the case of a *normally* magnetized film. In this case, $h_M=0$ not only outside but also inside the film. The cylindrical symmetry of the system requires the use of circular magnetization components m_{\pm} . The condition of the existence of nonzero solutions of (7.13) (with $\alpha=0$) results in the expression for

eigenfrequencies

$$\omega_{\pm} = \pm \left(\omega_H + \eta k_z^2\right). \tag{7.45}$$

As $\omega_{\pm} > 0$ by definition, oscillations with left-hand polarization (with frequency ω_{-}) exist only at imaginary k_z and sufficiently large $|k_z|$. The frequency ω_{+} (for real k_z) coincides with the frequency (7.9) of spin waves propagating in the direction of M_0 in an unbounded ferromagnet.

Let us examine the case when the pinning parameter has different values at different sides of the film. The exchange boundary conditions, according to (7.40), should now be written as

$$-\frac{\partial m_{\pm}}{\partial z} + \xi_1 m_{\pm} = 0 \quad \text{at} \quad z = 0$$

$$\frac{\partial m_{\pm}}{\partial z} + \xi_2 m_{\pm} = 0 \quad \text{at} \quad z = d. \tag{7.46}$$

The solutions can be sought in the form

$$m_{\pm} = A_{\pm} \cos k_z z + B_{\pm} \sin k_z z. \tag{7.47}$$

Substituting (7.47) into (7.46), we get an equation for k_z :

$$\cot k_z d = \frac{k_z^2 - \xi_1 \xi_2}{k_z (\xi_1 + \xi_2)}. (7.48)$$

In the particular case of $\xi_1 = \xi_2 \equiv \xi$, (7.48) is equivalent to the equations

$$\cot \frac{k_z d}{2} = \frac{k_z}{\xi} \tag{7.49}$$

$$-\tan\frac{k_z d}{2} = \frac{k_z}{\xi}. (7.50)$$

If $\xi > 0$, these equations have only *real* roots, and only right-hand polarized oscillations exist. Figure 7.5(a) shows the graphical solution of (7.49) and (7.50) in this case. Denoting the roots by k_{zn} (n = 1, 2, 3, ...) and taking into account that $\omega_H = \gamma H_{e0} - \omega_M$, we obtain from (7.45)

$$\frac{\omega_n}{\gamma} = H_{e\,0} - 4\pi M_0 + Dk_{z\,n}^2. \tag{7.51}$$

If $\xi = \infty$ (perfect pinning), $k_{zn} = n\pi/d$, and if $\xi = 0$ (no pinning), $k_{zn} = (n-1)\pi/d$.

It is easy to make sure that the roots of (7.49), i.e., the odd n values, correspond to the dependences $m_+(z)$ which are symmetric with respect to the middle of the film, and the roots of (7.50), i.e., the even values of n, correspond to the antisymmetric $m_+(z)$ dependences. The patterns $m_+(z)$ are plotted in Figure 7.6. One can see, in particular, that the solution with n=1 at $\xi=0$ represents the

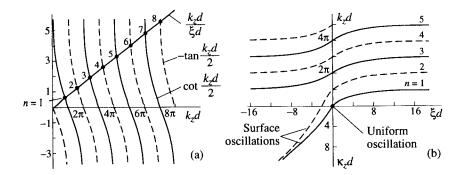


FIGURE 7.5 (a) Graphical solution of equations (7.49) and (7.50) for $\xi d = 4$ and (b) dependence of the roots on the value of ξd [333]. Solid lines correspond to (7.49), and dashed lines, to (7.50).

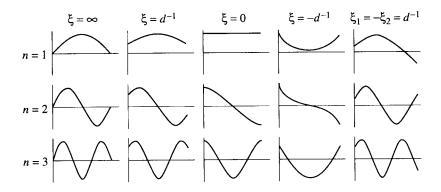


FIGURE 7.6 The ac magnetization patterns for standing spin waves in normally magnetized films with symmetric $(\xi_1 = \xi_2 \equiv \xi)$ and antisymmetric $(\xi_1 = -\xi_2)$ exchange boundary conditions.

uniform oscillation, and its frequency (1.96) follows from (7.51). At $\xi \neq 0$ the uniform oscillations do not exist.

If $\xi < 0$, each equation (7.49) and (7.50) has, in addition to the real roots, one imaginary root $k_z = \mathrm{i}\kappa_z$. These roots correspond to the *hyperbolic* (or surface) modes (Figure 7.6). The behavior of real and imaginary roots with changing ξ is shown in Figure 7.5(b). According to this figure and expression (7.51), the frequencies of surface modes decrease with increasing $|\xi|$ and become equal to zero at $D\kappa^2 = H_{\mathrm{e}\,0} - 4\pi M_0$. At still larger κ (or smaller $H_{\mathrm{e}\,0}$) the eigenmode is the left-hand rotating magnetization [215].

Another interesting particular case of $\xi_1 = -\xi_2 \equiv \xi$ (Figure 7.6) was investigated by Korchagin and Khlebopros [232]. It follows from (7.48) that in this case $k_z = n\pi/d$, independently of the value of ξ . These boundary conditions

can be realized, as Wigen *et al.* have shown [442], by means of depositing thin $(d_{1,2} \ll d)$ layers with magnetizations $M_{01} < M_0$ and $M_{02} > M_0$ onto the film (with thickness d and magnetization M_0).

Now consider briefly the *excitation* of standing spin waves in a film by a uniform ac magnetic field. The magnetization can be sought in the form

$$m_{\pm} = \sum_{n=1}^{\infty} C_n m_{\pm n} \tag{7.52}$$

where $m_{\pm n}$ are the magnetizations (7.47) of the eigenmodes. They are orthogonal and can be normalized, e.g., so that $\sqrt{A_{\pm n}^2 + B_{\pm n}^2} = 1$. The coefficients C_n are determined by formula (6.110). If the ac magnetic field is uniform, it can be taken out of the integral, and the quantity

$$\mathfrak{M}_{\pm n} = \int_0^d m_{\pm n} \mathrm{d}z \tag{7.53}$$

remains in the numerator of (6.110). This quantity is the normalized magnetic moment of the nth mode related to unit area of the film.

We will limit ourselves to the case of $\xi_1 = \xi_2 \equiv \xi > 0$. Substituting (7.47) (for right-hand polarized modes, which are the only existing modes in this case) into (7.53), we obtain for symmetric modes (with odd n values)

$$\mathfrak{M}_{+n} = \frac{1}{k_{z\,n}} \left(1 + \frac{k_{z\,n}^2}{\xi^2} \right)^{-1/2}. \tag{7.54}$$

For antisymmetric modes, $\mathfrak{M}_{+n}=0$, which is clearly seen from Figure 7.6. Thus, only *symmetric* modes (with $n=1,3,5,\ldots$) are excited by a uniform magnetic field. Note that this is also valid in the case of antisymmetric boundary conditions $(\xi_1=-\xi_2)$. It follows from (7.54) that the effectiveness of excitation is the greatest for $\xi=\infty$ and approaches zero for $\xi=0$.

When the frequency or the steady magnetic field is varied, the C_n values change in a resonance manner and the modes are excited one after another (Figure 7.7). It can be shown that the condition for the absorption maxima of the neighboring modes to be *resolved* is

$$d \lesssim 2\pi \sqrt{\frac{nD}{\Delta H}}. (7.55)$$

Therefore, thin films should be used to observe the spin-wave resonance. For YIG $(D=5.2\times 10^{-9},\,\Delta H=0.5~{\rm Oe})$ and $n=1,\,d_{\rm max}\simeq 3~\mu{\rm m}.$

The quantity that is measured in experiments on spin-wave resonance is the ac magnetic moment \mathfrak{M} . If condition (7.55) is satisfied, the moment for the nth mode is

$$\mathfrak{M}^{(n)} = SC\mathfrak{M}_{+n} \tag{7.56}$$

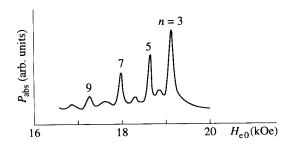


FIGURE 7.7 Spectrum of standing spin waves (SWR) in normally magnetized cobalt film with thickness $d = 0.294 \,\mu\text{m}$ at frequency of 8.8 GHz [400].

where \mathfrak{M}_{+n} is the normalized moment (7.53), S is the film area, and C is the multiplier in formula (6.110). At resonance ($\omega = \omega'_n$), taking (6.110) and (7.54) into account, we find

$$|\mathfrak{M}^{(n)}| = \frac{CS\omega}{\gamma\Delta H} \left[k_{zn}^2 \left(1 + \frac{k_{zn}^2}{\xi^2} \right) \right]^{-1} h_+.$$
 (7.57)

In the case of perfect pinning ($\xi = \infty$, $k_{z\,n} = n\pi/d$), the moment is proportional to n^{-2} .

Spin-wave resonance in *tangentially* magnetized films was investigated in detail by Salanskii and Erukhimov [333]. Here we will make only some brief remarks. The field (7.44) is not equal to zero in this case. Substituting it into (7.13) and projecting this equation onto axes x and y (the axes are directed as in Figure 6.5), we get the expression

$$\omega^2 = (\omega_H + \eta k_x^2) \left(\omega_H + \eta k_x^2 + \omega_M\right) \tag{7.58}$$

which coincides with the dispersion relation (7.9) for $\theta_k = \pi/2$. Equation (7.58) has, at constant ω , two roots, $k_{x\,1,2}^2$. One of them, $k_{x\,1}^2$, is positive if $\omega > \omega_\perp$. The second root, $k_{x\,2}^2$, is always negative. The partial solutions corresponding to the roots $k_{x\,1}^2$ and $k_{x\,2}^2$ can satisfy, individually, the exchange boundary conditions at both sides of the film only if $\xi=0$. For all other values of ξ , the sum of these partial solutions satisfies the boundary conditions and, hence, is the eigenmode. The partial solution corresponding to $k_{x\,2}$ decreases quickly with distance from the surfaces of the film. Therefore, it does not materially affect the dispersion law, which can be written in the form similar to (7.49), with certain effective value of the pinning parameter $\xi_{\rm eff}$ [333].

Originally metal films were used in the experiments on spin-wave resonance (e.g., [355, 400, 232]). The presence of conductivity was not of great importance because the thickness d was much smaller than the skin depth δ (Section 4.2). Later on nonmetal films were used, as well (e.g., [353, 456]). In many early works

the disagreements with the above-mentioned theoretical dependences took place. The main cause of these disagreements was the nonuniformity of the parameters, e.g., of M_0 , over the thickness of the film [83]. When high-quality uniform films became available, the predictions of the theory were confirmed (e.g., [35,32]). The spin-wave resonance became one of the techniques for investigation of magnetic films, first of all, for the measurement of the exchange constant D. It should be noted that the above-mentioned case of antisymmetric boundary conditions $(\xi_2 = -\xi_1)$ turned out to be very useful because the value of D can be found independently of the ξ value.

7.2.3 Propagating spin waves in films

In the analysis of propagating spin waves in films a difficulty arises similar to one discussed above for standing spin waves in tangentially magnetized films: partial solutions, taken separately, cannot satisfy all boundary conditions. However, an exception exists: the normally magnetized *metallized* film with $\xi=0$ at both surfaces. Although this case can hardly be realized, it will help us to reveal some features present in more realistic cases.

It was shown in Section 6.2 that the potential $\psi = \cos k_z z \exp(-\mathrm{i} k_y y)$ ensures the satisfaction of the electrodynamic boundary conditions for a normally magnetized metallized plate. It can be easily shown that, if $\xi = 0$, the exchange boundary conditions are also satisfied. So, the wave with this potential remains a normal wave. Then, substituting $k^2 = k_y^2 + k_z^2$ into (7.9), we obtain

$$\omega^{2} = \left(\omega_{H} + \eta k_{z}^{2} + \eta k_{y}^{2}\right) \left(\omega_{H} + \eta k_{z}^{2} + \eta k_{y}^{2} + \frac{\omega_{M}}{1 + k_{z}^{2}/k_{y}^{2}}\right)$$
(7.59)

where, according to (6.25), $k_z=n\pi/d$ and $n=1,2,3,\ldots$ denotes the number of the branch. If $\eta\to 0$, (7.59) transforms into (6.27); if $d\to \infty$, (7.59) gives the dispersion relation (7.9) of a spin wave in an unbounded medium for $\theta_k=\pi/2$; and if $k_y=0$, (7.59) transforms into expression (7.51) for the frequencies of standing spin waves. The curves ω vs k_y calculated with (7.59) are plotted in Figure 7.8.

In all other cases the examination of exchange spin waves propagating in magnetic films is much more complicated. Two methods (as in the case of an unbounded medium) can be used. They differ by the order of taking into account the magnetostatic equations and the equation of motion.

The first method was used by Gann [133] (who was the first to solve this problem) and then by Filippov [117], De Wames and Wolfram [90], and by many others. The dependence m(h), which follows from the equation of motion and is expressed by the tensor χ , is found first of all. Then we look for the solution of electrodynamic equations (in magnetostatic approximation) that satisfies both the electrodynamic and the exchange boundary conditions.

The second method was proposed by Vendik, Chartorizhskii, and Kalini-

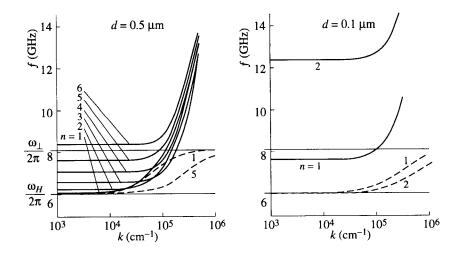


FIGURE 7.8 Dispersion characteristics of spin waves in normally magnetized metallized films with $\xi=0$ calculated by formula (7.59) (solid lines). Dashed lines represent the characteristics calculated by (6.27) without allowance for exchange interaction. $H_{\rm e\,0}=3.9$ kOe, YIG at room temperature.

kos [420, 421] and was also widely used (e.g., [205]). Apply this method, we first find the dependence of the demagnetizing field h_M on magnetization m, which follows from magnetostatic equations and the *electrodynamic* boundary conditions. Then we look for the solutions of the equation of motion satisfying the *exchange* boundary conditions.

Let us consider in more detail the main steps of both methods for the case of a normally magnetized film assuming that there is no dependence on x (Figure 6.3). Using the first method, we must find the solution of the Walker equation (6.14) satisfying both electrodynamic and exchange boundary conditions. We substitute the potential (6.23) into this equation using formula (7.8) (with $k^2 = k_y^2 + k_z^2$) for μ . Then, we obtain an expression of the same form as (7.59), which is, at given ω and k_y , a bicubic equation for k_z ; the roots of it are $|k_z| = k_{zp}(\omega)$ (p =1,2,3). Substituting these roots into (6.23), we obtain the potentials ψ_p , which correspond to the partial waves. The sum of these potentials contains six arbitrary constants. In the case of a metallized film, there are six boundary conditions: an electrodynamic and two exchange conditions at each side of the film. For a free film, there are two constants more, in the expressions for the potentials outside the film, and two electrodynamic boundary conditions are added. Thus, in both cases the sum of three partial waves can satisfy all boundary conditions; so, this sum represents a normal wave. Equating to zero the determinant of six or eight equations obtained from the boundary conditions, we find the dispersion equation $F(\omega, k_y) = 0$. The roots $\omega_n(k_y)$ of this equation correspond to different branches of the spin-wave spectrum.

Using the second method, we find, first of all, the relationship between the magnetization m (regarded at this stage as given) and the field h_M , which is the effective field of the internal magnetic energy. This field and the given external magnetic field h_e (if the problem of the wave excitation is considered), as well as the effective fields of the exchange interaction and of the anisotropy, are to be substituted into the equation of motion. All these fields, except the field h_e , are linear functions of the magnetization $m(z,y) = m(z) \exp(-ik_u y)$. Solutions of the equation of motion that satisfy the exchange boundary conditions are then to be found. It is advisable [205] to represent the functions $m_x(z)$ and $m_y(z)$ as series in the solutions $m_{jx,y}$ of the equation of motion without the field h_M . In the considered case of normal magnetization, it is necessary, of course, to use the circular components $m_{j\pm}$. These are just the solutions of the above-studied problem of standing spin waves in a normally magnetized film because in that case $h_M = 0$. After substituting the mentioned series into the equation of motion, we get an infinite system of linear equations for the coefficients in the series. The condition of compatibility of these equations yields the dispersion relation $F(\omega, k_u) = 0.$

Both methods are, of course, equivalent and both lead to calculations that can be fulfilled with the use of computers. We will cite some qualitative results of such calculations. The frequencies of all branches increase with growing k_y , as in the simple case considered above (Figure 7.8). But, as distinct from Figure 7.8, now the repulsion of some branches takes place. The character of the exchange boundary conditions has a great influence on the dispersion relations and on the $m_+(z)$ patterns. At small k_y values, these patterns are like the patterns in the case of $k_y=0$ (Figure 7.6).

The dispersion curves of spin waves in a tangentially magnetized film are plotted in Figure 7.9. The values of ω at $k_{\parallel} \to 0$ (k_{\parallel} is the wave-vector component in the direction of propagation) are the frequencies of spin-wave resonance. For waves propagating normally to M_0 , the frequencies of all branches increase with growing k_{\parallel} , and the repulsion of the branches with the same parity takes place. If losses are taken into account, the k_{\parallel}'' maxima should appear in the regions of repulsion. Waves propagating normally to the M_0 direction are now no longer pure surface waves, as in the nonexchange case (Section 6.2).

For waves propagating along M_0 , the dependences of ω on k_{\parallel} are not monotone (Figure 7.9). At small k_{\parallel} values, the influence of magnetic (dipole–dipole) interaction and of the electrodynamic boundary conditions dominates. This leads, as in the case of nonexchange waves, to the decrease of ω with growing k_{\parallel} . At larger k_{\parallel} , the exchange interaction dominates and the frequencies increase.

Comparing the dispersion characteristics of the exchange spin waves with the characteristics obtained in the nonexchange approximation (Section 6.2), one can see that the latter are approximately valid at sufficiently small k_{\parallel} and sufficiently large values of the film thickness d. It is not obvious, at first sight, that it should be so because the exchange boundary conditions always influence the magnetization.

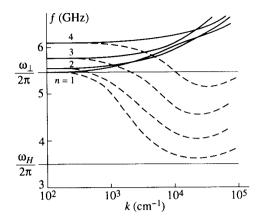


FIGURE 7.9 Dispersion characteristics of spin waves in a tangentially magnetized YIG film for different propagation directions (schematically). Solid lines correspond to $\theta_k = \pi/2$ and dashed lines, to $\theta_k = 0$. $d = 1 \, \mu \text{m}$, $H_0 = 1.25 \, \text{kOe}$.

Indeed, these conditions materially influence the distribution of magnetization in the regions near the *surfaces* of the film. With decreasing k_{\parallel} and increasing d, the relative volume of these regions grows smaller and, hence, the influence of the exchange boundary conditions on the dispersion relations diminishes.

Consider now the exchange spin waves in a film of *finite width* (a spin-wave waveguide) magnetized, e.g., in the direction of a normal to the film surface. The boundary conditions, both electrodynamic and exchange, at surfaces x=0 and x=w (for $0 \le z \le d$) must now be taken into account, in addition to the boundary conditions at the surfaces z=0 and z=d. The problem becomes much more difficult than in the nonexchange case (Section 6.2), in which it also cannot be rigorously solved (except when the waveguide is completely metallized). However, if the film width w is sufficiently large, we may approximately substitute $k_x^2 + k_y^2$ for k_y^2 in the dispersion relation and take for k_x the allowed ('quantized') values $k_x = n_x \pi/w$. It will result in splitting of each branch of the spectrum into an infinite number of branches.

If all dimensions of the film are finite, the spin-wave spectrum, like the spectrum of nonexchange, Walker's oscillations (Section 6.3), should be an infinite discrete set of eigenfrequencies. To find them, taking into account the effective field of exchange interaction and all boundary conditions, is a problem not solved strictly until now. But let us discuss the problem qualitatively. Consider, e.g., a rectangular film of the width w and the length l. Suppose that the above-considered problem is solved for a film of the same width and infinite length, so that we know the dispersion law for such a spin-wave waveguide. It is clear that the solution in the form of a standing wave with one value of k_y cannot satisfy all boundary

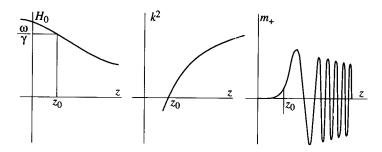


FIGURE 7.10 Excitation of a spin wave in a nonuniform steady magnetic field near a turning surface.

conditions at surfaces y=0 and y=l. But, if l is sufficiently large, we can ignore this fact and suppose that a certain 'quantization' condition takes place, e.g., $k_y=n_y\pi/l$. Then, the allowed k_y values will mark discrete points, i.e., the eigenfrequencies of the spin-wave resonator, at all branches of $\omega(k_y)$ dependence. The intervals between the neighboring frequencies will be larger the smaller the length l is.

7.2.4 Spin waves in nonuniform magnetic fields

We will now briefly consider the spin-wave propagation in a ferromagnet with parameters continuously changing in space. Of the greatest interest is the case when this change is due to the nonuniformity of the steady magnetic field. If the change of H_0 is slow enough, we may assume that an 'ordinary' spin wave propagates in such a medium with slow-changing parameters, but the wave vector k changes continuously in space. The condition of slow change can be written in the form

$$|\nabla H_0| \ll |\mathbf{k}|H_0. \tag{7.60}$$

At certain surfaces the field H_0 can have such value that the wave vector k = 0. Near these surfaces condition (7.60) is not satisfied, and we must find a strict solution of the equation of motion with variable parameters. This solution must transform into a wave with slow-changing k at one side of the mentioned surface; at another side of this surface it must transform into a spin oscillation with amplitude decreasing exponentially in the direction of ∇H_0 . By analogy with a quantum-mechanical problem of the motion of a particle near a potential barrier [336], the considered surface can be named the turning surface.

The one-dimentional problem of this kind was solved by Schlömann and Joseph [346, 347]. The field was assumed to be directed along the z-axis and to change monotonically with z (Figure 7.10). One can suppose that the right-

7.3 Magnons 199

hand circularly polarized ac magnetization m_+ will depend on z, as shown in Figure 7.10. Then, a nonzero eigenmoment will arise near the turning plane $z=z_0$. And if a uniform ac magnetic field is applied in this region, it will excite a spin wave with changing k propagating from the turning plane in the direction of decreasing H_0 . A nonuniform internal field can be realized, e.g., in a ferromagnetic or ferrite cylinder put into a uniform external field $H_{e\,0}$.

A similar problem for a normally magnetized disc was studied theoretically and experimentally by Eshbach [111]. In this case, H_0 changes in the radial direction, the turning surface is a cylindrical surface, and spin waves propagate to the center of the disc.

Morgenthaler and Stancil later (e.g., [384]) investigated in detail the propagation of spin waves in films in nonuniform magnetic fields. It was found, in particular, that spin waves propagate, as well, along the turning surfaces. Of special interest is the case when the closed turning surface bounds a region in which $k^2 > 0$. Spinwave oscillations (standing waves) exist in this region; outside it, the magnetization decreases exponentially. Such a spin-wave resonator ('magnetic pit') can be realized, e.g., by diminishing the external magnetic field in a small region of a normally magnetized film [206]. The losses in such resonators (as well as the losses of waves propagating along the turning surfaces) are small because there are no contributions of the relaxation processes due to the scattering of spin waves by defects present at real boundaries.

7.3 Magnons

In this section we will use the general principle of *corpuscule-wave duality* (e.g., [243]) and 'translate into corpuscular language' the results obtained above on the quasiclassical, continuum model.

7.3.1 Quantization of magnetic oscillations and waves

Oscillations and waves of magnetization in magnetically ordered substances can be regarded, respectively, as 'crowds' or flows of quasiparticles with energy

$$\varepsilon = \hbar \omega \tag{7.61}$$

and quasimomentum

$$p = \hbar k. \tag{7.62}$$

These quasiparticles are called *magnons*. The dependence $\omega(\mathbf{k})$, discussed above in detail, gives at the same time the dispersion law $\varepsilon(\mathbf{p})$ of magnons.

In the case of uniform oscillations, p=0 and $\varepsilon=\hbar\omega_0$. In the opposite limiting

7 Spin waves

case of very large k values, when $\omega \simeq \eta k^2$,

$$\varepsilon \simeq \frac{\eta}{\hbar} p^2. \tag{7.63}$$

Comparing (7.63) with the relation between the kinetic energy and momentum of a nonrelativistic particle, we see that the magnon with a sufficiently large k can be regarded approximately as a free particle with the mass $m_{\rm mag} = \hbar/(2\eta)$. For YIG, $m_{\rm mag} = 5.7 \times 10^{-27}$, which is about six times larger than the mass of an electron. The difference between the magnon energy $\hbar\omega$ and the energy (7.63) can be regarded as the potential energy of a magnon in magnetic fields, external and demagnetizing, and in the effective field of anisotropy.

The energy W of magnetic oscillations and waves, in the corpuscular language, is the sum of energies of all magnons that exist in the considered body. This sum can be approximately divided into two parts:

$$W = \sum_{\nu} \mathfrak{n}_{\nu} \varepsilon_{\nu} + \sum_{\mathbf{k}} \mathfrak{n}_{\mathbf{k}} \varepsilon_{\mathbf{k}}. \tag{7.64}$$

Here n_{ν} are the numbers of magnons that correspond to the modes (including the uniform mode) for which the influence of the boundary conditions is important; n_k are the numbers of magnons with large k values, which can be treated as corresponding to plane spin waves.

To gain a discrete spectrum, which has been assumed in (7.64), without taking into consideration the complicated real boundary conditions, the *periodical* Bornvon Karman boundary conditions (e.g., [24]) can be used for the second sum in (7.64). Then, the allowed values of vector k projections are

$$k_x = \frac{2\pi p_1}{l_1}$$
 $k_y = \frac{2\pi p_2}{l_2}$ $k_z = \frac{2\pi p_3}{l_3}$ (7.65)

where l_1 , l_2 , and l_3 are the periods of the dependence on coordinates x, y, and z, respectively, and p_1 , p_2 , p_3 are integers. As long as the continuum model is used, the values of these integers are not limited.

Writing the energy in the form (7.64), we suppose that different modes do not interact, i.e., the magnon 'gas' is ideal. This supposition is valid if we remain in the limits of a linear theory.

Let us discuss the connection between the *numbers of magnons* and the ac magnetization amplitudes. We have to equate the classical high-frequency magnetic energy to the quantities $n_{\nu}\hbar\omega_{\nu}$ or $n_{k}\hbar\omega_{k}$. Consider first the *uniform oscillations* in a small ellipsoid. Their energy consists of the Zeeman energy (2.15), the internal magnetic energy (2.16), and the energy of anisotropy. The last can be included formally into (2.16) if we regard the tensor \vec{N} in this formula as the sum of the demagnetization tensor and the tensor \vec{N}^{an} (Section 2.1). We write down the high-frequency energy terms, which are proportional to the squared ac magnetization

components $m_{\sim x}$ and $m_{\sim y}$, and take into account the expression

$$M_z \simeq M_0 - \frac{m_{\sim x}^2 + m_{\sim y}^2}{2M_0} \tag{7.66}$$

which follows from the condition of conservation of the vector M length. We then find the energy density

$$U = \frac{H_0}{2M_0} \left(m_{\sim x}^2 + m_{\sim y}^2 \right) + \frac{1}{2} m_{\sim x}^2 \left(N_x - N_z \right) + \frac{1}{2} m_{\sim y}^2 \left(N_y - N_z \right). \tag{7.67}$$

Equating it to $U=n_0\hbar\omega_0$ (where $n_0=n_0/V$ and V is the sample volume), we can find the connection between n_0 and the amplitudes $m_{\sim x}$ and $m_{\sim y}$. If the sample is an ellipsoid of revolution magnetized along its axis, and the anisotropy axis of a uniaxial crystal or one of the axes $\langle 100 \rangle$ or $\langle 111 \rangle$ of a cubic crystal coincides with the axis of the sample, then the ac magnetization is circularly polarized, and

$$n_0 = \frac{m_0^2}{2M_0\gamma\hbar} \tag{7.68}$$

where $m_0 = (m_{\sim x}^2 + m_{\sim y}^2)^{1/2}$.

For spin waves, we must include the nonuniform exchange energy and the energy of the volume demagnetizing field h_M into the energy density U, but we must exclude the energy of the surface demagnetizing field. The result is again very simple when the magnetization is circularly polarized, i.e., for spin waves with $\theta_k = 0$:

$$n_k \equiv \frac{\mathfrak{n}_k}{V} = \frac{m_k^2}{2M_0\gamma\hbar} \tag{7.69}$$

where $m_k = (m_{\sim k x}^2 + m_{\sim k y}^2)^{1/2}$.

Formulae (7.68) and (7.69) are approximately valid, respectively, for an arbitrary ellipsoid and for spin waves propagating in an arbitrary direction if $H_0 + Dk^2 \gg 4\pi M_0$, i.e., when the polarization of the magnetization is nearly circular. If this condition is not satisfied, formulae (7.68) and (7.69) give only the order of magnitude.

Taking into account (7.68), (7.69), and (7.66), we obtain an important formula

$$M_0 - M_z = n\gamma\hbar \tag{7.70}$$

where $n=n_0+n_k$. This means that each magnon of both the uniform precession and spin waves reduces the z projection of magnetization by a quantity $\gamma\hbar\equiv g\mu_{\rm B}$ [g is the g-factor and $\mu_{\rm B}$ is the Bohr magneton (1.14)].

For nonuniform magnetization oscillations (e.g., the Walker modes, Section 6.3), only the numbers of magnons in the whole sample have meaning. To find them we must equate the high-frequency magnetic energy of the sample, for a certain mode, to the quantity $n_{\nu}\hbar\omega_{\nu}$. In the case of circularly polarized magnetization

(with the amplitude m_{ν}), we obtain

$$\mathfrak{n}_{\nu} = \frac{1}{2M_0\gamma\hbar} \int_{V} m_{\nu}^2(r) dV.$$
 (7.71)

If uniform oscillations, Walker's modes, and short-wavelength spin waves simultaneously exist in a sample, the total change of the z component of the sample magnetic moment \mathfrak{M} is

$$M_0 V - \mathfrak{M}_z = \left(V n_0 + \sum_{\nu} \mathfrak{n}_{\nu} + V \sum_{k} n_k\right) \gamma \hbar \equiv \mathfrak{n} \gamma \hbar.$$
 (7.72)

The transverse \mathfrak{M} components are equal to zero for all nonuniform modes, both Walker's modes and spin waves. Calculating $\mathfrak{M}^2 = \mathfrak{M}_x^2 + \mathfrak{M}_y^2 + \mathfrak{M}_z^2$ and taking into account (7.72) and (7.68), we get [376]

$$\mathfrak{M} = M_0 V - (\mathfrak{n} - n_0 V) \gamma \hbar. \tag{7.73}$$

Thus, all magnons, except magnons of uniform oscillations, diminish the vector \mathfrak{M} length.

The numbers of magnons are proportional to the squared ac magnetization amplitudes, just as the numbers of particles in quantum mechanics are proportional to the squared moduli of the wave functions. We can suppose, therefore, that an analogy should exist between the equation of motion of magnetization and the Schrödinger equation. Indeed, it is easy to make sure that, in the case of circular polarization, the equation of motion for the ac magnetization amplitude has the form of Schrödinger's equation. Schlömann was the first to point out this analogy. Tsukernik [407] showed that it takes place in the general case of noncircular magnetization polarization, too.

The magnon energy ε and quasimomentum p are real quantities by definition. The dissipation of energy of magnetic oscillations and waves is taken into account, in the corpuscular language, by the finite magnon mean time of life τ_k and finite mean path length l_k . It follows from (7.68) and (7.69) that τ_k is equal to the relaxation time (7.28) of the squared oscillation or wave amplitude. The mean path length l_k , for spin waves, is given by (7.27). For oscillations, both uniform and nonuniform, l_k is equal to zero.

For damped free oscillations, the numbers of magnons decrease, approaching the equilibrium (thermal) values, due to the collisions with magnons and other quasiparticles (Chapters 11 and 12). For stationary (forced) oscillations and waves, the constancy of magnon numbers is maintained by the processes of their creation, e.g., due to the annihilation of electromagnetic-field photons.

7.3.2 Thermal magnons

The magnons we considered above correspond to coherent oscillations and waves and can be called *coherent* magnons. They usually have distinct k values and

7.3 Magnons 203

distinct values of energy $\hbar\omega$ where ω is the frequency of the field that excites them. Of course, such a distribution in the ${\bf k}$ space is nonequilibrium one. At the same time, in magnetically ordered substances at any temperature T>0 there exist noncoherent, thermal magnons, which are in thermodynamic equilibrium with other quasiparticles, first of all, with phonons. The distributions of such magnons in the ${\bf k}$ space and over the energies are very broad and depend on temperature and magnetic field. These distributions are determined by the dispersion law of magnons (which was discussed in detail above) and by their statistics.

The magnetic moment of a magnon, as it follows from (7.70), is

$$\mathbf{m} = -z_0 \gamma \hbar \equiv -z_0 g \mu_{\rm B} \tag{7.74}$$

where z_0 is a unit vector in the direction of M_0 . Magnons being the elementary excitations of the electronic magnetic system, we can believe that the magnetomechanical ratio γ of magnons should be the same as for electrons. It follows, then, from (7.74) that magnons are quasiparticles with the moment of momentum (spin) equal to *unity*. The particles with an integer spin obey the Bose-Einstein statistics (e.g., [244]). If the total number of particles (or quasiparticles) in the system is not fixed, which is the case for magnons, the chemical potential for the system is to be set to zero. Then, the number of particles in a state with energy ε (the distribution function) is [244]

$$\overline{n} = \frac{1}{\exp(\varepsilon/\kappa T) - 1}. (7.75)$$

Thermal magnons materially influence the *thermodynamic* properties of magnetically ordered substances, in particular, the temperature dependences of magnetization M(T). To find this dependence we use formula (7.70), in which M_0 is now the magnetization M(0) in the absence of magnons, i.e., at T=0, $M_z=M(T)$, and n is the number of all equilibrium magnons per unit volume. The first sum in (7.64) can be neglected now. Calculating the second sum, we replace the summation over all k states by the integration over the k space. Then, using the periodic boundary conditions (7.65), we get

$$n = \frac{1}{(2\pi)^3} \int_{\mathbf{k}} \overline{n} d\mathbf{k}. \tag{7.76}$$

Let us consider an isotropic ferromagnet and assume, for simplicity, the dispersion law $\omega = \eta k^2$. This will not lead to a great error because we will integrate over the entire k space, and the states with large k values will make the greatest contribution. Substituting (7.75) into (7.76) and integrating over the vector k angles θ_k and φ_k , we obtain

$$M(0) - M(T) = \frac{\gamma \hbar}{2\pi^2} \int_0^\infty \frac{k^2 dk}{\exp(\hbar \eta k^2 / \kappa T)^{-1}}.$$
 (7.77)

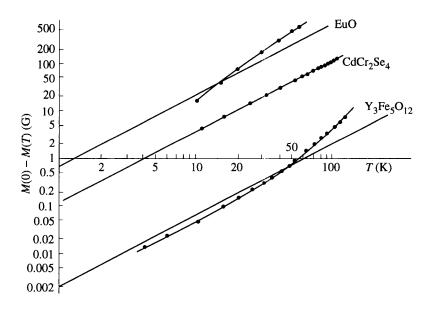


FIGURE 7.11

Temperature dependences of magnetization. Points represent experimental data for EuO [334], CdCr₂Se₄ [385], and YIG [465]. Straight lines corresponding to the law (7.78) intersect the axis of ordinates at points $[-4.288 - (3/2) \ln \eta]$. For EuO and YIG, these lines are drawn according to the η values obtained by other methods [309, 252]; for CdCr₂Se₄, they are drawn through the experimental points.

The calculation of the integral in (7.77) results in

$$M(0) - M(T) = 5.863 \times 10^{-2} \gamma \hbar \left(\frac{\kappa T}{\hbar \eta}\right)^{3/2} \equiv 5.157 \times 10^{-5} \eta^{-3/2} T^{3/2}.$$
 (7.78)

This is the well-known three-halves power law obtained first (on the microscopic model) by Bloch [52].

The use of expression (7.78) is one of the ways to measure the exchange constant η . The experimental dependences M(0)-M(T) for ferromagnets EuO and CdCr₂Se₄ and ferrimagnet Y₃Fe₅O₁₂ (YIG) are plotted in Figure 7.11. For YIG the three-halves power law is poorly satisfied, especially at high temperatures. The reason is that the spin-wave spectrum for this ferrimagnet contains 20 branches (Section 3.3), and the disregard of all of them but the lowest, 'ferromagnetic', branch is permissible only at low temperatures. The position of the asymptotic straight line at low temperatures agrees with the value of $\eta = 0.092$, found for YIG by a more precise method (Section 12.4).

For EuO, the three-halves power law is satisfied badly for another reason: the magnetization of this ferromagnet is very high $(4\pi M_0 = 24\,000 \text{ G})$ at 4.2 K [284]), and neglecting the term $\omega_M \sin^2 \theta_k$ in the spin-wave dispersion relation

is not permissible. For CdCr₂Se₄ with magnetization five times smaller, this reason is not so important. The law (7.78) is valid for this ferromagnet in a broad temperature range and yields $\eta = 5.9 \times 10^{-3}$.

The magnon contribution to heat capacity can be found in an analogous manner:

$$(c_v)_{\text{mag}} = \frac{\partial}{\partial T} \int_{\mathbf{k}} \overline{n} \varepsilon d\mathbf{k}. \tag{7.79}$$

The calculation results in [227]

$$(c_v)_{\text{mag}} = 0.113 \kappa^{5/2} (\hbar \eta)^{-3/2} T^{3/2} \equiv 0.71 \eta^{-3/2} T^{3/2}.$$
 (7.80)

This contribution can be extracted from the measured heat capacity of a nonmetallic ferromagnet by taking into account that the lattice (phonon) contribution is proportional at low temperature to T^3 . Thus, the measurement of c_v can also be used to find the value of η .

7.4 Elements of microscopic spin-wave theory

Up to now we have regarded the ferromagnet as a medium characterized by the magnetization depending continuously on coordinates. But in reality a ferromagnet, as any solid, is built of discrete microscopic objects: atoms, ions, electrons. To study the influence of the microscopic structure of ferromagnets on their behavior, in particular, on the spin waves, we have to apply microscopic models and, hence, quantum-mechanical methods of analysis.

Spin waves were proposed in 1930 by Bloch [52] on a microscopic model with allowance only for the exchange interaction between the electron spin moments. Ten years later Holstein and Primakoff [184] constructed the microscopic theory of spin waves taking into account, in addition to the exchange interaction, the Zeeman interaction of spins with the external magnetic field and the magnetic (dipole–dipole) interaction. Since then the microscopic spin-wave theory was an object of numerous theoretical investigations; this theory is presented in many excellent books (e.g., [14, 226, 412]). The aim of the present section is to give a brief outline of this theory.

We will use the *Heisenberg model* of a ferromagnet (Section 1.1), which is a system of electron spin moments localized at crystal-lattice points and bound with each other by the exchange interaction. We will see below that the microscopic approach must be used for spin waves with large k values, comparable with 1/a (where a is the lattice constant). For such waves, the influence of boundary conditions is negligible, only the spectrum becomes discrete. However, the discrete spectrum can be obtained, in the unbounded medium, by use of the cyclic boundary conditions, as pointed out in the preceding section. Therefore, only an *unbounded* ferromagnet will be treated in the present section. The magne-

tocrystalline anisotropy and other effects caused by spin-orbital interaction also will not be taken into account, they are described rather well by the quasiclassical continuum theory.

Then, the Hamiltonian of the model should contain the operators of the exchange energy, of the Zeeman energy, and of the energy of the dipole—dipole interaction. The first is the sum of expressions (1.26). The second can be written by analogy with classical expression (2.15) taking into account that the projection of magnetization onto the z-axis (directed along the magnetic field) is

$$\hat{M}_z = -\gamma \hbar \sum_f \hat{S}_f^z. \tag{7.81}$$

Here, \hat{S}_f^z is the z projection of a spin \hat{S}_f at the lattice point f, and the summation is over all spins in a unit volume.

For simplicity, we neglect at present the dipole-dipole interaction. Thus the Hamiltonian takes the form

$$\mathcal{H} = \gamma \hbar \sum_{f} \hat{S}_{f}^{z} - \sum_{f} \sum_{f' \neq f} I_{ff'} \mathbf{S}_{f} \mathbf{S}_{f'}$$
 (7.82)

where $\hat{S}_f \hat{S}_{f'} = \hat{S}_f^x \hat{S}_{f'}^x + \hat{S}_f^y \hat{S}_{f'}^y + \hat{S}_f^z \hat{S}_{f'}^z$. The operators $\hat{S}_{f,f'}^{x,y,z}$ obey the commutation relation [226]

$$\left[\hat{S}_f^x, \hat{S}_{f'}^y\right] = \mathrm{i}\Delta_{ff'} \hat{S}_f^z \tag{7.83}$$

and the two others obtained from (7.83) by cyclic interchanging of indices; $\Delta_{ff'}$ is the Kronecker delta-symbol (Appendix C).

7.4.1 Diagonalization of the Hamiltonian

Our objective is to transform the Hamiltonian (7.82) into

$$\hat{\mathcal{H}} = U_0 + \sum_{\mathbf{k}} \hat{n}_{\mathbf{k}} \varepsilon_{\mathbf{k}}(\mathbf{k}) \tag{7.84}$$

where the summation is over all allowed k values and \hat{n}_k is an operator whose eigenvalues are integers. Then U_0 will be the ground-state energy, $\varepsilon(k)$ will be the energy of an elementary excitation, i.e., of a magnon, and \hat{n}_k will be the operator of the number of magnons in a certain state.

The conversion of the Hamiltonian (including the energy of the dipole–dipole interaction) into the form (7.84) was carried out in [184] by means of the famous Holstein-Primakoff transformations. The first of these transformations is the transition from the spin operators $\hat{S}_f^{x,y,z}$ to new operators \hat{a}_f^+ and \hat{a}_f .

Let us pass first to the cyclic combinations

$$\hat{S}_f^{\pm} = \hat{S}_f^x \pm i\hat{S}_f^y \tag{7.85}$$

and then to the operators \hat{a}_f^+ and \hat{a}_f which satisfy the following commutation relations

$$\left[\hat{a}_{f}, \hat{a}_{f'}^{+}\right] = \Delta_{ff'} \qquad \left[a_{f}, a_{f'}\right] = 0 \qquad \left[a_{f}^{+}, a_{f'}^{+}\right] = 0.$$
 (7.86)

Assuming the expression

$$\hat{S}_f^z = -S + \hat{a}_f^+ \hat{a}_f \tag{7.87}$$

to be valid, it can be shown that

$$\hat{S}_{f}^{+} = \sqrt{2S} \left(1 - \frac{1}{2S} \hat{a}_{f}^{+} \hat{a}_{f} \right)^{1/2} \hat{a}_{f} \qquad \hat{S}_{f}^{-} = \sqrt{2S} \hat{a}_{f}^{+} \left(1 - \frac{1}{2S} \hat{a}_{f}^{+} \hat{a}_{f} \right)^{1/2}$$
(7.88)

where, as well as in (7.87), S is the spin quantum number, so that the eigenvalues of \hat{S}_f^z are $S, (S-1), \ldots, (-S)$.

The operators that satisfy the commutation relations (7.86) are the operators of creation and annihilation of particles obeying the Bose-Einstein statistics [244]. When they act on the wave functions of the particles in the secondary-quantization representation (in which the functions consist of the numbers of particles in different states), the operator \hat{a}_f^+ increases the number of particles in the f state by unity and does not 'touch' the numbers of them in all other states (e.g., [84]). The operator \hat{a}_f^+ decreases the number of particles in the f state by unity. The operator \hat{a}_f^+ and \hat{a}_f^- is the operator of the number of particles in the f state. In our case, \hat{a}_f^+ and \hat{a}_f^- are the operators, respectively, of creation and annihilation of spin deviations (i.e., of the change of S^z by ± 1) at the lattice point f. The state of the ferromagnetic sample is represented by the numbers of spin deviations at all lattice points.

As the operator expressions (7.88) are very complicated, we expand the radicals in power series and limit ourselves to the first terms of these series:

$$\hat{S}_f^+ \cong \sqrt{2S}\hat{a}_f \qquad \hat{S}_f^- \cong \sqrt{2S}\hat{a}_f^+. \tag{7.89}$$

This seems to be a very rough approximation, especially for small S values. The main assumption of the Holstein-Primakoff theory is that this approximation is, nevertheless, permissible at sufficiently low temperatures when the mean numbers of spin deviations

$$\bar{n}_f \ll 1. \tag{7.90}$$

According to the eigenvalues of \hat{S}^z , the numbers of spin deviations at a lattice point must satisfy the condition

$$n_f \le 2S. \tag{7.91}$$

This condition restricts the allowed ('physical') region in the entire spin-deviation space, and so, the spin deviations differ from normal Bose-particles. The exact relations (7.88) ensure the fulfillment of (7.91), but the approximate relations (7.89) do not.

208 7 Spin waves

Substituting the new operators \hat{a}_f^+ and \hat{a}_f into the Hamiltonian (7.82), we get

$$\hat{\mathcal{H}} = U_0 + \hat{\mathcal{H}}_2 + \hat{\mathcal{H}}_4 \tag{7.92}$$

$$U_0 = -\gamma \hbar SNH - S^2 \sum_{f} \sum_{f'} I_{ff'}$$
 (7.93)

$$\hat{\mathcal{H}}_2 = \gamma \hbar H \sum_f \hat{a}_f^{\dagger} \hat{a}_f + 2S \sum_f \sum_{f'} I_{ff'} \left(\hat{a}_f^{\dagger} \hat{a}_f - \hat{a}_f^{\dagger} \hat{a}_{f'} \right)$$
(7.94)

$$\hat{\mathcal{H}}_4 = -\sum_f \sum_{f'} I_{ff'} \hat{a}_f^{\dagger} \hat{a}_{J} \hat{a}_{f'}^{\dagger} \hat{a}_{f'}. \tag{7.95}$$

Here the sums are over all lattice points, N is the number of these points, and $f \neq f'$ in all double sums.

The Hamiltonian (7.95) can be neglected at sufficiently low temperatures when condition (7.90) is satisfied. But the Hamiltonian (7.94) does not have the required form (7.84). This implies that the spin deviations localized at lattice points are not the elementary excitations of a ferromagnet.

To obtain such excitations (which should be collective excitations of the entire considered system) the *second* Holstein-Primakoff transformation is to be performed. We have to pass from the r space to the k space, i.e., to carry out the Fourier transformation of the operators \hat{a}_f^+ and \hat{a}_f :

$$\hat{a}_f^+ = \frac{1}{\sqrt{N}} \sum_{k} \exp\left(-ik\boldsymbol{r}_f\right) \hat{a}_k^+ \qquad \hat{a}_f = \frac{1}{\sqrt{N}} \sum_{k} \exp\left(ik\boldsymbol{r}_f\right) \hat{a}_k. \tag{7.96}$$

The wave vectors in (7.96) are defined nonuniquely (e.g., [24]), an arbitrary vector of the reciprocal lattice can be added to k Therefore, the k space should be restricted to the first Brillouin zone. We will use, as in the preceding section, the periodical Born-von Karman boundary conditions. The allowed k values are given, then, by (7.66). But now, in the case of a discrete lattice, these values are *limited* by the first Brillouin zone. The number of the allowed k values in this zone is equal to the number of spins N in the periodicity volume. We will take this volume as 1 cm^3 , thus, N will be the number of spins per unit volume.

The operators \hat{a}_k^+ and \hat{a}_k obey the commutation relations similar to (7.86), with replacement of f by k. Therefore, they can be regarded as creation and annihilation operators of some quasiparticles. These quasiparticles are *not localized* at lattice points but belong to the entire lattice. The operator

$$\hat{n}_k = \hat{a}_k^+ \hat{a}_k \tag{7.97}$$

is the operator of the number of these quasiparticles. The eigenvalues of this operator $n_k = 0, 1, 2, \ldots$ are the numbers of quasiparticles in the k states.

Substitution of (7.96) into (7.94) yields

$$\hat{\mathcal{H}}_2 = \gamma \hbar H \sum_{k} \hat{n}_k + 2S \sum_{k} \hat{n}_k \sum_{g} \left[1 - \exp\left(ikr_g\right) \right] I_g$$
 (7.98)

where $r_g = r_f - r_{f'}$, $I_g \equiv I_{ff'}$, and the last sum is over all lattice points except one, the initial. The Hamiltonian (7.98) has the desired form of (7.84), and

$$\varepsilon_k(k) = \gamma \hbar H + 2S \sum_g \left[1 - \exp\left(i \mathbf{k} \mathbf{r}_g\right) \right] I_g.$$
 (7.99)

Thus, the transition to the operators \hat{a}_k^+ and \hat{a}_k has brought approximately the Hamiltonian (7.82) of the Heisenberg model into the diagonal form. Therefore, these operators can be regarded approximately as the creation and annihilation operators of the elementary excitations of this model, i.e., of spin waves or magnons. Such is the case, however, only if the dipole–dipole interaction is not included into the Hamiltonian (7.82).

The spin-operator \hat{S} projections and, hence, all quantities that depend on them can be represented as functions of \hat{a}_k^+ and \hat{a}_k . In particular, substituting (7.96) into (7.87) and summing over all lattice points, we get

$$\sum_{f} \hat{S}_{f}^{z} = -SN + \sum_{k} \hat{n}_{k}. \tag{7.100}$$

The relation (7.70) follows directly from (7.100); the relation (7.72) can be obtained in a similar manner [376].

7.4.2 Discussion of the dispersion law

The dispersion relation (7.99) is to be compared with the classical expression (7.9) for $\theta_k = 0$ because, for this direction of spin-wave propagation, there is no influence of the dipole-dipole interaction. In both cases the magnon energy is the sum of the Zeeman term and the exchange term, the difference is in the form of the latter. Now it contains the *microscopic* parameters S and I_g , and the k dependence is more complicated and governed by magnetic structure.

The exchange integrals I_g decrease quickly with increasing distance r_g between the spins. Therefore, it is reasonable to take into account only the *nearest neighbors* in (7.99). Consider, first, a *simple cubic* spin lattice (Figure 7.12). Calculating the sum in (7.99) over six nearest neighbors, we get

$$\varepsilon_k = \gamma \hbar H + 4SI_1 \left(3 - \cos k_x a - \cos k_y a - \cos k_z a \right) \tag{7.101}$$

where I_1 is the exchange integral, which is assumed to be independent of the r_g direction. As another example, consider a *face-centered cubic* lattice (Figure 7.12), in which the number of the nearest neighbors Z = 12. Now it follows from (7.99) that

$$\varepsilon_k = \gamma \hbar H + 4SI_1 \left[6 - \cos(k_x + k_y)a_1 - \cos(k_x - k_y)a_1 - \cos(k_y + k_z)a_1 - \cos(k_y - k_z)a_1 - \cos(k_z + k_x)a_1 - \cos(k_z - k_x)a_1 \right]$$
(7.102)

where $a_1 = a/\sqrt{2}$, and a, as in (7.101), is the distance between the nearest spins.

210 7 Spin waves

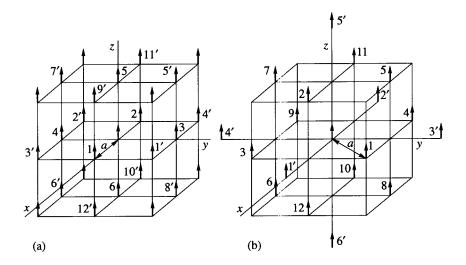


FIGURE 7.12

(a) Simple cubic and (b) face-centered cubic spin lattices. The unprimed numbers correspond to the nearest neighbors of the spin in the center, primed numbers correspond to the next-nearest neighbors.

In the long-wave-length limit ($ka \ll 1$) both expressions (7.101) and (7.102) reduce to

$$\varepsilon_k = \gamma \hbar H + \frac{1}{3} Z S I_1 a^2 k^2. \tag{7.103}$$

This coincides with the classical expression if

$$\eta = \frac{1}{3} \frac{ZSI_1}{\hbar} a^2. \tag{7.104}$$

It is easy to obtain analogous formulae taking into account also the next-nearest neighbors (the second coordination sphere). Then, for a simple cubic lattice,

$$\eta = \frac{2S}{\hbar} \left(I_1 + \frac{1}{4} I_2 \right) a^2 \tag{7.105}$$

and for a face-centered cubic lattice,

$$\eta = \frac{4S}{\hbar} \left(I_1 + I_2 \right) a^2 \tag{7.106}$$

where I_1 and I_2 are the exchange integrals, respectively, in the first and second coordination spheres.

If the exchange integrals are unknown, the following expressions can be used to estimate the value of η :

$$\eta = \frac{1}{6}\Lambda M_0 \gamma a^2 \tag{7.107}$$

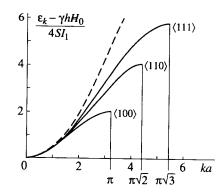


FIGURE 7.13

Dispersion characteristics of spin waves in a ferromagnet with simple cubic spin lattice for different directions of propagation calculated (solid curves) by formula (7.101), i.e., in the nearest-neighbor approximation and without allowance for dipole—dipole interaction. Dashed curve corresponds to the continuum despersion law.

$$\eta = \frac{1}{2} \frac{\kappa T_{\rm C} a^2}{\hbar (S+1)}.\tag{7.108}$$

They result from (1.24), (1.21), and the relation

$$\Lambda = \frac{2ZI_1}{\hbar(S+1)} \tag{7.109}$$

which can be found, in the nearest-neighbor approximation, by equating (2.13) to the exchange term in (7.93). Formula (7.107) can be rewritten as

$$D = \frac{1}{6}H_{\rm E}a^2\tag{7.110}$$

where H_E is the 'molecular' field (1.22).

As an example, consider the ferromagnet EuO with NaCl structure, lattice constant $a_{\rm lat}=5.141$ Å, and $T_{\rm C}=69.5$ K [284]. The Eu²⁺ ions (S=7/2) form a face-centered cubic lattice with $a=a_{\rm lat}/\sqrt{2}$. The following values of exchange integrals were found from the neutron-diffraction experiments [309]: $I_1=0.606\kappa$ and $I_2=0.119\kappa$. From (7.106) we find $\eta=1.75\times10^{-3}$, which agrees rather well with the temperature dependence of magnetization (Figure 7.11). Using (7.108), we get $\eta=1.34\times10^{-3}$.

For the ferromagnet CdCr₂Se₄ (another example), the values of exchange integrals are unknown. To estimate η , using (7.108), we take for a the mean distance between the Cr³⁺ ions in this ferromagnet with rather complicated, spinel structure. This distance can be easily found taking into account that the elementary cell with $a_{\rm lat}=10.75$ Å [284] contains 16 Cr³⁺ ions. Using the values of $T_{\rm C}=130$ K [284] and S=3/2, we find from (7.108) $\eta=6.2\times10^{-3}$, which is

212 7 Spin waves

to be compared with the value 5.9×10^{-3} found from the three-halves-power law for the magnetization (Section 7.3). Such excellent coincidence is accidental. But it follows from both examples that expression (7.108) can be used to estimate the exchange constant η for ferromagnets. An attempt to use this expression, as well as other cited formulae, for ferrimagnets meets difficulties connected, first of all, with the uncertainty in determining the value of a.

Thus, the results of the microscopic and the continuum theories of spin waves coincide if $ka \ll 1$, but differ materially when this condition is not valid. According to the continuum theory, the dispersion law is isotropic (i.e., ω_k depends only on k) if the dipole–dipole interaction and the magnetocrystalline anisotropy are not taken into consideration. The microscopic theory, even without allowance for these interactions, results in a strong dependence of ω_k on the direction of k with respect to the axes of spin lattice (Figure 7.13). The values of k and ω_k are unlimited in continuum theory. In microscopic theory the k vectors are defined only in the first Brillouin zone (i.e., $k \lesssim 10^8$) and ω_k does not exceed the value on the order of $4ZSI_1/\hbar \sim 10^{14}-10^{15}$.

Let us discuss briefly the magnon contributions to the thermodynamic characteristics of a ferromagnet, according to the microscopic theory. These contributions depend, in general, on three factors: the statistics of magnons, the region in k space over which the summation (or integration) is carried out, and the dispersion law. Magnons are approximately Bose-particles in both theories, continuum and microscopic. The fact that the summation in the microscopic theory is performed over the first Brillouin zone has no great effect because, at sufficiently low temperatures, there are few magnons with large k values. The third factor, i.e., the distinction in the dispersion law, is the most important.

The calculation of the magnetization temperature dependence, analogous to that considered in Section 7.3 but with the use of the dispersion law (7.101) (without the Zeeman term), results in the following expression (e.g., [214]):

$$M(0) - M(T) = C_{3/2}T^{3/2} + C_{5/2}T^{5/2} + C_{7/2}T^{7/2} + \dots$$
 (7.111)

The coefficient $C_{3/2}$ is the same as in (7.78), and the consequent coefficients contain the lattice constant a: the coefficient $C_{5/2}$ contains it to the second power, the coefficient $C_{7/2}$, to the fourth power, and so on. The estimates show that all higher terms can usually be neglected at $T \lesssim T_{\mathbb{C}}/2$.

7.4.3 Allowance for dipole-dipole interaction and anisotropy

The Hamiltonian of the dipole-dipole interaction can be obtained by replacement $\mathfrak{M} \to \gamma \hbar \hat{S}$ in the classical expression, which is the double sum of the energies (1.3). This Hamiltonian should be added to the Hamiltonian (7.82). Then, after the two above-considered Holstein-Primakoff transformations, the entire Hamiltonian will contain, apart from the terms of the second and fourth order in operators \hat{a}_k^+ and \hat{a}_k , also terms of third order in these operators. The quadratic

term of the Hamiltonian will have the form (e.g., [214])

$$\hat{\mathcal{H}}_2 = \hbar \left[A_k \hat{a}_k^+ \hat{a}_k + \frac{1}{2} \left(B_k \hat{a}_k \hat{a}_{-k} + B_k^* \hat{a}_k^+ \hat{a}_{-k}^+ \right) \right]$$
 (7.112)

$$A_k = \omega_H + 2\sum_g I_g \left[1 - \exp(ikr_g)\right] + \frac{1}{2}\omega_M \sin^2\theta_k$$
 (7.113)

$$B_k = \frac{1}{2}\omega_M \sin^2 \theta_k \exp(i2\varphi_k). \tag{7.114}$$

Here $\omega_M = \gamma 4\pi N \gamma \hbar S$, θ_k and φ_k are the vector k angles in the spherical coordinate system with the axis oriented along the direction of magnetization.

The Hamiltonian (7.112) has no diagonal form (7.84). It means that now the operators \hat{a}_k^+ and \hat{a}_k are not the operators of magnon creation and annihilation. One more transition, to new operators \hat{c}_k^+ and \hat{c}_k , the third Holstein-Primakoff transformation [184], also referred to as the Bogolyubov transformation is needed to bring the Hamiltonian into the diagonal form. This problem is studied in detail in many books (e.g., [14, 412]). We will cite here only the main result: the dispersion relation is now

$$\varepsilon_k = \hbar \sqrt{A_k^2 - |B_k|^2} \tag{7.115}$$

where A_k and B_k are determined by (7.113) and (7.114). The difference between this dispersion law and expressions (7.9) and (7.10) obtained in the continuum theory is only in the form of the *exchange* term. The influence of the long-range dipole–dipole interaction is the same in both microscopic and continuum theories.

The dipole–dipole interaction, as mentioned above, does not manifest itself for spin waves with $\theta_k=0$. If $\theta_k\neq 0$ but $|B_k|\ll A_k$, the expression $\varepsilon_k=\hbar A_k$, which is the generalization of expression (7.14), is approximately valid. The operators \hat{c}_k^+ and \hat{c}_k differ, in this case, but slightly from \hat{a}_k^+ and \hat{a}_k , so that \hat{a}_k^+ and \hat{a}_k can be regarded approximately as the magnon creation and annihilation operators. For most ferromagnets and ferrimagnets $|B_k|/\kappa\sim 0.1$ K. Therefore, the considered approximation is valid for thermal magnons, except at very low temperatures. For coherent spin waves with $k\lesssim 10^6$, this approximation is valid only at very high frequencies.

The magnetocrystalline anisotropy (the main contribution to which makes the spin-orbital interaction) can be taken into account only phenomenologically in the considered microscopic theory based on the Heisenberg model because this model does not allow for the orbital moments. At not very large k values we may simply replace the term Dk^2 in the continuum spin-wave dispersion relation by its microscopic analog. At large k values, the magnetocrystalline anisotropy, as well as the dipole-dipole interaction, makes a relatively small contribution to the dispersion relation. In this case, the exchange interaction plays the main role. The contribution of it, as we have seen (Figure 7.13), is strongly anisotropic.

214 7 Spin waves

7.4.4 Interaction of magnons

The main assumptions of the Holstein-Primakoff theory are: (i) the possibility to replace the radicals in (7.88) by their series expansions; (ii) the possibility to neglect all terms in the Hamiltonian on the order higher than two in the operators \hat{a}_k^+ and \hat{a}_k (or \hat{c}_k^+ and \hat{c}_k if the dipole-dipole interaction has been taken into account); such terms include the terms (7.95), the third-order terms which arise due to the dipole-dipole interaction, and the higher-order terms in the expansions of the radicals.

The second assumption is warrantable at low levels of excitation, i.e., for thermal magnons, at sufficiently low temperatures. The neglected terms represent the collisions of magnons, which have small probabilities at small numbers of magnons.

The first assumption gives rise to strong doubt. The replacement of the radicals by the series removes the 'automatic' limitation of the spin-deviation numbers n_f at each lattice point, and this limitation is to be brought into the theory 'artificially'. Dyson [104] showed that the allowance for the properties of spin operators \hat{S}_f , which leads to the limitation of n_f , is equivalent to an additional, kinematic interaction of the repulsion type. Is should be taken into consideration only at $T \lesssim T_{\rm C}/2$.

According to Dyson, the higher terms in the Hamiltonian, which are discarded in the Holstein-Primakoff theory, lead to another, *dynamic*, interaction of the attraction type. It leads to the correction of the spin-wave dispersion law, which is more essential than the correction caused by the kinematic interaction but is also small at low temperatures. The dynamic interaction yields an additional term in the M(T) dependence, which is proportional to T^4 [105]. This term can be also neglected at $T < T_{\rm C}/2$.

Thus, the 'Holstein-Primakoff magnons' [Bose-particles with dispersion law (7.114)] are not the elementary excitations of the Heisenberg ferromagnet. But they can be approximately regarded as such at a sufficiently low level of excitation of the spin system.

At higher levels of excitation (for thermal excitations, at higher temperatures) the elementary excitations differ materially, in their dispersion law and properties of their creation and annihilation operators, from the Holstein-Primakoff magnons. However, they can be called magnons or spin waves. The dispersion relations of such excitations and their contributions to thermodynamic quantities have been calculated by the use of more potent modern theoretical techniques (e.g., [412, 370, 414]). The only condition of the validity of the spin-wave (magnon) concept is the relation

$$\varepsilon_k \tau_k > 2\pi \hbar.$$
 (7.116)

It means that the magnon time of life should be larger than the period of oscillations which correspond to the magnon energy.

Magnetic oscillations and waves in unsaturated ferromagnet

8.1 Oscillations of domain walls

We have supposed until now that the ground state of a ferromagnetic (or a ferrimagnetic) body is the uniform magnetization. However, such a state is an equilibrium state only in sufficiently high magnetic fields or for very small samples, less than $\sim 1~\mu m$. In lower fields, in particular, in zero field, samples of larger dimensions split into magnetic domains. The magnetizations inside the domains are approximately uniform but vary from one domain to another, so that in zero field the magnetization averaged over a volume containing many domains is usually equal to zero. The cause of the rise of domains is that the magnetic field excited by the sample diminishes materially when the sample is split into domains, and so, the total energy (or free energy, at T>0) is minimized in their presence.

The transition from the magnetization direction in a domain to that in the neighboring domain occurs gradually but, mainly, in a boundary layer, the so-called *domain wall*. The thickness of this layer is usually small as compared with the dimensions of domains.

This chapter is devoted to high-frequency magnetic processes in such 'unsaturated' (i.e., split into domains) ferromagnetic samples. In the present section, the processes caused by oscillations of domain walls are studied, and in the next section, the processes caused by precession of magnetization inside domains will be considered.

8.1.1 Domain walls and domain structures

The behavior of magnetization in a domain wall was first studied by Landau and Lifshitz in their famous paper [241]. Let us consider, following [241], two neighboring domains in a ferromagnetic uniaxial single crystal and a plane domain

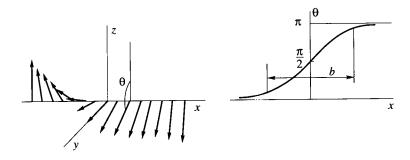


FIGURE 8.1
Turn of magnetization vectors in the Bloch domain wall.

wall between them (Figure 8.1). At sufficiently large distances from the boundary (x=0) the magnetizations M_1 and M_2 in the domains are directed along two different easy axes, in the considered case, along the opposite directions of the z-axis. The energy of magnetocrystalline anisotropy would be minimal if the magnetization turns abruptly from one of these directions to the other. But the exchange energy would be large in that case; this energy is smaller the smoother the turn. Magnetic energy, which is due to the demagnetizing fields in the wall, becomes equal to zero when the vector M lies in the boundary plane because, then, no magnetization components perpendicular to the boundary arise. Such domain walls, which are called *Bloch walls*, take place in most cases.

The dependence of the magnetization angle θ in the Bloch wall on x (Figure 8.1) can be found by solving the variational problem of minimizing the sum of anisotropy and exchange energies. Having solved this problem, Landau and Lifshitz found [241]

$$\cos \theta = -\tanh \left(\frac{x}{M_0} \sqrt{\frac{K_1}{q}}\right) \equiv -\tanh \frac{\pi}{b} x \tag{8.1}$$

where K_1 is the first constant of uniaxial anisotropy, q is the nonuniform exchange constant (Section 7.1), and

$$b = \pi M_0 \sqrt{\frac{q}{K_1}} \tag{8.2}$$

can be named the wall thickness. It is the distance at which the magnetization turn mainly (by $\sim 75\%$) takes place. The anisotropy and the exchange energies are equal when (8.1) holds, and the total energy of the domain wall per unit of its surface is

$$W_{\rm s} = 2\pi M_0 \sqrt{qK_1} \equiv 2bK_1. \tag{8.3}$$

Analogous calculations in the case of a cubic crystal (e.g., [61]) lead to the values of b and W_s of the same order. For YIG at room temperature ($|K_1| = 5.5 \times 10^3$,

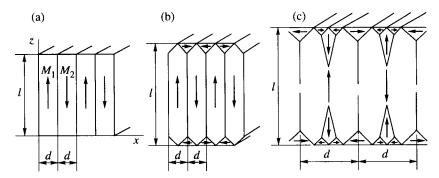


FIGURE 8.2 Domain structures in a plate of uniaxial ferromagnet.

$$q = 3.76 \times 10^{-11}$$
), $b = 3.6 \times 10^{-5}$ cm, and $W_s = 0.4$ erg cm⁻².

In thin films with easy axes of magnetization lying in the plane of the film, Bloch walls do not correspond to the minimum of total energy because of the demagnetizing fields and, hence, of the magnetic energy arising due to magnetization components normal to the film *surface*. Then, the *Neel walls* appear, in which the magnetization lies in the plane perpendicular to the domain boundary and parallel to the film surface.

The form and the dimensions of domains depend essentially on the dimensions of the sample. Consider, e.g., a plate of uniaxial ferromagnet of the thickness l with surfaces normal to the easy axis. Suppose that the domains are layers ('stripes') of the width d parallel to this axis [Figure 8.2(a)]. To find the domain width we have to minimize the sum of the wall energy (8.3) (related to a unit of the plate surface) and the magnetic energy, which in this case (if related also to a unit of the plate surface) is of the order of

$$W_M \sim M_0^2 d. \tag{8.4}$$

The minimization results in

$$d = \frac{\sqrt{W_s l}}{M_0}. (8.5)$$

Near the sample surfaces, more complicated domain structures usually arise. One of them is shown in Figure 8.2(b). In this case, the domain width is determined by a compromise between the wall energy and the anisotropy energy in the surface prisms because the magnetic energy is equal to zero. This results in

$$d = \sqrt{\frac{2W_{\rm s}l}{K_{\rm l}}}. (8.6)$$

Such a structure, predicted by Landau and Lifshitz [241], exists in a certain interval of l. For smaller l values (in thin films), the simple structure shown in Figure 8.2(a)

is more advantageous, and for larger l values, more complicated surface structures, as shown in Figure 8.2(c), arise.

The structures similar to that shown in Figure 8.2 can arise in cubic crystals, as well. If $K_1 > 0$, the magnetization directions in the prisms [Figure 8.2(b)] are also easy directions, and the domain width d is determined by the compromise between the wall energy and the magnetoelastic energy (Section 12.1). In the case of $K_1 < 0$, the anisotropy energy plays the same role as before, and formula (8.6) is valid on the order of magnitude. For YIG the domain width d is of the order of $10^{-2}\sqrt{l}$. In real crystals, domain structures like those shown in Figures 8.2(b),(c), and even more complicated ones, arise inside the sample near different defects, as pores, grain boundaries in polycrystals, etc. In real crystals, the parallel-plane layered structure usually transforms into a *labyrinth* structure (e.g., [95]).

One can see from (8.5) or (8.6) that the domain dimension d is proportional to \sqrt{l} . Therefore, a sufficiently small sample ($l < l_0$) will not split into domains. For small samples expression (8.5) should be used, and

$$l_0 \sim \frac{W_{\rm s}}{M_0^2}.$$
 (8.7)

For YIG, $l_0 \sim 10^{-5}$ cm.

When an external magnetic field H_e is applied, a new term, the Zeeman energy (2.15), arises in the energy of the sample. Now the equilibrium is reached when a mean magnetization \overline{M} emerges in the direction coinciding or near the direction of H_e . This mean magnetization can be formed in two ways [70]: (i) by the shift of domain walls leading to the growth of the domains in which the magnetization makes an acute angle with H_e (displacement processes); (ii) by the turning of the magnetization vectors in domains (rotation processes).

The displacement processes dominate at small external fields and are completed with the disappearance of the domain structure. The rotation processes continue after vanishing of the domains and are completed when the magnetization is directed along $H_{\rm e}$.

The magnetization processes become more complicated when the domain structure undergoes transformations at certain $H_{\rm e}$ values or at certain temperatures. An important example is the rise of the so-called bubble domains in films with large uniaxial anisotropy $(K_1>2\pi M_0^2)$. In such films, at certain values of the external magnetic field applied normally to the film surface, the above-discussed layered (or stripe) domain structure transforms into isolated cylindrical domains with diameters of the order of 1 μ m. The bubble domains exist in a certain magnetic-field range from $H_{\rm min}$ to $H_{\rm max}$ where $H_{\rm min}$ is the so-called field of elliptical instability and $H_{\rm max}$ is the collapse field. At $H < H_{\rm min}$ the equilibrium structure is the stripe domains, and at $H > H_{\rm max}$ the uniform magnetization is the equilibrium state. It should be noted that bubble domains can be generated, annihilated, and moved in the plane of the film by rather simple means (e.g., [301]). Owing to these properties, the bubble domains in epitaxial ferrite (usually, with garnet structure) films are applied in computer memory and signal-processing devices.

8.1.2 Equation of motion of a domain wall

If the frequency of the ac magnetic field is much less than all the characteristic frequencies of a ferromagnetic sample, the change of its magnetization is occurring quasistatically. This means that the magnetic state at a certain moment is determined by the value of the ac magnetic field at the same moment (but, of course, with impact of the magnetic prehistory of the sample). With the growth of the frequency of the ac magnetic field, the inertia, first, of the domain-wall displacement and, then, of the magnetization rotation, begins to influence the ac magnetization. Proceeding to study the first of these dynamic processes, we have to derive, first of all, the equation of motion of a domain wall.

Suppose that the steady magnetic field is either absent or small, so that the domain structure is conserved. The ac magnetic field is assumed not to make equal angles with the magnetizations of the neighboring domains, otherwise the displacement processes would not take place.

The displacement of a domain wall is a result of the turns of the magnetization vectors in the wall. Therefore, the equation of motion of the wall must be based on the equation of motion of the magnetization (Section 2.1). The effective field in this equation includes the effective fields of anisotropy and of exchange interaction, as well as the demagnetizing field caused by the variation of magnetization in the wall. Such theory was developed by Landau and Lifshitz [241] for the case of a uniaxial ferromagnet and the magnetic field applied in the direction of the easy axis, i.e., along the z-axis in Figure 8.1. The dissipative term in the equation of motion was taken as in (1.61). It was shown that the equation of motion has a solution depending on coordinate x (Figure 8.1) and time in the combination (x-vt) where

$$v = \frac{M_0 H}{\rho} \tag{8.8}$$

$$\rho = \frac{\lambda}{\gamma M_0} \sqrt{\frac{K_1}{q}}.$$
(8.9)

This solution corresponds to the displacement of the wall without its deformation. Expression (8.8) written in the form

$$\rho \frac{\mathrm{d}x}{\mathrm{d}t} = M_0 H \tag{8.10}$$

can be regarded as an equation of motion of the domain wall. The right-hand side of (8.10) is the force acting on the wall.

Becker [43] and Döring [103] later showed that it is necessary to add an *elastic* force and an *inertial* term to the equation of motion (8.10). Then this equation takes the form

$$m_{\rm w}\frac{\mathrm{d}^2x}{\mathrm{d}t^2} + \rho\frac{\mathrm{d}x}{\mathrm{d}t} + \zeta x = M_0 H \tag{8.11}$$

which is the equation of a harmonic oscillator. The appearance of the inertial term $m_{\rm w} {\rm d}^2 x/{\rm d}t^2$ in (8.11) is associated with kinetic energy of the moving wall. It was shown [43] that the effective mass (per unit surface of the wall) is

$$m_{\mathbf{w}} \sim \frac{1}{\gamma^2 b} \tag{8.12}$$

where b is the wall thickness (8.2). Expression (8.12) is also valid for cubic crystals. For YIG, $b = 3.6 \times 10^{-5}$ cm and $m_{\rm w} \sim 10^{-10}$ g cm⁻².

The presence of the term ζx in (8.11) is caused by the fact that, in a real crystal, the domain wall at equilibrium is located in a 'potential well', and when it moves from this equilibrium position, an elastic force $-\zeta x$ arises. The coefficient ζ can be related to the initial *static* susceptibility $\chi_i = \overline{M}/H_0$ where \overline{M} is the mean magnetization in a small steady field H_0 caused by the displacement process. This magnetization is a result of the shifts of the neighboring walls in opposite directions by the distance x, so that

$$\overline{M} = \frac{2x}{d}M_0 \tag{8.13}$$

where d is the domain width. Equation (8.11) gives in the static case $\zeta x = M_0 H$, and taking (8.13) into account, we get

$$\zeta = \frac{2M_0^2}{\chi_i d}.\tag{8.14}$$

8.1.3 Dynamic susceptibility

Solving equation (8.11) with a harmonic ac field $h \exp(i\omega t)$ and passing then from x to the mean ac magnetization $\overline{m} \exp(i\omega t)$, according to (8.13), we get the *dynamic* (high-frequency) susceptibility caused by the domain-wall displacement

$$\chi_{\parallel} = \frac{\overline{m}}{h} = \chi_{i} \left(1 - \frac{\omega^{2}}{\omega_{0w}^{2}} + i \frac{1}{Q_{w}} \frac{\omega}{\omega_{0w}} \right)^{-1}. \tag{8.15}$$

Here,

$$\omega_{0\,\mathbf{w}} = \sqrt{\frac{\zeta}{m_{\mathbf{w}}}} \tag{8.16}$$

is the eigenfrequency and

$$Q_{\mathbf{w}} = \frac{\sqrt{\zeta m_{\mathbf{w}}}}{\rho} \tag{8.17}$$

1

is the quality factor. The dependence $\chi_{\parallel}(\omega)$ is of the *resonance* type if $Q_{\rm w}\gg 1$ and of the *relaxation* type if $Q_{\rm w}<1$ (Figure 8.3).

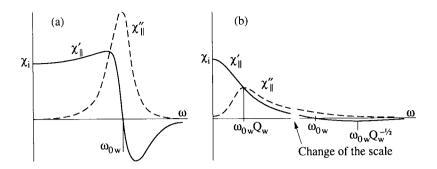


FIGURE 8.3 Magnetic spectra caused by domain-wall oscillations (schematically): (a) of resonance-type $(Q_{\rm w}=5)$ and (b) of relaxation-type $(Q_{\rm w}=0.2)$.

From (8.16), taking into account (8.12), (8.14), (8.2), (8.6), and (8.3), we obtain

$$\omega_{0w} = \gamma M_0^{5/4} \left(\frac{q}{K_1}\right)^{1/8} l^{1/4} \chi_i^{-1/2}. \tag{8.18}$$

When this expression is used to estimate the value of $\omega_{0\,\mathrm{w}}$, the sample dimension must be taken for l in the case of a single crystal, and the mean grain dimension (usually $10^{-3}-10^{-4}$ cm), in the case of a polycrystal. Then, for the YIG polycrystal (with $\chi_i \sim 10$), we get $f_{0\,\mathrm{w}} \equiv \omega_{0\,\mathrm{w}}/2\pi = 25$ –45 MHz, and for the cobalt-ferrite polycrystal ($\chi_i \sim 3$), $f_{0\,\mathrm{w}} = 200$ –300 MHz. For single crystals, the $f_{0\,\mathrm{w}}$ values will be several times greater.

From (8.16) and (8.17), with regard to (8.9), (8.12), and (8.2), a simple formula for the resonance-line width follows:

$$\Delta\omega_{\mathbf{w}} \equiv \frac{\omega_{0\,\mathbf{w}}}{Q_{\mathbf{w}}} = \pi\gamma\lambda. \tag{8.19}$$

However, trying to estimate the value of λ in this formula, we come across some difficulties. The ferromagnetic-resonance experiments, commonly used to get this value, are performed generally at higher frequencies. Taking the λ value obtained by Galt [132] from the direct measurement of domain-wall velocity in a nickelferrite single crystal, we get $\Delta f_{\rm w}=35$ MHz. An estimate of $f_{0\,\rm w}$ in a polycrystal of this ferrite yields, according to (8.18), $f_{0\,\rm w}=280$ MHz. So, in this case, as well as in many others, the estimates result in $Q_{\rm w}>1$, i.e., in the resonance-type frequency dependence of χ_{\parallel} .

The frequency dependences of the real and imaginary parts of the permeability in the absence of a steady magnetic field are called *magnetic spectra*. The experimental magnetic spectrum for the cobalt-ferrite single crystal is shown in Figure 8.4(a). It is determined, certainly, by the domain-wall oscillations because the eigenfrequencies of the rotation process (Section 8.2) should be much higher in this ferrite with very large anisotropy. The experimental values of

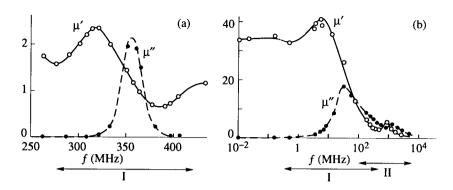


FIGURE 8.4

Magnetic spectra (a) of single-crystal cobalt ferrite [312] and (b) of polycrystal nickel ferrite [288] at room temperature. The region of domain-wall oscillations is denoted by I and the region of natural ferromagnetic resonance, by II.

 $f_{0w} = 360$ MHz and $\Delta f_{w} = 25$ MHz [Figure 8.4(a)] agree with the estimates using the formulae (8.18) and (8.19).

As distinct from single crystals, narrow regions of resonance variation of μ' and μ'' caused by domain-wall oscillations are never observed in polycrystals. The reason for this is the spread in forms, dimensions, and orientations of domains. The magnetic spectra caused by domain-wall oscillations are very broad in polycrystals and often overlap with the regions of resonance μ' and μ'' variation [Figure 8.4(b)] caused by rotation processes, which will be considered in the next section.

8.2 Ferromagnetic resonance in samples with domain structure

The ac magnetizations in the neighboring domains are strongly coupled, analogously, in some sense, to the ac sublattice magnetizations in antiferromagnets and ferrimagnets (Section 3.1). An important difference, however, is that in an antiferromagnet or ferrimagnet the sublattices are 'mixed' on the microscopic level and the coupling is performed by the exchange interaction. Domains, on the contrary, are macroscopic regions coupled mainly by the *demagnetizing* fields, which arise at the domain boundaries and, as we will see below, at the sample surfaces. We will study in this section the *coupled* oscillations of the domain magnetizations, i.e., the ferromagnetic resonance in the presence of domains.

The equations of motion for the magnetizations in the domains have the same form (3.3) as for the sublattice magnetizations, but j = 1, 2, ... is now the number

of a group of identical domains. The mean magnetization is

$$\overline{\boldsymbol{M}} = \sum_{j} \eta_{j} \boldsymbol{M}_{j} \tag{8.20}$$

where $\eta_j = V_j / \sum_j V_j$ is the relative volume occupied by the domains of the jth group. The effective field acting on the magnetization M_j in the domains of the jth group can be written, analogously to (2.7), in the form

$$H_{\text{efj}} = \frac{1}{\eta_j} \left[-\frac{\partial U}{\partial M_j} + \sum_{p=1}^3 \frac{\partial}{\partial x_p} \left(\frac{\partial U}{\partial (\partial M_j / \partial x_p)} \right) \right].$$
 (8.21)

Here, U is the energy density per unit volume of the *entire* sample (which is the reason for the factor $1/\eta_j$ arising in this formula). The energy U must include all kinds of energy associated with domains: the domain-wall energy and the magnetic energy caused by the demagnetizing fields.

Let us consider the energy of the demagnetizing fields at the plane boundary of two domains. The condition of the continuity of the magnetic induction component normal to the domain boundary is

$$H_1 n_0 - H_2 n_0 = -4\pi \left(M_1 n_0 - M_2 n_0 \right) \tag{8.22}$$

where the indices 1 and 2 correspond to the neighboring domains, and n_0 is a unit normal to the boundary. In the case when the domains are infinite plane layers of equal thickness, the demagnetizing fields satisfying the conditions (8.22) are

$$\mathbf{H}_{M1,2} = \pm 2\pi \left(M_{1n} - M_{2n} \right) \mathbf{n}_0 \tag{8.23}$$

where $M_{1,2n}$ are the normal components of $M_{1,2}$. The energy of these fields is

$$U_{M \mathbf{w}} = \frac{1}{8\pi} H_{M 1,2}^2 = \frac{\pi}{2} \left(M_{1 n} - M_{2 n} \right)^2. \tag{8.24}$$

The demagnetizing fields for more complicated domain structures were found by solving the appropriate magnetostatic problems [425, 194].

A rigorous theory of magnetization oscillations in an unsaturated sample can be developed only under some simple assumption as to the domain structure. Nagamiya [295] was the first to work out such a theory for a disk of tetragonal ferromagnet. The case of a spheroid of uniaxial ferromagnet was investigated by Smit and Beljers [371], and the ferromagnetic resonance in a sphere of cubic ferromagnet was studied by Artman [23]. In all these theories a regular and simple domain structure consisting of thin parallel-plane layers of equal thickness, was assumed. Domain walls were regarded as infinitely thin and immovable, and their energy was not taken into account. The domain walls can be assumed to be immovable (i.e., the domain structure does not change when the external steady magnetic field is applied) if the field makes *equal angles* with the magnetizations of both domain groups (as in Figure 8.5). Then, the magnetizing of the sample

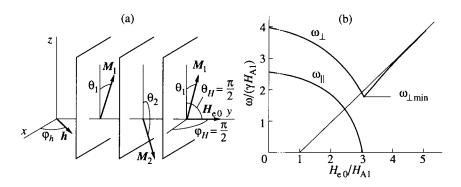


FIGURE 8.5

Ferromagnetic resonance in an unsaturated sphere of a uniaxial ferromagnet $(K_1 > 0)$ [371]: (a) accepted domain structure, (b) eigenfrequencies vs external steady magnetic field. Vectors M_{10} and M_{20} lie in the yz plane, $H_{A1} = (4\pi/3)M_0$.

is achieved only by the turning of magnetization vectors in the domains, i.e., by rotation process. This condition was satisfied in all mentioned theories.

8.2.1 Ellipsoid of uniaxial ferromagnet

Assume, according to [371], that the easy axis of anisotropy coincides with one of the ellipsoid axes and the steady magnetic field is perpendicular to this axis (Figure 8.5). Then, in compliance with the above-mentioned condition, the domain structure shown in this figure will not change with the growth of the steady field $H_{e\,0}$, and the directions of M_1 and M_2 will approach the $H_{e\,0}$ direction until the domain structure disappears at $H_{e\,0}=H_s$. The ac magnetic field lies in the plane perpendicular to the anisotropy axis (Figure 8.5).

The following energy terms are taken into account: the Zeeman energy U_Z , the anisotropy energy $U_{\rm an}$, and the magnetic energies associated with the demagnetizing fields both at the ellipsoid surface $(U_{M\,\rm el})$ and at the domain boundaries $(U_{M\,\rm w})$. As the domain widths are equal $(\eta_1=\eta_2=1/2)$, the Zeeman energy is

$$U_{\mathbf{Z}} = \frac{1}{2} \left(\mathbf{M}_1 + \mathbf{M}_2 \right) \left[\mathbf{H}_{e\,0} + h \exp(\mathrm{i}\omega t) \right]. \tag{8.25}$$

The anisotropy energy, according to (2.40), can be written in the form

$$U_{\rm an} = K_1 \left(1 - \frac{1}{2} \frac{M_{1z}^2}{M_0^2} - \frac{1}{2} \frac{M_{2z}^2}{M_0^2} \right). \tag{8.26}$$

Assuming the domain width to be much less than the sample dimensions, we can neglect the magnetization nonuniformity when calculating $U_{M \text{ el}}$. Then, according

to (2.16),

$$U_{M \text{ el}} = \frac{1}{2} \left(N_x \overline{M}_x^2 + N_y \overline{M}_y^2 + N_z \overline{M}_z^2 \right)$$
 (8.27)

where $\overline{M}_{x,y,z}$ are the components of $\overline{M}=(M_1+M_2)/2$ and $N_{x,y,z}$ are the demagnetization factors of the sample. The energy $U_{M\,\mathrm{w}}$ is written in the form (8.24) with $M_{1,2\,n}=M_{1,2\,y}$.

The equations of motion of the domain magnetizations have the form (3.3). Following [371], we will use the method of spherical coordinates (Section 2.1) and write the equations of motion, by analogy with (2.20)—but without dissipation terms—in the form

$$\frac{\partial \theta_{1,2}}{\partial t} = -\frac{2\gamma}{M_0 \sin \theta_{1,2}} \frac{\partial U}{\partial \varphi_{1,2}} \qquad \frac{\partial \varphi_{1,2}}{\partial t} = \frac{2\gamma}{M_0 \sin \theta_{1,2}} \frac{\partial U}{\partial \theta_{1,2}}$$
(8.28)

where $\theta_{1,2}$ and $\varphi_{1,2}$ are the polar and the azimuthal angles of $M_{1,2}$.

First of all, we have to find the *equilibrium* values $(\theta_{1,2})_0$ and $(\varphi_{1,2})_0$ of these angles. The equilibrium conditions are the extentions of conditions (2.22). Restrict ourselves, for simplicity, to the case of $\varphi_H = \pi/2$ (Figure 8.5); as it has been already emphasized, the condition $\theta_H = \pi/2$ is to be satisfied to exclude the domain-wall displacement. Then, from symmetry considerations,

$$(\varphi_1)_0 = (\varphi_2)_0 = \frac{\pi}{2}$$
 $(\theta_1)_0 = \pi - (\theta_2)_0 \equiv \theta_0.$ (8.29)

The angle θ_0 is found from the condition $\partial U/\partial \theta_1 = 0$ at $\theta_1 = 0$, which yields

$$\sin \theta_0 = \frac{H_{e\,0}}{H_s}$$
 at $H_{e\,0} \le H_s$
$$\theta_0 = \frac{\pi}{2}$$
 at $H_{e\,0} \ge H_s$ (8.30)

where $H_s = 2H_{A1} + N_y M_0$ and, as before, $H_{A1} = K_1/M_0$.

Now we have to *linearize* equations (8.28). Taking $\theta_{1,2} = (\theta_{1,2})_0 + \alpha_{1,2} \exp(i\omega t)$ and $\varphi_{1,2} = (\varphi_{1,2})_0 + \beta_{1,2} \exp(i\omega t)$, we expand the derivatives in (8.28) into power series in ac components of $\theta_{1,2}$ and $\varphi_{1,2}$. We restrict ourselves to the first two terms of the series and take into account the equilibrium conditions. In the obtained four linear equations, we pass to the new variables $\alpha^{\pm} = (\alpha_1 \pm \alpha_2)/2$ and $\beta^{\pm} = (\beta_1 \pm \beta_2)/2$. In these variables, we get two *independent* systems:

$$2\left(U_{\theta\theta} + U_{\theta_1\theta_2}\right)\alpha^+ - i\xi\omega\beta^+ = 0$$

$$i\xi\omega\alpha^+ + 2\left(U_{\phi\phi} + U_{\phi\phi\phi}\right)\beta^+ = hM_0\sin\theta_0\cos\varphi_h \tag{8.31}$$

$$2\left(U_{\theta\theta} - U_{\theta_1\theta_2}\right)\alpha^- - i\xi\omega\beta^- = hM_0\cos\theta_0\sin\varphi_h$$
$$i\xi\omega\alpha^- + 2\left(U_{\varphi\varphi} - U_{\varphi_1\varphi_2}\right)\beta^- = 0. \tag{8.32}$$

Here $U_{\theta\theta} = U_{\theta_1\theta_1} = U_{\theta_2\theta_2}$ and $U_{\varphi\varphi} = U_{\varphi_1\varphi_1} = U_{\varphi_2\varphi_2}$ ($U_{\theta_1\theta_1}$, $U_{\theta_1\theta_2}$, etc., are the second derivatives of the energy U with respect to the corresponding angles),

 $\xi = M_0 \sin \theta_0 / \gamma$, and φ_h is the angle that the ac field h makes with the domain boundaries (Figure 8.5).

The first mode [which corresponds to system (8.31)] is excited by the h component perpendicular to H_{e0} , and the second mode [corresponding to system (8.32)] is excited by the h component parallel to H_{e0} . The eigenfrequencies of these modes, ω_{\perp} and ω_{\parallel} , are obtained by equalizing to zero the determinants of (8.31) and (8.32). Taking into account expressions (8.24)–(8.27) for the terms of the energy U, we get [371]

$$\left(\frac{\omega_{\perp}}{\gamma}\right)^{2} = (2H_{A1} + 4\pi M_{0})(2H_{A1} + N_{x}M_{0})
- \frac{(2H_{A1} + N_{x}M_{0})\left[2H_{A1} + (N_{x} + N_{y})M_{0}\right]}{2H_{A1} + N_{y}M_{0}}H_{e0}^{2}$$
(8.33)

$$\left(\frac{\omega_{\parallel}}{\gamma}\right)^2 = 2H_{A1}\left(2H_{A1} + N_y M_0\right) - \frac{2H_{A1}}{2H_{A1} + N_y M_0}H_{e0}^2. \tag{8.34}$$

The curves of ω_{\perp} and ω_{\parallel} vs H_{e0} computed with (8.33) and (8.34) are plotted, in the case of a sphere, in Figure 8.5. The existence of domains leads, first, to the fact that the frequency ω_{\perp} of the mode excited by the transverse (with respect to H_{e0}) ac field *does not go to zero* at any value of H_{e0} . Second, a mode arises that is excited by the ac field *parallel* to H_{e0} . The frequencies ω_{\perp} and ω_{\parallel} , in the absence of H_{e0} , i.e., the frequencies of the so-called 'natural' ferromagnetic resonance, are finite and different.

In a more general case of an arbitrary value of the angle φ_H , two modes are excited at *any* orientation of h [425]. The eigenfrequencies of these modes, at all values of $H_{\rm e\,0}$, lie between the above-considered frequencies ω_\perp and ω_\parallel and coincide with them at $H_{\rm e\,0}=0$ and $H_{\rm e\,0}=H_{\rm s}$.

8.2.2 Sphere of cubic ferromagnet

Consider, following [23], an unsaturated single-crystal sphere of a cubic ferromagnet, suppose the field H_{e0} to be directed along the $\langle 110 \rangle$ axis, and take into account only the first constant K_1 of the cubic anisotropy. In this case, the layered domain structure with domains of equal width are conserved in certain intervals of H_{e0} values. If $K_1 > 0$, the equilibrium magnetizations M_{10} and M_{20} , at $H_{e0} = 0$, are directed along the easy axes [100] and [010] (Figure 8.6). With growing H_{e0} these magnetizations, lying in the (001) plane, approach the H_{e0} direction. If $K_1 < 0$, the magnetizations M_{10} and M_{20} are directed, at $H_{e0} = 0$, along the easy axes [111] and [11 $\overline{1}$]. With growing H_{e0} , the magnetizations M_{10} and M_{20} , lying in the ($\overline{110}$) plane, approach the H_{e0} direction.

The calculation, similar to the above-considered, shows that, for a cubic crystal, two oscillation modes take place, as well [23]. One mode, with frequency ω_{\perp} , is excited by the ac magnetic field perpendicular to H_{e0} , and the other, with

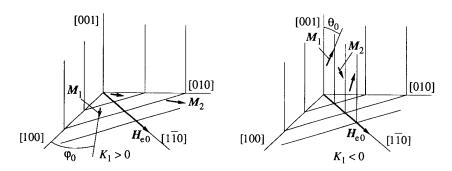


FIGURE 8.6

Domain structures accepted in the calculation of the ferromagnetic-resonance conditions in an unsaturated sphere of a cubic ferromagnet [23]. Vectors M_{10} and M_{20} lie in the plane (001) for $K_1 > 0$ and in the plane (110) for $K_1 < 0$.

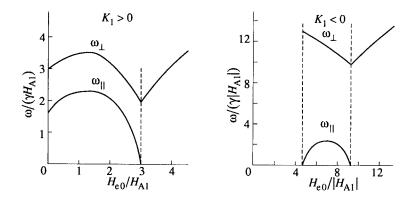


FIGURE 8.7

Ferromagnetic-resonance eigenfrequencies in an unsaturated sphere of a cubic ferromagnet [23]. Accepted domain structure is shown in Figure 8.6. $H_{A\,1}=(4\pi/3)M_0$ for $K_1>0$, and $|H_{A\,1}|=(1/2)M_0$ for $K_1<0$. Dashed lines indicate the regions in which the accepted domain structure exists.

frequency ω_{\parallel} , is excited by the field parallel to H_{e0} . The dependences of both frequencies on the steady magnetic field H_{e0} are shown in Figure 8.7.

In this section we have regarded the domain walls as immovable. However, the magnetization oscillations within domains and the domain-wall oscillations, more rigorously, should be considered *simultaneously* [425]. *Three* eigenmodes then take place. Their frequencies differ most strongly from the frequencies of the magnetization oscillations in domains (considered in this section) and from the frequencies of the domain-wall oscillations (Section 8.1) when these unperturbed frequencies approach each other.

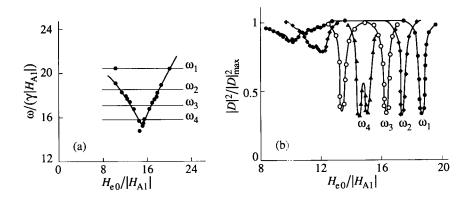


FIGURE 8.8

Results of experimental investigation of ferromagnetic resonance in an unsaturated YIG single-crystal sphere [269]. (a) Dependence of resonance frequency on an external steady magnetic field; bold lines represent values calculated according to [23] (Figure 8.7), circles denote the experimental data. (b) Absorption curves at frequencies shown in (a); D is the transmission coefficient through a resonator containing the YIG sphere.

The susceptibility tensor of a ferromagnetic sample with domain structure is defined by the expression

$$\overline{m} = \overleftarrow{\dot{\chi}}^{e} h \tag{8.35}$$

where \overline{m} is the ac magnetization averaged over all domains and h is the external ac magnetic field. It should be noted that this definition makes sense only under the condition that the domain widths are small as compared with both the sample dimensions and the electromagnetic wavelength. If this condition is satisfied, \overline{m} and, hence, the tensor $\overline{\chi}$ can be found by solving the equations of motion, e.g., (8.31) and (8.32) containing the external field h. As distinct from the susceptibility tensor of a sample magnetized to saturation, the tensor $\overline{\chi}$ will have, in general, all nine components passing through resonance at the eigenfrequencies of the unsaturated sample.

In both considered examples the domain structure was regular, i.e., it contained a small number of groups of equivalent domains (two groups in these examples). In this case, the $\overrightarrow{\chi}^e$ components obtained by solving the coupled equations of motion for these groups and, hence, the amplitudes and widths of ferromagnetic resonance curves corresponding to each coupled mode should be of the same order of magnitude as for a sample magnetized to saturation. This is convincingly illustrated by Figure 8.8.

But if the domain structure is *irregular*, contains many domains of different dimensions and shapes (as is always the case in polycrystals), then a single but 'nonuniformly broadened' and distorted resonance curve is observed. The

irregularity of the domain structure is the main reason for the fact that the region of the 'natural' (in the absence of a steady magnetic field) ferromagnetic resonance in magnetic spectra of polycrystals is usually very broad (as, e.g., in Figure 8.4). This region sometimes overlaps with the region of domain-wall oscillations [288].

The boundaries of the *natural* ferromagnetic-resonance region in *polycrystals* can be estimated in the following way. One can see from (8.33) and (8.34) that ω_{\perp} and ω_{\parallel} at $H_{\rm e\,0}=0$ depend on the demagnetization factors N_x and N_y , in the considered case, of the polycrystal grains. Allowing for arbitrary forms and orientations of the grains, we see that these factors can vary from 0 to 4π , and therefore,

$$\omega_{\min} = 2\gamma H_{A1} \qquad \omega_{\max} = \gamma (2H_{A1} + 4\pi M_0).$$
 (8.36)

This estimate was first made by Polder and Smit [320]. The value of ω_{max} is very important because it is the borderline frequency of the so-called *initial losses* in ferrites: at frequencies less than ω_{max} , large losses caused by the natural ferromagnetic resonance prevent the use of the material in devices operating at zero or small steady magnetic fields.

8.2.3 Nonuniform modes in unsaturated samples

Rigorous analysis of nonuniform modes (Walker's oscillations and spin waves) in samples with domain structure must be based on the simultaneous solution of the equations of motion for all domain groups and the electrodynamic equations, with regard to all boundary conditions. They include the conditions at the sample surface and at the domain boundaries, assuming the domain-wall thickness to be small as compared with the domain dimensions. Even with this assumption and for simple regular domain structures, like the above-considered, the solution leads to complicated integro-differential equations [38]. A rather simple solution is possible only when the wavelength of the nonuniform mode is much larger than the domain dimensions. Then, the problem can be solved in the same way as for the sample without domains but using the *averaged* susceptibility $\frac{\overrightarrow{k}}{\sqrt{e}}$.

The nonexchange oscillation modes found in such way are of two types. The modes of the first type are excited by the transverse (with respect to $H_{\rm e\,0}$) ac magnetic field and transform into conventional Walker's modes at $H_{\rm e\,0}$ higher than the saturation field. The modes of the second type excited by the longitudinal ac field have no analog in saturated samples.

An approximate analysis of *spin waves* in the presence of domain structure is possible under one of the following conditions:

(1)
$$\frac{2\pi}{k} \gg d, b$$
 (2) $\frac{2\pi}{k} \sim d \gg b$ (3) $\frac{2\pi}{k} \ll d \gg b.$ (8.37)

Here k is the wave number of the spin wave, d is the domain width, and b is the domain-wall thickness.

In the first case, an averaged tensor $\frac{\vec{k}}{\chi}$ can be used. As $d \sim 1 \,\mu\text{m}$ and, hence, $k \ll 10^5$, the exchange interaction can be neglected for many substances, e.g., for YIG, and the waves are the nonexchange magnetostatic waves (Section 6.2).

In the *second* case, the domain-wall energy can be neglected, as in the case (1), but the averaged susceptibility tensor cannot be used. The solutions of the magnetostatic problem for neighboring domains must be found and bound with each other by the conditions at the domain boundaries.

Consider, for example, nonexchange magnetostatic waves in a plate of thickness l with an easy axis of anisotropy perpendicular to the plate surface. Suppose that a simple layered domain structure [Figure 8.2(a)] takes place. The potential in the nth domain can be written in the form

$$\psi_n = (A_z \cos k_z z + B_z \sin k_z z)(A_{xn} \cos k_{xn} x + B_{xn} \sin k_{xn} x) \exp(-ky)$$
(8.38)

[compare with (6.60)]. The values of A_z , B_z , and k_z must be the same in all domains, otherwise it would be impossible to satisfy the boundary conditions at domain boundaries. In the expressions for the potential outside the plate, the factors depending on x and y should be the same as in (8.38) in order to satisfy the boundary conditions at the plate surfaces. The factors depending on z in these expressions must be: $C \exp(-\kappa_{z0}z)$ at z > l and $D \exp(\kappa_{z0}z)$ at z < 0. The equation for k_z , which follows from the boundary conditions at the plate surfaces, will differ from (6.31) only by the replacement $d \rightarrow l$. Substitution of (8.38) and the potential outside the plate, respectively, into the Walker and the Laplace equations results in the expressions

$$k_z^2 = -\mu \left(k_{xn}^2 + k^2 \right) \qquad \kappa_{z0}^2 = k_{xn}^2 + k^2$$
 (8.39)

from which one can see that the k_{xn} values in all domains are equal.

Consider now the simplest modes with the same potentials in all domains magnetized in the same direction. Then, it is sufficient to take into account the conditions at the boundary of a domain with its neighbor. These conditions are satisfied [69], analogously to the case of a plate magnetized to saturation (Section 6.2), either under the condition (6.36) (but now d is the domain width) or under the condition $\mu k_x^2 + \mu_a k^2 = 0$. The first possibility corresponds to volume waves, with trigonometric x dependence. In the second case, the x dependence is hyperbolic ($k_x^2 < 0$), i.e., a surface wave propagates along the domain boundary [287, 85]. It should be emphasized that the considered solution is not valid if the condition $2\pi/k \gg b$ is not satisfied, so that the domain wall cannot be regarded as infinitely thin.

For sufficiently large k values, i.e., in the *third* case in (8.37), it is possible to assume approximately, paying no attention to domain walls, that in each domain there exist independent spin waves with the dispersion laws as in the unbounded medium with the magnetization of the domain. In Section 10.3 it will be shown that such approximation is adequate in the study of parametric excitation of spin waves in unsaturated samples.

Nonlinear oscillations of magnetization

Ferromagnetic resonance in strong alternating fields

In all preceding chapters we dealt with linear relations between ac components of magnetization and magnetic field, which were found by solving the linearized equations of motion, e.g., (1.66) or (2.18). But the initial equation of motion for the magnetization, the Landau-Lifshitz equation (2.6), is nonlinear. Hence, at sufficiently high amplitudes of the ac magnetic field and magnetization, the linear relation between them gets broken and nonlinear effects arise. In this chapter some of these effects will be studied.

Rigorous solution of equation of motion

The Landau-Lifshitz equation can be solved rigorously, at arbitrary amplitudes of the ac field, only in a few particular cases. The simplest case is the magnetization precession in the ac field with circular polarization. This problem was solved by Skrotskii and Alimov [364]. The solution depends materially (as distinct from the linear case) on the form of a dissipative term in the equation of motion. Consider first equation (1.64) with a dissipative term in the Bloch-Bloembergen form.

Assume in (1.64) that

$$\boldsymbol{H} = \boldsymbol{H}_0 + \boldsymbol{h}_{\sim} \tag{9.1}$$

where $m{H}_0 = m{z}_0 H_0$ is the internal steady field and $m{h}_\sim$ is the transverse ac field with right-hand circular polarization. Now, in studying nonlinear processes, we have to do away with the complex-amplitude method (in any case, in its simple form used in previous chapters) and use the instantaneous values of all ac quantities. So,

$$\boldsymbol{h}_{\sim} = h_0 \left(\boldsymbol{x}_0 \cos \omega t + \boldsymbol{y}_0 \sin \omega t \right). \tag{9.2}$$

From symmetry considerations, we may seek the solution in the form

$$\mathbf{M} = m_0 \left[\mathbf{x}_0 \cos(\omega t + \varphi) + \mathbf{y}_0 \sin(\omega t + \varphi) + \mathbf{z}_0 M_z \right]. \tag{9.3}$$

Substituting (9.1)–(9.3) into (1.64) and projecting the obtained equation onto the coordinate axes, we get three equations for m_0 , φ , and M_z . Solving them, we find M_z and the *nonlinear susceptibility*, which can be defined as

$$\chi_{+n} = \frac{m_0}{h_0} \exp(i\varphi) \tag{9.4}$$

The values of M_z and of the imaginary part of $\chi_{+\,\mathrm{n}}$ at resonance ($\omega=\gamma H_0$) are

$$M_{z \text{ res}} = M_0 \left(1 + \frac{\gamma^2 h_0^2}{\omega_{\rm r}^2} \right)^{-1} \qquad \chi_{+ \text{ n res}}^{"} = \frac{\gamma}{\omega_{\rm r}} M_{z \text{ res}}.$$
 (9.5)

The resonance nonlinear susceptibility is proportional to $M_{z \text{ res}}$, and both quantities decrease with the growth of h_0 (Figure 9.1, curves B).

The solution of equation (1.62) with the dissipative term in the *Gilbert* form leads to different results [364] (Figure 9.1, curves G):

$$M_{z \text{ res}} = M_0 \left(1 - \frac{\gamma^2 h_0^2}{\alpha^2 \omega^2} \right)^{1/2} \qquad \chi_{+ \text{ n res}} = \frac{\gamma M_0}{\alpha \omega} \quad \text{at} \quad h_0 \le \frac{\alpha \omega}{\gamma}$$

$$M_{z \text{ res}} = 0 \qquad \chi_{+ \text{ n res}} = \frac{M_0}{h_0} \quad \text{at} \quad h_0 \ge \frac{\alpha \omega}{\gamma} \quad (9.6)$$

In (9.5) and (9.6) h_0 is the amplitude of the *internal* ac magnetic field. But expressions (9.6) are valid strictly, and expressions (9.5) are valid approximately for a sphere, too, if h_0 is the amplitude of the *external* field. Actually, the equation of motion for the magnetization of a small ellipsoid (with the Gilbert-type dissipative term) has the form

$$\frac{\partial \mathbf{M}}{\partial t} = -\gamma \mathbf{M} \times \left(\mathbf{H}_{e0} + \mathbf{h}_{e\sim} - \overset{\leftrightarrow}{N} \mathbf{M} \right) + \frac{\alpha}{M} \mathbf{M} \times \frac{\partial \mathbf{M}}{\partial t}$$
(9.7)

where H_{e0} and $h_{e\sim}$ are the steady and the ac external fields. For a sphere, \overline{N} is a scalar and the demagnetizing field NM drops out of the equation. Then, (9.7) coincides with (1.62) after the replacement of the external fields by the internal ones. This fact was pointed out in Section 1.5 for linearized equations, but it takes place in the case of strong ac fields, too.

Different behavior of the ac magnetization for different forms of the dissipative term results in, seemingly, the possibility to favor one or the other form using the experiments on ferromagnetic resonance in strong ac fields. But this possibility usually cannot be realized because another nonlinear process, the parametric excitation of spin waves (Chapter 10), arises at field amplitudes much less than $\alpha\omega/\gamma$ and prevents the manifestation of the above-considered nonlinear process.

A strict solution of the nonlinear equation of motion was found, as well, for an ellipsoid of revolution (spheroid) in a steady field parallel to the axis of revolution and a circularly polarized ac field. The solution, in this case, can also be sought in the form of (9.3). But the nonlinear eigenfrequency ω_{0n} now has the form

$$\omega_{0n} = \gamma \left[H_{e0} + (N_{\perp} - N_z) M_z \right]$$
 (9.8)

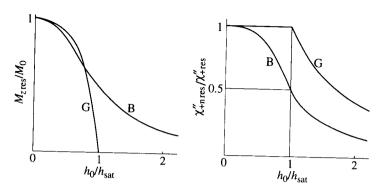


FIGURE 9.1

Dependence of M_z and of the nonlinear susceptibility at resonance on ac field amplitude, found by solving the equations of motion of magnetization with dissipative terms in the Bloch–Bloembergen form (curves B) and in the Gilbert form (curves G). The field $h_{\rm sat} = \omega_r/\gamma$ in the case B, and $h_{\rm sat} = \alpha\omega/\gamma$ in the case G. In both cases $h_{\rm sat} = \Delta H/2$ where ΔH is the width of the linear resonance curve.

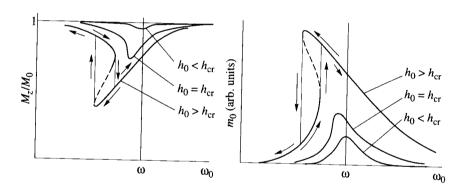


FIGURE 9.2

Solutions of the equation of motion of magnetization for an oblate spheroid $(N_z - N_\perp > 0)$ at different ac field amplitudes (schematically). Arrows show the directions of the steady-magnetic-field change.

which differs from the linear eigenfrequency by the substitution of M_z for the steady magnetization M_0 . According to (9.5) or (9.6), M_z decreases with growing m_0 . Therefore, the eigenfrequency increases with the growth of m_0 when $N_z > N_\perp$ (i.e., for an oblate spheroid) and decreases when $N_z < N_\perp$ (i.e., for a prolate spheroid). The amplitude m_0 , in its turn, depends on the eigenfrequency $\omega_{0\,\mathrm{n}}$. Such self-consistent dependences can lead to instability.

Assume, e.g., that, in the case of a disk, at the frequency of the ac field $\omega > \omega_{0\,\mathrm{n}}$, the amplitude m_0 has accidentally grown. Then, M_z will decrease and $\omega_{0\,\mathrm{n}}$ will increase and, so, come nearer to ω . This will lead to the further growth of m_0 ,

and so on, until ω_{0n} reaches ω . The problem was solved strictly by Skrotskii and Alimov [364]. They showed that the instability results in a *nonunique* dependence of m_0 and M_z on ω or H_{e0} in certain intervals of these parameters (Figure 9.2). The nonuniqueness arises when the amplitude of the ac magnetic field with circular polarization exceeds the critical value h_{cr} depending on the sample shape. For a sphere, $h_{cr} = \infty$. For a thin disk, the critical field is the lowest:

$$h_{\rm cr\,min} \cong \frac{1}{2} \frac{(\Delta H)^{3/2}}{\sqrt{4\pi M_0}}$$
 (9.9)

For a YIG single crystal ($\Delta H \simeq 0.5$ Oe), $h_{\rm cr\,min} \simeq 0.004$ Oe, i.e., about 50 times smaller than the field $\Delta H/2$, at which, as we have seen, the saturation of ferromagnetic resonance in a sphere takes place.

It is easy to make sure that the instabilities occur at the middle parts of the M_z and m_0 vs ω_0 curves (Figure 9.2). As a result, the resonance curves (i.e., the dependences of the absorbed power on ω or H_{e0}) turn out to be non-Lorentz, and hysteresis takes place. In electrodynamic systems containing nonspherical ferrite samples, low-frequency self-oscillations can arise at sufficiently high microwave-power levels.

Weiss [438] was the first to observe such nonlinear phenomena in ferrite disks. Anderson and Suhl [19] explained them using an approximate solution of the equation of motion, the obtained $h_{\rm cr}$ value differs from the exact value (9.9) only by a factor of the order of unity. It is worth noting that the work [19] was the first step to Suhl's theory [391, 392] of the parametric excitation of spin waves (Chapter 10).

The instability in the nonlinear motion of the magnetization at high power levels can be caused not only by the shape anisotropy of the sample but also by other kinds of anisotropy (e.g., by the magnetocrystalline anisotropy) that lead to the dependence of the eigenfrequency on the ac magnetization amplitude. Such dependence and, hence, the instability can arise also because of the change of the anisotropy constants due to the heating of the sample by the ac field. This effect was explained by Damon [81].

9.1.2 Approximate methods

One of the methods of approximate analysis of nonlinear magnetization oscillations uses the conservation of the vector M length:

$$M_x^2 + M_y^2 + M_z^2 = M_0^2 (9.10)$$

whence, in the case of $M_x, M_y \ll M_0$, it follows that

$$M_z \cong M_0 - \frac{1}{2M_0} \left(M_x^2 + M_y^2 \right).$$
 (9.11)

Then, we can use the method of successive approximations and find M_x and M_y , in the first approximation, from the linear theory. For an isotropic ferromagnet

$$M_x = \operatorname{Re} \left[\left(\chi h_x + i \chi_a h_y \right) \exp(i \omega t) \right]$$

$$M_y = \operatorname{Re} \left[\left(-i \chi_a h_x + \chi h_y \right) \exp(i \omega t) \right]. \tag{9.12}$$

Here h_x and h_y are complex amplitudes of the ac magnetic field: of the internal field if χ and χ_a are components of the linear susceptibility tensor, or of the external ac field if χ and χ_a are components of the external susceptibility of the sample (Section 1.5). Substituting $h_x = h_{x\,0}$ and $h_y = h_{y\,0} \exp(\mathrm{i}\varphi)$ (where $h_{x\,0}$ and $h_{y\,0}$ are real quantities) into (9.12) and using (9.11), we find

$$M_z = M_0 - \delta M_z + M_{z\,2} \tag{9.13}$$

$$\delta M_z = \frac{1}{4M_0} \left[(|\chi|^2 + |\chi_a|^2) \left(h_{x\,0}^2 + h_{y\,0}^2 \right) - 4\sin\varphi \left(\chi' \chi_a' + \chi'' \chi_a'' \right) h_{x\,0} h_{y\,0} \right]$$
(9.14)

$$M_{z2} = -\frac{1}{4M_0} \left\{ \left(\chi'^2 - \chi''^2 - \chi_a'^2 + \chi_a''^2 \right) \left[h_{x0}^2 \cos 2\omega t + h_{y0} \cos(2\omega t + \varphi) \right] + 2 \left(\chi' \chi'' - \chi_a' \chi_a'' \right) \left[h_{x0}^2 \sin 2\omega t + h_{y0}^2 \sin(2\omega t + \varphi) \right] \right\}.$$
(9.15)

The quantity δM_z represents the effect of *detection* of the magnetization oscillations. One can see from (9.14) that this effect takes place at any polarization of the ac field. For the linear polarization of this field (when, e.g., $h_{y\,0}=0$)

$$\delta M_z = \frac{1}{4M_0} \left(|\chi|^2 + |\chi_a|^2 \right) h_{x\,0}^2 \tag{9.16}$$

and for the right-hand circular polarization ($h_{x\,0}=h_{y\,0}=h_0,\,\varphi=-\pi/2$)

$$\delta M_z = \frac{1}{2M_0} |\chi + \chi_a|^2 h_0^2. \tag{9.17}$$

At resonance $[\chi' = \chi'_a \cong 0, \chi'' = \chi''_a = \gamma M_0/(2\alpha\omega)]$, expression (9.17) coincides with the expression that follows from (9.6) in the case of small amplitude h_0 . It should be noted that the δM_z value following from (9.5) is twice as large as (9.17). This results from the fact that equation (1.62), from which (9.5) follows, does not ensure, in contrast to equation (1.64), the conservation of the vector M length.

The considered effect of detection can be observed by two methods (Figure 9.3). One of them, used by Bloembergen and Wang [57], is the recording of the emf induced in a coil adjacent to the sample. Another method is the recording of the magnetostrictive deformation of the sample by using the piezoelectric effect in a quartz or ferroelectric sample fastened to the ferromagnetic sample [190]. The

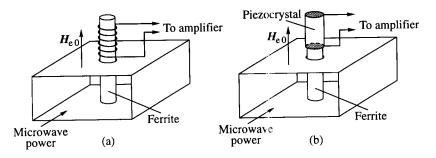


FIGURE 9.3 Ferrite detectors using (a) magnetic induction and (b) magnetostriction [190].

sensitivity of such ferrite detectors is much less than the sensitivity of commonly used semiconductor detectors. But the ferrite detector, which uses the *volume* effect, is more resistant to high power, repeated temperature changes, and radiation damage.

The harmonic functions with frequency 2ω appear linearly in (9.15); hence, we can use now the method of complex amplitudes. Applying the formulae for χ and χ_a , which follow from (1.39) after the replacement (1.68), we obtain from (9.15) a very simple expression for the complex amplitude of $M_{z\,2}$:

$$m_{z2} = -\frac{\gamma \chi_a}{4\omega} \left(h_x^2 + h_y^2 \right). \tag{9.18}$$

Expressions (9.15) and (9.18) represent the effect of frequency doubling. It follows from (9.18) that this effect is absent if the field is circularly polarized, provided that the tensor $\vec{\chi}$ or $\vec{\chi}^e$ has cylindrical symmetry, which has been assumed in deriving (9.18).

The above-considered approximate method has essential limitations. First, the vector M length conservation holds, as was already mentioned, not for every form of the dissipative term in the equation of motion and, as we will see in Chapter 11, not for all relaxation processes. Second, using this method, we can find only the nonlinear terms of the longitudinal magnetization component M_z . Now we are going to discuss a *more universal* method of successive approximations [153]. We seek the solution of the equation of motion in the form

$$M = M_0 + M^{(1)} + M^{(2)} + \dots$$
 (9.19)

and assume that $M_0\gg M^{(1)}\gg M^{(2)}\dots$ Limiting ourselves to the uniform magnetization oscillations, we write the effective field (Section 2.1) as

$$\boldsymbol{H}_{\text{ef}} = \boldsymbol{H}_0 + \boldsymbol{h}_{\sim} - N \boldsymbol{M} \tag{9.20}$$

where H_0 and h_{\sim} are the given magnetic fields $(H_0 \gg h_{\sim})$ and $\stackrel{\leftrightarrow}{N}$ is the entire tensor of demagnetization factors, including the demagnetization factors of all

kinds of anisotropy.

Substitute now (9.19) and (9.20) into the equation of motion (2.6). To the zero approximation, neglecting $M^{(1)}$, $M^{(2)}$..., and h_{\sim} , we obtain the equilibrium condition (1.86) (with the replacement of H_{e0} by H_0). To the *first* approximation, retaining terms of the first order in $M^{(1)}$ and h_{\sim} and taking into account the equilibrium condition, we get the linearized equation

$$\frac{\partial \boldsymbol{M}^{(1)}}{\partial t} + \gamma \boldsymbol{M}^{(1)} \times \left(\boldsymbol{H}_0 - \overset{\leftrightarrow}{N} \boldsymbol{M}_0\right) - \gamma \boldsymbol{M}_0 \times \overset{\leftrightarrow}{N} \boldsymbol{M}^{(1)}
+ \omega_r \left(1 + \chi_0 \overset{\leftrightarrow}{N}\right) \boldsymbol{M}^{(1)} = -\gamma \boldsymbol{M}_0 \times \boldsymbol{h}_{\sim} + \omega_r \chi_0 \boldsymbol{h}_{\sim}$$
(9.21)

where $\chi_0 = M_0/|\boldsymbol{H}_0 - N \boldsymbol{M}_0|$. To the *second* approximation, retaining terms of the second order and taking into account the equilibrium condition and equation (9.21), we obtain the equation for $\boldsymbol{M}^{(2)}$. Proceeding with this process, we make sure that the *n*th-order magnetization $(n \geq 2)$ satisfies the recurrent equation

$$\frac{\partial \boldsymbol{M}^{(n)}}{\partial t} + \gamma \sum_{k=0}^{n} \boldsymbol{M}^{(k)} \times \boldsymbol{H}_{\text{ef}}^{(n-k)} + \omega_{\text{r}} \left(1 + \chi_{0} \stackrel{\leftrightarrow}{N} \right) \boldsymbol{M}^{(n)} = 0.$$
 (9.22)

The effective fields $H_{\mathrm{ef}}^{(n-k)}$ in this equation have the following form:

$$\boldsymbol{H}_{\text{ef}}^{(0)} = \boldsymbol{H}_0 - \overset{\leftrightarrow}{N} \boldsymbol{M}_0, \ \boldsymbol{H}_{\text{ef}}^{(1)} = \boldsymbol{h}_{\sim} - \overset{\leftrightarrow}{N} \boldsymbol{M}^{(1)}, \ \boldsymbol{H}_{\text{ef}}^{(k)} = -\overset{\leftrightarrow}{N} \boldsymbol{M}^{(k)} \ (k \ge 2).$$
 (9.23)

The considered method can be used either to calculate the magnetization of an ellipsoid in a given external field h_{\sim} or to find the magnetization in a given internal field h_{\sim} . And if in the latter case the substance is *isotropic*, we must take N=0 in all expressions (9.23) but the first one. Then equations (9.22) are simplified:

$$\frac{\partial \boldsymbol{M}^{(n)}}{\partial t} + \gamma \boldsymbol{M}^{(n)} \times \boldsymbol{H}_0 + \omega_{\mathsf{r}} \boldsymbol{M}^{(n)} = -\gamma \boldsymbol{M}^{(n-1)} \times \boldsymbol{h}_{\sim}$$
(9.24)

where $H_0 = H_{e0} - \stackrel{\leftrightarrow}{N} M_0$ is the internal steady field. The magnetization $M^{(n)}$ now depends not on all magnetizations of lower order but on M_0 and $M^{(n-1)}$ only. It should be noted that equations (9.24) are also valid for a sphere if h_{\sim} is the external ac field and H_0 is replaced by the external steady field H_{e0} .

9.2 Harmonic generation and frequency conversion

The approximate methods considered above will be applied in this section to the study of nonlinear effects in which magnetization components arise with frequencies other than the frequency of the external field. The frequency doubling, mentioned in the previous section, is one of such effects.

9.2.1 Frequency doubling

Consider first in more detail the component $M_{z\,2}$, for which expressions (9.15) and (9.18) were already found. When the ac magnetic field is circularly polarized and tensor χ has cylindrical symmetry, the tip of vector M moves along the circular trajectory, and no ac component of M_z appears (as well as no transverse M components with frequencies other than the frequency ω of the ac field). But if the system has no cylindrical symmetry or the ac field is not circularly polarized, the M_z component with frequency 2ω arises, as shown in Figure 9.4. One can see from the figure that the amplitude of this component

$$m_{z\,2} \cong \frac{m_{x\,0}^2 - m_{y\,0}^2}{4M_0} \tag{9.25}$$

where $m_{x\,0}$ and $m_{y\,0}$ are real (not complex) amplitudes of M_x and M_y . It is easy to make sure that (9.25) is equivalent to (9.18).

The difference between $m_{x\,0}$ and $m_{y\,0}$, which determines the effectiveness of frequency doubling, can be characterized by the *ellipticity* (1.101), and expression (9.25) can be written as

$$m_{z\,2} = \frac{m_{x\,0}^2}{4M_0} \mathcal{E}.\tag{9.26}$$

Small ellipticity of ac magnetization arises in a sample with cylindrical symmetry made of isotropic ferrite if the polarization of the ac field is not circular. For the linear polarization of the field, the polarization of magnetization is maximal and, at resonance, is equal to 2α where α is the Gilbert dissipation parameter. Then, according to (9.24) and (1.117),

$$m_{z\,2\,\text{res}} = \frac{\gamma^2 M_0 h_0^2}{8\alpha\omega^2} \tag{9.27}$$

 $(h_0$ is here the amplitude of a linearly polarized external field).

The ellipticity of the magnetization is much larger in samples with transverse demagnetization factors *not equal* to each other. Obviously, it is the largest in a thin tangentially magnetized disk. If $\alpha \ll 1$, the ellipticity, in this case, weakly depends on the polarization of the ac field. At resonance, it differs but slightly from the ellipticity (1.100) of free oscillations or, in our case, from

$$\mathcal{E} = \frac{4\pi M_0}{H_{e0} + 4\pi M_0}. (9.28)$$

Calculating $m_{x\,0}$ at resonance with the use of (1.116), (1.92), and (1.104) and

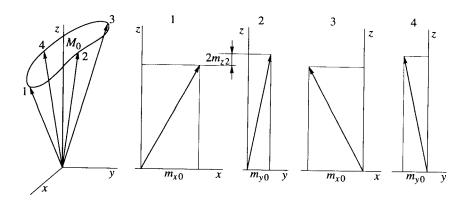


FIGURE 9.4
Positions of the magnetization vector at successive instants in the course of noncircular precession.

substituting it, together with (9.28), into (9.26), we obtain

$$m_{z\,2\,\text{res}} = \frac{\pi M_0^2}{4\alpha^2 \left(H_{e\,0} + 2\pi M_0\right)^2 H_{e\,0}} h_0^2. \tag{9.29}$$

This quantity is three orders of magnitude larger than (9.27) for YIG ($\Delta H \cong 0.5$ Oe) in 3 cm wavelength range.

To increase the ellipticity of magnetization still more we can use the magnetocrystalline anisotropy (Chapter 2). The most advantageous is the case of a tangentially magnetized disk of single-crystal ferrite with the easy plane of anisotropy coinciding with the disk plane [30]. Then, $4\pi M_0$ in (9.28) is replaced by $4\pi M_0 + 2|H_{A1}|$ where $H_{A1} = K_1/M_0$, and K_1 is the anisotropy constant. The value of $2|H_{A1}|$ is as high as ~ 30 kOe for some hexagonal ferrites, and \mathcal{E} becomes very close to unity even at rather high frequencies. High values of ellipticity and, hence, high effectiveness of frequency doubling are also achieved at magnetodynamic resonance in comparatively large ferrite samples (Section 5.3).

The frequency doubling is a quadratic effect. Therefore, its efficiency (which can be defined as the ratio of the output power P_2 at frequency 2ω to the input power P_1 at frequency ω) increases with growing P_1 . The achievable values of the efficiency are limited either by the thermal conditions in the device or by the parametric excitation of spin waves (Chapter 10).

Rather high values of the frequency-doubling efficiency were obtained already in early ferrite devices of this type. Melchor, Ayres, and Vartanian [274] obtained the output pulse power as high as 8 kW at frequency 18 GHz with input power of 32 kW; most likely, the magnetodynamic resonance was used. Later, the efficiency of a frequency doubler with magnetodynamic resonance reached $-2\,\mathrm{dB}$, the output power being 2 kW [263]. Output power of 50 W was obtained at

a frequency as high as 140 GHz [28].

Let us consider now the harmonics of the *transverse* magnetization components. The method of successive approximations based on solving the recurrent equations (9.21)–(9.24) must be used in this case. Limit the treatment to an isotropic ferromagnet and examine, to the second approximation, the magnetization in a given internal field. We can use, then, rather simple equation (9.24). Projecting this equation onto coordinate axes, we get for n=2

$$\frac{\partial M_x^{(2)}}{\partial t} + \gamma M_y^{(2)} H_0 + \omega_{\rm r} M_x^{(2)} = -\gamma M_y^{(1)} h_{\sim z}
\frac{\partial M_y^{(2)}}{\partial t} - \gamma M_x^{(2)} H_0 + \omega_{\rm r} M_y^{(2)} = \gamma M_x^{(1)} h_{\sim z}$$
(9.30)

$$\frac{\partial M_z^{(2)}}{\partial t} + \omega_{\rm r} M_z^{(2)} = -\gamma \left(M_x^{(1)} h_{\gamma y} - M_y^{(1)} h_{\gamma x} \right). \tag{9.31}$$

The first-order magnetization components in the right-hand sides of these equations may be regarded as known.

The quantity $M_z^{(2)}$ in (9.31) is the longitudinal second-order magnetization component, which was considered above. Equations (9.30) determine the transverse second-order components. They arise, as one can see from (9.30), only if the ac field, besides the transverse components (necessary for the excitation of the first-order magnetization components $M_x^{(1)}$ and $M_y^{(1)}$), has the longitudinal component $h_{\sim z}$. Consider the case when this component has the same frequency ω as the transverse components, i.e., when there is one ac field making an angle not equal to 0 or $\pi/2$ with the steady field H_0 . Then, the solution of (9.30) will contain components with frequency 2ω , which can be written in a complex form. Assuming $M_{x,y}^{(2)} = \text{Re}[m_{x,y} 2 \exp(2i\omega t)]$, we find

$$m_{x,y\,2} = -\frac{\left(2\omega^2 + \gamma^2 H_0^2\right) h_{x,y} \pm 3i\omega\gamma H_0 h_{y,x}}{2\left(\gamma^2 H_0^2 - \omega^2 + 2i\omega\omega_r\right) \left(\gamma^2 H_0^2 - 4\omega^2 + 4i\omega\omega_r\right)} \gamma^2 M_0 h_z. \quad (9.32)$$

One can see from (9.32) that the quantities $m_{x,y\,2}$ pass through resonance at two values of the steady field,

$$H_{01} = \frac{\omega}{\gamma} \qquad H_{02} = \frac{2\omega}{\gamma}. \tag{9.33}$$

If we dealt with nonlinear magnetic oscillations in a given *external* ac field with account for the shape and the magnetocrystalline anisotropies, i.e., if we solved equation (9.22), then instead of (9.33) we would get more general resonance conditions

$$\omega_{01} = \omega \qquad \omega_{02} = 2\omega \tag{9.34}$$

where $\omega_0(H_0, M_0, \stackrel{\leftrightarrow}{N})$ is the frequency of linear ferromagnetic resonance in the sample. The maximal values of $m_{x,y,2}$ are equal at both resonances, and in the

case of a linearly polarized ac field (assuming, e.g., $h_y = 0$) we get

$$-m_{y\,2} = im_{x\,2} = \frac{\gamma^2 M_0 h_x h_z}{4\omega \omega_r}.$$
 (9.35)

Comparing (9.35) with expression (9.27) for the second harmonic of the longitudinal magnetization component in an isotropic sample with cylindrical symmetry, we see that (if h_x and h_z are of the same order) the values of the second harmonics of the longitudinal and the transverse magnetizations are approximately the same. But it has been shown above that the values of m_{z2} increase materially if there is any anisotropy in the xy plane. No such great increase occurs, as it can be shown, for the transverse second-order components, and it seems that there is no point in using transverse components $m_{x,y,2}$ in devices for frequency doubling. However, these components have maxima at $\omega_0 = 2\omega$, e.g., for a small isotropic sphere, at $H_{\rm e\,0}=2\omega/\gamma$. At such fields the spin-wave spectrum lies above the frequency ω , and the low-order processes of the spin-wave parametric excitation (Chapter 10) are forbidden. Therefore, in frequency doublers in which the transverse second harmonics are used, it is possible, under the condition $\omega_0 = 2\omega$, to reach high input powers and, hence, obtain the efficiencies of doubling comparable with the efficiencies of the devices that use m_{z2} . The efficiency as high as -5 dB was obtained in one of the devices using the transverse components [279].

An interesting, though weak, nonlinear effect is observed at $\omega_0 = 2\omega$ [89]: a maximum of the power absorbed by the sample takes place in the steady field satisfying this condition. By analogy with nonlinear optics (e.g., [55]) this effect can be referred to as two-quantum absorption. The qualitative explanation of this effect is obvious—some energy is needed to excite the second harmonic of the transverse magnetization, which passes through a maximum under the condition $\omega_0 = 2\omega$. However, such an effect is absent in the framework of the two approximations considered up to now, because the absorption at frequency ω is determined by the first-harmonic amplitudes, which, up to the second approximation, have no maximum at $\omega = 2\omega_0$. The third approximation is needed to find the nonlinear contributions to these amplitudes. The ratio of the power absorbed at $\omega = 2\omega_0$ to the power absorbed at ferromagnetic resonance ($\omega = \omega_0$) depends, of course, on the value of the input power P_1 . This ratio was equal to -60 dB for YIG at $P_1 = 15$ W [89].

Using the chain of equations (9.22) or (9.24), we can find each harmonic of the transverse magnetization components. The *n*th harmonic will have maxima in the steady fields determined by the conditions $\omega_0 = m\omega$ (m = 1, 2, 3, ..., n). The intensities of the harmonics decrease with the growth of their numbers as $(h_{\sim 0}/H_0)^n$. The *third* harmonic was observed with the efficiency of -24 dB at an input power of 32 kW [363].

9.2.2 Frequency mixing

If several ac magnetic fields with frequencies $\omega_1, \omega_2, \ldots$ are applied, then, along with the above-considered nonlinear effects, the magnetization components with combination frequencies $n_1\omega_1 \pm n_2\omega_2 \pm \ldots (n_{1,2} = 0, 1, 2, \ldots)$ arise. We limit ourselves to the case of *two* fields h_1 and h_2 with frequencies ω_1 and ω_2 .

The first-order magnetization $M^{(1)}$ is the solution of the linear equation (9.21) and, hence, is the sum of transverse magnetizations $M_1^{(1)}$ and $M_2^{(1)}$ with frequencies ω_1 and ω_2 , excited by the transverse components of the fields h_1 and h_2 , respectively. The second-order magnetization $M^{(2)}$ satisfies equations (9.22) or (9.24) with n=2, which are linear in the unknown $M^{(2)}$ but are nonlinear with respect to the magnetizations $M_{1,2}^{(1)}$ and the fields $h_{1,2}$. It is clear that $M^{(2)}$ will contain the combination harmonics with frequencies $\omega_1 + \omega_2$ and $|\omega_1 - \omega_2|$. The emergence of these harmonics is the *frequency mixing*.

We will use, for simplicity, equation (9.22) or its projections (9.30) and (9.31). The combination harmonics of $M_z^{(2)}$ can be found, as well, from the condition of M conservation. Two particular cases are to be considered: (i) both ac fields are transverse with respect to the steady magnetization, i.e., to the z axis; (ii) one field is transverse ($h_1z_0=0$) and the second is longitudinal ($h_2 \times z_0=0$). If both fields are longitudinal, $M^{(1)}=0$ and, hence, $M^{(2)}=0$.

The case when *both* fields are *transverse* was first studied by Pippin [315]. In this case, it follows from (9.30) that $M_x^{(2)} = M_y^{(2)} = 0$; the value of $M_z^{(2)}$ can be found from (9.31) or from (9.11). Assume, first, that both fields h_1 and h_2 are *circularly* polarized:

$$h_{\sim x} = h_{10} \cos \omega_1 t + h_{20} \cos \omega_2 t$$

 $h_{\sim y} = \pm h_{10} \sin \omega_1 t \pm h_{20} \sin \omega_2 t$ (9.36)

where the signs \pm before the two terms are independent and correspond to the right-hand or left-hand rotation of the fields h_1 and h_2 . Substituting $M_{x,y}^{(1)} = \text{Re}[m_{1x,y} \exp(i\omega t) + m_{2x,y} \exp(i\omega t)]$ into (9.11), we find

$$M_{z} - M_{0} \equiv M_{z}^{(2)} = -\frac{1}{2M_{0}} \left(|\chi_{1\pm}|^{2} h_{10}^{2} + |\chi_{2\pm}|^{2} h_{20}^{2} \right)$$
$$-\frac{1}{M_{0}} \left[\left(-\chi_{1\pm}' \chi_{2\pm}' (\pm) \chi_{1\pm}'' \chi_{2\pm}'' \right) \cos \left(\omega_{1} (\pm) \omega_{2} \right) t + \left(\chi_{1\pm}'' \chi_{2\pm}' (\pm) \chi_{1\pm}' \chi_{2\pm}'' \right) \sin \left(\omega_{1} (\pm) \omega_{2} \right) t \right] h_{10} h_{20}$$
(9.37)

where $\chi_{1,2\pm} = \chi_{1,2} \pm \chi_{\alpha 1,2}$ (the upper signs correspond to the right-hand and the lower signs, to the left-hand rotation of the polarization); the signs (\pm) have the following meaning: (+) corresponds to the opposite and (-), to the identical directions of the polarization rotation for the two fields. One can see from (9.37) that the effects of detection are additive, and the effect of frequency doubling does not exist, as should be expected for circular polarization of both fields. The

alternating terms in (9.37) represent the effect of frequency mixing. The frequency $\omega_1 - \omega_2$ arises when the directions of the polarization rotation of the both fields are the same, and the frequency $\omega_1 + \omega_2$ arises when these directions are opposite.

An expression for the complex amplitude of the magnetization with frequency $\omega_1 - \omega_2$ follows from (9.37):

$$m_{z-} = -\frac{1}{M_0} \chi_{1\pm} \chi_{2\pm}^* h_1 h_2. \tag{9.38}$$

The susceptibilities χ_{1+}'' and χ_{2+}'' reach high values near ferromagnetic resonance at frequencies ω_1 and ω_2 , respectively. And if $|\omega_1-\omega_2|<\omega_r$, the resonance condition can be approximately satisfied at both frequencies simultaneously. Then, as it is easy to make sure,

$$m_{z-\text{res}} \cong \frac{\gamma^2 M_0}{\omega_r^2} h_{10} h_{20}$$
 (9.39)

(for the right-hand polarization of both fields). If both fields have the same linear polarization, the value of $m_{z-{\rm res}}$ is eight times smaller.

Consider now the second particular case when *one* field is transverse, and the other is *longitudinal*. Without presenting here the solution of the system (9.30) for this case [153], we note only the following. As in the above-considered case of frequency doubling, the magnetizations with frequencies $\omega_1 \mp \omega_2$ pass through maxima at two values of the steady field. One of them corresponds to the ferromagnetic resonance at the frequency ω_1 of the transverse ac field $(H_{e0} = \omega_1/\gamma \text{ for a sphere})$. The second value corresponds to the resonance at the frequency $|\omega_1 - \omega_2|$ or $\omega_1 + \omega_2$. The complex amplitudes of the magnetization harmonic with frequency $|\omega_1 - \omega_2|$ (when the resonance at ω_1 takes place and $|\omega_1 - \omega_2| \ll \gamma H_0 \equiv \omega_H$) have the form

$$m_{x-} = i m_{y-} = \frac{i \gamma^2 M_0}{4 \omega_H \omega_r} h_1 h_2.$$
 (9.40)

Comparing (9.40) with (9.39), we see that the effectiveness of the frequency mixing is much higher in the case of both transverse fields when the resonance condition is approximately satisfied for both fields.

The designs of ferrite frequency mixers for the difference frequency $|\omega_1 - \omega_2|$ (e.g. [286]) are like the designs of the ferrite detectors (Figure 9.3), but a resonance system, tuned to the frequency $|\omega_1 - \omega_2|$, must be added. This system can be realized, e.g., by putting the coil [Figure 9.3(a)] into a resonance circuit or by matching the dimensions of the piezoelectric sample [Figure 9.3(b)]. Despite all 'tricks' the sensitivity of ferrite frequency mixer appears to be much lower than the sensitivity of commonly used semiconductor devices. But the ferrite mixer, as the above-considered ferrite detector, has an unquestionable advantage of greater resistivity against high microwave power, radiation, etc.

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10

Parametric excitation of magnetic oscillations and waves

10.1 Nonlinear coupling of magnetic modes

In Chapter 9 we studied the nonlinear phenomena that occur in ferromagnetic samples in the presence of a *single*, in particular, the uniform mode. However, many different modes can exist in the sample; they were investigated in the previous chapters in linear approximation and, hence, were independent. The nonlinearity of the ferromagnet (and, so, of the equation of motion of magnetization) leads to the *coupling* of the modes, which results in a number of new nonlinear phenomena. The most interesting of them is the parametric excitation of some of these modes under the influence of other modes when the amplitudes of the latter exceed certain threshold values.

The nonlinear phenomena, caused—as it became clear later on—by the parametric excitation of spin waves, were first observed by Bloembergen, Damon, and Wang [56, 57] in the experiments on ferromagnetic resonance in strong ac fields. In these experiments the imaginary part of the tensor $\vec{\chi}$ and the decrease of the steady magnetization projection M_z were independently measured. According to (9.5) or (9.6), an essential decrease of both these quantities should occur at ac field amplitude h of the order of the linear resonance-curve half-width $\Delta H/2$ (Figure 9.1). Actually, a noticeable decrease of M_z took place (Figure 10.1) just at such ac field, while χ'' at resonance started to decrease at much smaller h value. Besides, an additional maximum of χ'' arose at steady magnetic field smaller than the resonance field (Figure 10.1). Neither of these effects can be understood within the framework of the one-mode nonlinear theory considered in Chapter 9. They were explained by Anderson and Suhl [19] using the idea of instability of certain spin waves under the influence of the uniform-magnetization precession.

Equilibrium (thermal) spin waves with small amplitudes and with frequencies distributed over broad range always exist in magnetically ordered substances (Section 7.3). Due to the nonlinearity of the spin system they are coupled to the

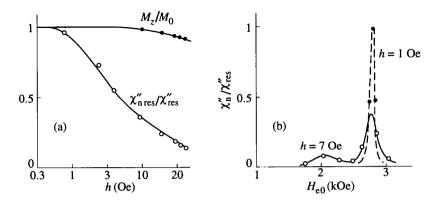


FIGURE 10.1 Ferromagnetic resonance in a sphere of single-crystal Ni ferrite in a strong ac field [57]: (a) dependences of M_z and nonlinear susceptibility χ''_n at resonance on the ac field amplitude (χ'' is the linear susceptibility); (b) resonance curves in weak and strong ac fields. Frequency 9 GHz, room temperature.

uniform magnetization mode excited by the external ac field. When the amplitude of this (pumping) mode exceeds certain threshold value, the energy acquired by spin waves from this mode compensates spin-wave losses, and the instability, i.e., the exponential growth of the spin-wave amplitudes, begins. The theory of such instability was developed by Suhl [391, 392]. As the ac magnetization of the pumping mode is perpendicular to the steady magnetization, this phenomenon can be named spin-wave instability (or parametric excitation of spin waves) under perpendicular or *transverse* pumping.

Later, Schlömann, Green, and Milano [343] discovered spin-wave instability caused not by ac magnetization but directly by ac magnetic field parallel to the steady field. This phenomenon was called parametric excitation of spin waves with *longitudinal* (or parallel) pumping. It should be noted that the fact of nonlinear absorption of the energy of an ac magnetic field parallel to the steady magnetization, at high amplitudes of the ac field, was predicted by Kaganov and Tsukernik [201].

We will restrict ourselves to the study of parametric excitation of spin waves in ferromagnets. This will give an explanation of the phenomenon in ferrimagnets (ferrites), too, because at fields and frequencies commonly used, only the lower, 'ferromagnetic' branches of the magnetic spectra in ferrimagnets play a role (Section 3.3). As for antiferromagnets, the excitation of spin waves in these substances occurs, as well, and has a number of interesting features (e.g., [265, 307]).

The theory of spin-wave parametric excitation, which we begin to study, is based on solving the nonlinear equation of motion (2.6). We neglect the dissipation term

in this equation; the losses will be allowed for later. The effective field is

$$\boldsymbol{H}_{\text{ef}} = \boldsymbol{H}_0 + \boldsymbol{h}_{\sim} + \boldsymbol{h}_M + \boldsymbol{H}_{\text{ex}} \tag{10.1}$$

where H_0 is the internal steady field, h_{\sim} is the external ac field, h_M is the ac effective field of dipole-dipole interaction, i.e., the ac demagnetizing field (the steady demagnetizing field is included in H_0), and $H_{\rm ex}$ is the effective field of exchange interaction.

We seek the solution of the equation of motion in the form

$$\boldsymbol{M} = \boldsymbol{z}_0 M_0 + \boldsymbol{m}_{\sim} (\boldsymbol{r}, t) \tag{10.2}$$

and suppose that $m_{\sim} \ll M_0$. Let us expand the ac magnetization in the Fourier series:

$$m_{\sim}(r,t) = \sum_{k} m_{k}(t) \exp(-ikr)$$
 (10.3)

where the summation is over all allowed values of the wave vector k. Note that $m_{\sim}(r,t)$ is a real quantity while the coefficients $m_k(t)$ are complex; therefore, $m_k(t) = m_{-k}^*(t)$. The term with k = 0 in (10.3) corresponds to the uniform magnetization oscillations, and all other terms correspond to uniform plane spin waves. These waves do not satisfy the boundary conditions at the sample surface and, hence, are not the eigenmodes of the problem. Only if $k > k_{\min}$, where k_{\min} is much larger than the inverse dimensions of the sample, can plane waves be regarded approximately as eigenmodes. The main assumption of Suhl's [392], as well as Schlömann's [343, 345], theory is that the expansion (10.3), nevertheless, can be used. It should be noted that magnetostatic waves (Section 6.2) and oscillations (Section 6.3) remain as yet out of consideration; the parametric excitation of these modes will be discussed in Section 10.4.

For the uniform mode, i.e., for the term with k=0 in (10.3), the influence of boundary conditions, of course, cannot be neglected. In the case of a small ellipsoid, it can be taken into account by the uniform demagnetizing field $-Nm_{k=0}$ included in h_M . For plane waves with $k \neq 0$ (really, with $k > k_{\min}$) the effective fields of the dipole-dipole interaction will have the form (7.12).

Substituting (10.1)–(10.3) into (2.6), projecting the obtained equation onto axes x and y, and equating the same harmonics at both sides of the equations, we get two differential equations for each k. The components $m_{k\,z}$ can be excluded from these equations using the relation (9.11). Following Suhl [392], we introduce new variables

$$a_{k} = \frac{1}{M_{0}} \left(m_{kx} + i m_{ky} \right)$$

$$a_{-k}^{*} = \frac{1}{M_{0}} \left(m_{-kx}^{*} - i m_{-ky}^{*} \right) = \frac{1}{M_{0}} \left(m_{kx} - i m_{ky} \right).$$
(10.4)

It can be shown that in these variables

$$m_{kz} = -\frac{1}{2} M_0 \sum_{k_1} a_{k_1} a_{k_1-k}^*. \tag{10.5}$$

Consider the case of an ellipsoid of revolution (spheroid) with demagnetization factors N_z and $N_x = N_y \equiv N_\perp$. Then, at k = 0, i.e., for the variable a_0 corresponding to the uniform oscillation mode of the spheroid, we obtain a nonlinear equation:

$$-i\frac{\mathrm{d}a_0}{\mathrm{d}t} = \omega_0 a_0 - \gamma \left(h_{\sim x} + ih_{\sim y}\right) + \Omega_{n0}$$
 (10.6)

where $\omega_0 = \omega_H + \gamma N_\perp M_0$ is the eigenfrequency of the uniform mode, h_\sim is the external ac field, and Ω_{n0} is a sum of many nonlinear terms containing the variables a_0 , a_k , and a_{-k}^* . For each $k \neq 0$ (or, to be more exact, for $k > k_{\min}$), a pair of equations is obtained:

$$-i\frac{da_{k}}{dt} = (A_{k} + \gamma h_{z})a_{k} + B_{k}a_{-k}^{*} + \Omega_{nk}$$
 (10.7)

and the *adjoint* equation obtained from (10.7) by complex conjugation and replacement $k \to -k$. Here, A_k and B_k are determined by (7.11) and (7.114) and $\Omega_{n\,k}$ is a sum of nonlinear terms containing a_0 , a_k , and a_{-k}^* . The nonlinear terms in (10.6) and (10.7) depend on M_0 , N_z , N_\perp , the components of k, and—some terms in (10.7)—on the exchange constant η (Section 7.1).

The solution of linearized equation (10.6) (without Ω_{n0}) corresponds to the forced uniform magnetization oscillations in a spheroid, studied in detail in Section 1.5. Equation (10.7) and the adjoint equation describe, in a linear approximation, the coupled oscillations of two harmonic 'oscillators' a_k and a_{-k}^* corresponding to spin waves with wave vectors k and -k. To find the equations for the normal modes a classical analog of the third Holstein-Primakoff transformation (Section 7.4) should be used. This means that we have to pass from a_k and a_{-k}^* to new variables c_k and c_{-k}^* , in which the linear parts of equation (10.7) and the adjoint equation—neglecting as yet the term $\gamma h_{\sim z} a_k$ —will have the form

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k \qquad \frac{\mathrm{d}c_{-k}^*}{\mathrm{d}t} = -\mathrm{i}\omega_k c_{-k}^*. \tag{10.8}$$

The new variables are related to the old ones by the expressions (e.g., [14])

$$a_k = u_k c_k + v_k c_{-k}^* \qquad a_{-k}^* = v_k^* c_k + u_k c_{-k}^*$$
 (10.9)

$$u_k = \frac{1}{\sqrt{2}} \sqrt{\frac{A_k}{\omega_k} + 1} \qquad v_k = -\frac{1}{\sqrt{2}} \sqrt{\frac{A_k}{\omega_k} - 1} \exp\left(2i\varphi_k\right) \qquad \varphi_k = \arctan\frac{k_y}{k_x} \tag{10.10}$$

where ω_k is the spin-wave frequency (7.9).

The solutions of linear equations (10.8) can be presented in the form

$$c_k = c_k^0 \exp(i\omega_k t)$$
 $c_{-k}^* = c_{-k}^{0*} \exp(-i\omega_k t)$ (10.11)

and correspond to spin waves with wave vectors k and -k. Thus, to a linear approximation, the uniform precession excited by an external ac field and the spin waves (with small, equilibrium amplitudes) are independent modes. Nonlinear terms in (10.6) and (10.7) result in the *coupling* of spin waves with each other, with the uniform mode, and [the term $\gamma h_{\sim z} a_k$ in (10.7)] with the longitudinal external ac magnetic field.

It should be noted that different terms in the classical equation (10.7) are analogous to the corresponding terms in the quantum-mechanical equation of motion for the magnon creation and annihilation operators, which can be obtained from the Hamiltonian expressed in these operators. We will not discuss here this analogy because the parametric excitation of spin waves can be fully described, as Suhl [392] and Schlömann *et al.* [343, 345] have shown, in terms of classical theory.

10.2 Thresholds of parametric excitation under transverse pumping

Consider the case when $h_{\sim z}=0$, i.e., the ac magnetic field is perpendicular to the steady magnetization. This field (we suppose it to be uniform) excites, to a linear approximation, only the uniform ac magnetization, which plays the role of pumping.

10.2.1 First-order and second-order instabilities

As we are interested, at present, only in the *threshold* values of the pumping amplitudes, we regard all spin-wave amplitudes in (10.6) and (10.7) as small in relation to a_0 . Then, supposing the field h_{\sim} (with frequency ω_p) to be right-hand circularly polarized, we find from the linearized equation (10.6), after replacement $\omega_0 \to \omega_0 + i\omega_{r0}$,

$$a_0 = \frac{\gamma h_+}{\omega_0 - \omega_p + i\omega_{r0}} \exp\left(i\omega_p t\right) \equiv a_0^0 \exp\left(i\omega_p t\right)$$
 (10.12)

where $h_+ = h_{\sim x} + i h_{\sim y}$.

In equation (10.7) and the adjoint equation, we retain only the terms of the first and second order in a_0 and, turning to the variables c_k and c_{-k}^* , we get the equation

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i} \left(\omega_k + T_k |a_0|^2 \right) c_k + \mathrm{i} \left(\rho_k a_0 + \xi_k a_0^2 \right) c_{-k}^*$$
 (10.13)

and the adjoint equation where

$$\rho_k = \rho_{-k} = -\frac{\omega_M}{4\omega_k} \left(\omega_k + \omega_H + \eta k^2 \right) \sin 2\theta_k \exp(i\varphi_k)$$
 (10.14)

$$\xi_k = \xi_{-k} = \frac{\omega_k + A_k}{4\omega_k} \left(\omega_M \cos^2 \theta_k - \omega_M \frac{N_\perp}{4\pi} + \eta k^2 \right)$$
 (10.15)

$$T_k = \frac{\omega_M}{4\omega_k} \left[2A_k \left(\cos^2 \theta_k - \frac{1}{2} \sin^2 \theta_k + \frac{N_z - N_\perp}{4\pi} \right) + \frac{\omega_M}{2} \sin^4 \theta_k \right]. \quad (10.16)$$

Note that spin-wave losses are not yet taken into account. Comparing (10.13)–(10.16) with (10.8), we see that the coupling of spin waves with the uniform mode results, first, in the *nonlinear shift* of the eigenfrequency of spin waves: $\omega_k \to \tilde{\omega}_k = \omega_k + T|a_0|^2$. Second, the coupling between spin waves with wave vectors k and -k arises, and the coupling coefficients contain time-dependent factors a_0 and a_0^2 .

It is known [256] that the time-dependent coupling between two oscillators can lead to the energy transfer from the source that modulates the coupling to the oscillators. This process is most effective if the following condition holds:

$$n\omega_{\rm p} = \omega_1 + \omega_2 \tag{10.17}$$

where $n=1, 2, 3, \ldots, \omega_1$ and ω_2 are the eigenfrequencies of the oscillators, and ω_p is the coupling-modulation (pumping) frequency. In the corpuscular 'language', relation (10.17) is the condition of energy conservation in the elementary process of annihilation of n particles with energy $\hbar\omega_p$ and creation of a pair of particles with energies $\hbar\omega_1$ and $\hbar\omega_2$.

At a certain threshold value of the pumping amplitude, the energy transferred to the oscillators exceeds their losses, and their amplitudes begin to increase exponentially, i.e., the *instability* arises. The value of n in (10.17) is the order of instability. In our case, spin waves with wave vectors k and -k are the coupled 'oscillators', and the degeneracy takes place ($\omega_1 = \omega_2$).

One can see from (10.13) and the adjoint equation that the increase of c_k and c_{-k}^* , i.e., the instability, can be caused only by the terms that change with frequency $\tilde{\omega}_k$; other terms may be neglected while examining the threshold of instability. It can be shown that, at amplitudes a_0 up to threshold, the difference between $\tilde{\omega}_k$ and ω_k is small, and we will neglect it. Then, as it follows from (10.11) and (10.12), the term $\mathrm{i}\rho_k a_0 c_{-k}^*$ in (10.13) must be taken into account, and the term $\mathrm{i}\xi_k a_0^2 c_{-k}^*$ can be neglected if $\omega_p \simeq 2\omega_k$, [i.e., n=1 in (10.17)]. If $\omega_p \simeq \omega_k$, i.e., n=2, the latter term is to be taken into account and the former can be neglected.

Thus, for spin waves with $\omega_k \simeq \omega_p/2$, i.e., for the *first order* spin-wave instability, equation (10.13) and the adjoint equation become

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k + \mathrm{i}\rho_k a_0 c_{-k}^* \qquad \frac{\mathrm{d}c_{-k}^*}{\mathrm{d}t} = -\mathrm{i}\omega_k c_k - \mathrm{i}\rho_k^* a_0^* c_k. \tag{10.18}$$

Solution of these equations can be sought in the form

$$c_k = c_k^0 \exp\left(i\frac{\omega_p}{2}t\right) \qquad c_{-k}^* = c_{-k}^{0*} \exp\left(-i\frac{\omega_p}{2}t\right)$$
 (10.19)

where c_k^0 and c_{-k}^{0*} are slowly varying functions of time:

$$c_k^0 = c_{k\,0}^0 \exp(\lambda t)$$
 $c_{-k}^{0*} = c_{-k\,0}^{0*} \exp(\lambda t)$. (10.20)

Here, $c_{k\,0}^0$ and $c_{-k\,0}^{0\,*}$ are constants, and λ is a real quantity. The condition for instability is $\lambda>0$.

At this point we allow for spin-wave losses replacing ω_k by $\omega_k + \mathrm{i}\omega_{\mathrm{r}\,k}$ in (10.18). Inserting (10.19) into (10.18), we obtain a system of two homogeneous linear algebraic equations in $c_{k\,0}^0$ and $c_{-k\,0}^{0\,*}$. Setting the determinant of this system to zero, we get

$$(\lambda + \omega_{rk})^2 + \left(\omega_k - \frac{\omega_p}{2}\right)^2 = a_0^{02} |\rho_k|^2.$$
 (10.21)

The threshold amplitude of the uniform mode $a^0_{0 \, \text{thr 1}}$ is determined by the condition $\lambda = 0$:

$$a_{0 \text{ thr } 1}^{0} = \frac{1}{|\rho_{k}|} \sqrt{\omega_{\text{r}\,k}^{2} + \left(\frac{\omega_{\text{p}}}{2} - \omega_{k}\right)^{2}}.$$
 (10.22)

To find the actual value of the threshold we must *minimize* expression (10.22). We see, first of all, that the threshold, as it was supposed, is the lowest for spin waves with $\omega_k = \omega_p/2$. Then, taking into account (10.14), we get

$$a_{0 \text{ thr } 1}^{0} = \min \left\{ \frac{\omega_{\text{r} k}}{|\rho_{k}|} \right\} = \min \left\{ \frac{\omega_{\text{r} k} \omega_{\text{p}}}{\omega_{M} \left(\omega_{k} + \omega_{H} + \eta k^{2}\right) \sin 2\theta_{k}} \right\}. \tag{10.23}$$

Minimization should be performed here with respect to k or θ_k , subject to the condition $\omega_k(k,\theta_k) = \omega_p/2$. It follows from (10.23) that, if the dependence of ω_{rk} on k and θ_k can be neglected, the threshold pumping amplitude $a_{0\,\text{thr}\,1}^0$ is the smallest for spin waves with θ_k somewhat smaller (due to the term ηk^2) than 45° (provided such spin waves do exist at given ω_p and ω_H).

For the second-order instability ($\omega_k \simeq \omega_p$), equation (10.13) and the adjoint equation reduce to

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k + \mathrm{i}\xi_k a_0^2 c_{-k}^* \qquad \frac{\mathrm{d}c_{-k}^*}{\mathrm{d}t} = -\mathrm{i}\omega_k c_{-k}^* - \mathrm{i}\xi_k^* a_0^{*2} c_k \qquad (10.24)$$

and the solution must be sought in the form

$$c_k = c_k^0 \exp(i\omega_p t)$$
 $c_{-k}^* = c_{-k}^{0*} \exp(-i\omega_p t)$. (10.25)

By a method similar to the one used above, we get at $\omega_k = \omega_{\rm p}$

$$a_{0 \text{ thr } 2}^0 = \min\left\{\sqrt{\frac{\omega_{\text{r } k}}{2\xi_k}}\right\} \tag{10.26}$$

where ξ_k is determined by (10.15). Without taking into account the dependence of ω_{rk} on k and θ_k , we see that $a^0_{0\,\text{thr}\,2}$ is the lowest for spin waves with $\theta_k=0$. The coupling parameters ρ_k and ξ_k are both of the order of ω_M , as it follows

from (10.14) and (10.15); for 'good' single-crystal ferrites, applied usually in experiments on parametric excitation of spin waves, $\omega_{\rm r} \, k \ll \omega_M$. Therefore, the threshold amplitudes $a_{0\,{\rm thr}\,2}^0$ are much larger than the amplitudes $a_{0\,{\rm thr}\,1}^0$.

For the first-order instability to occur, the frequency $\omega_p/2$ must lie above the lower boundary ω_H of the spin-wave spectrum (7.9). In the case of an ellipsoid, this leads to the condition

$$H_{\rm e\,0} < \frac{\omega_{\rm p}}{2\gamma} + N_z M_0. \tag{10.27}$$

For the second-order instability, $\omega_p/2$ in (10.27) is replaced by ω_p .

10.2.2 Threshold fields

Consider now the threshold values of the external ac magnetic fields, assuming them to be right-hand circularly polarized. For the *first-order* process, we find from (10.12) and (10.23)

$$h_{\text{thr 1}} = \min \left\{ \frac{2\omega_{\text{r}k}\omega_{\text{p}}\sqrt{\omega_{\text{r}0}^2 + (\omega_{\text{p}} - \omega_{0})^2}}{\gamma\omega_{M}\sin 2\theta_{k} (\omega_{\text{p}}/2 + \omega_{H} + \eta k^2)} \right\}. \tag{10.28}$$

This field is the lowest when the pumping frequency ω_p is equal to eigenfrequency ω_0 of the uniform mode. However, this can take place, as it is easy to make sure, only if

$$\omega_{\rm p} < \omega_M \frac{N_\perp}{2\pi} \equiv \omega_{\rm cr}. \tag{10.29}$$

For a sphere, $\omega_{\rm cr}=2\omega_M/3$ coincides with the frequency at which ω_0 passes the upper boundary of the nonexchange spin-wave spectrum (Section 6.1). For a YIG sphere at room temperature, $f_{\rm cr}=3.27$ GHz.

If the condition (10.29) is only just satisfied [Figure 10.2(b)], the angle θ_k is very small, and, as it is seen from (10.28), the threshold field (as well as the threshold amplitude $a_{0 \text{ thr } 1}^0$) is very large. With lowering frequency θ_k increases, the threshold field decreases, and at $\theta_k \sim 45^\circ$ it becomes of the order of

$$h_{\text{thr 1 res}} = \frac{\Delta H_0 \, \Delta H_k}{\pi M_0}.\tag{10.30}$$

Spin waves with small k, i.e., in the framework of Suhl's theory, with k=0, now become unstable. In YIG single crystals ($\Delta H_0 \sim 0.3$ Oe, $\Delta H_k \sim 0.15$ Oe) the $h_{\text{thr 1 res}}$ value is as small as 10^{-4} Oe. Further lowering of the frequency ω_p (still equal to ω_0) results in excitation of spin waves with increasing k, θ_k remaining close to 45°.

¹In this expression and throughout this book, $\Delta H_0 = 2\omega_{\rm r\,0}/\gamma$ and $\Delta H_k = 2\omega_{\rm r\,k}/\gamma$ are, as distinct from [392], the full widths of resonance curves.

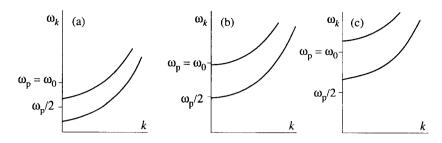


FIGURE 10.2

Relative positions of the spin-wave spectrum and the frequency of transverse pumping (equal to the eigenfrequency of uniform oscillations in a sphere) when condition (10.29) (a) is well satisfied, (b) is just satisfied, and (c) is not satisfied.

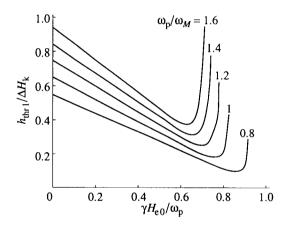


FIGURE 10.3 Threshold field for off-resonance first-order spin-wave instability (subsidiary absorption) in a sphere vs external steady field [392]. © 1957 Elsevier Science Ltd.

At high frequencies ($\omega > \omega_{\rm cr}$), when the condition $\omega_k = \omega_{\rm p}/2$ cannot be satisfied at resonance, the minimization of (10.28) [392] shows that the lowest threshold field takes place in the external steady field $H_{\rm e\,0\,min}$ less than the resonance field. Spin waves with k=0 and $\theta_k=45^\circ$ then become unstable. The values of $H_{\rm e\,0\,min}$, as well as the values of $h_{\rm thr\,1}$, depend on the sample shape and on the ratio $\omega_{\rm p}/\omega_M$. For spheres, the values of $H_{\rm e\,0\,min}$ lie in the range of (0.5–0.9) $\omega_{\rm p}/\gamma$ (Figure 10.3). To estimate the value of $h_{\rm thr\,1}$ assume, e.g., that $\omega_{\rm p} \simeq \omega_M \simeq 1.5\omega_H$ and $\omega_{\rm p} - \omega_0 = 0.25\omega_{\rm p}$, which corresponds approximately to a YIG sphere at room temperature and frequency of 5 GHz. Then, from (10.28) or Figure 10.3, we obtain $h_{\rm thr\,1} = 0.2\Delta H_k$, or, assuming $\Delta H_k = 0.15$ Oe, we get $h_{\rm thr\,1} = 0.03$ Oe. Thus, the values of threshold field (but not of threshold magnetization) are much

larger in this, off-resonance, case than at resonance ($\omega_p = \omega_0$). However, these values are also easily attainable in experiment, even for polycrystalline ferrites with $\Delta H_k \sim 10$ Oe.

To the left of the minima in Figure 10.3, spin waves with θ_k still close to 45° but with large k values become unstable. To the right of the minima the unstable spin waves have $k \simeq 0$ and $\theta_k < 45^\circ$. It should be emphasized that all these conclusions were drawn by means of minimizing (10.28) at *constant* ΔH_k ; the ΔH_k dependence on k and θ_k can considerably affect them.

The first-order spin-wave instability at $\omega_p \neq \omega_0$ was named by Suhl [392] the subsidiary absorption, and the first order instability at $\omega_p = \omega_0$ was named the coincidence of subsidiary absorption with main resonance.

If condition (10.29) is not satisfied, the *second-order* process comes into action at $\omega_p \simeq \omega_0$. The threshold magnetization for this process is determined by (10.26), and the threshold field, with allowance for (10.12), is

$$h_{\text{thr 2}} = \min \left\{ \sqrt{\frac{\omega_{\text{r}k} \left[\omega_{\text{r}0}^2 + \left(\omega_{\text{p}} - \omega_0 \right)^2 \right]}{\gamma^2 \xi_k}} \right\}. \tag{10.31}$$

This field is the lowest at resonance ($\omega_p = \omega_0$), and, as it follows from (10.15), a pair of spin waves with $\theta_k = 0$ and $\theta_k = \pi$ becomes unstable. For a sphere at resonance,

$$h_{\text{thr }2} = \frac{\Delta H_0}{2} \sqrt{\frac{\Delta H_k}{4\pi M_0}}.$$
 (10.32)

For YIG at room temperature and a wavelength of 3 cm, an estimate gives $h_{\rm thr\,2} \simeq 2 \times 10^{-3}$ Oe, which is an order of magnitude higher than the threshold field for the first-order process at resonance, and an order of magnitude lower than the threshold field for the off-resonance first-order process. The considered second-order process (the *saturation of main resonance*, according to Suhl) caused the decrease and broadening of the resonance peak in the Bloembergen and Wang experiment (Figure 10.1). The wide maximum at lower steady field in this experiment is a result of the first-order off-resonance process.

10.2.3 Effect of pumping-field polarization

All the above-cited expressions for threshold fields relate to the case when the pumping field has the *right-hand circular* polarization and the sample is an ellipsoid of revolution, so that the magnetization is also circularly polarized with right-hand rotation. This is not the case when the field is not circularly (in particular, linearly) polarized, or the sample is an arbitrary ellipsoid. However, if the frequency of the pumping field is equal or very near to resonance frequency, the magnetization component with right-hand circular polarization dominates (Section 1.5). Then, the expressions for threshold fields with *linear* polarization will

differ only by a factor of two from the above-cited expressions for both first-order and second-order processes at resonance.

For the off-resonance first-order process (the subsidiary absorption), the left-hand circular magnetization component cannot be neglected a priori. Consider, e.g., the case when a linearly polarized pumping field h makes an angle φ_h with the x-axis. Then, the solution of (10.10) is

$$a_0 = a_0^+ \exp\left(\mathrm{i}\omega_p t\right) + a_0^- \exp\left(-\mathrm{i}\omega_p t\right) \qquad a_0^{\pm} = \frac{1}{2} \frac{\gamma h \exp\left(\mathrm{i}\varphi_h\right)}{\omega_0 \mp \omega_p}. \tag{10.33}$$

Here, $a_0^{\pm} \exp{(i\omega_p t)} = m^{\pm}/M_0$, where m^+ and m^- are the amplitudes of magnetization circular components with right-hand and left-hand rotation, respectively. Substituting (10.33) into (10.6) and (10.7), we get, in the same way as in the case of circularly polarized field, the following equation:

$$\frac{dc_k}{dt} = i\omega_k c_k + i \left(\rho_k a_0^+ + r_k^* a_0^{-*} \right) \exp\left(i\omega_p t \right) c_{-k}^*$$
 (10.34)

and the adjoint equation where

$$r_k = \frac{1}{2} \frac{\omega_M}{\omega_p} \left(\omega_H + \eta k^2 - \frac{\omega_p}{2} \right) \sin 2\theta_k \exp(-3i\varphi_k). \tag{10.35}$$

The threshold field is now the lowest for spin waves with $\varphi_k = \varphi_h$ and, of course, with $\omega_k = \omega_p/2$. In this case

$$h_{\text{thr 1 lin}} = \min \left\{ \frac{2\omega_{\text{r}\,k}\omega_{\text{p}} \left(\omega_{\text{p}}^2 - \omega_0^2\right)}{\gamma\omega_M \sin 2\theta_k \left[\omega_{\text{p}}^2/2 + \omega_0 \left(\omega_H + \eta k^2\right)\right]} \right\}. \tag{10.36}$$

Comparing (10.36) with (10.28), we see that the ratio $h_{\text{thr 1 lin}}/h_{\text{thr 1}}$ differs slightly from 2, i.e., the left-hand circular component of magnetization has small impact on the parametric excitation even in the off-resonance process. However, the case of the pumping-field linear polarization is of interest in that spin waves with distinct values of the azimuthal angle φ_k are excited.

10.3 Longitudinal and oblique pumping

We proceed to the study of parametric excitation of spin waves by an ac magnetic field with a longitudinal (parallel to M_0) component. The term $\gamma h_{\sim z} a_k$ in (10.7) should now be taken into account.

10.3.1 Longitudinal pumping

Suppose, first, that the ac magnetic field has *only* a component $h_{\sim z}$. Then, the ac magnetization is absent in linear approximation, i.e., $a_0 = 0$. Neglecting,

in (10.7) and in the adjoint equation, the terms that cannot lead to instability and passing, according to (10.9), to the variables c_k and c_{-k}^* , we obtain

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k + \mathrm{i}\gamma h_{\sim z} \frac{B_k}{\omega_k} c_{-k}^* \qquad \frac{\mathrm{d}c_{-k}^*}{\mathrm{d}t} = -\mathrm{i}\omega_k c_{-k}^* - \mathrm{i}\gamma h_{\sim z} \frac{B_k^*}{\omega_k} c_k. \quad (10.37)$$

It is evident that $h_{\sim z}$ directly results in the coupling between the 'oscillators' c_k and c_{-k}^* if $B_k \neq 0$. The quantity B_k caused by the dipole-dipole interaction is proportional to $\omega_M \sin^2 \theta_k$ [formula (7.11)] and, in accordance with (7.23) and (1.106), is related to the *ellipticity* of spin waves

$$\mathcal{E} = \frac{2|B_k|}{A_k + |B_k|}. (10.38)$$

The need of ellipticity for parametric excitation of spin waves under longitudinal pumping is easily realized: if $\mathcal{E} \neq 0$, the longitudinal component of the spin-wave magnetization with frequency $2\omega_k$ arises (Section 9.1) and interacts with the longitudinal pumping field, which has the same frequency.

Present the longitudinal ac field in the form

$$h_{\sim z} = \frac{1}{2} h_z \left[\exp \left(i\omega_p t \right) + \exp \left(-i\omega_p t \right) \right]$$
 (10.39)

and substitute it, as well as expressions (10.11) for c_k and c_{-k}^* , into equations (10.37). Assume the frequency ω_p to be near $2\omega_k$ and retain in (10.37) only the terms which vary with frequency close to ω_k and, so, can lead to instability. Then, the first equation (10.37) takes the form

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k + \mathrm{i}V_k h_z \exp\left(\mathrm{i}\omega_p t\right) c_{-k}^* \tag{10.40}$$

where the coupling parameter

$$V_k = \frac{\gamma B_k}{2\omega_k} = \frac{\gamma \omega_M}{4\omega_k} \sin^2 \theta_k \exp(2i\varphi_k). \tag{10.41}$$

Comparing (10.40) with the first equation (10.18), we see that they differ only by the replacement of $\rho_k a_0^0$ by $V_k h_z$. Thus, we may make this replacement directly in the threshold formula (10.22) or (10.23). For spin waves with $\omega_k = \omega_p/2$, when the threshold is the lowest, we get

$$h_{z \text{ thr}} = \min \left\{ \frac{\omega_{\text{r}\,k}}{|V_k|} \right\} = \min \left\{ \frac{\omega_{\text{p}} \Delta H_k}{\omega_M \sin^2 \theta_k} \right\}.$$
 (10.42)

If ΔH_k can be regarded as independent of k and θ_k , then $h_{z\,\text{thr}}$ is lower the closer θ_k is to $\pi/2$. In small steady magnetic fields, when $\omega_p/2$ lies above the upper boundary of the nonexchange spin-wave spectrum [Figure 10.4(a)], spin waves with $\theta_k=\pi/2$ become unstable. Their wave numbers, as it follows, e.g., from (7.17), are determined by the expression

$$Dk^2 = H_c - H_0 ag{10.43}$$

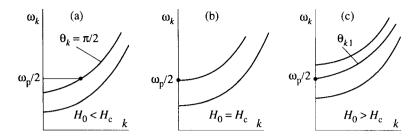


FIGURE 10.4

Relative positions of spin-wave spectra and the frequency of longitudinal pumping. Dots indicate spin waves that become unstable.

where, according to (7.18),

$$H_{\rm c} \equiv H_{0\,\rm c}(\pi/2) = \sqrt{\left(\frac{\omega_{\rm p}}{2\gamma}\right)^2 + (2\pi M_0)^2} - 2\pi M_0.$$
 (10.44)

The critical field H_c is the internal steady magnetic field at which spin waves with $\omega_k = \omega_p/2$ and $\theta_k = \pi/2$ have k = 0.

With the decrease of H_0 , the k value of parametrically excited spin waves² increases and at $H_0=0$ reaches the highest value $k_{\rm max}=\sqrt{H_{\rm c}/D}$ possible for given $\omega_{\rm p}$. For YIG at room temperature, $k_{\rm max}\simeq 5\times 10^5$ at $f_{\rm p}=9$ GHz, and $k_{\rm max}\simeq 10^6$ at $f_{\rm f}=36$ GHz. The azimuthal angle of parametric spin waves is indeterminable in the case of an isotropic ferromagnet, so that the whole 'fan' of spin waves with wave vectors k and -k lying in $\theta_k=\pi/2$ plane is excited.

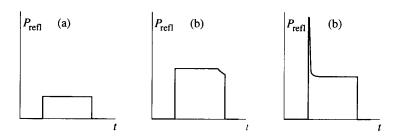
In fields H_0 higher than H_c [Figure 10.4(c)], the threshold field is the lowest for spin waves with k = 0 and $\theta_{k,1}$ determined by the expression

$$\sin^2 \theta_{k \, 1} = \frac{\left(\omega_{\rm p}/2\right)^2 - \omega_H^2}{\omega_M \omega_H} \tag{10.45}$$

which follows from (10.42) and the condition $\omega_k = \omega_p/2$. With increasing H_0 , the angle θ_k decreases and the threshold field grows; at $H_0 = \omega_p/(2\gamma)$ it approaches infinity.

The measurement of spin-wave parametric excitation thresholds under longitudinal pumping is widely used for determining the spin-wave dissipation parameter ΔH_k . Samples—usually ferrite spheres or metal films—are placed into resonators. In centimeter or millimeter wavelength ranges, reflection hollow resonators, rectangular or cylindrical, are conventionally used. The threshold values of the incident power P_1 are measured with changing steady field at constant

²In what follows, we will for brevity use the term 'parametric spin waves'.



Envelopes of pulses reflected from a resonator with ferrite sphere: (a) in a weak ac field, (b) just above threshold, and (c) far above threshold.

frequency $\omega_{\rm p}$. The threshold ac field is found using the formula

$$h^2 = \frac{8\pi\alpha}{\omega_p V_0} Q_0 \frac{4q}{1+q^2} P_1 \tag{10.46}$$

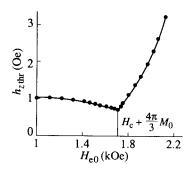
which can be easily derived with the aid of equivalent schemes. In (10.46), V_0 is the resonator volume, $q = Q_c/Q_0$ (Q_0 and Q_c are the internal and the external quality factors of the resonator, respectively), and α is determined by (5.47).

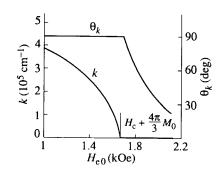
Up to threshold, the sample (in a longitudinal ac field) does not absorb electromagnetic energy. Above threshold, the absorption arises, Q_0 decreases, and the reflection coefficient from the resonator changes (decreases or increases, depending on initial value of q). Measurements are usually carried out in pulse regime, and the threshold is indicated by the change of the reflected-pulse envelope (Figure 10.5). The measured value of $P_{1 \text{ thr}}$ is smaller the greater the pulse duration τ ; the actual value of the threshold can be obtained by extrapolating the dependence $P_{1 \text{ thr}}(\tau)$ to $\tau \to \infty$ [343].

The $h_{z \, \text{thr}}$ values obtained in the described way are plotted vs $H_{e\,0}$ in Figure 10.6; the calculated values of k and θ_k of parametric spin values are also shown. The curves $h_{z \, \text{thr}}(H_0)$ are called the 'butterfly curves'. Their minima ('bills') are usually located at $H_0 = H_c$, where spin waves with $k \cong 0$ are excited. At left 'wing' the excited spin waves have $\theta_k = \pi/2$ and k > 0. The increase in $h_{z \, \text{thr}}$ with decreasing H_0 at this wing is due to the dependence of ΔH_k on k. At right wing the excitation of spin waves with small k (with k = 0 in the framework of the theory considered up to now) and θ_k decreasing with the growth of H_0 takes place. The steep increase of $h_{z \, \text{thr}}$ at this wing is due to the factor $\sin^2 \theta_k$ in the denominator of (10.42).

Having measured $h_{z \text{ thr}}$, we then find ΔH_k from (10.42). The corresponding k values are found from (10.43). The dependences $\Delta H_k(k)$ obtained in such way will be discussed in Section 11.2.

Let us briefly consider now, using longitudinal pumping as an example, the effect of magnetocrystalline anisotropy on the parametric excitation of spin waves. In





Experimental values of threshold field [343] and calculated k and θ_k values of spin waves, parametrically excited under longitudinal pumping, vs external steady field. YIG sphere at frequency 9.4 GHz and room temperature.

the case of longitudinal pumping, the dominating factor is the ellipticity of spin waves, which is significantly influenced by the anisotropy.

To allow for the magnetocrystalline anisotropy we have to insert its effective field in the equation of motion and then, using the same method as above, to obtain the equations for c_k and c_{-k}^* . In the particular case of a *cubic* crystal with M_0 lying in the {110} plane, this will result in the replacement of V_k in (10.40) by $V_k + \gamma \omega_a/(4\omega_k)$ where

$$\omega_{\mathbf{a}} = \gamma M_0 \left(N_{11}^{\mathbf{a}} - N_{22}^{\mathbf{a}} \right). \tag{10.47}$$

Here, N_{11}^a and N_{22}^a are the effective demagnetization factors determined by (2.47) with $\varphi_0 = 45^\circ$. The threshold field in this case is

$$h_{z \text{ thr}} = \min \left\{ \frac{\omega_{p} \Delta H_{k}}{|\omega_{M} \sin^{2} \theta_{k} \exp(2i\varphi_{k}) + \omega_{a}|} \right\}.$$
 (10.48)

It is minimal for M_0 directed along the (110) axis if the contribution of anisotropy to the spin-wave ellipticity is the greatest.

The threshold field depends now on both angles of vector k. Its minimal value takes place at $\theta_k = \pi/2$ and $\varphi_k = \pi/2, 3\pi/2$ for $K_1 < 0$, and at $\theta_k = \pi/2$ and $\varphi_k = 0, \pi$, for $K_1 > 0$. In both cases [313]

$$h_{z \text{ thr}} = \frac{\omega_{p} \Delta H_{k}}{\omega_{M} + 3\gamma |H_{A\perp}|}.$$
 (10.49)

10.3.2 Effect of nonuniformities

Nonuniformities (defects), as impurities, pores, surface roughness, and grain boundaries in polycrystals, lead to the so-called *two-magnon* processes, i.e., to the scattering of magnetic oscillations and waves by defects. The contribution of these

processes to the relaxation of linear magnetic modes will be studied in detail in Section 11.3. Here we will consider the influence of such processes on parametric excitation of spin waves under longitudinal pumping, studied theoretically by Zakharov and L'vov [458].

We have to add the terms describing two-magnon processes into equations (10.37). Then, the first of these equations becomes

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k + \sum_{k_1} \nu_{k\,k_1} c_{k_1} + 2\mathrm{i}h_{\sim\,z} V_k c_{-k}^* \tag{10.50}$$

where the summation is over all degenerate spin waves (with $k_1 = k$) and the coefficients $\nu_{k k_1}$ depend on vectors k and k_1 , as well as on the nature of nonuniformities. Similar equations can be written for all amplitudes c_{k_1} .

Two approaches can be applied to this problem (and to many others that will be examined below): an approach of *coupled modes* and an approach of *normal modes*. In using the former, we assume that some external forces excite a certain mode, e.g., spin waves with wave vector k; the coupling between this mode and other modes (spin waves with vectors k_1) leads to the excitation of these modes. This results in the increase of the dissipation parameter of the primary mode:

$$\omega_{\mathbf{r}\,k} = \omega_{\mathbf{r}\,k}^0 + \omega_{\mathbf{r}\,k}^{\mathbf{s}} \tag{10.51}$$

where ω_{rk}^s corresponds to additional dissipation caused by the coupling, in our case, by the two-magnon processes.

In using the second approach, of normal modes, we have to pass, e.g., in equations (10.50) and analogous equations for c_{k_1} , to new variables in which these equations in linear approximation (i.e., without the terms $2ih_{\sim z}V_kc_{-k}^*$) are uncoupled. These new variables correspond to the *normal* modes. Their dissipation parameters are not equal to $\omega_{rk}^0 + \omega_{rk}^s$ but are close to ω_{rk}^0 . However, the eigenfrequencies of the new modes lie in certain bands located near the eigenfrequencies of the 'old' modes (corresponding to the variables c_k and c_{-k}^*), which leads to the broadening of resonance curves.

Without considering any calculations, using either the first or the second approach, we cite some results obtained in [458]. We point out, first of all, that the threshold fields, in the presence of uniformities, are *not* determined by the same expressions as in the absence of them, with the replacement of ω_{rk}^0 by $\omega_{rk}^0 + \omega_{rk}^s$. The reason for this is that the pumping leads to the coupling of a certain pair of normal modes, the dissipation parameters of which are not equal to $\omega_{rk}^0 + \omega_{rk}^s$. It might appear (we have met with such a statement in some early works) that nonuniformities have no influence at all on the threshold of spin-wave parametric excitation. This is not true: the nonuniformities lead to chaotization of the phases of excited spin waves, they cease to satisfy the optimal phase relations (Section 10.5), the coupling of spin waves with the pumping field decreases, and the threshold-field value increases.

If the nonuniformities are randomly distributed over the sample and their di-

mensions are small as compared with k^{-1} of the parametric spin waves, then, in the case of $\omega^{\rm s}_{{\bf r}\,k}\ll\omega^0_{{\bf r}\,k},$

$$h_{z \, \text{thr}} = \frac{2\omega_{\text{p}}}{\gamma \omega_{M}} \left[\omega_{\text{r}k}^{0} + \frac{1}{2\sqrt{2}} \omega_{\text{r}k}^{\text{s}} \ln \left(0.42 \frac{\omega_{\text{r}k}^{0}}{\omega_{\text{r}k}^{\text{s}}} \right) \right]$$
(10.52)

and in the case of $\omega_{rk}^{s} \gg \omega_{rk}^{0}$,

$$h_{z \, \text{thr}} = \frac{2\omega_{\text{p}}}{\gamma \omega_{M}} \sqrt{\omega_{\text{r}\,k}^{0} \omega_{\text{r}\,k}^{\text{s}}}.$$
 (10.53)

If the scattering of spin waves occurs at the *sample surface* and the sample dimension $d \gg k^{-1}$, then

$$h_{z \, \text{thr}} = \frac{2\omega_{\text{p}}}{\gamma \omega_{M}} \sqrt{\left(\omega_{\text{r}\,k}^{0}\right)^{2} + \left(\omega_{\text{r}\,k}^{\text{s}}\right)^{2}}.$$
(10.54)

In this case, taking (6.7) into account, we have

$$\omega_{\mathrm{r}\,k}^{\mathrm{s}} = \xi \frac{l_k}{d} \omega_{\mathrm{r}\,k}^0 \equiv \xi \frac{v_{\mathrm{gr}}}{2d} \tag{10.55}$$

where l_k is the spin-wave mean free path (7.27) and ξ is a factor of the order of unity ($\xi = \pi$ for $l_k/d \ll 1$ and $\xi = \pi/2$ for $l_k/d \gg 1$). One can see from (10.54) and (10.55) that the increase of threshold, due to the scattering of parametric spin waves by the sample surface, is larger the larger the group velocity of spin waves. This can be explained by the *removal* of spin waves from the region of interaction: spin waves scatter at the sample boundary before they build up to a sufficient level under the action of pumping. A similar effect takes place for transverse pumping, too.

In polycrystals, the dimension d is the grain size; threshold fields grow with its reduction. This is widely used to increase the threshold fields and, hence, to raise the maximal operating power of microwave ferrite devices (e.g., [51]). For high-quality ferrite single crystals, the considered effect manifests itself only at low temperatures in very small samples [277].

10.3.3 Oblique pumping

If the ac field has both transverse and longitudinal components, then its threshold value is determined by simultaneous action of the transverse pumping by the ac magnetization, and of the longitudinal pumping by the z component of the ac field. Consider the case of an arbitrary oriented linearly polarized ac field:

$$h_{\sim x} = h \sin \theta_h \cos \varphi_h \cos \omega_p t$$
 $h_{\sim y} = h \sin \theta_h \sin \varphi_h \cos \omega_p t$ (10.56)

and restrict our treatment to the first-order process in a sample without nonuniformities. The equations for c_k and c_{-k}^* will now contain nonlinear terms that are

present both in (10.18) and in (10.37):

$$\frac{\mathrm{d}c_k}{\mathrm{d}t} = \mathrm{i}\omega_k c_k + \mathrm{i}\left(V_k h \cos\theta_h + \rho_k a_0^+ + r_k^* a_0^{-*}\right) \exp\left(\mathrm{i}\omega_p t\right) c_{-k}^* \tag{10.57}$$

where

$$a_0^{\pm} = \frac{1}{2} \frac{\gamma h \sin \theta_h \exp(i\varphi_h)}{\omega_0 \mp \omega_p}$$
 (10.58)

and r_k is determined by (10.35). The threshold field can be obtained from equation (10.57) and the adjoint equation in the same way as for pure transverse or pure longitudinal pumping. According to Jakovlev [451],

$$h_{\text{thr }1} = \min \left\{ \frac{\omega_{\text{p}}}{\omega_{M}} \Delta H_{k} \left[\sin^{2} \theta_{k} \cos \theta_{h} + \frac{\omega_{0} \left(\omega_{H} + \eta k^{2} \right) + \omega_{\text{p}}^{2} / 2}{\omega_{\text{p}}^{2} - \omega_{0}^{2}} \sin 2\theta_{k} \sin 2\theta_{h} \right]^{-1} \right\}.$$
(10.59)

It is assumed here that $\varphi_k = \varphi_h$; in this case the threshold is the lowest, as for transverse pumping by a linearly polarized field (Section 10.2).

Minimization of (10.59) with respect to k or θ_k , subject to $\omega_k = \omega_p/2$, yields the actual value of $h_{\text{thr 1}}$ and the values of k and θ_k of parametric spin waves. At $H_0 < H_c$, spin waves with large k are excited and their polar angles $\theta_{k 1}$ depend on θ_h and lie between $\pi/2$ (for $\theta_h = 0$, i.e., for longitudinal pumping) and $\pi/4$ (for $\theta_h = \pi/2$). At $H_0 > H_0c(\theta_{k 1})$, unstable spin waves have k = 0 and polar angles determined, as in the case of longitudinal pumping, by formula (10.45) [the field $H_0c(\theta_{k 1})$ is given by (7.18)]. In the intermediate region $H_c < H_0 < H_0c(\theta_{k 1})$, the values of k, $\theta_{k 1}$, and $h_{\text{thr 1}}$ can be found only with allowance for the dependence of ΔH_k on k and θ_k .

The oblique pumping is essential for the understanding of spin-wave parametric excitation in the presence of *domains*. Let us briefly discuss this problem. The spectrum of magnetic oscillations in samples with domain structures can be found only for simple, regular structures (Section 8.2) But even for such structures, additional assumptions must be made to analyze the parametric excitation of spin waves. The main assumption is the neglect of interaction between spin waves in different domains. The processes in domain walls are also to be neglected, and the excited modes, as in all above-considered problems, must be regarded as uniform plane waves.

With all the mentioned assumptions, the method similar to that used many times in this chapter can be applied. It is necessary, however, to allow, first, for the demagnetizing fields in the domains (Section 8.2). Second, we have to take into account that the pumping is oblique, and the angles θ_h and φ_h of the ac field with respect to the steady magnetization are different in different domains. With growing ac field, the instability arises first in such domains in which these angles provide the lowest threshold. For the off-resonance first-order process, i.e., when ω_p is equal neither to ω_\perp nor to ω_\parallel (Section 8.2), threshold is the lowest in domains

in which θ_h is equal to or near zero, so that the pumping is nearly longitudinal. On the contrary, if ω_p is equal to or near ω_{\perp} or ω_{\parallel} , instability occurs first in domains for which the pumping is transverse.

A complete theoretical and experimental investigation of spin-wave parametric excitation in unsaturated samples was carried out by Pil'shchikov *et al.* [314, 248]. The case of a sphere of cubic crystal magnetized along the $\langle 110 \rangle$ axis was considered, for which the linear ferromagnetic resonance was studied in detail (Section 8.2). The obtained data confirmed the qualitative statements cited above.

10.4 Instability of nonuniform modes and nonuniform pumping

It has been assumed so far in this chapter that the parametrically excited modes are uniform plane waves and that the pumping field is uniform. In the present section these assumptions will be discarded.

10.4.1 Parametric excitation of magnetostatic oscillations and waves

As already mentioned, the assumption that the parametrically excited modes are uniform plane spin waves ceases to be valid when the minimization of threshold field results in k values smaller than, or of the order of, the inverse dimensions of the sample. The modes really excited in this case must satisfy the boundary conditions at the sample surface. If the sample is an ellipsoid of revolution, these modes are Walker's modes (Section 6.3). Expansion (10.3) must be replaced, then, by the expansion

$$\boldsymbol{m}_{\sim}(\boldsymbol{r},t) = \frac{1}{2} \sum_{\nu} \left[a_{\nu} \boldsymbol{m}_{\nu}(\boldsymbol{r}) + a_{\nu}^{*} \boldsymbol{m}_{\nu}^{*}(\boldsymbol{r}) \right]$$
(10.60)

where $m_{\nu}(r)$ are the normalized magnetizations of the Walker's modes and a_{ν} are time-dependent complex quantities.

Let us consider the case of *longitudinal* pumping. Then, substituting (10.60) and the appropriate effective fields into the equation of motion, taking into account the orthogonality relations (6.108), and neglecting the terms nonlinear in a_{ν} , we get equations [345]

$$\frac{\mathrm{d}a_{\nu}}{\mathrm{d}t} = \mathrm{i}\omega_{\nu}a_{\nu} + \mathrm{i}\gamma h_{z}\cos\omega_{\mathrm{p}}t\sum_{\nu'}\lambda_{\nu\,\nu'}a_{\nu'}^{*}.\tag{10.61}$$

Here, h_z is the complex amplitude of the pumping field $h_{\sim z}$, and $\lambda_{\nu \nu'}$ is the overlapping integral

$$\lambda_{\nu \nu'} = \frac{1}{D} \int_{V} \boldsymbol{m}_{\nu}^{*}(\boldsymbol{r}) \, \boldsymbol{m}_{\nu'}^{*}(\boldsymbol{r}) \, dV \qquad (10.62)$$

where integration is carried out over the volume of the sample and D is the normalization constant in (6.108).

As in Sections 10.2 and 10.3, we leave in equations (10.61) only the terms that vary with frequency ω_p and, hence, can lead to instability, i.e., the terms for which $\omega_{\nu'} = \omega_p - \omega_{\nu}$. To allow for losses we replace ω_{ν} by $\omega_{\nu} + \mathrm{i}\omega_{r\nu} \equiv \omega_{\nu} + \mathrm{i}\Delta H_{\nu}/(2\gamma)$. The instability will first occur for the pair of modes ν_1 and ν_2 for which the threshold field is the lowest. In the same way as in Section 10.3, we obtain for this pair

$$h_{z \, \text{thr}} = \min \left\{ \frac{\sqrt{\Delta H_{\nu_1} \Delta H_{\nu_2}}}{|\lambda_{\nu_1 \, \nu_2}|} \right\}. \tag{10.63}$$

It turns out that, for a *sphere*, $\lambda_{\nu_1 \nu_2} \neq 0$ if mode indices n and m (Section 6.3) satisfy the following selection rules [289]:

$$n_1 = n_2 m_1 = -m_2. (10.64)$$

The degenerate instability ($\omega_{\nu_1} = \omega_{\nu_2}$) is possible for modes with m=0, e.g., for the mode (2,0,1). For this mode, the magnetization is elliptically polarized (Section 6.3), which is the necessary condition for longitudinal pumping. Using (6.106) to calculate $\lambda_{(2,0,1),(2,0,1)}$, we obtain

$$h_{z \, \text{thr}} = 1.25 \frac{\omega_{\text{p}}}{\omega_M} \Delta H_{(2.0,1)}.$$
 (10.65)

The *nondegenerate* instability is possible, e.g., for the pair (3,1,0) and (3,-1,0); in this case [88]

$$h_{z \text{ thr}} = 1.14 \frac{\omega_{p}}{\omega_{M}} \sqrt{\Delta H_{(3,1,0)} \Delta H_{(3,-1,0)}}.$$
 (10.66)

Expressions (10.65) and (10.66) represent the lowest thresholds for longitudinal pumping of Walker's modes. Comparing them with (10.47), we see that (provided the dissipation parameters are the same) the threshold fields for magnetostatic modes are *slightly higher* than for plane spin waves.

Selection rules for *transverse* pumping of the Walker modes in a sphere, in the case of the first-order process, are [394]

$$n_1 = n_2 \qquad m_1 = 1 - m_2. \tag{10.67}$$

The second of these conditions means that the sum of azimuthal indices of the excited modes is equal to the azimuthal index of the pumping mode (1,1,0). The degenerate instability cannot take place under transverse pumping. The threshold, in this case, is the lowest for the pair (2,0,1) and (2,1,0). It is *much higher* than the threshold for plane spin waves.

The parametric excitation of *nonexchange magnetostatic waves* was first investigated theoretically by Schlömann and Joseph [345] in the case of *longitudinal* pumping of waves in a *circular ferrite rod* magnetized along its axis. We will cite

some results of this theory. The selection rules are, in this case,

$$k_{z\,1} = -k_{z\,2} \qquad m_1 = -m_2 \tag{10.68}$$

where $k_{z\,1,2}$ are the wave numbers of waves propagating in opposite directions and $m_{1,2}$ are the azimuthal indices of these waves with opposite directions of polarization rotation. The degenerate instability is possible for waves with m=0, which have the same frequencies for different propagation directions (Section 6.2). The threshold field is minimal in this case, and the expression for it is

$$h_{z \text{ thr}} = \frac{\omega_{\text{p}} \omega_H}{\left(\omega_{\text{p}}/2\right)^2 - \omega_H^2} \Delta H_{n \, 0}. \tag{10.69}$$

Note that (10.69) coincides with expression (10.42) for the threshold field of the plane-spin-wave instability at $H_0 > H_c$. (To make sure of this, formula (10.45) must be taken into account.)

Consider now the longitudinal pumping of nonexchange magnetostatic waves in *films*. As in the case of a rod, instability occurs for a pair of waves propagating in a film in opposite directions with the same k. For volume waves, the degenerate instability may take place, and then formula (10.69) holds. For *surface* waves in a tangentially magnetized film, the threshold field has approximately the form

$$h_{z \text{ thr}} \simeq \frac{\omega_{\text{p}}}{2\sqrt{\left(\omega_H + \omega_M/2\right)^2 - \left(\omega_{\text{p}}/2\right)^2}} \Delta H_k. \tag{10.70}$$

At $\omega_{\rm p}/2=\omega_{\perp}$, i.e., for waves with $k\to 0$, the threshold field is equal to $(\omega_{\rm p}/\omega_M)\Delta H_k$, which is the same as follows, in this case, from (10.69). At $\omega_{\rm p}/2=\omega_H+\omega_M/2$, i.e., for $k\to \infty$, the threshold field approaches infinity. For all intermediate k values the threshold of surface-wave instability is higher than for volume waves. The reason for this, as well as for the fact that $h_{z\,{\rm thr}}\to \infty$ at $k\to \infty$, is that the magnetization for surface waves is nonreciprocal (Section 6.2), which leads to the decrease of the overlapping integral with growing k.

Without considering in detail the parametric excitation of magnetostatic waves under *transverse* pumping [259], we note only that thresholds, in this case, are usually higher than under longitudinal pumping and increase infinitely at $k \to 0$ and at $k \to \infty$.

We are now able to discuss the question of what is *actually* excited when, from the theories that deal with uniform plane spin waves, there follows the excitation of such waves with k=0. In the case of transverse pumping, magnetostatic modes have higher thresholds than plane spin waves with such k values that Suhl's theory is already valid, whereas the increase of ΔH_k due to the growth of k is still negligible. Such spin waves with $k \sim 10^4$ are excited when Suhl's theory gives k=0.

As for longitudinal pumping, it has been pointed out above that threshold fields for magnetostatic modes are approximately the same as for plane spin waves. But, actually, the thresholds for magnetostatic modes turn out to be higher due to the

influence of surface inhomogeneities, radiation damping, etc. Thus, spin waves with $k \sim 10^4$ are excited in the case of longitudinal pumping, as well, at $H_0 > H_c$ when Schlömann's theory gives k = 0.

Returning to the parametric excitation of magnetostatic waves in films, consider now the influence of *exchange interaction*, which may not be neglected (Section 7.2) for sufficiently thin films, e.g., for YIG films with thickness smaller than several micrometers. The theory of parametric excitation of spin waves in such films was developed by Lukomskii and Kuz'ko [259] and by Vendik, Kalinikos, and Chartozizhskii [421, 422]. The most interesting effect observed in this case is the *successive* excitation of waves at different branches of the spin-wave spectrum (Section 7.2) when frequency or steady magnetic field is continuously changing.

Consider, e.g., the longitudinal pumping of backward volume waves in a tangentially magnetized film [207]. The spectrum of these waves is shown schematically in Figure 10.7(a). At each branch of the spectrum, threshold field decreases with decreasing k due to the growth of ellipticity. At large $H_{\rm e\,0}$, the frequency $\omega_{\rm p}/2$ lies below the bottom of the spectrum, and the first-order parametric process is impossible. With decreasing $H_{\rm e\,0}$, the entire spectrum descends; at a certain value of $H_{\rm e\,0}=H_1$ the lower branch attains $\omega_{\rm p}/2$, and parametric excitation begins. With further decrease of $H_{\rm e\,0}$, the point $\omega_{\rm p}/2$ moves along the lowest branch, and $h_{z\,\rm thr}$ decreases. At a field H_2 [Figure 10.7(b)], the threshold point jumps onto the branch with n=2, then it moves to the left along this branch, and so on. As n values grow, the frequency intervals between branches increase, and one can

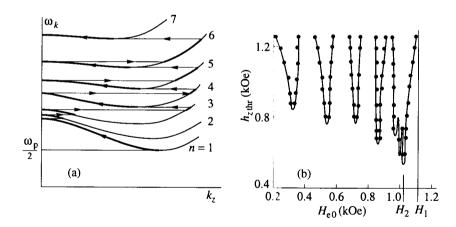


FIGURE 10.7

Paramentric excitation of spin waves in a thin $(0.5 \ \mu\text{m})$ tangentially magnetized YIG film under longitudinal pumping: (a) spectrum of spin waves propagating along M_0 , (b) experimental field dependence of the threshold [207]. Spectrum is shown for $H_{e\,0} = H_1$. Arrows indicate the movement of 'threshold point' ($\omega_k = \omega_p/2$) with decreasing $H_{e\,0}$. Bold lines correspond to the portions of the spectrum at which parametric excitation takes place.

observe the regions of $h_{z\, thr}$ separated by gaps, in which $h_{z\, thr}$ values exceed the ac field attainable in this experiment. Figure 10.7(b) corresponds to $d=0.5~\mu m$; with the increase of film thickness the branches of the spectrum will approach each other, and the curve $h_{z\, thr}(H_{e\, 0})$ will turn into a monotone left 'wing' of the butterfly curve (as in Figure 10.6).

10.4.2 Ferrite parametric amplifier

It is clear that pumping can result, as well, in amplification (which can be called parametric amplification) of oscillations or waves excited in a nonlinear medium by an external source. Parametric amplifiers for microwaves have been designed using the nonlinearity of different media: semiconductors, electron streams, etc. [256]. Suhl [393] (see also [394]) suggested the use of the nonlinearity of ferromagnet (ferrite). Three types of ferrite parametric amplifiers can be realized depending on what modes are used: (i) a magnetostatic amplifier when both modes are magnetostatic modes; (ii) an electromagnetic amplifier when both modes are electromagnetic eigenmodes of a resonator (or normal waves of a waveguide); (iii) a semistatic amplifier when one of the modes is magnetostatic and the other is electromagnetic.

The pumping power required for the parametric-amplifier operation is mainly determined by the *filling factor q* of a resonator with ferrite. For a magnetostatic amplifier, the resonator is the ferrite sample itself and $q \simeq 1$. For an electromagnetic amplifier, $q \ll 1$. That is why the pumping power of a magnetostatic amplifier is the lowest, and the pumping power of an electromagnetic amplifier is the highest. However, a great shortcoming of a magnetostatic amplifier (characteristic of an electromagnetic amplifier, as well) is the parametric excitation of spin waves, the threshold for which, as we have seen, is lower than the threshold for parametric excitation of magnetostatic modes. It is usually lower than the threshold of parametric amplification of these modes, too. The energy of the excited spin waves is partially transformed, as a result of two-magnon processes, into energy of certain long-wavelength modes and is perceived as noise.

The ferrite parametric amplifier realized first by Weiss [437] was an electromagnetic one. The pumping power being as high as 20 kW, the amplifier was able to operate only in a pulse regime. The magnetostatic amplifier was designed by Denton [88]. Walker's modes (3, 1, 0) and (3, -1, 1) of a YIG sphere with eigenfrequencies, respectively, 4.56 GHz and 4.62 GHz were used. The bandwidth of this amplifier was ~ 100 kHz, and the gain at central frequency was 20 dB, with pumping power, at frequency 9.18 GHz, of 450 mW. The noise factor exceeded 10 dB as a result of the excitation of spin waves.

Numerous investigations with the aim, in particular, to design a travelling-wave amplifier [404] or to use an open dielectric resonator [278], do not result in any material reduction of the noise factor. At present, the ferrite amplifier cannot compete with other types of microwave amplifiers, first of all, with semiconductor amplifiers in which the present-day transistors are used.

10.4.3 Nonuniform pumping

Consider, first, the case when the pumping field is present (and has a constant value) only in a small part of the sample. The problem of parametric excitation of spin waves under such (*local*) pumping does not differ, in principle, from the problem of excitation of spin-wave with allowance for their scattering at sample boundaries, which was discussed in the preceding section. In both cases spin waves come out of action when reaching certain surfaces. Therefore, expression (10.54) is also valid in the case of local pumping.

One can see from (10.54) and (10.55) that the threshold is higher the smaller the dimensions of the pumping region and the larger the group velocity of the excited waves. These dependences were verified experimentally in the case of longitudinal pumping using a YIG single-crystal film [281]. Uniform pumping was achieved by putting the film into a hollow resonator, and local pumping, by putting open dielectric resonators with different ε (and, hence, with different dimensions) onto the film. The experimental data is shown in Figure 10.8. At $H_0 < H_c$, the threshold field does not depend on the dimensions of the pumping region. In such fields, spin waves with $k \sim 10^4$ – 10^5 are excited, and their group velocity does not exceed $\sim 10^5$. At $H_0 > H_c$, the magnetostatic waves with $k \lesssim 10^3$ are excited, their group velocity (due to the influence of boundary conditions) is of the order of 10^6 . According to (10.54) and (10.55), the decrease of pumping-region dimensions leads, then, to a noticeable increase of $h_{z \, thr}$, in agreement with experiment.

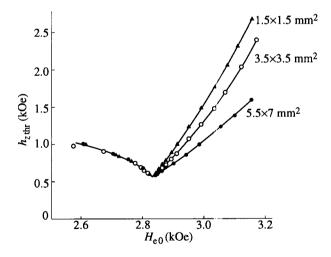


FIGURE 10.8

Threshold fields for longitudinal pumping in a normally magnetized YIG film vs external steady field for different dimensions of the pumping region [281]. Thickness of the film is $16 \mu m$, pumping frequency is 9.37 GHz, room temperature.

Another important case of nonuniform pumping is the excitation of spin waves under the action of a running wave. Assume, at first, that the pumping wave is a uniform plane wave with frequency ω_p and wave vector k_p . The excited modes are also uniform plane waves with frequencies $\omega_{1,2}$ and wave vectors $k_{1,2}$. We restrict our treatment to the first-order process. Then, besides the condition (10.17) with n=1, the condition of space synchronism

$$\boldsymbol{k}_1 + \boldsymbol{k}_2 = \boldsymbol{k}_{\mathrm{p}} \tag{10.71}$$

is to be satisfied. In corpuscular 'language', (10.71) is a condition of the impulse conservation, whereas (10.17) is a condition of energy conservation in each elementary process.

In a way similar to the one used in deriving equations (10.13), we obtain the system

$$\frac{dc_1}{dt} = i\omega_1 c_1 + \rho_{12} c_p c_2^* \qquad \frac{dc_2^*}{dt} = -i\omega_2 c_2^* - i\rho_{12}^* c_p^* c_1$$
 (10.72)

where c_p , c_1 , and c_2 are normal variables for the pumping wave and the excited waves, respectively, and ρ_{12} is the coupling coefficient, which depends on ω_H and ω_M , as well as on frequencies and wave vectors of all three interacting waves. If $k_p \ll k_{1,2}$, so that $k_1 \cong -k_2$, i.e., $\theta_1 \cong \pi - \theta_2 \equiv \theta_k$ and $\varphi_1 \cong \pi + \varphi_2 \equiv \varphi_k$, the coupling coefficient can be written in the form

$$\rho_{12} = \rho_{12 \perp} + \rho_{12 \parallel} \tag{10.73}$$

where $\rho_{12\perp}$ is given by (10.14) and

$$\rho_{12\parallel} = \frac{\omega_M^2}{4\omega_p} \sin 2\theta_p \exp(-i\varphi_p) \sin^2\theta_k \exp(2i\varphi_k). \tag{10.74}$$

Comparing (10.72) and (10.74) with expressions (10.40) and (10.41), we see that the *combined* action of quasiuniform transverse pumping and quasiuniform longitudinal pumping is present in this case; the effective pumping field for longitudinal pumping is

$$h_z = \frac{\omega_M}{2\gamma} \sin 2\theta_{\rm p} \exp\left(-i\varphi_{\rm p}\right) c_{\rm p}. \tag{10.75}$$

It can be shown that h_z is nothing but the z component of the magnetic field (7.12) of the pumping wave.

Maximization of the coupling coefficient, e.g., in the case of $\theta_p=45^\circ$, shows that, at $\omega_p>\omega_M/2$, the first term in (10.73) dominates. Thus, the waves with $\theta_k=45^\circ$ are excited (Section 10.2), and the threshold magnetization is close to that for uniform transverse pumping. At $\omega_p<\omega_M/2$, the term $\rho_{12\parallel}$ dominates, waves with $\theta_k=\pi/2$ are excited, and the threshold magnetization differs from that for uniform transverse pumping by the factor $2\omega_p/\omega_M$.

Consider now a *surface* wave in a tangentially magnetized film (Section 6.2). As the ac magnetic field of this wave has negligibly small z component, the

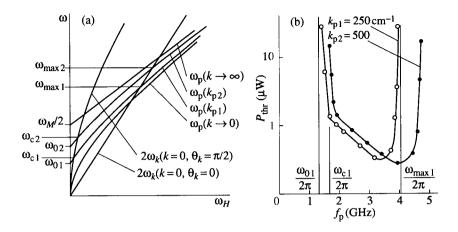


FIGURE 10.9

First-order parametric spin-wave excitation by a surface magnetostatic wave: (a) frequencies of the pumping waves (ω_p) and twice the spin-wave frequencies $(2\omega_k)$, (b) experimental threshold values of input power vs ω_p [168].

pumping is transverse. We will limit ourselves to the first-order process and suppose the excited modes to be uniform plane spin waves, which can be justified for not-too-thin films ($d \gtrsim 5 \, \mu \mathrm{m}$ for YIG). The boundaries of the region in which the first-order instability of such waves takes place under pumping by a surface magnetostatic wave are shown in Figure 10.9(a). The frequency ω_{max} is the upper boundary of this region for the pumping wave with a given wave number k_{p} . The

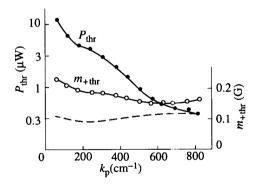


FIGURE 10.10

Dependence of threshold values of input power and magnetization on wave number of a pumping surface wave $k_{\rm p}$ at $\omega_{\rm p}=3.26$ GHz [71]. YIC film with thickness 15.2 μ m, room temperature. Dashed line denotes the theoretical threshold magnetization.

lower boundary of the region is the lower frequency ω_0 at which the surface wave with given k_p exists. However, between ω_0 and the frequency ω_c , the threshold fields are high because only exchange spin waves with large k can be excited. These conclusions agree rather well with experiment [Figure 10.9(b)].

The experimental $k_{\rm p}$ dependence of the threshold power $P_{\rm thr}$ at the input of a transducer that excites the pumping surface wave is plotted in Figure 10.10. The values of the pumping-wave magnetization, which were found from the threshold power $P_{\rm thr}$, are shown as well. These experimental values are somewhat larger than the values $(m_{+\,\rm thr})_{\rm theor}$ calculated by minimization of (10.23). The difference is apparently due to above-discussed effect of local pumping. As for the strong dependence of $P_{\rm thr}$ on $k_{\rm p}$ (Figure 10.10), it is caused mainly by the change of the pumping-wave group velocity.

For *volume* magnetostatic waves in films, the longitudinal component of an ac magnetic field is comparable with transverse components, and the *oblique* pumping takes place.

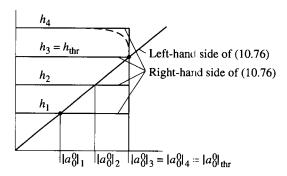
10.5 Above-threshold state

In the preceding sections of this chapter, only thresholds of spin-wave parametric excitation were considered, i.e., the values of an ac magnetization or field at which the exponential growth of spin-wave amplitudes (the spin-wave instability) begins. But the growth of these amplitudes cannot be unlimited, and a certain *limiting state* should exist, either a steady state (with constant amplitudes) or an unsteady one (characterized by periodic self-oscillations or chaotic change of these amplitudes). The unlimited exponential growth of spin-wave amplitudes was obtained because we took into account only the lowest nonlinear terms in the equations of motion. Now, studying the above-threshold states, we must take into account the higher-order nonlinear terms, too.

The simplest reason ('mechanism') for the limitation of growth of the parametric spin-wave amplitudes is that the total ac magnetization cannot, in any case, exceed M_0 . However, we will see that the limitation usually occurs much earlier due to other mechanisms. At least three such mechanisms exist: reaction of parametric spin waves on pumping, phase mechanism, and nonlinear damping. In studying these mechanisms, we will limit ourselves to the case when parametric spin waves may be regarded as uniform plane waves and the pumping field is uniform.

10.5.1 Reaction of parametric spin waves on pumping

The energy transferred to parametric spin waves is an additional source of losses for the pumping. In the case of longitudinal pumping, the growth of parametric



Graphical solution of equation (10.76) [392]. Solid lines correspond to the approximation (10.77) and dashed line, to the actual dependence of $\omega_{r0\,k}$ on a_0^0 (schematically). © 1957 Elsevier Science Ltd.

spin waves leads to the decrease of the quality factor Q of the resonator in which the sample is placed and, hence, to the slowing down of the increase of h_z with growing input power. However, the volume of the sample is usually much smaller than the resonator volume, and the decrease of Q turns out to be small. Therefore, this mechanism does not play any important role in the case of longitudinal pumping.

For transverse pumping, this mechanism can be taken into account by introducing an additional dissipation parameter ω_{r0k} , so that [compare with (10.12)]

$$a_0^0 = \frac{\gamma h}{\tilde{\omega}_0 - \omega_p + i(\omega_{r0} + \omega_{r0\,k})}.$$
 (10.76)

In the case of *first-order* process, the growth of parametric spin waves begins from a very low (thermal) level. The dependence of ω_{0} on a_0 can be approximated, then, as [392]

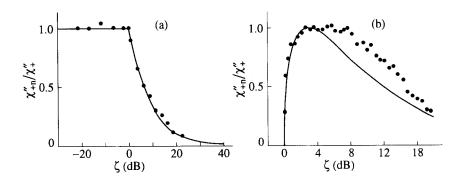
$$\omega_{\text{r0}\,k} = \begin{cases} 0 & \text{at} \quad a_0^0 < a_{0\,\text{thr}}^0 \\ \infty & \text{at} \quad a_0^0 > a_{0\,\text{thr}}^0 \end{cases} . \tag{10.77}$$

Equation (10.76), allowing for (10.77), can be solved graphically as shown in Figure 10.11. With the growth of h, the amplitude a_0^0 increases linearly until h and a_0^0 reach their threshold values. Then the increase of a_0^0 ceases, and its threshold value 'freezes'. So,

$$a_0^0 = \begin{cases} \gamma \hbar / \left(\tilde{\omega}_0 - \omega_p + i \omega_{r0} \right) & \text{at } h < h_{\text{thr}} \\ a_{0 \text{ thr}}^0 & \text{at } h > h_{\text{thr}} \end{cases}$$
 (10.78)

where $a_{0 \text{ thr}}$ is determined by (10.23).

Neglecting the difference between $\tilde{\omega}_0$ and ω_0 , we can find from (10.76) and (10.78)



Imaginary parts of the nonlinear susceptibility vs the above-threshold ratio for the first-order parametric spin-wave excitation under transverse pumping: (a) at resonance and (b) off resonance [392]. Dots represent experimental data for a tangentially magnetized disk of Mn ferrite at frequencies (a) 4.04 GHz and (b) \sim 9 GHz. The curves are calculated using (10.80). © 1957 Elsevier Science Ltd.

the expression for the total dissipation parameter

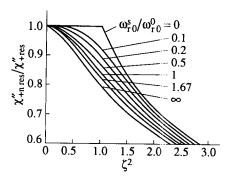
$$\omega_{\rm r0} + \omega_{\rm r0\,k} = \sqrt{\left(\zeta^2 - 1\right)\left(\omega_0 - \omega_{\rm p}\right)^2 + \zeta^2 \omega_{\rm r0}^2}$$
 (10.79)

where $\zeta = h/h_{\text{thr}}$ is the *above-threshold ratio*. To calculate the components of the nonlinear susceptibility tensor we must substitute the dissipation parameter (10.79) into the expressions for susceptibility components cited in Section 1.5. We obtain, then, e.g.,

$$\frac{\chi_{+n}^{"}}{\chi_{+}^{"}} = \frac{1}{\zeta^2} \sqrt{\left(\zeta^2 - 1\right) \frac{\left(\omega_0 - \omega_p\right)^2}{\omega_{r0}^2} + \zeta^2}$$
 (10.80)

where χ_+'' and χ_+'' are imaginary parts of the linear and the nonlinear right-hand circular susceptibilities. The calculated dependences of the ratio (10.80) on ζ and the corresponding experimental data are shown in Figure 10.12. The disagreement between experimental data and the calculated curve in Figure 10.12(b) is due to another mechanism of spin-wave amplitude limiting, the phase mechanism, which will be discussed below.

Consider now, following Suhl [395], the steady state above the *second-order-instability* threshold. This case differs from the above-considered one in that now the potentially unstable spin waves (with $\omega_k = \omega_p$) are excited, as well, due to the process of *two-magnon scattering* (already discussed in Section 10.3). This process also results in additional excitation of the pumping mode by the parametric spin waves. To allow for these processes we have to add, in equations (10.24) and (10.5), the terms similar to those that have been inserted, with the same purpose, in equation (10.50); the term $\nu_{k,0}a_0$ should be added in (10.24) and the



Influence of two-magnon scattering on the nonlinear susceptibility for the second-order parametric spin-wave excitation [395].

term $\sum_{k\neq 0} \nu_{0k} c_k$, in (10.5).

It is necessary, then, to make the replacements $\omega_0 \to \omega_0 + i\omega_{r0}^0$ and $\omega_k \to \omega_k + i\omega_{rk}^0$ where ω_{r0}^0 and ω_{rk}^0 are the dissipation parameters without allowing for two-magnon scattering. Assuming that ω_{rk}^0 , ν_{0k} , and ν_{k0} do not depend on k, we obtain at resonance $(\omega_p = \omega_0)$

$$a_{0\,\text{res}}^{0} = \frac{i\gamma h}{\omega_{\text{r}\,0}^{0} + \omega_{\text{r}\,0}^{s} \left[1 - \left(a_{0\,\text{res}}^{0}/a_{0\,\text{thr}}^{0}\right)^{4}\right]^{-1/2}}.$$
(10.81)

One can see from this expression that the effective dissipation parameter of the pumping mode infinitely increases when $a_{0\,\mathrm{res}}^0$ approaches $a_{0\,\mathrm{thr}}^0$. Hence, $a_{0\,\mathrm{res}}^0$ reaches $a_{0\,\mathrm{thr}}^0$ only in the limit of $h\to\infty$. However, a 'threshold' field can be formally defined as $h_{\mathrm{thref}}=a_{0\,\mathrm{thr}}^0M_0/\chi_{+\,\mathrm{res}}''$ (where $\chi_{+\,\mathrm{res}}''$ is the *linear* susceptibility), and the above-threshold ratio can be also defined as before: $\zeta=h/h_{\mathrm{thref}}$. Then, the equation

$$\eta = \frac{1+s}{1+s\left(1-\eta^4/\zeta^4\right)^{-1/2}} \tag{10.82}$$

where $\eta = \chi''_{+\, n\, res}/\chi''_{+\, res}$ and $s = \omega^s_{r\, 0}/\omega^0_{r\, 0}$, follows from (10.81). The curves $\eta(\zeta)$ for different values of s, calculated by solving this equation, are plotted in Figure 10.13. One can see that the threshold of the second-order spin wave instability is 'smeared out' stronger the larger the contribution of two-magnon scattering to the dissipation parameter.

10.5.2 Phase mechanism

The phase mechanism of limiting of parametric-spin-wave growth above threshold was suggested by Zakharov, L'vov, and Starobinets [459] (see also [265]).

This mechanism consists in the change of the phase shift between pumping and parametric spin waves, which leads to the decrease of coupling between them with the growth of the pumping field. The phase mechanism plays the most important role for longitudinal pumping, and in discussing it we will restrict ourselves to this case.

To analyze the phase mechanism the following third-order terms must be inserted in equation (10.40) and the adjoint equation:

$$2i\sum_{k_1} T_{k k_1} c_k c_{k_1} c_{k_1}^* + i\sum_{k_1} S_{k k_1} c_{-k}^* c_{k_1} c_{-k_1}.$$
 (10.83)

Then, these equations turn into the equation

$$\left[\frac{\mathrm{d}}{\mathrm{d}t} + \omega_{\mathrm{r}\,k} - \mathrm{i}\left(\tilde{\omega} - \frac{\omega_{\mathrm{p}}}{2}\right)\right] c_k^0 - \mathrm{i}P_k c_{-k}^{0\,*} = 0 \tag{10.84}$$

and the adjoint one where

$$\tilde{\omega}_k = \omega_k + 2\sum_{k_1} T_{k|k_1} |c_{k_1}^0|^2$$
 (10.85)

$$P_k = h_z V_k + \sum_{k_1} S_{k k_1} c_{k_1}^0 c_{-k_1}^0.$$
 (10.86)

Comparing (10.84) with (10.40), we see that the added terms result first in the change of spin-wave eigenfrequencies and second, in the 'renormalization' of pumping, i.e., in the replacement of the external pumping $h_z V_k$ by the effective pumping P_k . It can be shown that, above the threshold,

$$|P_k| = \sqrt{\omega_{\mathsf{r}\,k}^2 + \left(\tilde{\omega}_k - \frac{\omega_{\mathsf{p}}}{2}\right)^2}.\tag{10.87}$$

According to [459], we introduce new variables n_k and ψ_k defined by the following relations (note that $|c_k| = |c_{-k}|$):

$$c_k^0 c_{-k}^0 = |c_k^0|^2 \exp\left(i\beta_k + i\beta_{-k}\right) = 2\frac{\gamma h}{M_0} n_k \exp\left(i\psi_k\right). \tag{10.88}$$

The quantity n_k characterizes the intensity of parametric spin waves with wave vectors k and -k and is equal to the number of corresponding magnons. The quantity ψ_k is the sum of phases of spin waves with k and with -k. It should be emphasized that only the sum of these phases is determinable, the difference of them is arbitrary. This means that parametric spin waves are only partially coherent.

The new variables satisfy the equations following from (10.84):

$$\frac{\mathrm{d}n_k}{\mathrm{d}t} = 2n_k \left\{ -\omega_{\mathrm{r}\,k} + \mathrm{Im} \left[P_k^* \exp\left(\mathrm{i}\psi_k\right) \right] \right\} \tag{10.89}$$

$$\frac{\mathrm{d}\psi_k}{\mathrm{d}t} = 2\left\{\tilde{\omega}_k - \frac{\omega_p}{2} + \operatorname{Re}\left[P_k^* \exp\left(\mathrm{i}\psi_k\right)\right]\right\}. \tag{10.90}$$

It can be shown, using these equations, that, in a steady state above threshold, only spin waves with a certain pair k and -k of wave vectors (for which the threshold is the lowest and, hence, $\tilde{\omega}_k = \omega_p/2$) do exist. The solution of (10.89) and (10.90) for these waves has the form [459]

$$n_k = \frac{1}{S} \sqrt{(h_z V)^2 - \omega_{rk}^2} \qquad \sin \psi_k = \frac{\omega_{rk}}{h_z V} \qquad \cos \psi_k = -\frac{S n_k}{h_z V} \tag{10.91}$$

where

$$S = \frac{1}{4} \left(\frac{\omega_M}{\omega_p} \right)^2 \left(\sqrt{\omega_p^2 + \omega_M^2} - \omega_M + \gamma N_z M_0 \right)$$
 (10.92)

$$V \equiv \left| V_k \left(\frac{\pi}{2} \right) \right| = \gamma \frac{\omega_M}{2\omega_p}. \tag{10.93}$$

One can see from (10.91) that $\psi=\pi/2$ at threshold $(h_zV=\omega_{r\,k})$, which is the optimum condition for energy transfer from pumping to parametric spin waves. With the growth of h_z this phase relation gets broken, and ψ approaches π at $h_z\to\infty$.

With the use of (10.91)–(10.93), the z component of uniform ac magnetization m_z and the nonlinear susceptibility $\chi_{zn} = m_z/h_z = \chi'_{zn} - i\chi''_{zn}$ can be calculated:

$$\chi'_{zn} = \frac{M_0}{\gamma} \frac{V^2}{S} \frac{\zeta^2 - 1}{\zeta^2} \qquad \chi''_{zn} = \frac{M_0}{\gamma} \frac{V^2}{|S|} \frac{\sqrt{\zeta^2 - 1}}{\zeta^2} . \tag{10.94}$$

These expressions are in rather good agreement with experimental data by Zautkin et al. [460] at $\zeta < \zeta_2 \simeq 7$ (Figure 10.14), which shows the dominant role of the phase mechanism for longitudinal pumping, in any case, if $\zeta < \zeta_2$. The phase mechanism operates for transverse pumping as well, especially for the off-resonance first-order process. This mechanism is responsible, e.g., for the deviation of experimental points in Figure 10.12(b) from the calculated curve.

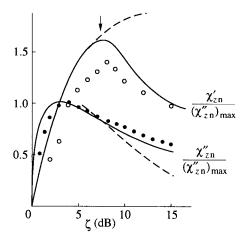
10.5.3 Nonlinear damping

The mechanism of nonlinear damping is caused by the dependence of ω_{rk} on the parametric-spin-wave amplitudes. The most prominent example is the contribution of the elementary confluence process of *two parametric* magnons. This effect was studied by Gottlieb and Suhl [148]. The contribution of it to ω_{rk} is positive and proportional to the number of parametric magnons. This process is allowed by the conditions of energy and impulse conversation if $H_0 < H_{3cn}$ where

$$H_{3\,c\,n} = H_{0\,c}(\theta_k) - \frac{1}{6\gamma} \left(\sqrt{4\omega_p^2 + \omega_M^2 \sin^4 \theta_k} - \sqrt{\omega_p^2 + \omega_M^2 \sin^4 \theta_k} \right) \quad (10.95)$$

 $[H_{0c}(\theta_k)]$ is given by (7.18)].

Three-magnon processes with participation of *only one parametric* magnon can also contribute to nonlinear damping because, at large numbers of parametric



Dependences of real and imaginary parts of nonlinear susceptibility on the above-threshold ratio for longitudinal pumping [460]. Dashed curves are calculated with (10.94), solid curves are calculated allowing for the excitation of the second group of spin waves (see below); the starting point of this excitation is denoted by an arrow. Circles correspond to experimental data for YIG sphere at frequency 9.4 GHz, room temperature, and $H_0 = H_c - 100 \text{ Oe} (\boldsymbol{H}_0 \parallel \langle 111 \rangle)$.

magnons, the numbers of thermal magnons begin to differ from their equilibrium values. It is easy to make sure that the nonlinear contribution of such confluence process is negative, and the contribution of a splitting process is positive, and both are proportional to the number of parametric magnons. In the case of longitudinal pumping in a sphere ($\theta_k = \pi/2$), as L'vov and Fal'kovich have shown [264], the former contribution exists at $H_0 < H_{3c}$ where

$$H_{3c} = \frac{1}{\gamma} \left(\frac{4}{9} \omega_{\mathbf{p}} - \frac{1}{2} \omega_M \right) \tag{10.96}$$

and the latter, at $H_0 < H_{3s}$ where

$$H_{3s} = H_{\rm c} - \frac{2}{3\gamma} \left(\sqrt{\omega_{\rm p}^2 + \omega_M^2} - \sqrt{\frac{1}{4}\omega_{\rm p}^2 + \omega_M^2} \right).$$
 (10.97)

The *combined* action of phase mechanism and nonlinear damping can be examined, in the case of longitudinal pumping, if we assume, in equation (10.88), that $\omega_{r\,k} = \omega_{r\,k\,0} + \omega_{r\,k\,n}$ where $\omega_{r\,k\,n}$ is the contribution of nonlinear damping. The nonlinear susceptibilities above threshold calculated in this way are shown in Figure 10.15. One can see that, at small ζ , positive nonlinear damping leads to the decrease, and the negative one leads to the increase of $\chi_{z\,n}^{\prime\prime}$. Such behavior is confirmed by experimental data presented in Figure 10.16. The signs of

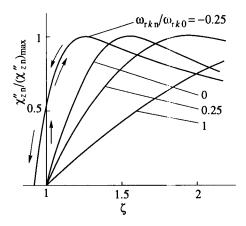
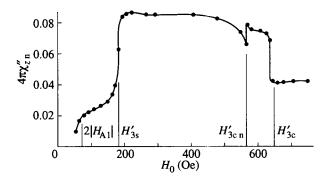


FIGURE 10.15 Influence of the nonlinear damping on the ζ dependences of the nonlinear susceptibility for longitudinal pumping [459].



Field dependence of the nonlinear susceptibility for longitudinal pumping [275]. YIG sphere, $H_0 \parallel \langle 100 \rangle$, $f_p = 9.37$ GHz, room temperature, $\zeta = 1$ dB. The fields H_{3c}' , and H_{3c}' are calculated with formulae (10.95)–(10.97) with an anisotropy field $2|H_{A1}|$ added.

 $\chi_{zn}^{"}$ quick changes and the experimental steady-field values at which they occur (after a correction for the magnetocrystalline anisotropy) agree with theoretical values (10.95)–(10.97). At sufficiently large ζ , the opposite effect takes place (Figure 10.15). Besides, one can see from Figure 10.15 that negative nonlinear damping results in hysteresis or 'hard' excitation of parametric spin waves.

10.5.4 Stability of the above-threshold state

It must be pointed out, first of all, that a distinction needs to be drawn between the external stability (relative to the birth of other groups of parametric spin waves) and the internal stability (relative to small changes of the amplitude and phase of the primary group).

For longitudinal pumping, the primary group has $\theta_{k_1} = \pi/2$ and amplitude and phase determined by (10.89) and (10.90). This state remains stable relative to the creation of another group of spin waves (*external* stability) until the effective pumping (10.86) for the new group reaches the value of ω_{rk} . Using this condition, it was found [459] that, in an isotropic ferromagnet, the external stability retains up to $\zeta=3.5$. Then the spin-wave group, with $\tilde{\omega}_k=\omega_p/2$, as before, and $\theta_{k_2}=50^\circ$, is excited. Experiment [238] has shown the occurrence of a new group with $\theta_{k_2}=45-50^\circ$ but at larger ζ value, of the order 10–20. The distinction is, evidently, due to the dependence of ω_{rk} on θ_k . With further increase of ζ , new groups of spin waves with $\tilde{\omega}_k=\omega_p/2$ are excited.

To examine the *internal* stability we must add a small deviation α to the spin-wave amplitude and, using equation (10.84), see whether α will grow or damp out with time. If the internal stability does not take place, the collective *self-oscillations*, uniform or nonuniform, of amplitudes and phases of parametric spin waves occur (see review articles [265, 327]). They manifest themselves as oscillations of magnetization, and hence, of the power absorbed by the sample. The frequencies of the oscillations usually lie in the range from tens of kilohertzes to tens of megahertzes. At small ζ , their shape is near sinusoidal, at larger ζ , it differs essentially from sinusoidal, and at still larger, it becomes chaotic [327].

Calculation performed for longitudinal pumping in a cubic ferromagnet [459] showed that under assumed conditions (YIG, frequency of 9 GHz, room temperature), the internal stability (relative to uniform deviations) takes place for M_0 directed along (100) axis and does not for M_0 || (111). This is in agreement with the experimental fact (see, e.g., [256]) that, in YIG under the mentioned conditions, self-oscillations are observed for M_0 || (111) and are not observed if M_0 || (100).

10.5.5 Nonlinear microwave ferrite devices

Let us discuss briefly the practical significance of the nonlinear phenomena considered in the present chapter. First, the parametric excitation of spin waves restricts the dynamic range of linear ferrite devices, which are widely used in microwave systems and are starting to be used in optical systems, too. Serious efforts have been made to increase the thresholds of parametric spin-wave excitation in materials intended for use at high microwave power levels. One of the ways, which consists in decreasing the grain dimensions of polycrystalline ferrites, was mentioned in Section 10.4.

Second, as has also been mentioned, the measurement of parametric spin-

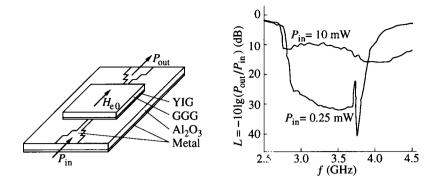


FIGURE 10.17 Ferrite small-signal suppressor using a surface magnetostatic wave [6]. Thickness of the YIG film is 26.6 μ m, $H_{c0} = 425$ Oe.

wave excitation thresholds, especially with longitudinal pumping, is a common technique for *determining the dissipation parameters* of ferrite materials.

And finally, the above-threshold phenomena, studied in the present section, are applied for *designing nonlinear ferrite devices*. One of such devices is the *power limiter*, e.g., a nonlinear quadripole the output power of which remains approximately constant in a certain range of input power. Such a device is needed, e.g., to protect the input circuit of a microwave receiver against overloads.

To develop a microwave power limiter the off-resonance first-order process of spin-wave parametric excitation with transverse or longitudinal pumping can be used. The ferrite sample in a resonator or waveguide, being far from ferromagnetic resonance, practically does not absorb electromagnetic power below the threshold, so that the losses at low signal level are small. Above the threshold, the losses increase due to the parametric excitation of spin waves. Because of the above-discussed 'freezing' of pumping amplitude in the first-order process, the output power remains approximately constant in a rather broad dynamic range.

Another type of ferrite power limiter uses the parametric excitation at ferromagnetic resonance and therefore has (if the first-order process is applied) much lower threshold power. The ferrite sample in this device reradiates microwave power into an 'orthogonal' (i.e., uncoupled in the absence of ferrite) waveguide. It was shown in Section 5.4 that the losses of such a device, a ferrite band-pass filter, are small at low power level. At high power level, above the threshold, the pumping amplitude 'freezes', and the losses increase. The threshold input power of such a filter-limiter, using the first-order process, is as small as several microwatts, and the dynamic range can be 20–40 dB. However, the first-order process is possible only at low frequencies, e.g., lower than ~ 3 GHz for YIG at room temperature. At higher frequencies the second-order process must be used, and the threshold power substantially increases.

It was pointed out in Section 5.4 that a ferrite band-stop filter can be made, as well. Its simplest design is again a waveguide with a ferrite sample but at ferromagnetic resonance. Such a device operates as a *small-signal suppressor*: at input-power level lower than the threshold of spin-wave parametric excitation, the losses are high, and above the threshold, the losses decrease because the absorbed power is being limited. The threshold is low and the decrease of losses at high power level is essential if the first-order process of parametric excitation is used.

The frequency band of the ferrite small-signal suppressor can be materially enlarged when, instead of ferromagnetic resonance in a sample, a magnetostatic wave in a film is excited. It is expedient to use the surface wave in a tangentially magnetized film (Section 6.2) and, of course, the first-order process. Such a device [6] was suggested by Adam and called the 'signal-to-noise ratio enhancer'. Its design and characteristics are shown in Figure 10.17.

Spin-spin relaxation

11.1 Relaxation processes in magnetically ordered substances

The dissipation of energy of magnetic oscillations and waves was treated in the preceding chapters only phenomenologically. Now we begin to study the physical processes that determine the relaxation. This chapter and, to a large extent, Chapters 12, 13, and 14 will be devoted to this problem.

The relaxation processes move a system to the state of thermodynamical equilibrium. If the forces that had removed it from this state stopped their action, the relaxation processes bring the system to equilibrium. We are interested in the case when in nonequilibrium state there exist one or more modes with amplitudes exceeding their equilibrium values. Then, after the external forces that had excited these modes stop their action, the energy they had passed to these modes is redistributed between all eigenmodes. The relaxation processes determine, in this case, the rate of the decrease of the nonequilibrium mode amplitudes.

However, if the external forces excite some modes continuously, the equilibrium state, of course, will not be reached. The system will remain in a steady nonequilibrium state, in which the amplitudes of the modes excited by the external forces, as well as of some other modes strongly coupled with them, will exceed the equilibrium levels. The relaxation processes now perform the steady energy flow from the modes excited by external forces and determine the dissipative parameters of the system for forced oscillations, i.e., the anti-Hermitian parts of the sucseptibility tensor components (Section 4.4).

11.1.1 Kinds of relaxation processes

The system we are interested in is the magnetic system of a magnetically ordered substance. Its eigenmodes are the uniform and nonuniform oscillations and spin waves (or magnons, in terms of corpuscular theory), which were studied in detail above. Some relaxation processes result in the redistribution of energy between the modes of the magnetic system, i.e., in the destruction of magnons excited by the

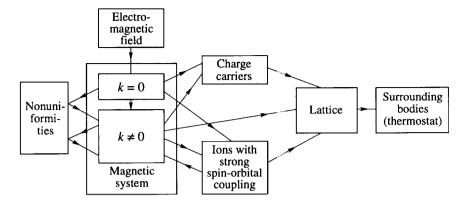


FIGURE 11.1 Flows of energy in magnetically ordered substances.

external fields and creation of other magnons. Such processes are called spin-spin relaxation processes. They can be subdivided into inherent spin-spin processes, which are characteristic of ideal crystals, and processes caused by defects. The latter can be regarded as scattering of magnons by defects.

The electronic magnetic system¹ is coupled with other systems of the magnetically ordered substance: lattice, free charge carriers, nuclear magnetic system. Therefore, the relaxation processes exist which carry the energy from magnetic system to other systems. In most cases the energy is transferred finally to the lattice that results in heating it (i.e., in the creation of phonons, in terms of corpuscular theory). Therefore, all relaxation processes that result in the flow of energy from the magnetic system are called often spin—lattice processes. They are subdivided into direct and indirect spin—lattice processes. The first are such in which magnons are destructed and, simultaneously, phonons are created. In indirect processes energy is transferred into lattice through some other systems.

The concepts of spin-spin and spin-lattice relaxations were introduced first in the theory of paramagnetic relaxation [68]. In magnetically ordered substances, in which the eigenoscillations are collective modes, these concepts differ essentially from those in paramagnets. In particular, in magnetically ordered substances, as distinct from paramagnets, the relaxation rates of longitudinal and transverse magnetization components are nearly the same and are determined by both the spin-spin and spin-lattice processes [17].

In Figure 11.1 the energy flows are shown which arise in the magnetic system of a ferromagnet and between this system and other systems in a stationary regime when an electromagnetic field excites the uniform mode of magnetic oscillations (k = 0 magnons).

We deal with this system all the time omitting the word 'electronic'.

11.1.2 Methods of theoretical study

The relaxation processes in magnetically ordered substances were first studied theoretically by Akhiezer [10]. Since then a lot of works have been devoted to this problem (e.g., monographs and reviews [171, 376, 14]). Two methods were mostly used: the method of coupled equations of motion and the method of transition probabilities (or kinetic equations).

The method of coupled equations of motion² is based on the fact that relaxation processes are caused by the interaction of different modes. The allowance for this interaction leads to coupling of the equations of motion of the modes. It results in the energy transfer from one mode to the other, i.e., in the relaxation.

The method of transition probabilities is based on the fact that relaxation processes are the transitions of the system from one state into another, and the quantum-mechanical time-dependent perturbation theory (e.g., [243, 336]) can be used to calculate the probabilities of these transitions. It has been shown [66] that this method gives the same results as the method of coupled equations of motion.

More powerful theoretical techniques were used later to study the relaxation processes in ferro- and ferrimagnets, in particular, the method of Green functions [412]. We will use only the transition probability method, as the most simple, universal, and illuminative.

According to the time-dependent quantum-mechanical perturbation theory [243], the number of transitions per unit time from the state l into the state m under the action of time-independent perturbation is

$$w_{lm} = \frac{2\pi}{\hbar} |\langle m|\hat{\mathcal{H}}_{p}|l\rangle|^2 \delta(\varepsilon_l - \varepsilon_m)$$
 (11.1)

where $\langle m|\hat{\mathcal{H}}_{p}|l\rangle$ is the matrix element of the perturbation energy operator $\hat{\mathcal{H}}_{p}$ for transition between states l and m, ε_{l} and ε_{m} are the eigenvalues of energy in these states, and $\delta(x)$ is the Dirac delta function (Appendix C).

Employing the transition-probability method to study the relaxation processes, we have to pass to the secondary-quantization representation (Section 7.4), in which the transitions from one state to another are the changes of the numbers of quasiparticles: magnons, phonon, etc. The energy operator (Hamiltonian) of the magnetic system can be written in this representation in the form

$$\hat{\mathcal{H}} = U_0 + \hat{\mathcal{H}}_2 + \hat{\mathcal{H}}_p \tag{11.2}$$

where $\hat{\mathcal{H}}_2$ is the quadratic Hamiltonian that, in the case of the Heisenberg model, was considered in Section 7.4, and $\hat{\mathcal{H}}_p$ contains terms of higher order in operators \hat{a}_k^+ and \hat{a}_k .

²This method was widely used in Chapter 10,

In an ideal (perfectly periodic) crystal the operator $\hat{\mathcal{H}}_p$ has the form³ [214, 14]

$$\begin{split} \hat{\mathcal{H}}_{p} &= \sum_{1} \sum_{2} \sum_{3} \Psi_{1,23} \hat{a}_{1} \hat{a}_{2}^{+} \hat{a}_{3}^{+} \Delta (\boldsymbol{k}_{1} - \boldsymbol{k}_{2} - \boldsymbol{k}_{3}) \\ &+ \sum_{1} \sum_{2} \sum_{3} \sum_{4} \Psi_{1,234} \hat{a}_{1} \hat{a}_{2}^{+} \hat{a}_{3}^{+} \hat{a}_{4}^{+} \Delta (\boldsymbol{k}_{1} - \boldsymbol{k}_{2} - \boldsymbol{k}_{3} - \boldsymbol{k}_{4}) \\ &+ \sum_{1} \sum_{2} \sum_{3} \sum_{4} \Psi_{12,34} \hat{a}_{1} \hat{a}_{2} \hat{a}_{3}^{+} \hat{a}_{4}^{+} \Delta (\boldsymbol{k}_{1} + \boldsymbol{k}_{2} - \boldsymbol{k}_{3} - \boldsymbol{k}_{4}) \\ &+ \text{higher terms} + \text{H.c.} \end{split}$$
(11.3)

Here, $\Psi_{1,23}$, $\Psi_{1,234}$, and $\Psi_{12,34}$ are certain complex quantities, Δ is the Kronecker delta symbol (Appendix C), and H.c. denotes Hermitian conjugate terms. It should be noted that \hat{a}_k^+ and \hat{a}_k are conjugate operators, and the sequence of operators changes to opposite when conjugating.

Each term of the Hamiltonian (11.3) contributes only to the matrix element $\langle m|\hat{\mathcal{H}}_p|l\rangle$ that corresponds to the transition from state l to state m; in this transition the numbers of quasiparticles whose creation operators appear in the considered term increase by 1, and the numbers of quasiparticles whose annihilation operators appear in this term decrease by 1. For example, the term $\hat{a}_1\hat{a}_2^+\hat{a}_3^+$ gives such a matrix element that n_1 decreases, and n_2 and n_3 increase by 1. This matrix element has the form [84]

$$\langle n_1 - 1, n_2 + 1, n_3 + 1 | \mathcal{H}_{\mathbf{p}} | n_1, n_2, n_3 \rangle$$

= $\sqrt{n_1(n_2 + 1)(n_3 + 1)} \Psi_{1,23} \Delta(\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3).$ (11.4)

The Hermitian conjugate term gives the matrix element

$$\langle n_1+1, n_2-1, n_3-1 | \mathcal{H}_p | n_1, n_2, n_3 \rangle = \sqrt{(n_1+1)n_2n_3} \Psi_{1,23}^* \Delta(\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3).$$
(11.5)

Thus, each term of the perturbation Hamiltonian $\hat{\mathcal{H}}_p$ (and hence the associated matrix element) corresponds to a certain elementary process, and the Hermitian conjugate term corresponds to a reverse process. For example, the term $\hat{a}_1\hat{a}_2^+\hat{a}_3^+$ and the matrix element (11.4) correspond to an elementary process of splitting of a magnon into two magnons, and the term $\hat{a}_3\hat{a}_2\hat{a}_1^+$ corresponds to an elementary process of confluence of two magnons. The term $\hat{a}_1\hat{a}_2\hat{a}_3^+\hat{a}_4^+$ corresponds to an elementary process of scattering, in which two magnons are annihilated and two others are created.⁴

The presence of the delta function in (11.1) results in conservation of energy, and the delta-symbols in (11.3), (11.4), or (11.5) lead to momentum conservation in each elementary process. The momentum conservation, as distinct from energy conservation, is a consequence of the ideality of the crystal and does not take

³Here and below the indices 1, 2, 3, ... denote k_1 , k_2 , k_3 ,

⁴These elementary processes were already considered in Chapter 10.

place (in each elementary process) for such perturbations that make the crystal non-ideal.

We are usually interested in the rate of change of the numbers of quasiparticles with given ε_1 and k_1 due to the elementary process of a certain type. We must then sum the probabilities (11.1) over allowed values of all other quasiparticles that take part in this process. The elementary processes both direct and reverse must be taken into account. Then we obtain such expressions as

$$\frac{\mathrm{d}n_1}{\mathrm{d}t} = \frac{2\pi}{\hbar} \sum_{l} \sum_{3} \dots \left[-|\langle m'|\hat{\mathcal{H}}_{p}|l'\rangle|^2 + |\langle m''|\hat{\mathcal{H}}_{p}|l''\rangle|^2 \right] \delta(\varepsilon_l - \varepsilon_m). \quad (11.6)$$

Here, l' and m' denote, respectively, the initial and the final states for direct processes, and l'' and m'' denote such states for reverse processes. The expression (11.6) is a kinetic equation for the number n_1 . Its right side is called the collision sum (or the collision integral if we pass from the summation over k values to the integration over k spaces).

The existence of delta functions in (11.6) and delta symbols in the expressions for matrix elements restricts the regions in k spaces over which (after exclusion of the delta function and delta symbols) integration should really be carried out. For example, in the case of a three-particle process the integration will be carried out over a surface in one of spaces k_2 or k_3 .

Not only n_1 but also numbers n_2, n_3, \ldots of other quasiparticles appear in the right side of (11.6). They, in their turn, depend on the rates of all processes in which these quasiparticles take part, and kinetic equations for them must be also written. Thus, we get a chain of more and more complicated kinetic equations.

The matter is materially simplified if we assume that all numbers n_2, n_3, \ldots except n_1 do not differ from their equilibrium values $\bar{n}_2, \bar{n}_3, \ldots$. This assumption, as it was mentioned in Section 10.5, is valid only for small numbers n_1 . It is satisfied in the theory of linear relaxation considered in this chapter. Magnons may be regarded as Bose particles (Sections 7.3, 7.4), and their $\bar{n}_2, \bar{n}_3, \ldots$ (as well as \bar{n}_1) values can be obtained from (7.78). Then, the kinetic equation (11.6) will contain only one unknown \bar{n}_1 . This equation, as it will be shown by some examples below, can be always represented in the form

$$\frac{\mathrm{d}n_1}{\mathrm{d}t} = -2\omega_{\rm r\,I}(n_1 - \bar{n}_1) \tag{11.7}$$

where ω_{r1} does not depend on n_1 . The quantity ω_{r1} is the frequency of relaxation of the number n_1 to its equilibrium value \bar{n}_1 due to elementary processes of the considered type. The reverse quantity $\tau_{r1} = 1/(2\omega_{r1})$ is the relaxation time. As the numbers of magnons are proportional to the squared amplitudes of the ac magnetization components, ω_{r1} is the relaxation frequency of these amplitudes. It represents a contribution of the considered processes to the phenomenological dissipation parameter ω_r (Section 1.4).

Experiment yields directly only the entire parameter ω_r . To draw conclusions concerning the contributions of different relaxation processes to this parameter

we have to compare the experimental ω_r temperature or other dependences with theoretical predictions, or to perform experiments in such conditions that stimulate one or the other process.

11.2 Inherent spin-spin processes

The inherent spin-spin relaxation processes are caused by elementary processes in which three, four, or more magnons take part. The probabilities of such processes are, in general, greater the less the number of magnons taking part in one elementary process. However, the three-magnon processes cannot be caused by the strongest, exchange interaction. The reason for this is that in these processes the number of magnons, and consequently, according to (7.72), the M_z value are not conserved; whereas the exchange Hamiltonian commutes with \hat{M}_z , and therefore, the M_z value must be conserved in processes resulting from exchange interaction. The four-magnon scattering process, in which two magnons are annihilated and two are created, can be caused by the exchange interaction. Therefore, the probability of this process can be comparable with and, in some cases, as we shall see, even higher than the probability of the three-magnon process caused by the weaker, dipole-dipole interaction.

Three-magnon relaxation processes were first studied theoretically by Akhiezer [10] and then by Kaganov and Tsukernik [199], Akhiezer, Baryakhtar, and Peletminskii [15], Sparks, Loudon, and Kittel [378], Schlömann [344], and many others.

The factor $\Psi_{1,23}$ in (11.3) and (11.4) caused by the dipole–dipole interaction in the long-wavelength approximation and without account for the third Holstein–Primakoff transformation (Section 7.4) has the following form [10]:

$$\Psi_{1,23} = -\pi \sqrt{\frac{M_0}{2V}} (\gamma \hbar)^{3/2} \left[\sin 2\theta_2 \exp(-i\varphi_2) + \sin 2\theta_3 \exp(-i\varphi_3) \right]. \quad (11.8)$$

Here, V is the volume of the sample (that will be canceled afterwards), $\theta_{2,3}$ and $\varphi_{2,3}$ are the angles of k_2 and k_3 vectors.

Two kinds of three-magnon relaxation processes are to be distinguished: splitting and confluence. They are based on the same elementary processes (Table 11.1). But for the splitting, the *elementary* splitting processes are the direct processes (leading to the decrease of the number of magnons of which the relaxation is examined) and the elementary confluence processes are the reverse processes. For the confluence, on the contrary, the elementary confluence processes are the direct processes and the elementary splitting processes are the reverse ones. This distinction, as we shall see, leads to essentially different ranges of existence of the processes and different contributions to the dissipation parameter.

Relaxation processes	Elementary processes ^a		
	direct	reverse	
Splitting	k_1 k_2 k_3	k_2 k_1	
Confluence	k_1 k_2 k_3	k_3 k_1 k_2	

TABLE 11.1 Elementary processes in three-magnon relaxation processes.

11.2.1 Three-magnon splitting

According to (11.6) we can write for the splitting process:

$$\frac{\mathrm{d}n_{1}}{\mathrm{d}t} = \frac{2\pi}{\hbar} \frac{1}{2} \sum_{2} \sum_{3} \left[-|\langle n_{1} - 1, n_{2} + 1, n_{3} + 1 | \mathcal{H}_{3d} | n_{1}, n_{2}, n_{3} \rangle |^{2} \right]
+ |\langle n_{1} + 1, n_{2} - 1, n_{3} - 1 | \mathcal{H}_{3d} | n_{1}, n_{2}, n_{3} \rangle |^{2} \delta(\hbar\omega_{1} - \hbar\omega_{2} - \hbar\omega_{3}).$$
(11.9)

The factor 1/2 is inserted before the sum because the same states $|n_1,n_2,n_3\rangle$ and $|n_1,n_3,n_2\rangle$ enter the sum twice. The matrix elements in (11.9) are obtained from (11.4) and (11.5) by substituting the sum $\Psi_{1,23}+\Psi_{1,32}$ for $\Psi_{1,23}$. The reason for this is that both terms $\hat{a}_1\hat{a}_2^+\hat{a}_3^+$ and $\hat{a}_1\hat{a}_3^+\hat{a}_2^+$ (for the direct processes) or $\hat{a}_3\hat{a}_2\hat{a}_1^+$ and $\hat{a}_2\hat{a}_3\hat{a}_1^+$ (for the reverse processes) contribute to these matrix elements. And as $\Psi_{1,23}=\Psi_{1,32}$, the kinetic equation takes the form

$$\frac{dn_1}{dt} = -\frac{4\pi}{\hbar} \sum_2 \sum_3 |\Psi_{1,23}|^2 [n_1(n_2 + n_3 + 1) - n_2 n_3]
\times \Delta(\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3) \delta(\hbar\omega_1 - \hbar\omega_2 - \hbar\omega_3).$$
(11.10)

Assuming now that the numbers n_2 and n_3 are equal to their *equilibrium* values and taking into account that $dn_1/dt = 0$ if $n_1 = \bar{n}_1$, we make sure that (11.10) may be written in the form (11.7) and obtain

$$\omega_{r1} = \frac{2\pi}{\hbar} \sum_{2} \sum_{3} |\Psi_{1,23}|^2 (\bar{n}_2 + \bar{n}_3 + 1) \Delta (\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3) \delta (\hbar \omega_1 - \hbar \omega_2 - \hbar \omega_3).$$
(11.11)

The existence of $\Delta(\mathbf{k}_1 - \mathbf{k}_2 - \mathbf{k}_3)$ in (11.11) implies that the summation should be carried out over the values of one of the vectors \mathbf{k}_2 and \mathbf{k}_3 , and the relation $\mathbf{k}_3 = \mathbf{k}_1 - \mathbf{k}_2$ must be taken into account in writing $\Psi_{1,23}$ and the delta function. Passing then, as in the calculation of M(T) in Section 7.3, to the integration

^a Magnons of which the relaxation is considered are denoted by thick arrows.

over the k_2 space, we get

$$(\omega_{\rm r\,1})_{3\rm s} = \frac{V}{(2\pi)^2\hbar^3} \int_{k_2}^{\pi} \int_{\theta_2=0}^{\pi} \int_{\varphi_2=0}^{2\pi} |\Psi_{1,23}|^2 (\bar{n}_2 + \bar{n}_3 + 1) \times \delta (\hbar\omega_1 - \hbar\omega_2 - \hbar\omega_3) k_2^2 \sin\theta_2 dk_2 d\theta_2 d\varphi_2$$
(11.12)

where θ_2 and φ_2 are the angles of the vector \mathbf{k}_2 , and the limits of integration over \mathbf{k}_2 are determined by the energy and momentum conservation laws.

The existence of the delta function in (11.12) results in the integration over a surface in k_2 space. Usually it is reasonable to pass from the delta function of the energy difference to the delta function of a coordinate in k_2 space, e.g., to θ_2 . Then the integration over this coordinate can be eliminated using the properties of the delta function (Appendix C).

The calculations with formula (11.12) demand, in general, the use of computers. Analytical expressions can be derived in *high-temperature* approximation

$$\kappa T \gg \hbar \omega_1, \hbar \omega_2, \hbar \omega_3, \dots$$
 (11.13)

Then, $\bar{n}_{2,3} \simeq \kappa T/(\hbar\omega_{2,3})$, and formula (11.12), with regard to (11.8), takes the form

$$(\Delta H_k)_{3s} \equiv \frac{2\omega_{r1}}{\gamma} = \frac{1}{4}\gamma^2 \kappa T \omega_1 M_0 \int_{k_2} \int_{\theta_2=0}^{\pi} \int_{\varphi_2=0}^{2\pi} \frac{|\sin 2\theta_2 \exp(-i\varphi_2) + \sin 2\theta_3 \exp(-i\varphi_3)|^2}{\omega_2(\omega_1 - \omega_2)} \times \delta(\omega_1 - \omega_2 - \omega_3) k_2^2 \sin \theta_2 dk_2 d\theta_2 d\varphi_2.$$
 (11.14)

The given frequency ω_1 is the largest for the splitting process. Thus, the condition (11.13) reduces to $\kappa T \gg \hbar \omega_1$, which holds in the microwave range even at the liquid-helium temperature.

Sometimes the high-frequency approximation

$$\omega_1, \omega_2, \omega_3, \dots \gg \gamma 4\pi M_0 \equiv \omega_M \tag{11.15}$$

can be used. Then, we can employ the approximate spin-wave dispersion expression (7.14) and, as in deriving (11.14), ignore the third Holstein-Primakoff transformation.

For the splitting of the uniform mode $(k_1 = 0, \omega_1 = \omega_0)$ it follows from the conservation laws that $k_3 = -k_2$ and $\omega_3 = \omega_2 = \omega_0/2$. The latter relation holds only if $\omega_0/2$ lies over the lower boundary of the spin-wave spectrum. The condition for this, in the case of a spheroid, is

$$\omega_0 < 2\gamma N_\perp M_0 \tag{11.16}$$

where N_{\perp} is the demagnetization factor in the direction normal to the axis of revolution. For a sphere, it follows from (11.16) that $\omega_0 < 2\omega_M/3$. This

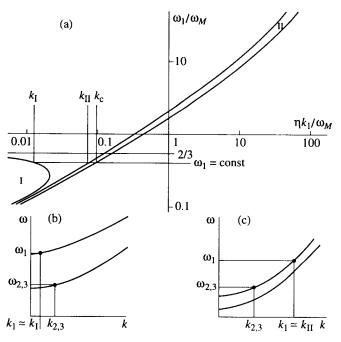


FIGURE 11.2

Three-magnon splitting of $\pi/2$ magnons. (a) Regions in which the process is allowed. (b), (c) Frequencies and wave numbers of magnons in regions I and II, respectively (near their boundaries $k_{\rm I}$ and $k_{\rm II}$) [239, 157].

relation coincides with the condition (6.10) for the passage of ω_0 through the upper boundary of the non-exchange spin-wave spectrum.

A rather simple analytical expression for the contribution of three-magnon splitting to the dissipation parameter of the uniform mode can be found only in the high-frequency approximation (11.15), which contradicts condition (11.16). Therefore, this expression can be used only for a very rough estimate, which gives $(\Delta H)_{3s} \sim 0.03$ Oe for YIG at 2.5 GHz.

The main method used in experimental investigation of spin-wave relaxation in ferrites is the measurement of the thresholds of parametric excitation of spin waves, especially under the longitudinal (parallel) pumping (Section 10.3). In this case, in certain limits of the constant magnetic field H_0 , the magnons with $\theta_k = \pi/2$ and k depending on H_0 are excited. Let us consider the contribution of the three-magnon splitting to the relaxation of such magnons.

It was shown by Lamaire, Le Gall, and Dormann [239] that there are two regions of ω_1 and k_1 in which the three-magnon splitting of magnons with $\theta_k = \pi/2$ is allowed by the conservation laws (Figure 11.2). In the first region, $\omega_1 < \omega_{10} \equiv 2\omega_M/3$ and k_1 does not exceed $k_1(\omega_1)$ [Figure 11.2(a)]. Magnons with small $\theta_{2,3}$ are created in this region [Figure 11.2(b)]. The second region exists at all

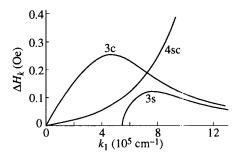


FIGURE 11.3

Contributions of inherent spin-spin relaxation processes to the dissipation parameter of $\pi/2$ magnons in YIG at room temperature [378]. $H_0 = 1.5$ kOe, frequency changes as k is varied, according to the magnon dispersion law.

frequencies but in very narrow ranges of k_1 : from $k_{\rm II}$ to the limiting value $k_{\rm c}$, which is determined (in an isotropic case) by the condition $H_0=0$, i.e., actually, by the rise of domains. Magnons with $\theta_{2,3}$ equal to or near $\pi/2$ are created in this region. Taking into account that $\omega_2=\omega_3$ always at the boundary of the region and assuming $\theta_2=\theta_3=\pi/2$, we get

$$\eta k_{\rm II}^2 = \frac{2}{3} (\sqrt{4\omega_1^2 + \omega_M^2} - \sqrt{\omega_1^2 + \omega_M^2}). \tag{11.17}$$

For YIG at room temperature and $f_1 \equiv \omega_1/2\pi = 4.5$ GHz (i.e., the pumping frequency $f_p = 9$ GHz), $k_{\rm II} = 4.06 \times 10^5$ and $k_{\rm c} = 4.28 \times 10^5$.

The contribution of three-magnon splitting to ΔH_k of the $\pi/2$ magnons calculated [378] in high-temperature and high-frequency approximations at fixed H_0 and varying frequency is shown in Figure 11.3. However, in parallel-pumping experiments usually $\omega_1 = \text{const}$ and H_0 is varied. Then, as one can see from Figure 11.2, the splitting process also begins at some k_1 value but, contrary to Figure 11.3, exists only in very narrow k_1 and, hence, H_0 ranges.

11.2.2 Three-magnon confluence

The kinetic equation (11.1) for the three-magnon confluence process takes the form

$$\frac{dn_1}{dt} = \frac{2\pi}{\hbar} \sum_{2} \sum_{3} \left[-|\langle n_1 - 1, n_2 - 1, n_3 + 1 | \mathcal{H}_{3d} | n_1, n_2, n_3 \rangle|^2 + |\langle n_1 + 1, n_2 + 1, n_3 - 1 | \mathcal{H}_{3d} | n_1, n_2, n_3 \rangle|^2 \right] \times \delta(\hbar\omega_1 + \hbar\omega_2 - \hbar\omega_3).$$
(11.18)

The factor 1/2 before the sum is absent here because the states $|n_1, n_2, n_3\rangle$ and $|n_1, n_3, n_2\rangle$ are not equivalent. Writing the matrix elements in (11.18) analogously to (11.4) and (11.5), replacing n_2 and n_3 by their equilibrium values, and passing, as in the case of splitting, to the integration in the k_2 -space, we obtain

$$(\omega_{\rm r\,1})_{3\rm c} = \frac{V}{2\pi^2\hbar^2} \int_{k_2}^{\pi} \int_{\theta_2=0}^{\pi} \int_{\varphi_2=0}^{2\pi} |\Psi_{3,12}|^2 (\bar{n}_2 - \bar{n}_3)$$

$$\times \delta(\omega_1 + \omega_2 - \omega_3) k_2^2 \sin\theta_2 dk_2 d\theta_2 d\varphi_2. \tag{11.19}$$

Here, the limits of integration over k_2 are determined by the conservation laws, and $\Psi_{3,12}$ has the form analogous to (11.8).

The energy and momentum conservation laws can be satisfied for the confluence process only if k_1 is larger than a certain quantity k_1 min. For small k_1 the values of k_2 and k_3 must be very large, but they are limited by the dimensions of the first Brillouin zone (Section 7.4). Such reasoning leads to the relation

$$k_{1 \min} = \xi \frac{\omega_1 a}{2\eta} \tag{11.20}$$

where a is a lattice constant, η is the nonuniform exchange constant (Section 7.1), and ξ is a coefficient of the order of unity, which depends on crystal structure and k_1 direction. Regarding YIG as a ferromagnet with the lattice constant equal to the mean distance between Fe³⁺ ions, we get with (11.20) at $f_1=4.5$ GHz that $k_{1 \text{ min}}\sim 10^4$. In other crystals and at higher frequencies the $k_{1 \text{ min}}$ values can be much larger [157].

The high-temperature approximation is poorly applicable to the confluence process because ω_2 can be, and ω_3 is always, larger than ω_1 . Nevertheless, the contribution of this process to the dissipation parameter of $\pi/2$ magnons was calculated in such an approximation [378]. With some assumption valid for YIG it was obtained at small (but, of course, larger than $k_{1 \text{ min}}$) k_{1} values:

$$(\Delta H_k)_{3c} = \frac{2(\omega_{\rm r\,1})_{3c}}{\gamma} = \frac{\pi \gamma M_0 \kappa T k_1}{2D\omega_1} \tag{11.21}$$

where $D=\eta/\gamma$. An estimate using this formula gives $(\Delta H_k)_{3c}=0.2$ Oe for YIG at room temperature, $f_1=4.5$ GHz, and $k_1=10^5$. The k_1 dependence of $(\Delta H_k)_{3c}$ at $\omega_1=$ const is shown in Figure 11.3.

The linear temperature dependence in (11.21) is characteristic of all three-magnon processes in high-temperature approximation. In another limiting case, at low temperatures the temperature dependence of $(\omega_{r\,1})_{3c}$ is exponential, whereas $(\omega_{r\,1})_{3c}$ does not depend on temperature in this case. The difference is easily understood: for the confluence process, as distinct from the splitting, thermal magnons (ω_2, k_2) are needed.

All three-magnon processes result in the change of the entire magnon number, and therefore, according to (7.72), can take part in the relaxation of M_z . According to (7.75), the length of the vector M is also changed by three-magnon processes.

Hence, the use of the equations of motion (1.66) and (1.67) with dissipative terms that conserve |M| is, strictly speaking, not allowed when three-magnon processes are present. But in the case of small amplitudes and small dissipation all forms of the dissipative term are approximately equivalent (Section 1.4). Therefore, equations (1.66) and (1.67) are commonly used even in the presence of three-magnon processes.

11.2.3 Four-magnon scattering

The kinetic equation (11.6) for the four-magnon scattering takes the form

$$\frac{\mathrm{d}n_1}{\mathrm{d}t} = \frac{2\pi}{\hbar} \frac{1}{2} \sum_2 \sum_3 \sum_4 |\Psi_{12,34} + \Psi_{12,43} + \Psi_{21,34} + \Psi_{21,43}|^2
\times [(n_1 + 1)(n_2 + 1)n_3n_4 - n_1n_2(n_3 + 1)(n_4 + 1)]
\times \delta(\hbar\omega_1 + \hbar\omega_2 - \hbar\omega_3 - \hbar\omega_4).$$
(11.22)

The factor 1/2 before the sum is inserted because the identical states $|n_1, n_2, n_3, n_4\rangle$ and $|n_1, n_2, n_4, n_3\rangle$ enter twice in summation. Replacing n_2, n_3 , and n_4 by their equilibrium values, we make sure that in this case the kinetic equation also acquires the form (11.7), and

$$(\omega_{r1})_{4sc} = \frac{\pi}{2\hbar^2} \sum_{2} \sum_{3} \sum_{4} |\Psi|^2 [\bar{n}_2(\bar{n}_3 + \bar{n}_4 + 1) - \bar{n}_3\bar{n}_4]$$

$$\times \Delta(\mathbf{k}_1 + \mathbf{k}_2 - \mathbf{k}_3 - \mathbf{k}_4) \delta(\omega_1 + \omega_2 - \omega_3 - \omega_4)$$
(11.23)

where $\Psi = \Psi_{12,34} + \Psi_{12,43} + \Psi_{21,34} + \Psi_{21,43}$.

The existence of the delta symbol in (11.23) allows one to exclude the summation over one of the wave vectors, e.g., over k_4 . Passing then to the integration over k_2 and k_3 spaces, we obtain

$$(\omega_{\rm r\,1})_{\rm 4sc} = \frac{V^2 \hbar^2}{2^7 \pi^5} \int_{\mathbf{k}_2} \int_{\mathbf{k}_3} [n_2(n_3 + n_4 + 1) - n_3 n_4] |\Psi|^2 \delta(\omega_1 + \omega_2 - \omega_3 - \omega_4) d\mathbf{k}_2 d\mathbf{k}_3.$$
(11.24)

In the high-temperature approximation (11.13) the 'statistical' factor in (11.24) takes the form

$$n_2(n_3 + n_4 + 1) - n_3 n_4 = \frac{(\kappa T)^2 \omega_1}{\hbar^2 \omega_2 \omega_3 \omega_4}$$
 (11.25)

and the dissipation parameter turns out to be proportional to T^2 . But, as in the case of three-magnon confluence, the condition $\hbar\omega_1\ll\kappa T$ is not sufficient for this approximation to be valid.

For long-wavelength magnons, according to [199] (see also [214]),

$$|\Psi|^2 = \frac{2D(\gamma\hbar)^2}{M_0 V} k_1 k_2 \cos \varphi_{12}$$
 (11.26)

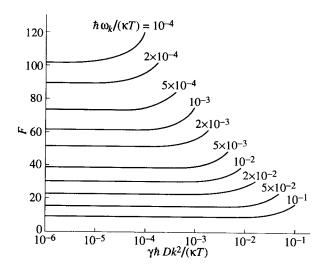


FIGURE 11.4 Factor *F* in (11.28), calculated in [20] according to [436].

where φ_{12} is the angle between k_2 and k_1 . After substituting (11.26) into (11.24) the factor k_1^2 can be taken out of the integral. The k dependence of $(\omega_{r\,1})_{4sc}$ is determined not only by the factor k^2 . However, it leads to a fast increase of $(\omega_{r\,1})_{4sc}$ with growing k_1 .

The contribution of the four-magnon exchange scattering to the spin-wave relaxation was first calculated by Dyson [104]. Without regard for the dipole—dipole interaction and external magnetic field H_0 Dyson obtained that at very low temperatures ($\kappa T \ll \hbar \omega_1$) this contribution is proportional to $k_1^3 T^{5/2}$. For higher temperatures ($\hbar \omega_1 < \kappa T < \kappa T_{\rm C}$ where $T_{\rm C}$ is the Courie temperature) Kashtsheev and Krivoglaz [210] found, also without account for H_0 and dipole—dipole interaction, that

$$(\omega_{\rm r\,1})_{\rm 4sc} = A\omega_1 k_1^2 T^2 \ln^2 \frac{2\hbar\omega_1}{\xi\kappa T} \tag{11.27}$$

where A is a constant and ξ is a factor of the order of unity. Vaks, Lafkin, and Pikin [415] and Wang [436], taking into account the external field H_0 , obtained for the same temperature range an expression that can be written in the form

$$(\Delta H_k)_{4\text{sc}} = \frac{1}{48\pi} \frac{\omega_1}{\gamma^2} \left(\frac{k_1 \kappa T}{M_0 D}\right)^2 F \tag{11.28}$$

where F depends on ω_1 , k_1 , and T. This dependence is shown in Figure 11.4.

The considered four-magnon process plays an important role in the relaxation of secondary magnons (with k_2 and k_3 for three-magnon splitting, k_3 for three-

magnon confluence, k_3 and k_4 for four-magnon scattering), as well as for magnons of next 'generations'. We shall see below that the contribution of four-magnon scattering can also be essential on the first stage of relaxation.

11.2.4 Inherent processes for uniform precession

Of all the above-considered relaxation processes, only the three-magnon splitting at sufficiently low frequencies ($\omega < 2\omega_M/3$ for $\pi/2$ magnons) makes a contribution to the dissipation parameter not vanishing with $k_1 \to 0$. At the same time, it follows from numerous experimental data that the uniform-resonance linewidth ΔH_0 and the spin-wave dissipation parameter extrapolated to $k_1 \to 0$ ($\Delta H_k \to 0$) cannot be caused only by defects (Section 11.3). There should exist some inherent relaxation processes whose contributions to ΔH_0 and $\Delta H_k \to 0$, at all frequencies, are finite. The first attempt to solve this problem was made by Kasuya and Le Craw [212]. They pointed out that energy and momentum conservation laws can be satisfied by the three-magnon confluence process even for $k_1=0$, but under the condition that magnons with k_2 and k_3 belong to the higher (exchange) spin-wave branches of a ferrimagnet (Section 3.3). Kolokolov, L'vov, and Cherepanov [231] showed that such a process can yield a material part of observed ΔH_0 and $\Delta H_k \to 0$ values in the ferrimagnet YIG.

It should be noted that another three-particle confluence process exists, which gives the contribution to magnon relaxation not vanishing with $k_1 \to 0$. This is the confluence of a magnon with a phonon of the upper (optical) branch. It belongs to the spin-lattice relaxation processes and will be discussed in Section 12.5.

Four-magnon confluence and splitting processes caused by the dipole–dipole interaction can also take part in relaxation of magnons with k=0 [14]. However, their contributions are usually much less than the contributions of all abovementioned processes.

11.2.5 Experimental data

In most experimental investigations aimed at the study of inherent relaxation processes in magnetically ordered substances YIG single crystals were used. The comparison of the results of these experiments with theory is complicated by the fact that YIG is a ferrimagnet, whereas the majority of theories, in particular, all mentioned above except [212, 231], use the ferromagnetic model.

Let us discuss first the uniform-resonance linewidth ΔH_0 . Its minimal values for YIG are 0.2–0.3 Oe. The contribution of inherent processes to these values is hardly larger than ~ 0.1 Oe. It should increase when, with decreasing frequency, the splitting process is 'turned on'. But in experiments on uniform resonance such an increase has not been observed because in spheres, used in such experiments, the 'turning on' of splitting is masked by the partial 'turning out' of the two-magnon relaxation processes (Section 11.3) at the same point $\omega_0 = 2\omega_M/3$. If such coincidence does not take place, the contribution of three-magnon splitting

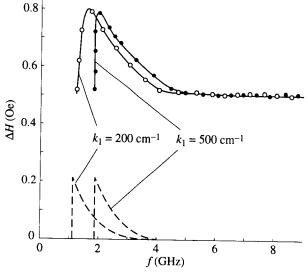


FIGURE 11.5 Frequency dependences of the dissipation parameter for surface magnetostatic wave (Section 6.2) in a tangentially magnetized YIG film [168]. Points represent experimental data; dashed lines denote calculated three-magnon-splitting contributions; k_1 is the wave number of the magnetostatic wave.

can be observed. An example is given in Figure 11.5, where the frequency dependences of the surface-magnetostatic-wave dissipation parameter are plotted. The low-frequency maxima in these dependences results from the three-magnon splitting [168]. Contributions of this process were also observed in the frequency dependences of $\Delta H_k \rightarrow 0$, found from the measurements of threshold fields for spin-wave parametric excitation under parallel pumping (e.g., [376]).

For spin waves with k>0 excited parametrically, all the above-considered processes, 3s, 3c, and 4sc should give observable contributions. To compare them with the predictions of theory it is necessary, first of all, to separate the contributions of different processes to the entire linewidth ΔH_k obtained experimentally. This has been performed in [20], for an YIG single crystal, with the assumption that the contribution of processes which work at $k\to 0$, does not depend on k and, therefore, $\Delta H_k - \Delta H_{k\to 0}$ is the entire contribution of 3s-, 3c-, and 4sc-processes. The contributions of three- and four-magnon processes were separated using their different theoretical temperature dependences. The result of such separation is shown in Figure 11.6, together with the theoretical results. The contributions of 4sc-process was calculated with formula (11.28). The 3s- and 3c-contributions were found according to [378]. It should be emphasized that the temperature-dependent experimental values of M_0 and D were used in all these calculations.

One can see from Figure 11.6 that for YIG the contribution of four-magnon

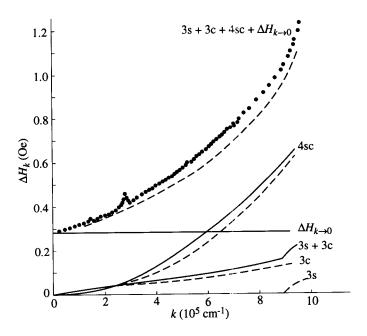


FIGURE 11.6 Contributions of different relaxation processes to the dissipation parameter of spin waves with $\theta_k = \pi/2$ in a YIG sphere [20]. Pumping frequency 35.5 GHz, room temperature. Points represent experimental data obtained by parallel pumping method (Section 10.3). Solid lines denote the results of separation of different contributions, their calculated values are denoted by dashed lines.

exchange scattering dominates at sufficiently high temperatures and large k values. The contribution of three-magnon processes increases sharply at k value that is in a good agreement with the theoretical value (11.17) for the onset of the three-magnon dipole splitting in the second region of its existence (Figure 11.2), the first region being absent in this case. It follows from Figure 11.6 that there is a rather good agreement between the experimental contributions of three- and four-magnon processes and theoretical results obtained without any fitting of coefficients. It testifies that the assumptions made in deriving the formulae which were used in the calculations hold for YIG in the conditions of this experiment.

The situation becomes different for some other crystals with small ΔH_k , in particular, CdCr₂Se₄ and EuO. They are ferromagnets, and the above-considered theory of relaxation processes, based on the Heisenberg model of a ferromagnet, should be applicable better to these crystals than to the ferrimagnet YIG. However, their parameters (Table 11.2) differ greatly from the YIG parameters, and the assumptions good for YIG are poorly applicable to these ferromagnets. Therefore, the formulae, e.g., (11.28), which give good agreement with experiment in the

TABLE 11.2	
Parameters of some ferro	- and ferrimagnets with small ΔH_k .

Substance	$4\pi M_0$ (at 4.2 K) (G)	T _c (K)	D (Oe cm ²)	$\Delta H_{k\to 0}$ (Oe)
Y ₃ Fe ₅ O ₁₂	2470	560	5.17×10 ⁻⁹	0.2 (at 36 GHz)
CdCr ₂ Se ₄	4450	130	3.3×10^{-10}	0.6 (at 9 GHz)
EuO	24000	69.5	1.0×10^{-10}	0.75 (at 36 GHz

case of YIG, can be used now only for qualitative estimates. It follows from such estimates that the contributions of three-magnon dipole processes will be much larger for these crystals than for YIG (10⁴ times in the case of EuO) and can be observed even at liquid-helium temperature [21, 157]. The contribution of four-magnon process will increase to a smaller extent (25 times for EuO) and will be negligible, at low temperatures, in comparison with the contribution of three-magnon processes. The numerical calculations [157] carried out for EuO with the use of formulae (11.14) and (11.19) confirmed the above-stated considerations.

11.3 Two-magnon processes

It became clear in the late 1950s that large values and 'anomalous' (with low-temperature maxima) temperature dependences of the linewidth in many magnetic materials are caused by two noninherent relaxation processes (Figure 11.1): (i) spin-spin relaxation due to nonuniformities, (ii) indirect spin-lattice (and in some cases also spin-spin) relaxation via ions with strong spin-orbital coupling or via charge carriers.

The first of these relaxation channels is considered in this section.⁵

The following nonuniformities are the most important.

- 1. Heterogeneity of composition (chemical nonuniformities), in particular, disorder in distribution of ions over the lattice sites.
- 2. Variation of the directions of crystal axes in polycrystals or non-perfect single crystals.
- 3. Nonuniform stresses, in particular, caused by dislocations.
- 4. 'Geometrical' nonuniformities: pores, surface roughness.

The effect of nonuniformities on magnetic resonance can be described, in principle, in the independent-region approximation (used already in Section 2.4). In this approximation, the broadening and shift of resonance curves in a nonuniform

⁵The second will be studied in Chapter 13.

medium is not a result of some new relaxation mechanism but is simply due to the difference of resonance conditions in different regions of the sample (the oscillations in these regions are assumed to be independent). This approximation is usually appropriate for paramagnetic and antiferromagnetic (Section 3.2) resonances. But it is not applicable, as a rule, to ferromagnetic resonance. The dipole-dipole interaction (for large nonuniformities) or exchange interaction (if the nonuniformities are sufficiently small) leads to such strong coupling of the oscillations at different points that they cannot be regarded as independent even in the zero approximation. It should be spoken of different types of oscillations (eigenmodes) of the entire nonuniform sample. These modes form, practically, continuous groups near every mode of a uniform sample. The ac field excites the entire group, and so, the resonance curve is broadened and shifted. As in the independent-region approximation, this broadening is not a result of a new relaxation mechanism. However, as distinct from that approximation, now the broadening is due to the difference in resonance conditions of different modes of the entire sample.

The resonance in nonuniform samples was treated above in terms of normal modes. But the problem can also be attacked in terms of coupled modes. As such modes, the eigenmodes of a uniform sample are to be used. They are independent, uncoupled in the absence of nonuniformities, but become coupled if the nonuniformities are present. The coupling leads to the energy transfer from the initial mode (excited by the external field) to the modes coupled with it. In such treatment the nonuniformities give rise to a new relaxation mechanism. Thus, the distinction between dissipative and nondissipative resonance-line broadening is relative in the case of such strongly coupled systems as ferromagnets.

In the classical theory, the coupled-mode approach reduces to solving the coupled classical equations of motion (Section 11.1). In the quantum theory, the equations of motion must be quantum-mechanical (e.g., [336]), and the quantum-mechanical method of transition probabilities can be also used. It has already been mentioned that the probabilities are higher the smaller the number of quasiparticles that take part in the process. The two-magnon processes are forbidden in an ideal crystal by the momentum-conservation law. In a crystal with nonuniformities they are allowed and their probabilities can be large. Such processes can be referred to as the scattering of magnons by nonuniformities. When the initial mode is the uniform precession, these processes are usually called 0-k processes.

11.3.1 Theory of two-magnon processes

Consider the relaxation of magnons with wave vector k_1 caused by nonuniformities. Using the transition-probability method, assume that the perturbation energy can be regarded as the Zeeman energy of spins S_f in an effective field $H_{\rm ef}(r_f)$. Suppose, for simplicity, that this field is directed along the z-axis, which coincides with the direction of constant magnetization M_0 . Then the perturbation

Hamiltonian can be written, according to (7.86), as

$$\mathcal{H}_p = \gamma \hbar \sum_{f=1}^{N} \hat{S}_f^z H_{\text{ef}}(\boldsymbol{r}_f)$$
 (11.29)

where N is the number of spins in the sample. Let us pass now, according to (7.91), to the operators \hat{a}_f^+ and \hat{a}_f and then, using (7.105), to the operators \hat{a}_k^+ and \hat{a}_k . Expand, at the same time, the field $H_{\rm ef}(r_f)$ in the Fourier harmonics. Then, the bilinear part of the Hamiltonian (11.29) takes the form

$$\hat{\mathcal{H}}_{p2} = \gamma \hbar \sum_{f=1}^{N} \frac{1}{\sqrt{N}} \sum_{\mathbf{k}_{1}} \exp(i\mathbf{k}_{1}\mathbf{r}_{f}) \hat{a}_{1} \frac{1}{\sqrt{N}} \sum_{\mathbf{k}_{2}} \exp(-i\mathbf{k}_{2}\mathbf{r}_{f}) \hat{a}_{2}^{+}$$

$$\times \frac{1}{\sqrt{N}} \sum_{\mathbf{k}_{p}} \exp(i\mathbf{k}_{p}\mathbf{r}_{f}) H_{k}$$
(11.30)

where

$$H_k = \frac{1}{\sqrt{N}} \sum_{f=1}^{N} H_p(\boldsymbol{r}_f) \exp(-i\boldsymbol{k}_p \boldsymbol{r}_f)$$
 (11.31)

is the amplitude of the k_p th harmonic of the field H_{ef} and the sums in (11.30), except the first one, are over all allowed wave vectors in the first Brillouin zone.

As the perturbation is already taken into account by the effective field $H_{\rm ef}$, we can now regard the crystal as ideal, for which the expressions (7.103) hold. Then, the Hamiltonian (11.30) takes the form

$$\hat{\mathcal{H}}_{p2} = \frac{\gamma \hbar}{\sqrt{N}} \sum_{\mathbf{k}_1} \sum_{\mathbf{k}_2} \sum_{\mathbf{k}_p} H_k \hat{a}_2^+ \hat{a}_1 \Delta (\mathbf{k}_2 - \mathbf{k}_1 + \mathbf{k}_p). \tag{11.32}$$

The matrix elements of $\hat{\mathcal{H}}_{p\,2}$ that appear in the kinetic equation (11.6) for direct transitions should be written as [84]

$$\langle n_1 - 1, n_2 + 1 | \mathcal{H}_{p2} | n_1, n_2 \rangle = \sqrt{n_1(n_2 + 1)} \Psi_{1,2}$$
 (11.33)

where

$$\Psi_{1,2} = \frac{\gamma \hbar}{\sqrt{N}} \sum_{\mathbf{k}_{p}} H_{\mathbf{k}} \Delta(\mathbf{k}_{2} - \mathbf{k}_{1} + \mathbf{k}_{p}) \equiv \frac{\gamma \hbar}{\sqrt{N}} H_{(\mathbf{k}_{1} - \mathbf{k}_{2})}.$$
 (11.34)

For reverse transitions, the displacement $1 \leftrightarrows 2$ must be made.

The kinetic equation now has the form

$$\frac{dn_1}{dt} = \frac{2\pi}{\hbar} \sum_{\mathbf{k}_2} |\Psi_{1,2}|^2 (n_2 - n_1) \delta(\hbar\omega_1 - \hbar\omega_2).$$
 (11.35)

We assume, as usual, $n_2 = \bar{n}_2$ and take into account that $\bar{n}_1 = \bar{n}_2$ because magnons with k_1 and k_2 are degenerate. Then, according to (11.7), the relaxation

frequency is

$$\omega_{\rm r\,1} = \frac{\pi}{\hbar^2} \sum_{k_2} |\Psi_{1,2}|^2 \delta(\omega_1 - \omega_2). \tag{11.36}$$

It is seen from (11.35) that the energy is conserved in each elementary two-magnon process. But the momentum conservation does not take place, the momentum $\hbar(\mathbf{k}_2 - \mathbf{k}_1)$ is transferred to the crystal as a whole.

Passing, as in (11.2), from the summation over k_2 values to integration over k_2 space and taking into account (11.34), we obtain

$$\Delta H_k \equiv \frac{2\omega_{\rm r\,1}}{\gamma} = \frac{\gamma}{(2\pi)^2} \frac{V}{N} \int_{k_2} H_{(k_1 - k_2)} \delta(\omega_1 - \omega_2) \, k_2^2 \sin\theta_2 dk_2 d\theta_2 d\varphi_2. \tag{11.37}$$

Consider first the case in which the harmonics H_k of the effective field do not depend on k, i.e., the nonuniformities are chaotic. Then it can be shown, using (7.103), that

$$H_k^2 = \frac{1}{N} \sum_{f=1}^N H_{\text{ef}}(\mathbf{r}_f) \equiv H_{\text{p}}^2.$$
 (11.38)

 $H_{\rm p}$ is the root-mean-square effective field of nonuniformities. Assuming the spin-wave spectrum to be independent of φ and getting rid of the integration over k_2 , we obtain (omitting the subscript at θ_2)

$$\Delta H_k = \frac{\gamma}{\pi} a^3 H_p^2 \int_0^{\theta_{\text{max}}} \left(\frac{\partial \omega_k}{\partial k} \right)_{k = k_d(\theta)} k_d^2 \sin \theta d\theta. \tag{11.39}$$

Here, $a=\sqrt[3]{V/N}$ is the mean distance between neighboring spins, $k_{\rm d}(\theta)$ is found from the condition of degeneration $\omega_k(k_{\rm d},\theta)=\omega_1$, and $\theta_{\rm max}$ is either equal to $\pi/2$ or is determined by the condition $\omega_k(k=0,\theta_{\rm max})=\omega_1$ [Figure 11.7(a),(b)].

Consider, e.g., the relaxation of the uniform mode in an ellipsoid of revolution $(k_1=0,\omega_1=\omega_H+N_\perp\gamma M_0)$ and take, for simplicity, the approximate spin-wave dispersion relation (7.14). Then the calculation with (11.39) leads to

$$\Delta H_0 = (2\pi)^{-1/2} I_1 a^3 M_0^{1/2} D^{-3/2} H_p^2 \equiv H_p \xi_{\text{ex}}$$
 (11.40)

where I_1 is a factor of the order 1, depending on N_{\perp} ; for a sphere $I_1=0.217$. The obtained linewidth can be regarded as a result of broadening the resonance line (on the order of H_p) by the nonuniformities and 'further' narrowing it by the exchange interaction. The coefficient of exchange narrowing $\xi_{\rm ex}$ in (11.40) is, on the order of magnitude,

$$\xi_{\rm ex} \sim \frac{H_{\rm p}}{H_{\Lambda}} \sqrt{\frac{4\pi M_0}{H_{\Lambda}}} \tag{11.41}$$

where H_{Λ} is the 'molecular' field related to D and a by (7.119). For YIG, $\xi_{\rm ex}$ is of the order of 10^{-4} . Thus, very high effective fields, of the order of 10^{5} Oe, are needed to get an appreciable contribution (~ 1 Oe) to the linewidth.

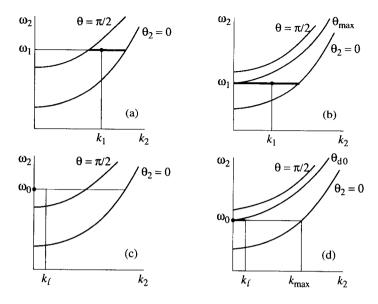


FIGURE 11.7

Routes of integration (shown by thick lines) in calculating the contributions of the two-magnon process to ΔH_k for (a), (b) chaotic nonuniformities and (c), (d) large-scale nonuniformities; in the latter case, $k_1 = 0$.

Another limiting case is that of large-scale nonuniformities [Figure 11.7(c),(d)]. The amplitudes of harmonics H_k now have noticeable values only in a small k-range. Assume, e.g., that

$$H_k = \begin{cases} H_{k0} & \text{if } k < k_l \equiv 1/l \\ 0 & \text{if } k > k_l \end{cases}$$
 (11.42)

where l is a characteristic dimension of the nonuniformities. It can be shown that

$$H_{k\,0}^2 = 6\pi^2 \frac{l^3}{a^3} H_{\rm p}^2. \tag{11.43}$$

Examining again the relaxation of the uniform mode in an ellipsoid of revolution, we substitute (11.42) into (11.37) and get rid of integration over θ_2 . Then, using the spin-wave spectrum (7.14), we obtain

$$\Delta H_0 = \frac{3}{2} \frac{l^3 H_p^2}{M_0} \int_0^{k_l} \frac{k^2}{\cos \theta_d(k)} dk$$
 (11.44)

where the angle $\theta_{\rm d}(k)$ of degenerate spin waves is determined by the condition

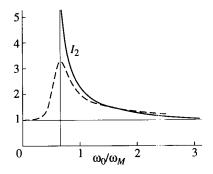


FIGURE 11.8

Factors accounting for the density of degenerate states in the scattering of uniform-precession magnons by large-scale nonuniformities [338]. Solid line denotes I_2 in (11.47); dashed line corresponds to the result obtained with the exchange term in the spin-wave dispersion law taken into account.

 $\omega_k(k,\omega_d) = \omega_0$, which yields

$$\cos \theta_{\rm d} = \sqrt{\frac{N_z}{4\pi} + \frac{Dk^2}{2\pi M_0}}.$$
 (11.45)

If $k_l \ll k_{\text{max}}$ [Figure 11.7(d)], the second term under the root in (11.45) can be neglected. Then,

$$\Delta H_0 \cong \frac{H_{\rm p}^2}{2M_0\sqrt{N_z/(4\pi)}} \equiv H_{\rm p}\xi_{\rm d}$$
 (11.46)

where ξ_d is the coefficient of dipole narrowing, which was already introduced in Section 2.4. In this case (of large-scale nonuniformities), much smaller H_p values are needed to obtain an appreciable contribution to the linewidth than in the previous case of chaotic nonuniformities. For example, H_p should be ~ 10 Oe to obtain $\Delta H_0 = 1$ Oe in YIG.

Two assumptions have been made in deriving (11.46): the replacement of the spin-wave spectrum by the approximate expression (7.14) and the neglect of exchange contribution to this spectrum. Without the first assumption, Schlömann obtained for a sphere [338]

$$\Delta H_0 = \frac{\sqrt{3}}{2M_0} H_{\rm p}^2 I_2(\omega_0/\omega_M). \tag{11.47}$$

The dependence $I_2(\omega_0/\omega_M)$ is shown in Figure 11.8. The factor I_2 tends to infinity at a point $\omega_0 = 2\omega_M/3$ where the frequency of the considered mode approaches the upper boundary of the nonexchange spin-wave spectrum. At this point the density of states (in nonexchange approximation) tends to infinity, and it is the reason of $\Delta H \to \infty$. It should be emphasized that the singularity of

the density of states, and hence of ΔH , is removed by taking into account the exchange contribution to the spin-wave spectrum [338] (Figure 11.8). It is also removed if the spin-wave dissipation or the influence of nonuniformities on the spin-wave spectrum is taken into consideration [349].

11.3.2 Disorder in distribution of ions over lattice sites

Passing now to the study of the influence of specific nonuniformities, we begin with the disorder in distribution of atoms (or ions) over the lattice sites. This problem was solved by Clogston, Suhl, Walker, and Anderson [76] using the method of coupled equations of motion. The same results can be obtained, as Callen [66] has shown, by the transition probability method. The results can be represented in the form of (11.40), but as the exact spin-wave dispersion relation was used, the factor I in (11.40) turned out to be dependent on the ratio $H_{\rm e\,0}/(4\pi M_0)$.

The disorder in the distribution of ions takes place in ferrites with the inverse spinel structure (Section 3.3), e.g., in Ni and Mn ferrites. By the time when the work [76] was performed, the minimal ΔH values in single crystals of these ferrities were ~ 40 Oe. An assumption has been made in [76] that the nonuniformity is the variation of the pseudodipole interaction (Section 2.2). If the entire magnetocrystalline anisotropy of Ni ferrite would be caused by this interaction, its effective field (and the root-mean-square variation in the case of chaotic nonuniformities) should be of the order of 10^5 Oe. Such H_p , according to (11.40), would lead to the above-cited ΔH value.

The results of later works have frustrated this optimistic picture. First, essentially smaller ΔH values were obtained in ferrite single crystals with spinel structure, e.g., 1.2 Oe in nickel ferrite [454]. Second, it turned out (Section 2.2) that the origin of the anisotropy in ferrites is mainly the intraionic ('one-ion') interaction. Callen and Pittelly [67] and Haas and Callen [170] calculated the linewidth assuming the perturbation to be the variation of the intraionic spinorbital interaction in the cases of, respectively, a nondegenerate and degenerate lowest energy level. The first case takes place for Fe²⁺ and Ni²⁺ ions at octahedral spinel sites, and the second takes place for Co²⁺ ions at such sites.

The results obtained in [67, 170] can be expressed in the form of (11.40). In the case of a nondegenerate level [67], the effective field $H_{\rm p}$ turned out to be proportional to $c(1-c)(G_{\rm A}-G_{\rm B})^2$, where c and 1-c are the relative concentrations of two sorts of ions distributed disorderly over the octahedral sites, and $G_{\rm A}$ and $G_{\rm B}$ are the constants of spin-orbital interaction for these ions. An estimate for Fe²⁺ as A ions, Fe³⁺ as B ions ($G_{\rm B} \simeq 0$), and c = 1/2 results in $\Delta H \simeq 10$ Oe. This value is much smaller than the experimental ΔH values in ferrites containing Fe²⁺ ions even in smaller quantities. The spin-orbital interaction in Ni²⁺ ions is weaker than in Fe²⁺, so, the experimental data [454] do not contradict the theory.

In the case of a degenerate level (Co^{2+} at octahedral sites), the contribution of ionic disorder is 20–30 Oe per 1 at. % of Co [170], which is much greater than the contribution of Fe²⁺. But in both cases the theoretical values are smaller than the

experimental linewidths in ferrites containing Co²⁺ or Fe²⁺ ions, and, in addition, the experimental angular and temperature dependences differ essentially from the theoretical. The reason for this is that the scattering of magnons by the ionic disorder is masked by another, stronger mechanism of ionic relaxation, which will be discussed in detail in Chapter 13.

Thus, until now (1996), the contribution of the scattering of magnons by the disorder in distribution of ions over the lattice sites has not been discovered experimentally, although this mechanism was the first 0-k process studied theoretically.

11.3.3 Anisotropy-field variations and pores in polycrystals

The independent-grain model (Section 2.4) is applicable to polycrystals if condition (2.64) holds. This condition is satisfied only for ferrites with very large anisotropy or near the compensation points. If (2.64) does not hold, the dipole narrowing takes place, and one can use the theory of magnon scattering by nonuniformities. The nonuniformities are now the variations of the directions of crystal axes, pores, and, in some cases, the inclusions of other phases.

We consider first the variations of the directions of crystal axes (and hence, of the anisotropy field), which are characteristic of polycrystals, though do not always make the largest contribution to the linewidth. The mean grain dimensions in polycrystals are usually of the order of a micrometer or more, and therefore $k_l \ll k_{\text{max}}$ (Figure 11.7). So, the second of the above-considered limiting cases and, in particular, formula (11.46) are usually applicable to polycrystals.

In nontexturated polycrystals, in which all directions of the crystal axes are equally probable, the root-mean-square value of the anisotropy field H_a must be substituted into (11.46) for H_p . In a cubic crystal, with allowance only for the first anisotropy constant, $\bar{H}_a^2 = (16/24)H_{A1}^2$ [338] where $H_{A1} = K_1/M_0$. The estimate using (11.46) yields for YIG at room temperature $\Delta H_a \sim 10$ Oe, which does not contradict the experiment (see below).

Formulae (11.46) and (11.47) can be used to calculate the contributions to ΔH of other effective fields, e.g., the fields caused by nonuniform strains arising near dislocations. The theory for this case was developed by Barjyakhtar, Savchenko, and Tarasenko [40].

Consider now the contribution to ferromagnetic-resonance linewidth of the pores between grains in polycrystalline ferrites. The independent-region concept (Section 2.4) has given, in this case, the ΔH values that agree with experiment on the order of magnitude. However, this agreement indicates only that the coefficient of dipole narrowing (Section 2.4) is of the order of unity.

The contribution of pores was calculated by Sparks, Loudon, and Kittel [378] using the transition-probability method. The same model of a spherical cavity as in the independent-region theory was used. The energy of the demagnetizing

⁶We do not touch on the nanocrystals, which have much smaller grains, though they are regarded now as a promising magnetic materials.

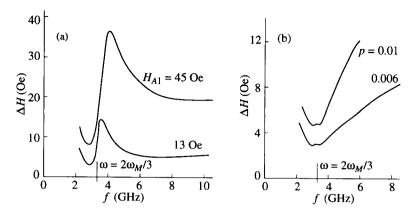


FIGURE 11.9 Frequency dependences of ΔH in polycrystalline ferrites [209]. (a) The anisotropy contribution dominates ($p \leq 0.003$). (b) The porosity contribution dominates ($|H_{A1}| \simeq 2 \text{ Oe}$).

field of the cavity was expressed in operators \hat{a}_k^+ and \hat{a}_k , and after calculations analogous to those performed in deriving (11.46) it was found that

$$(\Delta H)_{\rm p} = \frac{4\pi}{9} \frac{V_p}{V_0} 4\pi M_0 \frac{G(\theta_{\rm d\,0})}{\cos\theta_{\rm d\,0}} \tag{11.48}$$

where V_p is the volume of the cavity, V_0 is the volume of the sample, $\theta_{d0} = \arccos(N_z/4\pi)^{1/2}$ is the polar angle of degenerate spin waves [Figure 11.7(d)], and

$$G(\theta_{d0}) = (3\cos^2\theta_{d0} - 1)^2. \tag{11.49}$$

Two assumptions [the same as in deriving (11.46)] were made in deriving (11.48): the approximate spin-wave dispersion relation (7.14) was used, and the second term in (11.45) was neglected. If the first assumption is not made, a singularity in the $\Delta H(\omega)$ dependence arises when the uniform-resonance frequency ω_0 crosses the upper boundary of the non-exchange spin-wave spectrum. And if the second assumption is also given up, the singularity will be smoothed, as in the above-considered case of the anisotropy-field variations. If the sample contains many pores, V_p in (11.48) is the entire volume of all pores; the ratio $V_p/V_0 \equiv p$ is called the porosity.

Expression (11.48), as distinct from (2.69), contains factors $(\cos\theta_{d0})^{-1}$ and (11.49). The former takes into account the density of degenerate states and is present in all formulae for large-scale nonuniformities. The latter has appeared due to the specific, spherical shape of the cavities. Real pores in polycrystals, of course, are not spheres, and the behavior of ΔH determined by the factor (11.49) should not be and has not been observed in experiment.

A lot of works were devoted to the experimental investigation of ferromagnetic

resonance in polycrystalline ferrites (e.g., [63, 160, 209]). In all of them, beginning with work by Buffler [63], the ΔH maximum predicted by the two-magnon theory has been observed when the frequency of the relaxing mode crosses the upper boundary of the nonexchange spin-wave spectrum. But at first it was not clear by which contribution to ΔH this maximum was determined, by the contribution of the anisotropy-field variations $(\Delta H)_a$, of pores $(\Delta H)_p$, or by both. To separate the contributions $(\Delta H)_a$ and $(\Delta H)_p$ we may use two methods: to employ their different temperature dependences, or (that is better) to vary separately anisotropy and porosity. In the latter case the contribution of each parameter should not change and must be as small as possible when the other is varied. In Figure 11.9 the data obtained by this method [209] are shown. When the anisotropy contribution dominates [Figure 11.9(a)], the observed ΔH behavior agrees with the theory of magnon scattering by large-scale nonuniformities. But when the porosity contribution dominates [Figure 11.9(b)], the experimental dependences do not at all agree with the above-considered theory: no distinct maximum is observed at $\omega_0 = 2\omega_M/3$, the ΔH values to the left of this point are not smaller than those to the right, and an essential increase of $(\Delta H)_p$ is observed with growing frequency. As for the proportionality of $(\Delta H)_p$ to M_0 and p (obtained also in the independent-region theory), it is confirmed by all experiments.

11.3.4 Surface roughness

In high-quality single-crystal ferrite samples the surface roughness is the most significant factor causing the two-magnon scattering. Le Craw, Spencer, and Porter [251] were the first to ascertain the influence of this factor on the ferromagnetic resonance linewidth. This influence is very important. The ΔH values of carefully polished YIG spheres are as low as 0.3 Oe, but they grow to ~ 10 Oe if the spheres are grinded using abrasive powder with $10~\mu m$ grain.

The above-mentioned theory [378] was developed just to allow for surface roughness. The assumption has been made that the surface nonuniformities are semispherical pits covering the sample surface. The amplitude Ψ_{0k} should be halved twice, when passing from internal spheres to surface semispheres, to take into account the decrease of the scattering-center effectiveness and the decrease of its volume. The number of semispheres is found from the condition that they completely cover the surface. Then, instead of (11.48), we obtain

$$(\Delta H)_{\rm s} = \frac{\pi}{9} \frac{R_{\rm s}}{R_0} 4\pi M_0 \frac{G(\theta_{\rm d\,0})}{\cos\theta_{\rm d\,0}} \tag{11.50}$$

where R_0 is the sample radius and R_s is the radius of semispheres. The dependences of $(\Delta H)_s$ on M_0 and R_0 have clear physical meaning and agree with experiment (e.g., Figure 11.10).

Formula (11.50) does not give the frequency dependence of $(\Delta H)_s$, whereas the experimental values of $(\Delta H)_s$, as well as of $(\Delta H)_p$, increase with growing frequency. The allowance for exact spin-wave spectrum should lead, according to

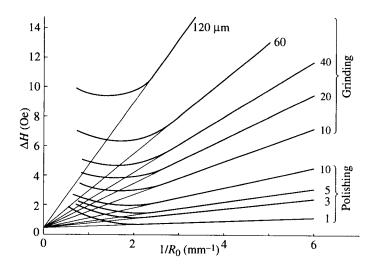


FIGURE 11.10

Dependences of ΔH on the radius R_0 of ferrite spheres [452]. Bold lines represent experimental data at f=9 GHz and room temperature. Numbers at the curves denote the mean abrasive-grain dimensions in the final grinding or polishing. The increase of ΔH at large R_0 is related to excitation of nonuniform modes (Section 6.3).

the two-magnon theory, to maxima at the points where the frequency of the relaxing mode crosses the upper boundary of the non-exchange spin-wave spectrum. However, these maxima have never been observed in single-crystal samples with rough surface. The reason for this is, probably, the broad spectrum of the space harmonics of uniformities caused by their highly irregular shape; the degeneration with the non-exchange part of the spin-wave manifold does not then play a significant role. The same may be the reason of the above-mentioned 'anomalous' behavior of $\Delta H_{\rm p}$ in polycrystalls.

The contribution of surface roughness is proportional to the ratio of the area of sample surface to the volume of the sample. Therefore, this contribution should be very large in thin films. Only the high quality of the surface of epitaxial YIG films grown on well polished substrates allows one to obtain rather small ΔH values in such films (Section 6.2).

In conclusion, some general remarks should be made concerning the twomagnon relaxation processes.

These processes play a significant role not only at the first stage but also at subsequent stages of relaxation, leading to the spreading of energy over the entire region of the spin-wave manifold degenerate with the initial mode.

The existence of higher (exchange) branches of spin-wave spectrum in ferrimagnets does not materially influence the two-magnon processes because the modes the relaxation of which we are interested in are usually degenerate only with the

lowest (ferromagnetic) branch. In antiferromagnets the two-magnon processes play an important role (e.g., [255]). Very narrow antiferromagnetic-resonance lines were observed [233] just when the degeneration of the relaxing mode with spin waves did not take place.

Two-magnon processes do not make an *additive* contribution to the spin-wave dissipation parameter ΔH_k found from the measurement of the spin-wave parametric excitation threshold. Nevertheless, these processes influence essentially the parametric excitation of spin waves (Section 10.3). They lead to the increase of the threshold of first-order parametric processes and to the smoothing of the second-order-process threshold.

Two-magnon processes, in which the entire number of magnons is conserved, do not take part, to a first approximation (without allowance for the dipole—dipole interaction), in the relaxation of M_z . However, the 0-k processes take part in the relaxation of the vector M length, which is determined, to the same approximation, by the number of all magnons except the magnons of uniform precession.

The dissipation parameter in magnetically ordered substances increases when the point of the second-order phase transition (Curie temperature for ferromagnets) is approached. This increase is sometimes associated with 'scattering of magnons by the magnetization fluctuations', and this process is assigned to the two-magnon processes. But such treatment cannot be regarded as correct. Fluctuations, including critical (which arise near the points of second-order phase transitions), are characteristic of an ideal crystal. Therefore, their effect on the relaxation should be treated in terms of inherent processes, which were considered (without taking fluctuations into account) in Section 11.2.

Magnetoelastic coupling

12.1 Elastic properties and magnetoelastic interaction

So far the magnetic system of magnetically ordered substances was regarded as isolated. Now taking into account its coupling with other systems, we discuss, in the present chapter, the coupling with elastic system, i.e., with the vibrations of the crystal lattice. First of all, some general concepts of the theory of elasticity (e.g., [227, 113, 245]) will be briefly recalled.

12.1.1 Elastic waves and oscillations

The elastic state of a solid regarded as a continuum is characterized by the displacement vector

$$\boldsymbol{u}\left(\boldsymbol{r}\right) = \boldsymbol{r} - \boldsymbol{r}_0 \tag{12.1}$$

where r_0 and r are the position vectors of a point in the initial and the deformed states, respectively. Under certain conditions [245], which are approximately satisfied in the cases we are interested in, the deformation can be described by the symmetric *strain tensor*¹

$$e_{pq} = \frac{1}{2} \left(\frac{\partial u_p}{\partial x_q} + \frac{\partial u_q}{\partial x_p} \right). \tag{12.2}$$

The tensor e_{pq} diagonal components, in the main axes of this tensor, represent the fractional extensions in the directions of these axes, and their sum is the fractional change of volume of a small element of the solid.

The force acting on a small volume element is the sum of the *elastic* force $\delta f_{\rm el}$ and the *body* force $\delta f_{\rm b}$. The former is the net force caused by the action of all neighboring elements on the considered one. The latter is caused by external

¹Here and hereafter in this chapter, we use, contrary to the previous chapters, the same notations (e.g., e_{pq}) for the entire tensor and its components.

fields, as gravitational and electromagnetic. In problems we will consider, this force may be neglected. The elastic force per unit volume can be presented in the form

$$f_{\text{el}p} = \sum_{q=1}^{3} \frac{\partial \sigma_{pq}}{\partial x_q}$$
 (12.3)

where σ_{pq} is the symmetric stress tensor. The stresses are usually caused by external forces applied to the surface of the body, and the boundary condition holds [245]

$$\sum_{q=1}^{3} n_{0 \, \mathrm{S}q} \sigma_{pq} = F_{\mathrm{e}p} \qquad \text{on} \quad S$$
 (12.4)

where F_e is the external force per unit area of the surface S and n_{0S} is the unit outward normal to S. In the case of uniform extension or compression in the direction of a unit vector n_{0F} ,

$$\sigma_{pq} = \pm \beta_p \beta_q \sigma \tag{12.5}$$

where $\beta_{p,q}$ are the direction cosines of the vector n_{0F} . Such uniform deformation is approximately realized when, e.g., a rod is compressed in the direction of its axis; σ is then equal to the pressure applied to the flat surface of the rod.

The free energy density (or energy, in accordance with the footnote on the page 31) of an elastically strained solid is

$$U_{\rm el} = \frac{1}{2} \sum_{p=1}^{3} \sum_{q=1}^{3} \sigma_{pq} \epsilon_{pq}. \tag{12.6}$$

Taking into account that σ_{pq} depends on e_{pq} , we can get

$$\sigma_{pq} = \frac{\partial U_{\text{el}}}{\partial e_{pq}}.$$
 (12.7)

For small displacements the Hooke law holds:

$$\sigma_{pq} = \sum_{l=1}^{3} \sum_{m=1}^{3} c_{pqlm} e_{lm}$$
 (12.8)

where c_{pqlm} are components of the fourth-rank tensor of elastic stiffness constants or moduli of elasticity² [227]. It follows from the symmetry of the tensors σ_{pq} and e_{pq} that the number of independent components c_{pqlm} , in general, is equal to 21. It decreases for all crystal systems except the triclinic. For the cubic system, there are three independent components: c_{pppp} , c_{ppqq} , and c_{pqpq} (in coordinate system

²The inverse tensor $(c_{pqlm})^{-1} = s_{pqlm}$ is called the tensor of elastic compliance constants [227]. However, there is no consensus on these terms, components c_{pqlm} are named sometimes 'elastic constants', and components s_{pqlm} , 'elastic moduli'.

with axes in $\langle 100 \rangle$ directions). The following notations are used: $c_{pppp} \equiv c_{11}$, $c_{ppqq} \equiv c_{12}$, and $c_{pqpq} \equiv c_{44}$. For an isotropic medium,

$$c_{11} - c_{12} = 2c_{44} (12.9)$$

so that two independent components are left. It should be noted that (12.9) is *nearly* satisfied for some cubic crystals, too. For YIG at room temperature, $c_{11} = 2.69 \times 10^{12}$, $c_{12} = 1.077 \times 10^{12}$, and $c_{44} = 0.764 \times 10^{12}$ [387], so that $2c_{44}/(c_{11}-c_{12}) = 0.947$.

With allowance for Hooke's law the elastic energy of a cubic crystal takes the form

$$U_{\text{el}} = \frac{1}{2}c_{11}\left(e_{xx}^2 + e_{yy}^2 + e_{zz}^2\right) + c_{12}\left(e_{xx}e_{yy} + e_{yy}e_{zz} + e_{zz}e_{xx}\right) + 2c_{44}\left(e_{xy}^2 + e_{yz}^2 + e_{zx}^2\right).$$
(12.10)

The equation of motion for an elastically strained solid, without taking into account the body forces and dissipation, has the form

$$\rho \frac{\partial^2 \mathbf{u}}{\partial t^2} = \mathbf{f}_{\text{el}} \tag{12.11}$$

where ρ is the mass density, and $f_{\rm el}$ is determined by (12.3). Using (12.8) and (12.2), this equation is transformed into

$$\rho \frac{\partial^2 u_p}{\partial t^2} = \sum_{q} \sum_{l} \sum_{m} c_{pqlm} \frac{\partial^2 u_m}{\partial x_q \partial x_l}.$$
 (12.12)

In the case of a uniform plane wave $[u = u_0 \exp(i\omega t - iqr)]$, the solution of (12.12) shows [227, 113] that there are *three normal* elastic waves with dispersion relations

$$\omega_j = v_j q \qquad (j = 1, 2, 3).$$
 (12.13)

For an isotropic solid and for a cubic crystal in the case when wave vector q is parallel to the axis $\langle 100 \rangle$, one of the normal waves is *longitudinal* $(u \parallel q)$, with velocity

$$v_{\parallel} = \sqrt{\frac{c_{11}}{\rho}} \tag{12.14}$$

and the other two are transverse $(u \perp q)$, with

$$v_{\perp} = \sqrt{\frac{c_{44}}{\rho}}.\tag{12.15}$$

In YIG ($\rho=5.17$) at room temperature, $v_{\parallel}=7.209\times10^5$ and $v_{\perp}=3.843\times10^5$ [387]. The density of elastic-energy flow (the Umov vector) [113] is

$$\Pi_{\rm el} = \rho \omega^2 u^2 v_{\parallel,\perp}. \tag{12.16}$$

Normal waves in waveguides containing elastic media, as well as eigenoscillations of bounded bodies, are found by solving the elastic equations of motion, e.g., (12.12), subject to boundary conditions. If boundary surface is *free*, the boundary condition is

$$\sum_{q} n_{0q} \sigma_{pq} = 0 \quad \text{at} \quad S. \tag{12.17}$$

If the surface is fastened, u=0 at S. It should be noted that waves in elastic waveguides are neither pure transverse nor pure longitudinal even in the abovementioned cases (isotropic solid and cubic crystal with $q \parallel \langle 100 \rangle$) when waves in unbounded medium are longitudinal or transverse. In systems bounded in the direction of propagation, e.g., in plates, elastic standing waves take place, similar to standing spin waves (Section 7.2). Their eigenfrequencies are determined by the plate thickness and by the character of boundary conditions. For conditions (2.17), the eigenfrequencies are $\omega_{n\perp,\parallel} = nv_{\perp,\parallel}/d$ where $n=1,2,3\ldots$

The calculation of elastic-oscillation eigenfrequencies for bodies bounded in all directions presents essential difficulties. We will cite only the values of some of the lowest frequencies for an isotropic sphere with free surface and elastic parameters of YIG [249]: $f_{T12} = 3.081 \times 10^5/d$ Hz, $f_{S12} = 3.259 \times 10^5/d$ Hz, $f_{S01} = 6.067 \times 10^5/d$ HZ where d is the sphere diameter in centimeters. The frequency f_{T12} corresponds to pure transverse oscillation (relative to the radius), and the other two correspond to mixed oscillations; figures in the subscripts indicate the numbers of variations along polar angle and radius.

Quantization of elastic waves and oscillations, similar to the magnetic case (Section 7.3), yields the quasiparticles (*phonons*) with energy $\varepsilon = \hbar \omega$ and quasi-impulse $p = \hbar q$. A certain kind of phonons corresponds to each elastic normal wave.

So far we have considered, according to the classical theory of elasticity, an elastic continuum. In a discrete crystal lattice, analogous to discrete magnetic lattice (Section 7.4), the following new features appear: (i) the q range is limited to the first Brillouin zone, (ii) dispersion relations (12.13) are replaced by more complicated ones, and (iii) the 3(n-1) new, optical branches appear where n is the number of atoms in a primitive lattice cell (Figure 12.1).

12.1.2 Magnetoelastic energy and equations of motion

One of the reasons of coupling between magnetic and elastic systems in magnetically ordered substances is the dependence of exchange interaction on distances between magnetic atoms (or ions). Another reason is the dependence of magnetic (dipole-dipole) interaction on these distances. The third reason, usually the most essential, is the spin-orbital interaction. These reasons apart, we may write the phenomenological expressions for the magnetoelastic energy using [as for magnetocrystalline energy (Section 2.2)] only the symmetry considerations. We will restrict ourselves to the case of a cubic crystal, assume the exchange interaction to

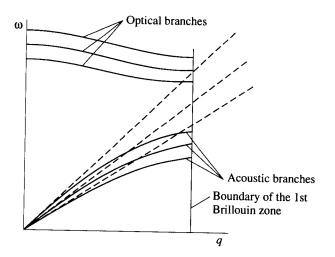


FIGURE 12.1

Elastic-wave spectrum in a continuum (dashed lines) and in a discrete crystal lattice (solid curves). Only three of 3(n-1) optical branches are shown.

be isotropic, and direct the coordinate axes along the axes $\langle 100 \rangle$. Then, up to the terms of the first order in e_{pq} and of the second order in M_p , the magnetoelastic energy can be written in the form [340]

$$U_{\text{mel}} = \frac{B_{1}}{M_{0}^{2}} \sum_{p} M_{p}^{2} e_{pp} + \frac{B_{2}}{M_{0}^{2}} \sum_{p} \sum_{q \neq p} M_{p} M_{q} e_{pq}$$

$$+ \frac{A_{1}}{M_{0}^{2}} \sum_{p} \sum_{q} \sum_{l \neq q} \frac{\partial M_{p}}{\partial x_{q}} \frac{\partial M_{p}}{\partial x_{l}} e_{ql} + \frac{A_{2}}{M_{0}^{2}} \sum_{p} \sum_{q} \left(\frac{\partial M_{p}}{\partial x_{q}}\right)^{2} e_{qq} (12.18)$$

where p, q, l = 1, 2, 3. (Terms of the first order in M_p cannot be present, as pointed out in Section 2.2.) The first two terms in (12.18) result from relativistic (magnetic and spin-orbital) interactions, and the last two terms, from the exchange interaction. The quantities B_1, B_2, A_1 , and A_2 are called magnetoelastic constants. For YIG at room temperature, $B_1 = 3.48 \times 10^6$ and $B_2 = 6.96 \times 10^6$ [387]. In an isotropic ferromagnet $B_1 = B_2$.

The magnetoelastic energy $U_{\rm mel}$ leads, first of all, to the change in the equilibrium elastic state of a magnetically ordered substance, namely, to the appearance of spontaneous strains e_{pq}^0 caused by magnetization. This phenomenon is called magnetostriction, in a narrow sense (in a wider sense, the term 'magnetostriction' is used sometimes to denote all phenomena caused by magnetoelastic coupling). To find the strains e_{pq}^0 , in the case of a ferromagnet magnetized to saturation, we have to minimize the sum of $U_{\rm el}$ and $U_{\rm mel}$.

Consider a cubic crystal and neglect the exchange contribution to magnetoelastic

energy. Then, the magnetostrictive fractional elongations in the directions of the $\langle 100 \rangle$ and $\langle 111 \rangle$ axes, due to magnetization in the same directions, are [70]

$$\left(\frac{\delta l}{l}\right)_{100} \equiv \lambda_{100} = -\frac{2}{3} \frac{B_1}{c_{11} - c_{12}} \qquad \left(\frac{\delta l}{l}\right)_{111} \equiv \lambda_{111} = -\frac{1}{3} \frac{B_2}{c_{44}}. \quad (12.19)$$

The quantities λ_{100} and λ_{111} are the *magnetostriction constants* of a cubic ferromagnet. Their values for YIG at room temperature are: $\lambda_{100} = -1.4 \times 10^{-6}$ and $\lambda_{111} = -2.4 \times 10^{-6}$. The averaged magnetostrictive constant of a cubic polycrystal is [70]

$$\lambda_{\text{poly}} = \frac{2}{5}\lambda_{100} + \frac{3}{5}\lambda_{111}.$$
 (12.20)

Substituting the magnetostrictive strains e_{pq}^0 into expression (12.18) for the magnetoelastic energy (taking into account only its first two terms), we find that the part of this energy dependent on magnetization directions has the form [70]

$$U_{\text{mel}}^{0} = K_{1 \text{ mel}}^{0} \left(\alpha_{1}^{2} \alpha_{2}^{2} + \alpha_{2}^{2} \alpha_{3}^{2} + \alpha_{3}^{2} \alpha_{1}^{2} \right)$$
 (12.21)

where $\alpha_{1,2,3}$ are the direction cosines of the magnetization, as in (2.32), and

$$K_{1\,\text{mel}}^0 = \frac{B_1^2}{c_{1\,1} - c_{1\,2}} - \frac{B_2^2}{2c_{4\,4}}.\tag{12.22}$$

The energy (12.21) and the elastic energy caused by the magnetostrictive strains should be added to the energy of magnetocrystalline anisotropy. This results in renormalization of the anisotropy constant, as already pointed out in Section 2.2. If terms of higher order in M components were taken into account in the magnetoelastic energy, the higher anisotropy constants would be renormalized in a similar manner. It should be noted that the considered renormalization, based on finding the equilibrium strains, is valid only in the *static* case or, approximately, for sufficiently slow changes of magnetization.

In the presence of external mechanical forces, the equilibrium strains can be found by minimizing the sum $U_{\rm el} + U_{\rm mel} + U_{\rm el}^{\rm e}$ where $U_{\rm el}^{\rm e}$ is the energy of elastic strains caused by external forces. Substituting the equilibrium strains into the mentioned sum, we can find an expression for the part $U^{\rm e}$ of the total energy depending on the external mechanical forces. For example, in the case of uniform compression of a uniformly magnetized sample of cubic crystal [70],

$$U^{e} = -\frac{3}{2}\sigma \left[\lambda_{100} \left(\alpha_{1}^{2} \beta_{1}^{2} + \alpha_{2}^{2} \beta_{2}^{2} + \alpha_{3}^{2} \beta_{3}^{2} \right) + 2\lambda_{111} \left(\alpha_{1} \alpha_{2} \beta_{1} \beta_{2} + \alpha_{2} \alpha_{3} \beta_{2} \beta_{3} + \alpha_{3} \alpha_{1} \beta_{3} \beta_{1} \right) \right]$$
(12.23)

where σ is the pressure and $\beta_{1,2,3}$ are the direction cosines of compression axis (relative to the (100) directions).

To study the *dynamic* processes under the action of magnetic and mechanical forces varying quickly in time we must use the equations of motion. If the magnetoelastic coupling is to be taken into account, these equations should be the

coupled equations for magnetization M and elastic displacement u. To obtain them we must insert the effective field of magnetoelastic interaction $H_{\rm mel}$ into the Landau–Lifshitz equation and insert the force $f_{\rm mel}$ caused by this interaction into the mechanical equation of motion (12.11). The effective field $H_{\rm mel}$ can be found from the magnetoelastic energy, e.g, (12.18) using the general formula (2.7). To find the force $f_{\rm mel}$ an expression similar to (12.3) is to be used where the magnetoelastic stresses $\sigma_{\rm mel\,pq}$ are obtained from magnetoelastic energy by the formula found from (12.7) with the replacement $U_{\rm el} \to U_{\rm mel}$.

Let us consider, as before, a *cubic* crystal magnetized along the $\langle 100 \rangle$ axis and, restricting to not-too-fast variations of M in space, retain in (12.18) only the first two, relativistic, terms. Suppose, for simplicity, that the condition of elastic isotropy (12.9) is satisfied, and assume the exchange interaction to be also isotropic. Then, without allowance for dissipation, we get the *coupled equations* of motion [249]:

$$\begin{split} \frac{\partial M_x}{\partial t} &= -\gamma \frac{B_2}{M_0} \left(\frac{\partial u_y}{\partial x} + \frac{\partial u_x}{\partial y} \right) M_x - \gamma \left[H_{\text{ef }z} - D \nabla^2 \right. \\ &\quad + 2 \frac{B_1}{M_0} \left(\frac{\partial u_y}{\partial y} - \frac{\partial u_z}{\partial z} \right) \right] M_y + \gamma H_{\text{ef }y} M_z - \gamma B_2 \left(\frac{\partial u_z}{\partial y} + \frac{\partial u_y}{\partial z} \right) \\ \frac{\partial M_y}{\partial t} &= \gamma \frac{B_2}{M_0} \left(\frac{\partial u_y}{\partial x} + \frac{\partial u_x}{\partial y} \right) M_y + \gamma \left[H_{\text{ef }z} - D \nabla^2 \right. \\ &\quad + 2 \frac{B_1}{M_0} \left(\frac{\partial u_x}{\partial x} - \frac{\partial u_z}{\partial z} \right) \right] M_x - \gamma H_{\text{ef }x} M_z + \gamma B_2 \left(\frac{\partial u_z}{\partial x} + \frac{\partial u_x}{\partial z} \right) \\ \rho \frac{\partial^2 u_x}{\partial t^2} &= c_{44} \nabla^2 u_x + (c_{44} + c_{12}) \frac{\partial}{\partial x} \text{div} u \\ &\quad + \frac{B_1}{M_0^2} \frac{\partial M_x^2}{\partial x} + \frac{B_2}{M_0^2} \left[\frac{\partial}{\partial y} \left(M_x M_y \right) + \frac{\partial}{\partial z} \left(M_x M_z \right) \right] \\ \rho \frac{\partial^2 u_y}{\partial t^2} &= c_{44} \nabla^2 u_y + (c_{44} + c_{12}) \frac{\partial}{\partial y} \text{div} u \\ &\quad + \frac{B_1}{M_0^2} \frac{\partial M_y^2}{\partial y} + \frac{B_2}{M_0^2} \left[\frac{\partial}{\partial z} \left(M_y M_z \right) + \frac{\partial}{\partial x} \left(M_y M_x \right) \right] \\ \rho \frac{\partial^2 u_z}{\partial t^2} &= c_{44} \nabla^2 u_z + (c_{44} + c_{12}) \frac{\partial}{\partial z} \text{div} u \\ &\quad + \frac{B_1}{M_0^2} \frac{\partial M_z^2}{\partial z} + \frac{B_2}{M_0^2} \left[\frac{\partial}{\partial z} \left(M_z M_x \right) + \frac{\partial}{\partial y} \left(M_z M_y \right) \right]. \quad (12.25) \end{split}$$

The effective field H_{ef} , the components of which appear in (12.24), involves external magnetic fields, both steady and ac, dipole-dipole (demagnetizing) field H_M , and effective field of anisotropy H_{an} (Section 2.3). The third projection of

the Landau-Lifshitz equation is not written because the component M_z can be determined from the condition of M conservation.

12.2 Effect of elastic stresses on ferromagnetic resonance

Consider the *uniform* oscillations of magnetization in a ferromagnet in the presence of elastic stresses. Note that uniform ac magnetization does not appear in the mechanical equations of motion (12.25), just as the uniform *displacement* u does not appear in the magnetic equations (12.24). Thus, the elastic vibrations occur independently of uniform magnetization oscillations. But elastic *strains*, as it is evident from (12.24), do affect the uniform magnetic oscillations.

Let us examine first the influence of the static magnetostrictive strains. Consider an ellipsoid of cubic single-crystal ferromagnet magnetized to saturation along one of its axes, which coincides with the $\langle 100 \rangle$ cubic axis. Having found the equilibrium magnetostrictive strains and substituting them into (12.24), we make sure that these strains result in the appearance of an effective field

$$H_{\text{mel}} = \frac{2B_1}{M_0 (c_{11} - c_{12})} \tag{12.26}$$

acting on ac magnetization. So, the ferromagnetic-resonance frequency, e.g., in the case of a sphere, is

$$\omega_0 = \gamma \left(H_{\text{e 0}} + \frac{2K_1}{M_0} + H_{\text{mel}} \right).$$
 (12.27)

One can see from this expression that at $H_{e0} \to 0$ and $K_1 \to 0$ (if no domains would arise) the resonance frequency $\omega_0 \to \gamma H_{\rm mel}$. That is why the quantity $\gamma H_{\rm mel}$ is called *magnetoelastic gap*.

The effective field (12.26) differs from the effective field H_{mel}^0 , which corresponds to the additional anisotropy constant (12.22) caused by magnetoelastic coupling:

$$H_{\text{mel}}^{0} = 2\frac{K_{1\,\text{mel}}^{0}}{M_{0}} = \frac{2}{M_{0}} \left(\frac{B_{1}^{2}}{c_{1\,1} - c_{1\,2}} - \frac{B_{2}^{2}}{2c_{4\,4}} \right) = H_{\text{mel}} - \frac{B_{2}^{2}}{M_{0}c_{4\,4}}.$$
 (12.28)

For an isotropic sphere $(B_1 = B_2$, and $c_{11} - c_{12} = 2c_{44})$, $H_{\rm mel}^0 = 0$, whereas $H_{\rm mel} \neq 0$. The physical reason of this difference, as Turov and Shavrov pointed out (e.g., [41]), is the following: in the dynamic case, we consider now, the magnetization vector is precessing in the 'frozen' crystal lattice (deformed by static stresses), while in the static (or quasistatic) case, discussed in the previous section, elastic strains had time to follow the magnetization changes.

For YIG at room temperature, $H_{\rm mel}^0=0.4$ Oe and $H_{\rm mel}=0.5$ Oe, so that magnetoelastic 'corrections' are close to each other and both are small as compared with the magnetocrystalline-anisotropy field $(H_{\rm A\,I}=2K_1/M_0\cong 80$ Oe

at room temperature). Therefore, the 'illegal' use of static anisotropy constants in ferromagnetic-resonance calculations or, on the contrary, the use of anisotropy constants found from resonance measurements (Section 2.3) in calculations of static processes, do not lead (in this case!) to essential errors.

Examining the influence of *external* elastic stresses on ferromagnetic resonance, we restrict our treatment to uniform oscillations in a spheroid of cubic ferromagnet compressed uniformly in the direction of its axis. It follows from (12.23) that the effective demagnetization factors of magnetoelastic interaction have the form

$$N_{pq}^{\sigma} = -\frac{3\sigma}{M_0^2} \left[(\lambda_{100} - \lambda_{111}) \Delta_{pq} + \lambda_{111} \right] \beta_p \beta_q$$
 (12.29)

where σ and β_{pq} have the same meaning as in (12.22), λ_{100} and λ_{111} are determined by (12.19), and Δ_{pq} is the Kronecker delta symbol. The effective demagnetization factors N_{pq}^{σ} , being added to demagnetization factors of magnetocrystalline anisotropy and shape, determine the ferromagnetic-resonance frequency ω_0 .

If M_0 and the pressure (i.e., the vector n_{0F}) are both directed along the same $\langle 100 \rangle$ axis, coinciding with the axis of the spheroid, then, using the method of effective demagnetization factors (Section 12.1), we get, with regard to (12.29),

$$\frac{\omega_0}{\gamma} = H_{e0} + (N_{\perp} - N_z) M_0 + \frac{2K_1}{M_0} + \frac{3\sigma\lambda_{100}}{M_0}.$$
 (12.30)

If M_0 and n_{0F} are parallel to the $\langle 111 \rangle$ axis coinciding with the spheroid axis, then

$$\frac{\omega_0}{\gamma} = H_{e\,0} + (N_\perp - N_z) M_0 - \frac{4}{3} \frac{K_1}{M_0} + \frac{3\sigma\lambda_{111}}{M_0}.$$
 (12.31)

Formulae (12.30) and (12.31) can be used in the measurement of magnetostriction constants λ_{100} and λ_{111} [372]. If M_0 lies in the {110} plane and the pressure is applied along the axis $\langle 111 \rangle$ perpendicular to this plane, the expression for the frequency ω_0 will depend on the angle between M_0 and the $\langle 100 \rangle$ axis and contain λ_{100} and λ_{111} . The both constants can then be measured in one experiment. The effective magnetostriction constant (12.20) can be found from similar measurement on a polycrystalline sample.

12.3 Magnetoelastic waves

We proceed now to study the *nonuniform* magnetic and elastic modes, which are coupled with each other according to equations (12.24) and (12.25). This coupling manifests itself in that the plane waves cease to be pure magnetic (spin) and pure elastic waves and become *coupled* (or *mixed*) magnetoelastic waves. Turov and Irkhin [411] were the first to pay attention to this fundamental fact. Later, magnetoelastic waves were studied by Kittel [222], Akhiezer, Bar'yakhtar,

and Peletminskii [12] (see also [14]), Vlasov and Ishmukhametov [424], and Schlömann [340].

12.3.1 Normal waves

We will restrict ourselves to a *cubic* ferromagnet (regarded as ellastically isotropic) magnetized to saturation along the $\langle 100 \rangle$ axis; equations (12.24) and (12.25) hold in this case. To get the *dispersion law* for normal magnetoelastic waves we must linearize these equations and substitute $M_{x,3} = m_{0\,x,y} \exp(\mathrm{i}\omega t - \mathrm{i} k r)$ and $u_{x,y,z} = u_{0\,x,y,z} \exp(\mathrm{i}\omega t - \mathrm{i} k r)$ into them. Then we obtain a system of five coupled homogeneous linear algebraic equations for complex amplitudes $m_{0\,x,y}$ and $u_{0\,x,y,z}$. The condition of compatibility of these equations will yield the dispersion law.

In the case of $k \parallel M_0$, the dipole-dipole field H_M vanishes, as one can see from (7.12). Neglecting the magnetocrystalline anisotropy, we obtain from the linearized equations (12.24) and (12.25)

$$i\omega m_{x} + \gamma \left(H_{0} + Dk^{2}\right) m_{y} - i\gamma k B_{2} u_{y} = 0$$

$$i\omega m_{y} - \gamma \left(H_{0} + Dk^{2}\right) m_{x} + i\gamma k B_{2} u_{x} = 0$$

$$\omega^{2} \rho u_{x} - k^{2} c_{44} u_{x} - ik \frac{B_{2}}{M_{0}} m_{x} = 0$$

$$\omega^{2} \rho u_{y} - k^{2} c_{44} u_{y} - ik \frac{B_{2}}{M_{0}} m_{y} = 0$$
(12.32)

$$\omega^2 \rho u_z - k^2 c_{11} u_z = 0. ag{12.33}$$

Equation (12.33) is decoupled from the others and describes a pure elastic longitudinal wave with linear dispersion relation and velocity (12.14). The system of four coupled equations (12.32) describes transverse magnetoelastic waves. After turning to circular variables $m_{\pm} = m_x \pm i m_y$ and $u_{\pm} = u_x \pm i u_y$, system (12.32) reduces to two independent systems for components with different senses of rotation. The condition of compatibility of equations in each system results in the equation

$$(\omega \mp \omega_{\rm m}) \left(\omega^2 - \omega_{\rm el \perp}^2\right) \mp \frac{\gamma B_2^2}{\rho M_0} k^2 = 0. \tag{12.34}$$

Here, $\omega_{\rm m}=\gamma\left(H_0+Dk^2\right)$ is the frequency of an unperturbed spin wave, $\omega_{\rm el}\,\perp=v_\perp k\equiv\sqrt{c_44/\rho}\,k$ is the frequency of an unperturbed transverse elastic wave, the upper and the lower signs relate to the waves with right-hand and left-hand circular polarization, respectively.

Equation (12.34) has the form characteristic of all *coupled* waves. In the absence of coupling $(B_2 = 0)$, it gives the dispersion curves of the unperturbed waves.

³The subscripts 0 will be omitted hereafter.

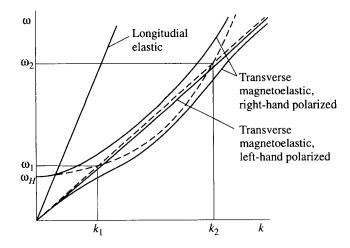


FIGURE 12.2

Dispersion characteristics for normal magnetoelastic waves propagating in a cubic crystal in the direction of M_0 , which coincides with the $\langle 100 \rangle$ axis. Dashed curves correspond to uncoupled magnetic and transverse elastic waves.

They cross at two values k_1 and k_2 of the wave number (Figure 12.2), which are the roots of the equation $\omega_{\rm m} = \omega_{\rm el} \perp$. The corresponding frequencies ω_1 and ω_2 are called sometimes the frequencies of magnetoelastic (or magnetoacoustic) resonance. At not-too-large H_0 values and, hence, at sufficiently low frequencies (for YIG, at frequencies lower than ~ 100 GHz),

$$k_1 \simeq \frac{\omega_H}{v_\perp} \qquad k_2 \simeq \frac{v_\perp}{\gamma D}.$$
 (12.35)

The last term in (12.34) can be written as $\xi \gamma M_0 \omega_{\rm el}^2$ where

$$\xi = \frac{B_2^2}{c_{44}M_0^2} \tag{12.36}$$

is a dimensionless coupling parameter. Usually $\xi \ll 1$, e.g., $\xi = 3.3 \times 10^{-3}$ for YIG at room temperature.

The spectrum of magnetoelastic waves with *right-hand* polarization, which is obtained by solving equation (12.34) with the upper signs, contains *two branches* (Figure 12.2). They can be regarded (in terms of coupled modes) as a result of the repelling of spin-wave and elastic-wave dispersion curves. The separation $\delta\omega$ of the frequencies of two branches is minimal at the crossing points k_1 and k_2 . At the lower point

$$\delta\omega_1 = \gamma \sqrt{2\xi M_0 \left(H_0 + Dk_1^2\right)}.$$
 (12.37)

So, the minimal fractional separation is of the order of $\xi^{1/2}$.

The spectrum of the *left-hand* polarized magnetoelastic wave, which is the solution of (12.34) with lower signs, has one branch (Figure 12.2). It differs only slightly from the dispersion curve of the transverse elastic wave. Thus, in the considered case, there are *three normal waves*: a right-hand circularly polarized magnetoelastic wave (with spectrum consisting of two branches), a left-hand circularly polarized magnetoelastic wave, and a longitudinal pure elastic wave.

The m and u components for normal magnetoelastic waves can be found from the linearized equations (12.32) and (12.33). The longitudinal elastic wave has only the component u_z . For the left-hand polarized wave the magnetic component m_- is, of course, very small. For the right-hand polarized magnetoelastic wave

$$\left| \frac{m_{+}}{u_{+}} \right| = \left| \frac{\gamma B_{2}k}{\omega - \omega_{\text{m}}} \right| = \left| \frac{\left(\omega^{2} - \omega_{\text{el}\perp}^{2}\right)\rho M_{0}}{B_{2}k} \right|. \tag{12.38}$$

One can see from (12.38) that, far from the crossing points, m_+ is small and u_+ is large at the segments ('half-branches') at which ω is close to $\omega_{\rm el}_{\perp}$. On the contrary, m_+ is large and u_+ is small at the half-branches at which ω is close to $\omega_{\rm m}$. These half-branches (and the waves at them) can be named, respectively, quasielastic and quasimagnetic. The magnetic-energy flow density can be expressed as [348]

$$\Pi_{\rm m} = \frac{1}{2} \frac{H_0}{M_0} m_+^2 v_{\rm m gr} \tag{12.39}$$

where $v_{\rm m\,gr}$ is the magnetic (spin) wave group velocity. It can be shown, with the help of (12.39) and (12.16), that $\Pi_{\rm el}$ dominates at quasielastic half-branches, $\Pi_{\rm m}$ dominates at quasimagnetic branches, and these flows are equal at the crossing points.

The difference in k values of magnetoelastic waves with different senses of polarization rotation leads, as in the case of electromagnetic waves (Section 4.2), to the turn of polarization of an elliptically, in particular, of a linearly polarized wave, i.e., to the Faraday effect [424, 271].

Consider now the waves propagating in the direction perpendicular to the steady magnetization. In this case, it follows from the linearized equations (12.24) and (12.25) that there are also three normal waves: a longitudinal pure elastic wave, a transverse pure elastic wave with $u \perp M_0$, and a transverse magnetoelastic wave with $u \parallel M_0$. The dispersion equation for the magnetoelastic wave is

$$(\omega^2 - \omega_{\rm m}^2) (\omega^2 - \omega_{\rm el}^2) - \frac{\gamma B_2^2}{\rho M_0} \omega_M k^2 = 0$$
 (12.40)

where now $\omega_{\rm m} = \left[\left(\omega_H + D k^2 \right) \left(\omega_H + D k^2 + \omega_M \right) \right]^{1/2}$ is the frequency of a spin wave with $\theta_k = \pi/2$. The spectrum determined by (12.40) consists of two branches nearing each other at the points of crossing of the unperturbed magnetic and elastic dispersion curves. The minimal separation $\delta \omega$ of the branches at the lower crossing point is of the same order as $\delta \omega_1$ given by (12.37).

Far from the crossing points, the half-branches of the spectrum are quasimagnetic or quasielastic. On the quasimagnetic half-branches, the magnetic components m_x and m_y prevail, and on the quasielastic half-branches, the elastic component u_z prevails. Suppose that, 'at the input' of a certain section of a ferromagnet, there is a transverse magnetoelastic wave with polarization different from polarizations of the above-considered normal waves, i.e., with u directed at an arbitrary angle with respect to M_0 . Then, the polarization of the propagating wave will transform, similar to such a transformation of an electromagnetic wave that propagates perpendicularly to M_0 (Section 4.2).

For arbitrary directions of M_0 (with respect to the cubic axes) and of k (with respect to M_0), the situation is found to be much more complicated. Magnetoelastic-wave spectra now depend on B_2 and B_1 ; independence of B_1 takes place only if both vectors M_0 and k are directed along the $\langle 100 \rangle$ axes. For θ_k not equal to zero or $\pi/2$, as well as for θ_k equal to zero or $\pi/2$ but M_0 not parallel to $\langle 100 \rangle$ or $\langle 110 \rangle$, the *longitudinal* elastic wave is also coupled with magnetic waves.

12.3.2 Damping and excitation

To allow for damping of magnetoelastic waves we have to insert, into equations of motion or directly into dispersion relations, the parameters that describe dissipation in magnetic and elastic systems. For example, the replacements $\omega_m \to \omega_m + i\omega_m''$ and $\omega_{el} \to \omega_{el} + i\omega_{el}''$ can be made. Then, if the losses are *small*, we get, e.g., in the case of $k \parallel M_0$,

$$\omega'' = \frac{2\omega_{\text{el}}(\omega - \omega_{\text{m}})\omega_{\text{el}}'' + (\omega^2 - \omega_{\text{el}}^2)\omega_{\text{m}}''}{2\omega(\omega - \omega_{\text{m}}) + (\omega^2 - \omega_{\text{el}}^2)}.$$
 (12.41)

One can see that magnetic and elastic losses are additive in this approximation, and, near the crossing points, $\omega'' = (\omega''_m + \omega''_{el})/2$. Far from the crossing points, $\omega'' \simeq \omega''_m$ at the quasimagnetic half-branches, and $\omega'' \simeq \omega''_{el}$ at the quasielastic half-branches. The wave decrement k'' can be found using formula (6.7).

It should be emphasized that this is true only in the case of small losses. For arbitrary losses, as in the case of pure spin waves (Section 7.1), the complex dispersion equation must be solved. For stationary waves, ω in this equation is real, and k = k' - ik''. It turns out that branches of the dependence $\omega(k', k'')$ repel only if the losses do not exceed certain values. In the opposite case, the branches intersect. This general property of any coupled waves will also be discussed in Section 14.2 for coupled spin-electromagnetic waves in metals.

Magnetoelastic waves can be *excited* either 'magnetically', when ac magnetization is directly excited by ac magnetic field, or 'elastically', when ac elastic displacement is directly excited by some mechanic forces. *Elastic* excitation (as well as receiving) can be realized, e.g., with the use of a piezoelectric transducer pasted to the ferrite sample, as in Figure 9.3(b). *Magnetic* excitation of magnetoelastic waves always takes place, strictly speaking, when spin waves are to be

excited, e.g., in films (Sections 6.2 and 7.2). But in most cases, the waves are excited at quasimagnetic half-branches, far from crossing points, and the elastic displacements can be neglected, as well as the influence of magnetoelastic coupling on the spectrum.

Another method of magnetic excitation of magnetoelastic waves is the excitation by a uniform ac magnetization, which becomes possible owing to boundary conditions. Consider, following Le Craw and Comstock [249], a thin ferromagnetic plate magnetized normally to its surface (in z direction) and put in a uniform ac magnetic field. The right-hand circular ac magnetization excited by this field is regarded as given. For oscillations depending only on z, we can find from (12.24) and (12.25), neglecting the exchange interaction, the equation

$$\omega^2 \rho u_+ + \left(c_{44} + \frac{\gamma B_2^2}{M_0 (\omega - \omega_H)} \right) \frac{\partial^2 u_+}{\partial z^2} = 0.$$
 (12.42)

It does not contain m_+ , but this component comes into play through the boundary conditions. Assuming the surfaces of the plate to be free, we use the boundary conditions (12.17). To find the stresses that appear in these conditions we use the expressions obtained from (12.7) by the substitution $U_{\rm el} \rightarrow U_{\rm el} + U_{\rm mel}$. Then, using (2.10) and (2.18), we get the boundary condition

$$\left(c_{44} + \frac{\gamma B_2^2}{M_0(\omega - \omega_H)}\right) \frac{\partial u_+}{\partial z} + \frac{B_2}{M_0} m_+ = 0.$$
(12.43)

Thus, the problem is reduced to solving a homogeneous (i.e., without a driving term) equation (12.42) subject to a nonhomogeneous boundary condition (12.43). Note that in the problem of standing spin waves (Section 7.2) the boundary conditions were homogeneous, but the equation was nonhomogeneous, it contained the given ac field.

Solution of (12.42) subject to (12.43) shows [249] that u_+ in the plate is a sum of a uniform displacement and an infinite number of resonance terms, which correspond to standing magnetoelastic waves. Such a plate can be used as a transducer for excitation of transverse circularly polarized elastic waves.

12.3.3 Magnetoelastic waves in nonuniform steady magnetic field

So far, studying magnetoelastic waves, we assumed the internal steady magnetic field to be uniform within the sample. Nonuniformity of this field results in some new phenomena, one of which is the transformation of quasimagnetic waves into quasielastic and vice versa. Consider, e.g., a right-hand circularly polarized wave propagating along M_0 . The spectrum of this wave is plotted in Figure 12.3(a) in coordinates $H_0(k)$ at $\omega = \text{const.}$ If the field H_0 depends on coordinate z in the direction of propagation, as shown in Figure 12.3(b), the character of the wave will change with its propagation. Assume that the dependence $H_0(z)$ is smooth enough, so that the condition (7.60) is satisfied. Then the wave will all the time

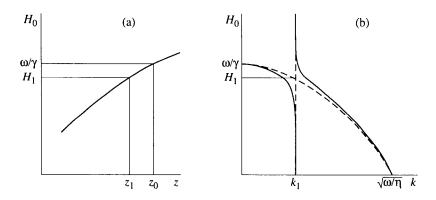


FIGURE 12.3 Magnetoelastic waves in nonuniform steady magnetic field H_0 : (a) dependence of H_0 on a coordinate in the direction of propagation, coinciding with the \mathbf{H}_0 direction; (b) dispersion characteristics $H_0(k)$ at $\omega_k = \text{const.}$

belong to the same branch of the spectrum and, therefore, will *transform* from quasimagnetic into quasielastic or vice versa in passing the crossing point.

If, on the contrary, the field gradient is large, so that unequality (7.60) is not satisfied, then partial excitation of a wave at the other branch will take place near the crossing. Hence, the complete transformation of the character of the wave, as it passes the crossing point, will not occur. These qualitative considerations are confirmed by the theory developed by Schlömann and Joseph [346–348]. It should be noted that, in these considerations, we have used the 'language' of normal modes. In terms of coupled modes, they would sound in the following manner: in passing the crossing point, the spin wave transforms partly into the elastic wave (or vice versa) due to coupling between them; the transformation is more complete the smaller the H_0 gradient and, hence, the larger the length and the time of interaction.

Nonuniform internal steady magnetic field H_0 can be realized, e.g., in a cylindrical ferrite rod placed into uniform external field $H_{e\,0}$. It was pointed out in Section 7.2 that 'turning surfaces' (where $H_0=\omega/\gamma$) are present in such rod at appropriate $H_{e\,0}$ values. Near such a surface ($z=z_0$ in Figure 12.3), a spin wave (or, strictly speaking, a quasimagnetic magnetoelastic wave) arises, in a uniform ac magnetic field, and propagates towards the crossing surface $z=z_1$. Passing this surface, it transforms into a quasielastic (practically, very near pure elastic) wave. Similar transformation takes place in the case of $k \perp M_0$, which can be realized in a normally magnetized ferrite disk (Section 7.2.). Both 'geometries' can be used in designing magnetoelastic microwave delay lines. Great attention was paid in the 1960s to the investigation of such delay lines (e.g., [387]). But this problem later lost its urgency because of the development of delay lines using magnetostatic waves in films (Section 6.2).

12.4 Parametric excitation of magnetoelastic waves

In the present section the effect of magnetoelastic coupling on the parametric-excitation processes (studied in detail, but without allowance for this coupling, in Chapter 10) will be considered. Two kinds of problems arise here: (i) the allowance for the fact that the parametrically excited modes are *not pure magnetic* but magnetoelastic, (ii) the investigation of the parametric excitation *caused* by magnetoelastic interaction. Let us consider first the former problem.

12.4.1 Longitudinal pumping of magnetoelastic waves

The magnetoelastic coupling should result in the *increase* of the longitudinal-pumping threshold. This becomes especially clear if we use the coupled-mode 'language': the parametrically excited spin waves excite linearly the elastic waves, and, therefore, the damping of spin waves and, hence, the threshold increase. It is evident that the increase becomes essential as the *crossing point* is approached.

The presence of two magnetoelastic branches allows the conservation laws for longitudinal pumping to be satisfied in a degenerate, as well as in a nondegenerate, case [Figure 12.4(a)]. But the threshold in the degenerate case usually is lower.

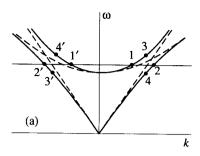
Suppose now that, at a constant value of the steady field, the pumping frequency is varied [Figure 12.4(b)]. If $\omega_p/2$ essentially exceeds the crossing frequency ω_1 , the waves at quasimagnetic branch are excited, and the threshold is, practically, the same as without allowance for magnetoelastic coupling. As $\omega_p/2$ approaches ω_1 , the threshold increases, and at $\omega_p/2 \simeq \omega_1$ the threshold point jumps onto another branch [Figure 12.4(b)] where the threshold is now lower. With further decrease of ω_p , the threshold lowers approaching its pure magnetic value. At $\omega_p/2 < \omega_H$ the parametric excitation of spin waves, without magnetoelastic interaction, is impossible. This interaction being taken into account, the waves on the quasielastic branch can be excited but with very high threshold. The sharp peak of h_z thr, at the point of crossing of pure magnetic and elastic dispersion curves, was first observed by Turner [408] and then investigated theoretically by Morgenthaler [290].

We will restrict our treatment to the case for which equations (12.24) and (12.25) are valid. From these equations, for a wave with components M_x , M_y , and u_z propagating in the x direction, it follows that

$$\frac{\partial M_x}{\partial t} = -\left(\omega_H - \gamma D \frac{\partial^2}{\partial x^2} + \gamma h_z \cos \omega_p t\right) M_y$$

$$\frac{\partial M_y}{\partial t} = \left(\omega_H + \omega_M - \gamma D \frac{\partial^2}{\partial x^2} + \gamma h_z \cos \omega_p t\right) M_x + \gamma B_2 \frac{\partial u_z}{\partial x}$$

$$\rho \frac{\partial^2 u_z}{\partial t^2} = c_{44} \frac{\partial^2 u_z}{\partial x^2} + \frac{B_2}{M_0} \frac{\partial M_x}{\partial x}.$$
(12.44)



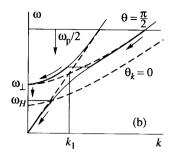


FIGURE 12.4

Parametric excitation of magnetoelastic waves under longitudinal pumping. (a) The spectrum of magnetoelastic waves propagating perpendicularly to M_0 , points indicate the frequencies of unstable-wave pairs: degenerate (1,1') and (1,2') and nondegenerate (1,3') and (1,4'). (b) Movement of the frequencies of a degenerate pair with decreasing pumping frequency.

To find the threshold value of h_z we will not (as distinct from Chapter 10) turn from M_x , M_y , and u_z to normal variables. We will directly examine the solutions of (12.44) which correspond to the parametrically excited waves with frequency $\omega_p/2$ and wave vectors x_0k and $-x_0k$. The condition for threshold is that these waves do not damp in spite of losses.

The solutions, as yet without losses, are

$$\begin{split} M_{x,y} &= \operatorname{Re}\left[m_{k\,x,y} \exp\left(\mathrm{i}\frac{\omega_{\mathrm{p}}}{2}t - \mathrm{i}kx\right) + m_{-k\,x,y} \exp\left(\mathrm{i}\frac{\omega_{\mathrm{p}}}{2}t + \mathrm{i}kx\right)\right] \\ u_{z} &= \operatorname{Re}\left[u_{k\,z} \exp\left(\mathrm{i}\frac{\omega_{\mathrm{p}}}{2}t - \mathrm{i}kx\right) + u_{-k\,z} \exp\left(\mathrm{i}\frac{\omega_{\mathrm{p}}}{2}t + \mathrm{i}kx\right)\right]. \end{split} \tag{12.45}$$

Substituting (12.45) into (12.44), allowing for losses by replacements $\omega_{\rm m} \equiv [(\omega_H + Dk^2)(\omega_H + \omega_M + Dk^2)]^{1/2} \rightarrow \omega_{\rm m} + {\rm i}\omega_{\rm m}''$ and $\omega_{\rm el} \equiv \sqrt{c_{44}/\rho} \rightarrow \omega_{\rm el} + {\rm i}\omega_{\rm el}''$, and equating to zero the determinant of the obtained system of six algebraic equations, we can obtain an expression for $h_{z\,\rm thr}$ values at both branches of the magnetoelastic spectrum. The analysis of this expression supports the qualitative conclusions made above.

Near the crossing point, the mentioned general expression for $h_{z \text{ thr}}$ reduces to [290]

$$h_{z \text{ thr}} \cong \min \left\{ \frac{2\omega_{\text{m}}}{\omega_{M}} \Delta H_{k} + 2 \frac{\omega_{\text{m}}^{2} - \omega_{\text{p}}^{2}/4}{\omega_{\text{el}}^{2} - \omega_{\text{p}}^{2}/4} \frac{\omega_{\text{el}}^{2}}{Q_{\text{el}} \gamma \omega_{M}} \right\}$$
(12.46)

where $Q_{\rm el} = \omega_{\rm el}/\left(2\omega_{\rm el}''\right)$ is the elastic quality factor, $\Delta H_k = 2\omega_{\rm m}''/\gamma$, and 'min' means that the lowest value must be chosen from two $h_{z\,\rm thr}$ values at different branches. When these values become equal, the jump of the threshold point occurs from one branch onto the other. It is easy to make sure that the second term in the braces in (12.46) is always positive, so that the magnetoelastic coupling always results in increasing the threshold.

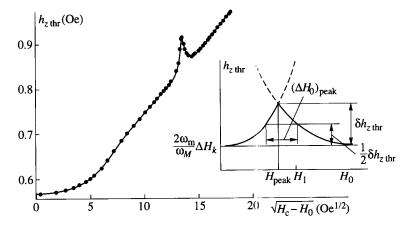


FIGURE 12.5

Dependence of the threshold field, for longitudinal pumping of magnetoelastic waves, on the internal steady magnetic field H_0 . Points represent experimental data for a YIG sphere with $M_0 \parallel \langle 100 \rangle$ at 194 K and $f_p = 23.2$ GHz [304]. The inset shows the threshold field values near the crossing point calculated with formula (12.46).

An experimental dependence of $h_{z\, thr}$ on the steady magnetic field at $\omega_p = {\rm const}$ is plotted in Figure 12.5. The inset shows this dependence in a small H_0 range calculated with formula (12.46). One can see that the intersection of $h_{z\, thr}$ vs H_0 curves for two branches, and, so, the threshold maximal value occurs at the field $H_{\rm peak}$ somewhat smaller than the field H_1 at which the unperturbed magnetic and elastic dispersion curves cross at frequency $\omega_p/2$. The expression for H_1 follows from the conditions $\omega_{\rm m}=\omega_{\rm el}=\omega_{\rm p}/2$:

$$H_1 = H_c - \frac{D\omega_p^2}{4v_1^2}. (12.47)$$

The parameters of the curve $h_{z \text{ thr}}$ vs H_0 (Figure 12.5, inset) can be obtained from (12.46):

$$\delta H_{z \text{ thr}} = \frac{D\omega_{\mathsf{p}}^2}{v_\perp^2 Q_{\mathsf{el}}} \left(\frac{1}{2} + \frac{\gamma H_1}{\omega_M} \right) \tag{12.48}$$

$$(\Delta H_0)_{\text{peak}} = \frac{\gamma B_2 \omega_p}{v_\perp^2 \omega_M} \sqrt{\frac{\pi D H_1}{\rho \left(1/2 + \gamma H_1/\omega_M\right)}}$$
(12.49)

$$H_1 - H_{\text{peak}} = \frac{\gamma \Delta H_k Q_{\text{el}} (\Delta H_0)_{\text{peak}}}{2\omega_M (H_c - H_1)} \sqrt{1 + \frac{\omega_M}{\gamma H_1}}.$$
 (12.50)

Using these expressions, the values of B_2 , $Q_{\rm el}$, and D can be found (however, with low precision) from experimental curves $h_{z \, \rm thr}$ vs H_0 .

We have considered in some detail the case of $M_0 \parallel \langle 100 \rangle$. In other cases, in particular when $M_0 \parallel \langle 111 \rangle$, the longitudinal elastic wave is also coupled, as pointed out in the previous section, to the magnetic system. Then, *two* magnetoelastic peaks, transverse and longitudinal, are observed on the curves $h_{z \text{ thr}}$ vs H_0 .

Magnetoelastic waves are the modes that are actually excited under transverse pumping, as well. The influence of this circumstance on the threshold values is essential, of course, only near the crossings of magnetic and elastic spectra. In both cases, of longitudinal and transverse pumping, the excitation of waves at the lower *quasielastic* half-branch [Figure 12.4(b)] is possible at low frequencies $(\omega_p/2 < \omega_H)$. Such parametric excitation was observed [405] under transverse pumping at frequency $f_p = 1.32$ GHz in a hexaferrite single-crystal sphere.

12.4.2 Parametric excitation caused by magnetoelastic coupling

Now the magnetoelastic nonlinearity is the *reason* of parametric excitation. The excited waves may also be magnetoelastic. But, if the magnetoelastic coupling *is absent* in linear approximation, the excited waves are pure magnetic or pure elastic. This case is of the most interest, especially when the parametric excitation caused by pure magnetic nonlinearity is impossible.

As an example, consider parametric excitation, under uniform transverse pumping, of spin and longitudinal elastic waves propagating in the direction of M_0 , which coincides with the $\langle 100 \rangle$ axis in a cubic crystal. From equations (12.24) and (12.25), assuming magnetic and elastic isotropy, we get in the present case the following system:

$$\begin{split} \frac{\partial M_x}{\partial t} &= -\left(\omega_H + \gamma D \frac{\partial^2}{\partial z^2} - 2 \frac{B_1}{M_0} \frac{\partial u_z}{\partial z}\right) M_y \\ \frac{\partial M_y}{\partial t} &= \left(\omega_H + \gamma D \frac{\partial^2}{\partial z^2} - 2 \frac{B_1}{M_0} \frac{\partial u_z}{\partial z}\right) M_x \\ \rho \frac{\partial^2 u_z}{\partial t^2} &= c_{11} \frac{\partial^2 u_z}{\partial z^2} - \frac{B_1}{M_0^2} \frac{\partial}{\partial z} \left(M_x^2 + M_y^2\right). \end{split} \tag{12.51}$$

Here, M_x and M_y contain the components of uniform pumping magnetization and the components of the excited spin wave with the wave vector $\mathbf{k} = \mathbf{z}_0 k$. The excited elastic wave has (as the pumping is uniform) the wave vector $\mathbf{q} = -\mathbf{z}_0 k$. Assuming the pumping magnetization to be circularly polarized with right-hand rotation, we may write

$$\begin{split} M_x &= M_0 a_0^0 \cos \omega_{\rm p} t + \text{Re} \left[m_{k\,x} \exp \left(\mathrm{i} \omega_1 t - \mathrm{i} kz \right) \right] \\ M_y &= M_0 a_0^0 \sin \omega_{\rm p} t + \text{Re} \left[m_{ky} \exp \left(\mathrm{i} \omega_1 t - \mathrm{i} kz \right) \right] \\ u_z &= \text{Re} \left[u_{-k} \exp \left(\mathrm{i} \omega_2 t + \mathrm{i} kz \right) \right]. \end{split} \tag{12.52}$$

Substituting (12.52) into (12.51), we obtain a system of equations in m_{kx} , m_{ky} , and u_{-k}^* containing the pumping amplitude a_0^0 . Allowing for losses, as usual, by replacements $\omega_1 \to \omega_1 + \mathrm{i} \gamma \Delta H_k/2$ and $\omega_2 \to \omega_2 + \mathrm{i} \omega_2/(2Q_{\mathrm{el}})$, we find from the condition of compatibility of the equations that

$$a_{0 \text{ thrm el}}^{0} = \frac{1}{B_{1}} \sqrt{\frac{c_{11} M_{0} \Delta H_{k}}{Q_{el}}}.$$
 (12.53)

The dissipation parameters ΔH_k and, especially, $1/Q_{\rm el}$ decrease with decreasing k. Therefore, low threshold should be observed at such values of $\omega_{\rm p}$ and H_0 at which $k \to 0$. However, the plane-wave theory is not applicable for small k values, and eigenmodes of the sample, magnetic and elastic, are excited. For a sphere, these are the Walker modes (Section 6.3) and some of the lowest elastic modes (Section 12.1). The threshold amplitude, in this case, is of the order of (12.53).

If the pumping frequency is high enough, so that the magnetic parametric-excitation process of the first order at ferromagnetic resonance is forbidden (Section 10.2), it is worthwhile to compare the threshold (12.53) with the threshold (10.26) for the second-order magnetic process. An estimate shows that, for YIG, the ratio $a_{0\,\text{thr}\,\text{mel}}^0/a_{0\,\text{thr}\,2}^0$ can be as small as 0.1, provided that Q_{el} is not reduced by an external mechanical load. Just under this condition, the considered magnetoelastic parametric excitation of an elastic mode (named the magnetoacoustic resonance, MAR) was observed in a YIG sphere by Spencer and Le Graw [380].

Spin waves excited parametrically under longitudinal or transverse magnetic pumping can act as pumping for magnetoelastic parametric excitation [188]. This effect was named the secondary MAR.

12.4.3 Elastic pumping

The role of pumping in parametrical excitation of magnetoelastic waves can also be played by an elastic mode. Consider again the case of $M_0 \parallel \langle 100 \rangle$. Assume that the pumping wave is a *longitudinal elastic* wave that propagates along M_0 (in z direction), and the excited waves are magnetoelastic waves with wave vectors \mathbf{k}_1 and \mathbf{k}_2 propagating in xz plane. Suppose that $k_{1,2} \gg q_p$ and, hence, $k_{1x} \simeq k_{2x} \equiv k$. Then, equations (12.24) will take the form

$$\frac{\partial M_x}{\partial t} = -\left(\omega_H + \gamma D k^2 - \frac{2\gamma B_1}{M_0} \frac{\partial u_z}{\partial z}\right) M_y$$

$$\frac{\partial M_y}{\partial t} = \left(\omega_H + \gamma D k^2 - \frac{2\gamma B_1}{M_0} \frac{\partial u_z}{\partial z}\right) M_x$$
(12.54)

where $u_z = u_p \cos(\omega_p t - q_p z)$ is a given quantity.

Comparing (12.54) with the equations of motion for longitudinal magnetic pumping (Section 10.3), we see that the elastic pumping wave is equivalent to

magnetic longitudinal field

$$h_{z \text{ ef}} = -\frac{2B_1}{M_0} \frac{\partial u_z}{\partial z} \equiv -\frac{2B_1}{M_0} q_p u_p \sin\left(\omega_p t - q_p z\right). \tag{12.55}$$

As q_p is small, we may ignore the wave nature of this field and, regarding the excited waves as pure magnetic, use formula (10.42). Then, we get

$$u_{\text{pthr}} = \frac{v_{\parallel} \Delta H_k}{4\pi \gamma B_1} \tag{12.56}$$

where v_{\parallel} is the longitudinal elastic-wave velocity (12.14). Estimating, with the use of (12.16), the threshold value of the elastic energy flow, we find for YIG the value of $\sim 0.1~\rm W~cm^{-2}$, which does not contradict the experiment [272]. For pumping of spin (strictly speaking, quasimagnetic) waves by transverse elastic wave, the threshold turns out to be almost the same as in the above-considered case. The threshold for excitation of elastic and magnetic, or of two elastic, waves are much higher [258].

12.5 Spin-lattice relaxation

The energy of magnetic oscillations and waves is ultimately transferred, mostly, to the crystal lattice. This transfer is performed, as pointed out in Section 11.1, by direct and indirect spin-lattice relaxation processes. Indirect processes will be studied in Chapters 13 and 14. In the present section we consider the direct processes, the cause of which is the magnetoelastic interaction.

Because of the noncoherent, statistical nature of spin-lattice relaxation processes the quantum-mechanical approach is to be used in the theory of these processes. The theory based on the Heisenberg model of ferromagnet (Section 1.1) was developed by Akhiezer in his famous work [10] repeatedly cited above.

In this theory, the operator \hat{r}_f of a spin radius-vector (f denotes the lattice site) is presented, analogously to (12.1), in the form of $\hat{r}_f = \hat{r}_{f0} + \hat{u}_f$, and the Heisenberg Hamiltonian is expanded in series in terms of the displacement operator \hat{u}_f . Limiting the treatment to the zero- and first-order terms in this series, we turn from spin operators \hat{S}_f , as in Section 7.4, to the operators \hat{a}_k^+ and \hat{a}_k , and from operators \hat{u}_f , to the operators b_q^+ and b_q of creation and annihilation of phonons. The Hamiltonian, then, takes the form

$$\hat{\mathcal{H}} = \hat{\mathcal{H}}_0 + \hat{\mathcal{H}}_{\text{mel } 2} + \hat{\mathcal{H}}_{\text{mel } 3} + \dots$$
 (12.57)

where $\hat{\mathcal{H}}_0$ is the unperturbed Hamiltonian used in Section 7.4 and 11.2 and the other terms describe the magnetoelastic interaction. They contain operators b_q^+ and b_q in the first power, and the operators \hat{a}_k^+ and \hat{a}_k in the increasing powers: the term $\hat{\mathcal{H}}_{\text{mel}\,2}$, in the first power, $\hat{\mathcal{H}}_{\text{mel}\,3}$, in the second power, and so on.

However, the *main* source of the magnetoelastic coupling, the *spin-orbital* interaction, cannot be treated on the basis of the Heisenberg model, which does not involve orbital moments. Abrahams and Kittel [2] proposed to evolve a quantum-mechanical theory of magnetoelastic relaxation using *phenomenological* expressions, e.g., (12.18), for the magnetoelastic energy. This proposal was realized by Kaganov and Tsukernik [200].

In this theory the projections of M and u in (12.18) (regarded as operators) are expressed in terms of the operators \hat{a}_k^+ , \hat{a}_k and \hat{b}_i^+ , \hat{b}_q , respectively. According to [200] (see also [14]),

$$\hat{\boldsymbol{u}} = \sqrt{\frac{\hbar}{2\rho V}} \sum_{j} \sum_{\boldsymbol{q}} \frac{\boldsymbol{p}_{0\,q\,j}}{\omega_{q\,j}} \left[\hat{b}_{q\,j} \exp\left(-\mathrm{i}\boldsymbol{q}\boldsymbol{r}\right) + \hat{b}_{q\,j}^{+} \exp\left(\mathrm{i}\boldsymbol{q}\boldsymbol{r}\right) \right]. \tag{12.58}$$

Here, ρ is the mass density, V is the sample volume, p_{0qj} (j = 1, 2, 3) are the unit vectors of phonon polarization, showing the directions of u for each of three normal elastic waves with a given wave vector q, and ω_{qj} are the frequencies of these waves.

Consider an isotropic medium, i.e., assume in (12.18) $B_1 = B_2 \equiv B$. Then, using (12.58) and the formulae that express M projections in terms of \hat{a}_k and \hat{a}_k^+ (Section 7.4), we obtain from (12.18) the Hamiltonian in the form (12.57) where

$$\hat{\mathcal{H}}_{\text{mel 2}} = \sum_{j} \sum_{k} \sum_{q} \left(\Psi_{k,q j} \hat{a}_{k} \hat{b}_{q j}^{+} + \text{H. c.} \right) \Delta (k - q)$$
 (12.59)

$$\hat{\mathcal{H}}_{\text{mel 3}} = \sum_{j} \sum_{\mathbf{k}_{1}} \sum_{\mathbf{k}_{2}} \sum_{\mathbf{q}} \left[\left(\Psi_{k_{1} k_{2}, q j} \hat{a}_{k_{1}} \hat{a}_{k_{2}} \hat{b}_{q j}^{+} + \text{H. c.} \right) \Delta (\mathbf{k}_{1} + \mathbf{k}_{2} - \mathbf{q}) + \left(\Psi_{k_{1}, k_{2} q j} \hat{a}_{k_{1}} \hat{a}_{k_{2}}^{+} \hat{b}_{q j}^{+} + \text{H. c.} \right) \Delta (\mathbf{k}_{1} - \mathbf{k}_{2} - \mathbf{q}) \right].$$
(12.60)

Here, H.c. denotes the Hermitian conjugate of the quantity written before it, and Δ is the Kronecker symbol (Appendix C); the expressions for the amplitudes $\Psi_{k,q\,j}$, $\Psi_{k_1\,k_2,q\,j}$, and $\Psi_{k_1,k_2\,q\,j}$ are given in [200].

The terms in (12.59) and (12.60), as in the case of the isolated magnetic system (Section 11.2), correspond to *elementary processes* of annihilation and creation of quasiparticles, in the present case, of magnons and phonons. In these processes, as one can see from (12.59) and (12.60), the impulse conservation takes place. (Energy is also conserved in each elementary process, in the first approximation of the perturbation theory, similar to magnon processes treated in Section 11.1.) The bilinear Hamiltonian (12.59) describes two-particle elementary processes of magnon-phonon conversion. After the diagonalization of it, together with the quadratic Hamiltonians of magnetic and elastic systems, a Hamiltonian that describes the mixed quasiparticles corresponding to magnetoelastic waves would be obtained.

The Hamiltonian (12.60) describes the three-particle elementary processes, which are basic to the spin-lattice relaxation. The terms with amplitudes $\Psi_{k_1 k_2, q j}$

correspond to the elementary processes of *two-magnon confluence* with creation of a phonon, and the Hermitian conjugate terms correspond to the splitting of a phonon into two magnons. The terms with amplitudes $\Psi_{k_1,k_2\,q\,j}$ correspond to the elementary processes of splitting a magnon into magnon and phonon. These processes (as well as the reverse ones, the magnon–phonon confluence) were named the *Cherenkov processes*, by analogy with the Cherenkov–Vavilov effect of light radiation by an electron retarded in a medium (e.g., [246]). The condition of this effect (the electron velocity must exceed the velocity of light in the medium) transforms, in our case, into

$$v_{\rm m\,gr} > v_{\rm el}$$
. (12.61)

This condition can be satisfied at sufficiently large k values, as one can see, e.g., from Figure 12.2.

The process of two-magnon confluence into a phonon can be caused only by the relativistic interactions, just as the processes in the isolated magnetic system occurring with the change of the entire number of magnons (Section 11.2). But the Cherenkov processes, in which the number of magnons *remains constant*, can be caused by relativistic, as well as by *exchange* interactions. The ratio of their contributions to $\Psi_{k_1,k_2,q,j}$, at $k_1 \simeq k_2 \equiv k$, is [200]

$$\frac{(\Psi_{k_1,k_2\,q\,j})_{\text{ex}}}{(\Psi_{k_1,k_2\,q\,j})_{\text{rel}}} \sim \frac{M_0 D k^2}{B}.$$
 (12.62)

This ratio, for YIG, is of the order of unity at $k \sim 10^6$. At smaller k values the relativistic contribution is dominant, and at larger k values the exchange contribution dominates. It is worth noting that the direct Cherenkov process (splitting of a magnon into magnon and phonon) underlies the parametric excitation of magnetic and elastic waves under magnetic pumping, and the splitting of a phonon into two magnons is the basis of parametric excitation of magnetic modes under elastic pumping.

The above-discussed three-particle elementary processes form the basis of the processes of direct spin-lattice relaxation in magnetically ordered substances shown in Table 12.1. Note that, as distinct from Section 11.2, now there is no need in considering four-particle processes. In the case of spin-lattice relaxation, three-particle processes can be caused by exchange interaction, and, hence, their probabilities are always larger than the probabilities of four-particle processes.

To obtain the *relaxation frequencies* of the processes shown in Table 12.1 we can use the theory based on calculating the transition probabilities in the first approximation of the nonstationary perturbation theory (Section 11.1). Without dwelling on these calculations, we cite only some results [100] (see also [14, 171]). It turns out that the contribution of all these processes to the dissipation of *uniform* magnetization oscillations, as well as their contribution to the dissipation of spin waves with $k \lesssim 10^5$, is negligible. This contribution increases with growing k. In substances in which the indirect spin–lattice processes do not play any important

			Elementary	processes
Process		Amplitude	direct	reverse
Magnon conflue	nce	$\Psi_{k_1 k_2, q_j}$	k_1 q	~~~
Cherenkov	Splitting	$\Psi_{k_1, k_2 q_j}$	$\frac{k_1}{q}$	k_2
processes	Confluence	$\Psi_{k_2, k_1 q_j}$	k_1 k_2	$\frac{k_2}{}$

TABLE 12.1 Processes of direct spin-lattice relaxation.^a

role, the direct processes shown in Table 12.1 transfer the energy into the lattice. This energy was 'smeared' out over the magnetic system by spin-spin processes (Chapter 11) at 'early stages' of relaxation.

For uniform ferromagnetic resonance and spin waves with small k, in 'good' samples of single-crystal ferrites, as YIG, an essential difficulty arises in explaining the very first of the mentioned relaxation stages, which mainly determines the observed ΔH_0 and $\Delta H_{k\to 0}$ values. It was already pointed out in Section 11.2 that Kasuya-Le Graw processes [212] (see also [376]), both magnon-magnon and

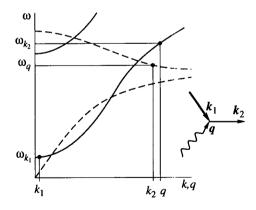


FIGURE 12.6

Spectra of magnons (solid curves) and phonons (dashed curves). Points indicate the frequencies for a magnon-phonon Kasuya-Le Graw process. Note that the condition $k_1 = k_2 - q$ need not be satisfied because the vectors k_1 , k_2 , and q, for which the impulse conservation holds, are, in general, not parallel to each other.

^a Relaxation of magnons with wave vector k_1 is considered.

magnon-phonon, can be important at this stage. A distinctive feature of Kasuya-Le Graw processes is the participation of magnons or phonons at upper branches of their spectra. The magnon-phonon Kasuya-Le Graw process is the confluence of a small-k magnon with phonon at the upper (optical) branch of the elastic-wave spectrum resulting in creation of a magnon with large k (Figure 12.6). It should be noted that such a process leads to the energy transfer, not from the spin system into the lattice but in the opposite direction. However, it results in annihilation of the considered small-k magnons and, hence, makes a contribution to their dissipation parameter. Of course, the energy transferred into the spin system by this process is returned into the lattice by other processes, the probabilities of which are large enough because of high k values of magnons created in the Kasuya-Le Graw process.

Estimates show that the contribution of the magnon-phonon Kasuya-Le Graw processes, as well as of the magnon-magnon ones (Section 11.2), to the linewidth of high-quality single-crystal ferrites is essential. For YIG, it is of the order of 0.1 Oe, which is a noticeable part, if not a dominant one, of the entire value of $\Delta H_{k\to 0}$ in YIG.

		•	

Ionic anisotropy and relaxation

13.1 Anisotropy caused by impurity ions

As mentioned in Section 11.1, the impurity ions with strong spin—orbital coupling give rise to an effective relaxation process which we, for brevity, refer to as *ionic* process. These ions are also a source of anisotropy in ferromagnetic resonance.

Among the considered ions there are all ions with partly filled 3d or 4f shells, except such that either have no orbital angular momentum (are in the S state) or have a quenched orbital angular momentum. The latter means that the lowest energy level of such an ion in the particular crystal is an orbital singlet. The ions Mn²⁺, Fe³⁺, Eu²⁺, Gd³⁺ are in the S state, and Cr³⁺ in the octahedral environment is an example of ions with quenched orbital angular momentum. It should be noted that ions with zero or quenched orbital momentum, first of all, Fe³⁺ ions, are the main magnetic ions in all soft magnetic materials, including microwave ferrites. Ions with strong spin—orbital coupling emerge either as a result of the impurities in the starting materials or due to the change of the main-ion valencies. In some cases the ions with strong spin—orbital coupling are added purposely, e.g., to increase the threshold of parametric spin-wave excitation (Chapter 10).

13.1.1 Energy levels of ions

The angular momenta J of ions with partially filled 3d or 4f shells are vector sums of orbital and spin angular momenta of all electrons of these shells. The interaction of electrons is such in this case (the Russel-Saunders coupling [336]) that it is reasonable to first sum the spin angular momenta of all electrons ($\sum_n s_n = S$) and their orbital angular momenta ($\sum_n l_n = L$), and only then to sum S and L (S + L = J).

It was pointed out in Section 1.1 that the eigenvalues of the operator \hat{S} and \hat{L} projections onto the axis of quantization are: $S, (S-1), \ldots, (-S)$ and $L, (L-1), \ldots, (-L)$, where S and L are, respectively, the spin and the orbital quantum numbers. The eigenvalues of the operator \hat{J} projections are: $J, (J-1), \ldots, (-J)$,

and the quantum number J can take the values $(S+L), (S+L-1), \ldots, |S-L|$. The values of S and L in the ground state are determined by the empirical Hund rules (e.g. [243, 336]).

The energy levels of a *free* ion, without allowance for spin-orbital and intraionic spin-spin interactions, depend only on S and L and are (2S+1)(2L+1)-fold degenerate. The mentioned interactions split the levels into (2J+1)-fold degenerate multiplets with different J values. The lowest multiplet is that with J = |S - L| if the shell is less than half filled, and with J = S + L if it is more than half filled. The S, L, and J values of free 3d and 4f ions in the ground states are given in Tables 13.1 and 13.2.

An external magnetic field splits each multiplet into (2J+1) equidistant levels with intervals (1.18). An external electric field removes the degeneration, completely or partly, depending on the symmetry of the field and the value of J. A field with orthorhombic or lower symmetry splits the multiplet completely if J is an integer (i.e., the number of 3d or 4f electrons is even), or splits it into J+1/2 Kramers doublets if J is a half-integer. Fields with higher symmetries split the multiplets partly. The cubic field does not split them at all if J < 2. The five-fold degenerate multiplet with J = 2 is split by the cubic field into a doublet and a triplet, the seven-fold degenerate multiplet with J = 3 is split into a singlet and two triplets [1].

Ions in a *crystal* interact electrostatically with the neighboring ions. The part of the energy of this interaction that depends on mutual orientations of the ionic spins is referred to as the *exchange energy*. It can be described by the use of the effective exchange (or 'molecular') field (Section 1.1), which results in the splitting of ionic levels similar to the splitting in a magnetic field. The rest of the interaction with the neighboring ions is described by means of the so-called *crystal field*, which has the same symmetry as the local environment of the ion.

Considering the influence of different interactions on the ionic energy levels, we must begin with the strongest interaction and then pass to weaker and weaker ones. The sequence of them is different for 3d and 4f ions. For 3d ions, the influence of the crystal field is the strongest and should be taken into account first. Then the exchange interaction follows, and only after it the spin—orbital coupling is to be taken into account. The case of the so-called intermediate crystal field [1] is usually realized. In this case the energy of interaction between 3d electrons is higher than their energy in the crystal field, and the energy spectrum is determined first of all by the values of S and L. The action of the crystal field is stronger for the 3d ions than the spin—orbital interaction (which leads to the formation of the total angular momentum J). Therefore, the energy levels of 3d ions are determined mainly by the action of the crystal field onto the *orbital* multiplets.

The *cubic* component of the crystal field is always the greatest. Therefore, it is reasonable to first find the structure of energy levels in the cubic crystal field, and then to take into account, as perturbations, the trigonal, tetragonal, and orthorhombic crystal-field components. The splitting of orbital multiplets with L=2 and L=3 by the cubic field is shown in Table 13.3. It should be noted that the crystal

TABLE 13.1 Quantum numbers of free 3d ions in the ground state [1].

			V^{2+}	Cr^{2+}	Mn^{2+}	Fe ²⁺	Co^{2+}	Co ²⁺ Ni ²⁺	Cu ²⁺
Ions		V3+	Cr3+	Mn ³⁺	Fe ³⁺	Co3+	, kiz		
	V ⁴⁺	Cr ⁴⁺	Mn ⁴⁺	Fe ⁴⁺					
Number of 3d electrons	-	2	3	4	5	9	7	∞	6
Spin angular momentum	1/2	1	3/2	2	5/2	2	3/2	1	1/2
Orbital angular momentum	7	3	3	2	0	7	3	3	2
Total angular momentum	3/2	7	3/2	0	5/2	4	6/2	4	5/2
Notation of the term	$^2\mathrm{D}_{3/2}$	$^3\mathrm{F}_2$	$^4\mathrm{F}_{3/2}$	$^5\mathrm{D}_0$	6S5/2	5D_4	$^4\mathrm{F}_{9/2}$	3 F $_4$	$^2D_{5/2}$

TABLE 13.2 Quantum numbers of free 4f ions in the ground state [1].

Ions						Sm ²⁺	Sm ²⁺ Eu ²⁺						
	Ce ³⁺	Pr ³⁺	+ _E PN	Pm ³⁺	Sm ³⁺	Eu3+	Eu ³⁺ Gd ³⁺ Tb ³⁺	Tb ³⁺	Dy ³⁺	Ho ³⁺	Er ³⁺	Tm ³⁺	Y _b ³⁺
Number of 4f electrons	1	2	3	4	2	9	7	∞	6	10	=	12	13
Spin angular momentum	1/2	_	3/2	2	5/2	3	7/2	ε	5/2	2	3/2	-	1/2
Orbital angular momentum	3	5	9	9	5	3	0	3	5	9	9	5	e
Total angular momentum	5/2	4	9/2	4	5/2	0	7/2	9	15/2	∞	15/2	9	7/2
Notation of the term	$^2F_{5/2}$	3H4	$^4\mathrm{I}_{9/2}$	$^{5}I_{4}$	6H _{5/2}	$^{7}F_{0}$	8S1/2	$^{7}F_{6}$	$^{6}H_{15/2}$	${}^{8}I_{s}$	$^{4}I_{15/2}$	$^3\mathrm{H}_6$	$^2\mathrm{F}_{7/2}$

				Degeneracy splitting (in increasing e	order of
Orbital angular	Degeneracy before	Number of 3d	Examples		onment
momentum	splitting	electrons	of ions	octahedral	tetrahedral
		1 or 6	Fe ²⁺ Co ³⁺	3; 2	2; 3
2	5	4 or 9	Cr ²⁺ Mn ³⁺ Fe ⁴⁺	2; 3	3; 2
		3 or 8	Cr ³⁺ Ni ²⁺ Cr ⁴⁺ Co ²⁺	1; 3; 3	3; 3; 1
3	7				

TABLE 13.3 Splitting of orbital multiplets by the cubic crystal field.

field on octahedral sites of spinels and garnets (Section 3.3) contains a trigonal component, which results in splitting of the triplet into a singlet and a doublet.

In Figure 13.1, a 'sequential' splitting of the orbital multiplet ⁵D of ions Cr²⁺, Mn³⁺, or Fe⁴⁺ on an octahedral site is shown. The cubic crystal-field component, according to Table 13.3, splits the ⁵D multiplet into orbital doublet and triplet. The trigonal component splits the triplet. The exchange interaction removes the spin degeneration, i.e., splits each level into five doublets or singlets, according to their

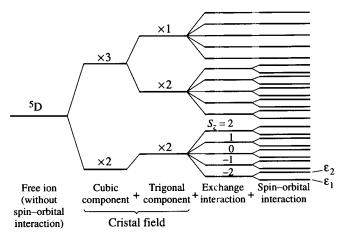


FIGURE 13.1 Splitting of energy levels of d^4 ions in the octahedral environment. $\times 3$, $\times 2$, and $\times 1$ denote the orbital degeneracy.

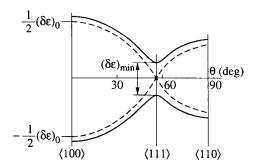


FIGURE 13.2

Splitting of the lowest doublet of d⁴ or d⁶ ions on octahedral lattice sites by spin-orbital interaction without (dashed lines) and with (solid lines) allowance for perturbations.

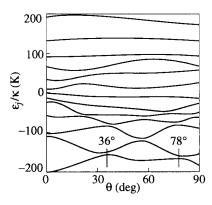


FIGURE 13.3

Angular dependence of Tb^{3+} energy levels on one of the nonequivalent dodecahedral YIG lattice sites, calculated from experimental data on ferromagnetic resonance in Tb-doped YIG [100]. θ is the angle between M_0 and the $\langle 100 \rangle$ axis in the $\{110\}$ plane.

orbital degeneracy. And finally, the weakest, spin-orbital interaction splits the doublets. This splitting depends essentially on the direction of M_0 with respect to the crystal axes. It has been shown [182] that the angular dependence of the lowest levels has the form (Figure 13.2)

$$\varepsilon_{1,2} = \pm \frac{(\delta \varepsilon)_0}{2} \left(1 - \frac{3}{4} \left(\sin^2 2\theta + \sin^4 \theta \sin^2 2\varphi \right) \right)^{1/2} \tag{13.1}$$

where θ and φ are the M_0 angles in the spherical coordinate system with the axis coinciding with the $\langle 100 \rangle$ direction. One can see that there is a crossing of levels in the $\langle 111 \rangle$ direction. It is replaced by a *near-crossing* if some perturbations are taken into account (Figure 13.2).

For 4f ions, the spin-orbital interaction is the strongest. It combines S and L into the total angular momentum J. The multiplets with different J are split

by the crystal and exchange fields, as the orbital multiplets of 3d ions. For 4f ions with small spin angular momenta, e.g., Yb^{3+} , the splitting in crystal field is much stronger than the exchange splitting. But for 4f ions with large spin angular momenta, such as Tb^{3+} or Ho^{3+} (Table 13.2), these splittings are of the same order, which leads to 'accidental' crossings of levels at some M_0 angles. Different interactions, which have not been taken into account, result in replacement of the crossings by near-crossings (Figure 13.3).

13.1.2 One-ion theory of ferromagnetic-resonance anisotropy

Let us examine the influence of the ions with strong spin—orbital coupling on the condition for ferromagnetic resonance (not yet touching on the dynamic contribution of the ions, which will be considered in the next section).

The free energy of the ions can be found from the general formula [244]

$$U_{\rm i} = -\kappa T \ln \left[\sum_{l} \sum_{\nu} N_{l\nu} \sum_{j} \exp \left(-\frac{\varepsilon_{l\nu j}}{\kappa T} \right) \right]$$
 (13.2)

where l denotes the sort of ions, ν denotes the nonequivalent crystal site, and j is the number of the level. In the case of small concentration of the ions, which we are interested in, the contributions of different ions on different sites can be regarded as independent and additive, and we may study them one by one using formula (2.29). To obtain the condition for resonance we must substitute the sum of the following terms into (2.24): the ionic free energy (2.29), the Zeeman energy (2.26), and, in general, the energy of demagnetizing fields. But the last term may be omitted in the case of a sphere, which we consider for simplicity. For small concentration of ions, neglecting the intrinsic anisotropy of the main magnetic system, we may regard θ and φ as coinciding with the given angles θ_H and φ_H of the external magnetic field.

Let us take into account only two lowest energy levels. Then, it is easy to obtain from (2.32) (omitting a constant term)

$$U_{\rm i} = N\varepsilon_0 - N\kappa T \ln\left(\cosh\frac{\delta\varepsilon}{2\kappa T}\right) \tag{13.3}$$

where $\varepsilon_0 = (\varepsilon_1 + \varepsilon_2)/2$ and $\delta \varepsilon = \varepsilon_2 - \varepsilon_1$ depend, in general, on the angles θ and φ . Substituting the sum of (13.3) and (2.26) into (2.24) and neglecting the terms of the second order in N, we find [163]

$$H_{\text{res}} \equiv \frac{\omega}{\gamma} + \delta H = \frac{\omega}{\gamma} + \frac{N}{2M_0} \left\{ -(\varepsilon_0)_{\theta\theta} + \frac{(\delta\varepsilon)_{\theta\theta}}{2} \tanh \frac{\delta\varepsilon}{2\kappa T} + \frac{(\delta\varepsilon)_{\theta\theta}^2}{4\kappa T} \left(\cosh \frac{\delta\varepsilon}{2\kappa T} \right)^{-2} + \frac{1}{\sin^2\theta} \left[-(\varepsilon_0)_{\theta\theta} + \frac{(\delta\varepsilon)_{\varphi\varphi}}{2} \tanh \frac{\delta\varepsilon}{2\kappa T} + \frac{(\delta\varepsilon)_{\varphi}^2}{4\kappa T} \left(\cosh \frac{\delta\varepsilon}{2\kappa T} \right)^{-2} \right] \right\}.$$
(13.4)

Here the indices θ and φ denote, as in (2.26), the partial derivatives with respect to the corresponding angles.

13.1.3 Near-crossing energy levels

1

The two-level approximation is good at low temperatures and, without severe restriction on the temperature, in two important particular cases: (i) low-lying doublet split by spin—orbital (for 3d ions) or exchange (for 4f ions) interaction, leading to the near-crossing of levels, as in Figure 13.2, (ii) 'accidental' near-crossing of two lowest levels, as in Figure 13.3.

Consider, first, the case of the lowest *orbital doublet* split by spin-orbital interaction. Assume M_0 to lie in the {110} plane. Then, according to (13.1), there is a level crossing in the $\langle 111 \rangle$ direction replaced, as it has been mentioned, by near-crossing. To take this into account we may change (13.1):

$$\varepsilon_{1,2} = \pm \frac{(\delta \varepsilon)_0}{2} \left(1 - \frac{3}{4} \left(\sin^2 2\theta + \sin^4 \theta \sin^2 2\varphi \right) + \xi^2 \right)^{1/2}$$
 (13.5)

where $\xi = (\delta \varepsilon)_{\min}/(\delta \varepsilon)_0$ (Figure 13.2). Then, using the formula (13.4), we obtain, e.g.,

$$(\delta H)_{111} = \frac{N(\delta \varepsilon)_0}{M_0 \xi} \tanh \frac{(\delta \varepsilon)_{\min}}{2\kappa T}.$$
 (13.6)

It should be noted that $(\delta H)_{111}$ remains finite in the limiting case of pure crossing:

$$\left[(\delta H)_{111} \right]_{\xi \to 0} = \frac{1}{2} \frac{N(\delta \varepsilon)_0^2}{M_0 \kappa T}. \tag{13.7}$$

Consider now an asymmetrical, 'accidental' near-crossing of two levels (Figure 13.4). Near the point $\theta = 0$ the angular dependence of the levels can be

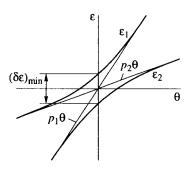


FIGURE 13.4 Near-crossing of energy levels.

approximated by the roots of the equation

$$(\varepsilon - p_1 \theta)(\varepsilon - p_2 \theta) - \frac{1}{4} (\delta \varepsilon)_{\min}^2 = 0.$$
 (13.8)

Suppose (as the calculation is only an estimate) that the terms containing derivatives with respect to φ make the same contribution to δH as the terms with derivatives with respect to θ . Then, using (13.4) and (13.8), we obtain that at the point of near-crossing

$$\delta H = \frac{N(p_1 - p_2)}{2M_0(\delta \varepsilon)_{\min}} \tanh \frac{(\delta \varepsilon)_{\min}}{2\kappa T}.$$
 (13.9)

Giant H_{res} peaks at the points of near-crossings of the impurity-ion energy levels were explained by Kittel [224]. The expressions for δH given in [225] follow from (13.9) in the limiting cases $(\delta \varepsilon)_{\min} \ll \kappa T$ and $(\delta \varepsilon)_{\min} \gg \kappa T$.

13.1.4 Experimental data

The infuence of the ions with strong spin—orbital coupling on ferromagnetic resonance was first observed by Dillon and Nielsen [98] in the case of impurity 4f ions in YIG single crystals.

The experimental angular dependences of $H_{\rm res}$ in YIG with small addition of Tb are shown in Figure 13.5. Two $H_{\rm res}$ angular maxima, at $\theta=36^{\circ}$ and $\theta=78^{\circ}$, of the three observed, are caused by the near-crossings shown in Figure 13.3. The third maximum, at $\theta=19^{\circ}$, is caused by the ions on another nonequivalent lattice site.

Let us compare the height of the maxima in Figure 13.5 with the theoretical value (13.9). The concentration N can be easily found by taking into account that the edge of an elementary YIG cubic crystal cell (which contains eight 'molecules' $Y_3Fe_5O_{12}$) is 12.5 Å [138] and only 1/3 of the ions occupy the lattice sites that correspond to Figure 13.3. The magnetization M_0 may be assumed to be the same as for pure YIG (195 G at 4.2 K). The values for $p_1 - p_2 \simeq 120\kappa$ and $(\delta\varepsilon)_{\min} \simeq 5\kappa$ are found from Figure 13.3. Then, using (13.9), we obtain $\delta H = 250$ Oe, whereas the experimental value (Figure 13.5) is approximately 200 Oe.

The 'strange' values of the angles, $\theta = 19^{\circ}$, 38°, and 78°, were explained by Huber [185]. He showed that all these angles correspond to the same angle 71° between M_0 and one of the local axes.

Big $H_{\rm res}$ peaks in the M_0 directions in which energy-level near-crossings take place were also observed [98] in YIG doped with other non-Kramers 4f ions: with ${\rm Pr}^{3+}$, in the directions (100), and with ${\rm Ho}^{3+}$, in all directions lying in the {100} planes. The contributions of Kramers ions, ${\rm Nd}^{3+}$, ${\rm Sm}^{3+}$, ${\rm Dy}^{3+}$, ${\rm Er}^{3+}$, and ${\rm Yb}^{3+}$, were also great, but sharp maxima were not observed, except for ${\rm Yb}^{3+}$. In the last case, very sharp 'anomalous' $H_{\rm res}$ peaks were observed owing to the near-crossings of levels of ${\rm Yb}^{3+}$ ions that penetrate onto octahedral lattice sites [99].

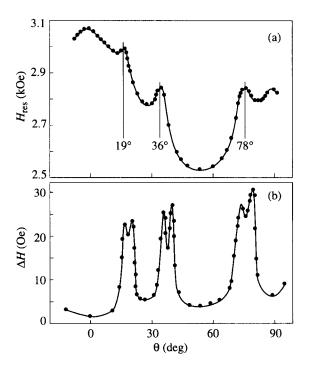


FIGURE 13.5 Angular dependences of H_{res} and ΔH for $Y_{3-x}\text{Tb}_x\text{Fe}_5\text{O}_{12}$ garnet $(x=4\times10^{-4})$ at frequency 8.9 GHz and temperature 4.2 K [156].

The influence of 3d ions with strong spin-orbital coupling on the ferromagnetic-resonance anisotropy in ferrites was also investigated. For YIG, the ions Fe^{2+} and Fe^{4+} are of the most interest [401, 402]. Fe^{2+} ions arise in small amounts in the process of crystal growth due to oxygen vacancies and Si^{4+} and Pt^{4+} ions penetrating into the lattice. Fe^{4+} ions arise as a result of penetration of Pb used as a solvent in the growth of YIG crystals.

Analogous reasons lead to the appearance of Fe²⁺, Fe⁴⁺, and Mn³⁺ ions in ferrites with spinel structure [236, 297]. Co²⁺ ions arise in these materials when small amounts of cobalt are added to control the anisotropy or to raise the threshold of spin-wave parametric excitation (Chapter 10). All the mentioned 3d ions usually have no sharp near-crossings of the energy levels, and their main effect is the appearance of low-temperature ΔH maxima to be studied in the next section.

An interesting example of the influence of ions with strong spin-orbital coupling on ferromagnetic-resonance anisotropy is that of Cr^{2+} and Cr^{4+} ions in the ferromagnet $CdCr_2Se_4$ [417]. The anisotropy of this crystal is small because the main magnetic ions Cr^{3+} have quenched orbital momenta. However, distinct

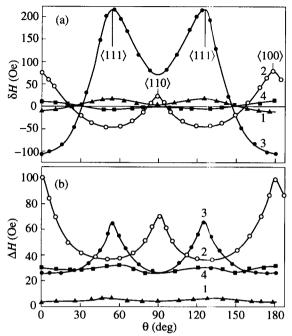


FIGURE 13.6

Angular dependences of $\delta H = H_{\rm res} - \omega/\gamma$ and ΔH for CdCr₂Se₄ (curves 1) and Cd_{1-x}Ag_xCr₂Se₄ (x = 0.015): as grown (curves 2), after annealing in vacuum (curves 3), and after subsequent annealing in Se vapor (curves 4) [34]. Measurements were performed at frequency 9.1 GHz and temperature 4.2 K.

 H_{res} maxima are observed in $\langle 111 \rangle$ directions [Figure 13.6(a), curve 1]. They are caused by Cr^{2+} ions arising in the course of crystal growth due to Se vacancies. The lowest energy levels of these ions have been shown in Figure 13.2.

But if $CdCr_2Se_4$ crystals are grown with small addition of Ag [Figure 13.6(a), curve 2], the maxima in $\langle 111 \rangle$ directions disappear and maxima in $\langle 100 \rangle$ and $\langle 110 \rangle$ directions arise. They are caused by Cr^{4+} ions, which emerge owing to the substitution of Ag^+ ions for Cd^{2+} ions. The Cr^{4+} ions in octahedral cubic environment have a low-lying orbital triplet (Table 13.3). It is split into a doublet and a singlet by the trigonal crystal-field component present on octahedral spinel sites. The singlet and the lowest level of the doublet (split by the spin–orbital interaction, as in Figure 13.2) are believed to approach each other in $\langle 100 \rangle$ and $\langle 110 \rangle$ directions.

The Ag-doped sample was then annealed in vacuum. This resulted in the rise of Se vacancies and, hence, of the Cr^{2+} ions. Strong H_{res} maxima caused by these ions appeared in $\langle 111 \rangle$ directions [Figure 13.6(a), curve 3]. The subsequent annealing of the sample in Se vapor resulted in the disappearance of these maxima (curve 4) due to the 'healing' of Se vacancies. It should be noted that in other

crystals, e.g., in HgCr₂Se₄, the Cr²⁺ and Cr⁴⁺ ions can coexist, being localized near the defects that have caused them. The H_{res} maxima in $\langle 111 \rangle$ and $\langle 100 \rangle$ directions are then observed at the same time [107].

An important assumption has been made in this section (it will be removed in Section 13.2): the relaxation time of the ionic energy-level populations is much shorter than the period of oscillations. The anisotropy obtained above with this assumption does not depend on frequency and coincides with the anisotropy measured in a constant field, e.g., by the method of torque moments (Section 2.2). It gives grounds to name such anisotropy the *static* anisotropy, although it manifests itself in such a dynamic process as ferromagnetic resonance.

13.2 Ionic relaxation processes

The contribution of the ionic relaxation process to the ferromagnetic-resonance linewidth of ferrites was observed, as it became clear afterwards, in the early 1950s. But this process was understood only after Dillon and Neilsen [97] and Spencer, Le Craw, and Clogston [379] discovered the low-temperature ΔH maxima in YIG single crystals with rare-earth impurity ions. To explain these maxima a theory known as the theory of fast relaxation was developed by Kittel, De Gennes, and Portis [224, 87]. According to this theory, the ΔH maxima appear at such temperatures at which the relaxation frequency of ion-level populations $1/\tau_i$ becomes equal to $\delta \varepsilon /\hbar$ where $\delta \varepsilon$ is the energy interval between the lowest levels.

It soon became apparent however, that the predictions of the fast-relaxation theory do not agree, in most cases, with experiment. Besides, the $1/\tau_i$ values can hardly be so large at the temperatures of ΔH maxima, as it is necessary according to this theory. Dillon [94] and Teale and Tweedale [403] proposed another mechanism to determine the behavior of ΔH in YIG with rare-earth impurities. It was given the name of slow relaxation. According to this mechanism, the ΔH maxima are observed at such temperatures at which $1/\tau_i$ is equal to the frequency ω of the ac field. It has turned out that the theory developed earlier by Clogston [75] for the case of interionic electron transitions (Section 14.1) is also the proper theory for the intraionic slow relaxation.

13.2.1 Transverse relaxation

The fast relaxation falls into the transverse relaxation mechanisms, for which the excitation of ions is accomplished by means of direct transitions between ionic energy levels. This excitation is analogous, in some sense, to the paramagnetic resonance [1, 15], with the difference that it is performed by the magnons of the main magnetic system, rather than by quanta of an external field. Different cases of such excitation are shown in Figure 13.7. Case (c) corresponds to the

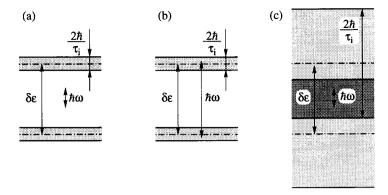


FIGURE 13.7

Transverse ionic relaxation: (a) transition probability is very small, (b) resonance relaxation, (c) fast relaxation.

fast-relaxation mechanism.

A macroscopic model was first used by Kittel |224] in the theory of fast relaxation. Both systems, the main magnetic system and the ions, were regarded as sublattices (Section 3.1) with magnetizations, respectively, M_1 and $M_2 = N_2 \mathfrak{M}_2$ where N_2 is the concentration and \mathfrak{M}_2 is the magnetic moment of the ions. The Landau–Lifshitz equations of motion were written for both sublattices neglecting the intrinsic anisotropy and dissipation of the first sublattice and assuming the exchange interaction between the sublattices to be antiferromagnetic. The solution of these coupled equations, in the case of small N_2 , results in

$$\Delta H = \frac{2\gamma_1 M_{20}}{\gamma_2 M_{10}} \frac{\omega_E \tau_1}{1 + \omega_E^2 \tau_1^2}.$$
 (13.10)

Here, $\omega_E \simeq \Lambda \gamma_2 M_{10}$ where Λ is the constant of the exchange interaction between the sublattices. The relaxation time τ_i is defined by

$$\frac{1}{\tau_1} = \Lambda \frac{M_{10}}{M_{20}} \gamma_2 \lambda_2 \tag{13.11}$$

where λ_2 is the dissipation parameter (Section 1.4) of the second sublattice; its value can be arbitrarily large.

It was mentioned in Section 3.3 that the system of rare-earth ions on dodecahedral lattice sites in garnets, even in the case of high concentrations, can be regarded as a sublattice only at low temperatures. The concentration of ions in the above-considered theory being small, this theory needs justification all the more. The microscopic theory [87] developed for the case of high temperatures can serve as such justification. Its result coincides with the high-temperature limit ($\omega_E \tau_i \ll 1$) of (13.10) if τ_i defined by (13.11) is equal to the relaxation time of the level populations in microscopic theory.

It should be emphasized that in both theories, the macroscopic and the microscopic, two essential assumptions were made: (i) the exchange interaction was regarded as isotropic, and (ii) the interaction of orbital moments with the lattice (which could be taken into account by means of the crystal field) was neglected. With these assumptions, the dc components of M_1 and \mathfrak{M}_2 are parallel, and the ac effective field Λm_1 acting on the ions, which is transversal with respect to M_{10} , is transversal also with respect to \mathfrak{M}_{20} . That is why the fast relaxation is regarded as a case of transverse relaxation.

A general theory of transverse relaxation was developed by Van Vleck [419]. Two systems coupled with each other by isotropic exchange interaction are considered in this theory. The first is described by the magnetization M_1 , which satisfies the Landau-Lifshitz equation. The second consists of ions, which are characterized by their energy levels. Taking into account two lowest of them, the following formula was obtained for the case of a sphere:

$$\delta H + i \frac{\Delta H}{2} = \frac{N_2 \mathfrak{M}_{20} \gamma_1}{M_{10} \gamma_2} H_0 \frac{\delta \varepsilon}{2\hbar} \left[\left(\omega - \frac{\delta \varepsilon}{\hbar} - \frac{i}{\tau_i} \right)^{-1} + \left(\omega + \frac{\delta \varepsilon}{\hbar} - \frac{i}{\tau_i} \right)^{-1} \right] \tanh \frac{\delta \varepsilon}{2\kappa T}$$
(13.12)

where M_{10} and \mathfrak{M}_{20} are the values at $T \to 0$. In the limiting case of fast relaxation $(\omega \ll \delta \varepsilon / \hbar, 1/\tau_i \sim \delta \varepsilon / \hbar)$, the expression for ΔH following from (13.12) reduces to (13.10), and δH becomes much smaller than ΔH .

Let us cite now the distinctive features of the fast-relaxation mechanism.

- 1. The resonance linewidth remains finite at $T \to 0$ and passes through a maximum when $1/\tau_i = \delta \varepsilon/\hbar$; the position of the maximum depends on ω only because of the frequency dependence of τ_i .
- 2. The height of the maximum grows with increasing ω .
- 3. The dynamic shift of the resonance field δH is small as compared with ΔH .

In the case of resonance relaxation $[\omega \sim \delta \varepsilon/\hbar, 1/\tau_i \ll \delta \varepsilon/\hbar$, Figure 13.7(b)] the maximal ΔH value can be much larger than for the fast relaxation, but it diminishes sharply when ω departs from the resonance frequency $\delta \varepsilon/\hbar$.

13.2.2 Longitudinal (slow) relaxation

The mechanism of slow relaxation (referred also to as *longitudinal* relaxation) is based on the modulation of ionic energy levels by the oscillations of the magnetic system. Consider, first, this mechanism qualitatively (Figure 13.8). The interval $\delta \varepsilon$ between two lowest energy levels is modulated with frequency ω . The *equilibrium* populations of the levels change with the same frequency, and transitions between the levels occur all the time 'trying' to restore the continuously disturbed equilibrium.

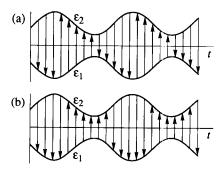


FIGURE 13.8

Transitions between ion energy levels in the course of modulation of the levels: (a) in the absence and (b) in the presence of retardation.

Suppose, first, that the transitions occur without retardation with respect to the change of $\delta\varepsilon$ [Figure 13.8(a)], i.e., from the lower level onto the upper one when $\delta\varepsilon$ is decreasing, and vice versa. Then, the average energy U_1 , transferred by the ions to the lattice, is equal to zero, as evident from Figure 13.8(a). But if there is a retardation [Figure 13.8(b)], i.e., the ionic system has a finite relaxation time τ_i , then U_1 is finite and positive. In a stationary regime this energy is compensated by the energy expended by the magnetic system on the modulation of energy levels. However, if the retardation is very large $(\tau_i \to \infty)$, the transitions 'have no time' to occur, and again $U_1 = 0$. One can believe that the energy transferred from the magnetic system to the ions and from them to the lattice is maximal when $1/\tau_i = \omega$.

The considered mechanism is like the Debay mechanism of dielectric relaxation (e.g., [227]) or the Gorter mechanism of paramagnetic relaxation [68, 144]. From the latter it differs in that the modulation of energy levels is accomplished by magnons instead of photons. It is the same difference as the above-mentioned difference between transverse relaxation and paramagnetic resonance. A mechanism analogous to the slow intraionic relaxation was proposed earlier, for electron transitions between Fe²⁺ and Fe³⁺ ions in ferrites, by Wijn and van der Heide [444] and, in a particular case of ferromagnetic resonance, by Jager, Galt, and Merritt [450]. The theory of this mechanism was developed by Clogston [75]. It is fully applicable to our case of slow ionic relaxation based on *intraionic* transitions.

Proceeding to the study of the Clogston theory, consider again two coupled systems: magnetic and ionic. The first is characterized by the vector M that satisfies the Landau–Lifshitz equation. The second consists of N ions characterized by the energy levels ε_j and their populations N_j , so that $\sum_j N_j = N$. The coupling of the systems is not specified in the theory, it is assumed only that the coupling leads to the variation of the levels with certain frequency ω . The equilibrium populations of the levels $N_{j\infty}$ are determined by the instantaneous ε_j values and are changing with the same frequency. The instantaneous populations N_j are also changing with the frequency ω but, of course, do not coincide with $N_{j\infty}$ due to

the retardation mentioned above. The main assumption of the theory is

$$\frac{\mathrm{d}N_j}{\mathrm{d}t} = \frac{N_{j\infty} - N_j}{\tau_\mathrm{i}}.\tag{13.13}$$

It means that all populations relax to their equilibrium values with the same relaxation frequency $1/\tau_i$.

Substituting $N_{j\infty}=(N_{j\infty})_0+n_{j\infty}\exp(\mathrm{i}\omega t)$ and $N_j=(N_j)_0+n_j\exp(\mathrm{i}\omega t)$ into (13.13) and regarding the amplitudes $n_{j\infty}$ and n_j as small with respect to $(N_{j\infty})_0$ and $(N_j)_0$, we obtain

$$N_j = (N)_0 + \frac{n_{j\infty}}{1 + i\omega\tau_i} \exp(i\omega t).$$
 (13.14)

We will restrict ourselves to the case of uniform oscillations and neglect all anisotropies except one caused by the considered ionic system. Then, the free energy may be written as

$$U = -\mathbf{M}\mathbf{H} + \sum_{j} N_{j} \varepsilon_{j} - S_{j} T$$
 (13.15)

where the entropy $S_j = -\kappa \sum_j N_j \ln(N_j/N)$ [244]. The first term in the right-hand side of (13.15) is the free energy of the magnetic system (which includes the entropy term, as M is the magnetization at a given temperature, see Section 2.1). It should be noted that now we may not use formula (13.2) for the free energy of the ionic system because it is valid only in the equilibrium.

The linearized equation of motion for the eigenoscillations of the magnetic system is

$$i\omega \boldsymbol{m} = -\gamma \boldsymbol{m} \times \boldsymbol{H}_{\text{ef }0} - \gamma \boldsymbol{M}_0 \times \boldsymbol{h}_{\text{ef}}$$
 (13.16)

where $m{H}_{
m ef\,0}$ and $m{h}_{
m ef}$ are, respectively, the dc and the ac components of the effective field

$$\boldsymbol{H}_{\text{ef}} = -\left(\frac{\partial U}{\partial \boldsymbol{M}}\right)_{N_{i} = \text{const}}.$$
 (13.17)

It is very important that the differentiation in (13.17) is carried out at constant populations N_j . Indeed, the right-hand side of (13.16) is the force moment acting on the system; in calculating it, the partial derivatives of energy must be taken with respect to the angles, i.e., to the M components, and populations N_j are to be regarded as constant factors. So, in calculating $H_{\rm ef}$ by (13.17) the last term of U in (13.15) must not be taken into account.

In the considered theory the Cartesian coordinate system with the z-axis directed along M_0 is used (Figure 13.9). In this system, $m_x = M_0 \delta \theta$ and $m_y = M_0 \delta \phi$ where $\delta \theta = \theta - \theta_0$ and $\delta \phi = (\varphi - \varphi_0) \sin \theta_0$. The components of $H_{\rm ef}$ in this system, according to (13.16) and (13.15), are

$$H_{\text{ef }x} = -\frac{1}{M_0} \sum_{j} N_j \frac{\partial \varepsilon_j}{\partial \theta} \qquad H_{\text{ef }y} = -\frac{1}{M_0} \sum_{j} N_j \frac{\partial \varepsilon_j}{\partial \phi} \qquad H_{\text{ef }z} = 0$$
(13.18)

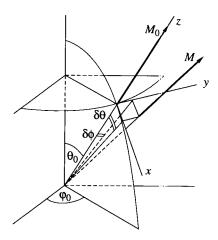


FIGURE 13.9 Coordinate system in the Clogston theory.

where the populations N_j are determined by (13.14). These components and the derivatives $\partial \varepsilon_j/\partial \theta$ and $\partial \varepsilon_j/\partial \phi$ are expanded in series near the point θ_0, φ_0 . They are substituted into the projections of (13.16), and only the terms of the first order are retained. The condition of compatibility of the obtained equations gives the complex frequency $\omega = \omega' + i\omega''$. In the case of small ion concentration, neglecting the terms with products of $N_{j\infty}$ or their derivatives, we obtain

$$\delta H \equiv H_0 - \frac{\omega'}{\gamma} = (\delta H)_{\infty} + (\delta H_{\omega})_{\infty}$$

$$(\delta H)_{\infty} = -\frac{1}{2M_0} \sum_{j} N_{j\infty} \left(\frac{\partial^{2} \varepsilon_{j}}{\partial \theta^{2}} + \frac{\partial^{2} \varepsilon_{j}}{\partial \phi^{2}} \right)$$

$$(\delta H_{\omega})_{\infty} = \frac{1}{2M_0} P \frac{1}{1 + \omega^{2} \tau_{i}^{2}}$$
(13.19)

$$\Delta H \equiv \frac{2\omega''}{\gamma} = \frac{1}{M_0} P\Omega \tag{13.20}$$

$$P = -\sum_{j} \left(\frac{\partial N_{j\infty}}{\partial \theta} \frac{\partial \varepsilon_{j}}{\partial \theta} + \frac{\partial N_{j\infty}}{\partial \phi} \frac{\partial \varepsilon_{j}}{\partial \phi} \right)$$
(13.21)

$$\Omega = \frac{\omega \tau_{\mathbf{i}}}{1 + \omega^2 \tau_{\mathbf{i}}^2}.\tag{13.22}$$

All the derivatives in (13.19) and (13.21) are taken at equilibrium angles θ_0 and φ_0 .

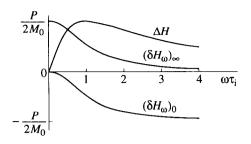


FIGURE 13.10

Frequency dependences of ΔH and of the resonance-field dynamic shifts in the theory of slow relaxation.

The expressions (13.19) can be rewritten in the form

$$\delta H = (\delta H)_0 + (\delta H_{\omega})_0$$

$$(\delta H)_0 = -\frac{1}{2M_0} \sum_j \left[\frac{\partial}{\partial \theta} \left(N_{j\infty} \frac{\partial \varepsilon_j}{\partial \theta} \right) + \frac{\partial}{\partial \phi} \left(N_{j\infty} \frac{\partial \varepsilon_j}{\partial \phi} \right) \right]$$

$$(\delta H_{\omega})_0 = \frac{1}{2M_0} P \frac{\omega^2 \tau_i^2}{1 + \omega^2 \tau_i^2}.$$
(13.23)

As $(\delta H_{\omega})_0 \to 0$ when $\omega \tau_i \to 0$ (Figure 13.10), the term $(\delta H)_0$ is the static shift of the resonance field. It coincides, as it can be shown, with the δH value obtained in Section 13.1. The term $(\delta H_{\omega})_{\infty}$ in (13.19) approaches zero when $\omega \tau_i \to \infty$, so $(\delta H)_{\infty}$ is the resonance-field shift when the populations of the levels 'have no time' to relax to their quickly changing equilibrium values. According to [68], this shift can be named adiabatic. It is interesting that both quantities $(\delta H)_0$ and $(\delta H)_{\infty}$ are obtained by double differentiation of the equilibrium energy $\sum_j N_{j\infty} \varepsilon_j$ with respect to the angles θ and ϕ . The first differentiation (which is related to the calculation of the effective field) is performed in both cases at $N_{j\infty} = \text{const.}$ The second differentiation is performed at $N_{j\infty} = \text{const.}$ in calculating $(\delta H)_{\infty}$, and taking into account the angular dependences of $N_{j\infty}$, in calculating $(\delta H)_0$.

Important relations

$$(\delta H_{\omega})_0 = \omega^2 \tau_i^2 (\delta H_{\omega})_{\infty} = -\frac{1}{2} \omega \tau_i \Delta H$$
 (13.24)

follow directly from (13.19), (13.23), (13.20), and (13.22).

Further development of the slow-relaxation theory was performed by Hartmann-Boutron [174] in two directions. First, it was shown that the anisotropy of exchange interaction results in the modulation of ionic energy levels by the oscillations of magnetization. Indeed, the anisotropy of the exchange interaction between magnetic and ionic systems leads to the fact that the transverse (with respect to M_0)

ac magnetization gives rise to the longitudinal (with respect to \mathfrak{M}_0) ac effective field acting on the ions. This field modulates, even in the first (linear) approximation, the energy levels of the ions. It should be noted that another cause of the appearance of the longitudinal ac field modulating the ionic energy levels is the crystal field, which also leads to nonparallelism of \mathfrak{M}_0 and M_0 if the spin-orbital interaction is present.

The second direction in which the slow-relaxation theory was improved by Hartmann-Boutron [174] is the replacement of (13.13) by the more general equation

$$\frac{\mathrm{d}N_j}{\mathrm{d}t} = -(N_j - N_{j\infty}) \sum_{j'=j} \frac{1}{\tau_{jj'}} + \sum_{j' \neq j} (N_{j'} - N_{j'\infty}) \frac{1}{\tau_{j'j}}.$$
 (13.25)

The first term in the right-hand side of this equation represents the number of ions leaving per unit time the considered level. The second term is the number of ions coming onto this level from all others. The 'reverse' terms, analogous to the second term in (13.25), were omitted in Sections 11.2, 11.3, and 12.5; studying the relaxation of magnons, we assumed the numbers of all quasiparticles, except the considered ones to be equal to their equilibrium values. It should be noted that in some cases this assumption is not valid even for the relaxation of quasiparticles, and particularly, in two cases. The first case is when the number of primary quasiparticles is large, as for the nonlinear damping of parametrically excited magnons (Section 10.5). The second case is when a certain region of the secondary-quasiparticle spectrum is overfilled due to some other process. This situation is analogous to one considered in this chapter. Magnetic oscillations modulate *all* the ionic levels, and the difference between the instantaneous and equilibrium populations of *all* levels is not to be neglected.

Consider now the important particular case of two energy levels. In this case, $N_1+N_2=N_{1\,\infty}+N_{2\,\infty}=N$, and equations (13.13) for N_1 and N_2 with the same relaxation frequency

$$\frac{1}{\tau_1} = \frac{1}{\tau_{12}} + \frac{1}{\tau_{21}} \tag{13.26}$$

follow from (13.25).

The distribution of ions over the levels in equilibrium state is the Boltzmann distribution [244]

$$N_{1,2\,\infty} = \exp\left(\frac{-\varepsilon_{1,2} + \mu}{\kappa T}\right) \tag{13.27}$$

where μ is the chemical potential. Finding it from the condition $N_{1\infty} + N_{2\infty} = N$, we get

$$N_{1,2} = N \left[1 + \exp\left(\mp \frac{\delta \varepsilon}{\kappa T}\right) \right]^{-1}. \tag{13.28}$$

Substituting $\varepsilon_{1,2}=\varepsilon_0\mp\delta\varepsilon/2$ and $N_{1,2\infty}$ from (13.28) into (13.21), we obtain

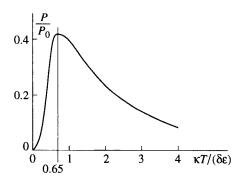


FIGURE 13.11 Temperature dependence of the factor P/P_0 given by (13.29), in the case of two levels.

(for ε_0 not depending on θ and φ)

$$P = P_0 \frac{\delta \varepsilon}{\kappa T} \left(\cosh \frac{\delta \varepsilon}{2\kappa T} \right)^{-2} \qquad P_0 = \frac{N}{4\delta \varepsilon} \left[\left(\frac{\partial}{\partial \theta} \delta \varepsilon \right)^2 + \left(\frac{\partial}{\partial \phi} \delta \varepsilon \right)^2 \right]. \tag{13.29}$$

The static resonance-field shift $(\delta H)_0$ calculated with (13.23) coincides, as it should, with (13.4).

The frequency dependence of the linewidth ΔH is determined by the factor Ω in (13.20). The linewidth approaches zero when $\omega \tau_i \to 0$ and when $\omega \tau_i \to \infty$ and passes through a maximum when $\omega \tau_i = 1$ (Figure 13.10), in accordance with the above-stated qualitative consideration. The ΔH temperature dependence is determined by both factors P and Ω because τ_i depends on T. The relaxation time τ_i usually decreases with growing temperature (see below), and the factor Ω has a maximum at such temperature T_Ω at which $\omega \tau_i(T) = 1$. The factor P, according to (13.29), passes through a maximum at $\kappa T_P = 0.65\delta \varepsilon$ (Figure 13.11). The function $\delta H(T)$ can have one maximum or two, depending on the difference between T_Ω and T_P . The dynamical shift $(\delta H_\omega)_0$, according to (13.23), has a temperature minimum near T_P .

Thus, the distinctive features of the slow (or longitudinal) relaxation mechanism are the following.

- 1. ΔH approaches zero at $T \to 0$ and has two temperature maxima; one of them, caused by the relaxation factor Ω , is shifted to the higher temperatures with increasing frequency; very often the two maxima merge into one.
- 2. The height of the ΔH temperature maximum depends slightly on frequency; the only reason of this dependence is the difference in positions of the Ω and P maxima.
- 3. The angular dependences of ΔH pass through minima when $\partial(\delta\varepsilon)/\partial\theta$ and $\partial(\delta\varepsilon)/\partial\phi$ go to zero, e.g., at the points of near-crossing of the ionic levels.

4. The dynamic shift of the resonance field is always negative; the ratio of its absolute value to ΔH is proportional to frequency.

13.2.3 Relaxation of ionic-level populations

The processes of relaxation of the ionic-level populations, which determine the τ_i values, are similar to, in some respects, the relaxation processes in paramagnetic substances [15]. However, the spin–spin (i.e., in our case, the ion–ion) relaxation does not now play an important role, as distinct from paramagnets, because the interaction between the ions is much weaker than their interaction with the magnetic system. Besides, the exchange splitting of the levels (Section 13.1) is much greater in ferromagnetic materials than the splitting in an external field, in the case of paramagnets. And, finally, along with the relaxation processes involving phonons, analogous processes with the participation of magnons (ion–magnon processes) can take place. Such processes were investigated theoretically by Buishvili [64] and Huber [186].

Processes in which more than two quasiparticles, phonons or magnons, take part are of negligible probability. For one-particle or so-called direct processes, the relaxation time, as Orbach [305] has shown, is

$$\tau_{\rm i} = \tau_0 \tanh \frac{\delta \varepsilon}{2\kappa T}.\tag{13.30}$$

Here, τ_0 is also a function of $\delta \varepsilon$, and the character of this function depends on which interaction leads to the process. The orbital-lattice interaction dominates in the case of ion-phonon processes, and τ_0 decreases with growing $\delta \varepsilon$. For ion-magnon processes, the exchange interaction plays the main role, and the dependence of τ_0 on $\delta \varepsilon$ is different for different ions [186]. For example, close to the near-crossings of energy levels, τ_0 decreases with growing $\delta \varepsilon$ for Yb³⁺ ions on dodecahedral sites in YIG and increases for Tb³⁺ ions on the same sites.

The two-quasiparticle Raman and Orbach processes [305] (Figure 13.12) do

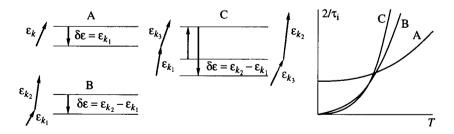


FIGURE 13.12

Elementary processes of the relaxation of ionic-level populations and temperature dependences of their contributions to the relaxation frequency (schematically): direct processes (A), Raman processes (B), and Orbach processes (C).

not differ in their final result. But, for the Orbach process, a third, higher level is needed. This leads to different temperature dependences. For Raman processes, $\tau_{\rm i}$ is proportional to T^{-7} in the case of non-Kramers ions and to T^{-9} in the case of Kramers ions. The $\tau_{\rm i}$ temperature dependence for Orbach processes is exponential. One can see from Figure 13.12 that direct processes dominate at low temperatures, whereas Raman and Orbach processes dominate at high temperatures.

13.2.4 Experimental data

Discussing the results of experimental investigations of ionic relaxation processes, we must take into consideration that the ions are often situated on several nonequivalent lattice sites. The energy levels are different for ions on different sites due to different orientations of M_0 with respect to the local crystal axes. Thus, all expressions for ΔH and δH are to be replaced by their sums over all nonequivalent sites.

Let us consider first the rear-earth ions in garnets. It has been mentioned above that the two-level model is well applicable to a low-lying doublet and to an 'accidental' near-crossing of levels. Yb³⁺ ions on dodecahedral garnet sites are a good example of the first case.

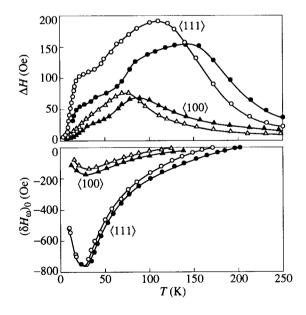


FIGURE 13.13 Temperature dependences of ΔH and the dynamic resonance-field shift for a $Y_{3-x}Yb_xFe_5O_{12}$ garnet (x=0.153) for different orientations of M_0 [74]. Open circles correspond to frequency f=9 GHz and full circles, to f=16.8 GHz.

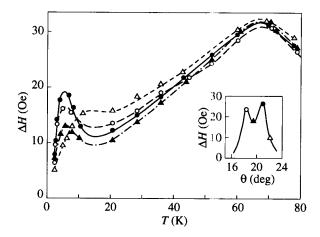


FIGURE 13.14 Temperature and angular dependences of ΔH for a $Y_{3-\alpha}$ Tb_xFe₅O₁₂ garnet ($x = 3 \times 10^{-4}$) in the vicinity of one of the near-crossings of Tb³⁺ energy levels [373]. Frequency f = 8.66 GHz. The temperature dependences are shown for the angles denoted by corresponding symbols on the ΔH vs θ curve.

The ferromagnetic resonance in a YIG single crystal with a small addition of Yb was thoroughly investigated by Clarke, Tweedale, and Teale [74] (Figure 13.13). The δH values presented in this figure were obtained by substracting the static resonance-field shift (measured by the torque-moment method) and the electrodynamic shift (Section 5.3) from the measured $H_{\rm res} - \omega/\gamma$ values. The ΔH values are the directly measured quantities and represent, practically, the contributions only of the ionic relaxation mechanism. The data shown in Figure 13.13 agree with the above-mentioned features of the slow-relaxation mechanism. The τ_i values found from these data decrease from $\sim 10^{-9}$ to $\sim 10^{-12}$ with growing temperature.

The experimental data for other Kramers rare-earth ions (Nd³⁺, Sm³⁺, Dy³⁺, and Er³⁺) [94] seem to be consistent with the supposition that the slow relaxation mechanism plays the main role for these ions, too. But the absence of a sufficiently-low-lying doublet makes difficult the qualitative interpretation of the data.

In YIG doped with *non-Kramers* ions Tb³⁺, Ho³⁺, and Pr³⁺, the 'anomalous' ΔH angular dependences caused by near-crossings of energy levels were observed [94, 373, 156, 9]. In the case of Tb³⁺, strong absorption bands take place at low temperatures for three M_0 directions in which the near-crossings occur (Figure 13.5). To explain these absorption bands in the framework of the slow-relaxation theory one has to assume the relaxation frequency $1/\tau_i$ (that is less than ω at such temperatures) to increase sharply with decreasing $\delta \varepsilon$. This assumption, made in [373], was confirmed theoretically by Huber [186]. He showed that the contribution of the above-mentioned direct ion-magnon processes dominates in

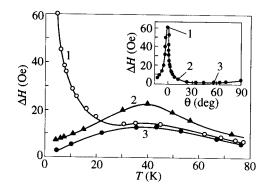


FIGURE 13.15

Temperature and angular dependences of ΔH for $Y_{3-x}Pr_xFe_5O_{12}$ garnet ($x=9\times10^{-4}$) measured at frequency f=9 GHz [156]. The temperature dependences are shown for angles denoted by corresponding numbers on the ΔH vs θ curve (measured at T=4.2 K).

this case at low temperatures and leads to the assumed dependence of $1/\tau_i$ on $\delta\varepsilon$. The minimal $\delta\varepsilon$ value can be estimated as $\sim 2.5~{\rm cm}^{-1}$ and the relaxation time τ_i , as $\sim 10^{-10}$ s. One can see from Figure 13.5 that there are minima at the centers of all absorption bands, in agreement with the slow-relaxation theory.

The ΔH temperature dependences in YIG doped with Tb are plotted, for one of the absorption bands, in Figure 13.14. Two maxima in these dependences can be associated with the contributions of different levels: the near-crossing pair (low-temperature maximum) and all other levels (high-temperature one). It is seen from Figure 13.14 that at high temperatures, when the relaxation frequency $1/\tau_i$ of the near-crossing levels exceeds ω , the angular absorption bands transform into angular minima. This has been observed for other 4f ions, too, [164] and is in agreement with the slow-relaxation theory if we suppose that $1/\tau_i$ increases with the decrease of $\delta \varepsilon$.

In YIG with $\mathrm{Ho^{3+}}$ ions, sharp δH maxima and strong absorption bands were observed [9] when M_0 directions lay in one of the {100} planes. In these directions, as has already been mentioned, the near-crossings of $\mathrm{Ho^{3+}}$ energy levels take place. The absorption bands, as in the case of $\mathrm{Tb^{3+}}$, were split into two maxima, in agreement with the slow-relaxation theory.

The temperature and angular dependences of ΔH , for YIG with small addition of Pr, are plotted in Figure 13.15. In this case, ΔH grows monotonically with decreasing temperature (at least, down to 4.2 K) and ΔH angular maxima are not split. The same features were observed [99] in YIG with addition of Yb for M_0 directions ($\theta=30^\circ$ and $\theta=90^\circ$) in which the Yb³⁺ ions penetrating onto octahedral lattice sites have near-crossing of energy levels (Section 13.1). The $\delta \varepsilon$ value can be so small in both cases, of Pr and Yb on octahedral sites, that the contribution of the transverse relaxation is possible.

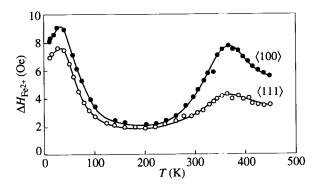


FIGURE 13.16 Temperature dependences of the contribution of Fe²⁺ ions to ΔH for Ge-doped YIG at different M_0 orientations [172]. f = 9.25 GHz, Fe²⁺ concentration z = 0.2 mol % (with respect to Fe³⁺ ions), $\Delta H_{\rm Fe^2+} = \Delta H|_{z=0.2} - \Delta H|_{z=0.}$ © 1972 Elsevier Science Ltd.

The influence of Fe²⁺ ions on ferromagnetic resonance in YIG was detected as early as in 1961 [165, 381] and was investigated in detail later on (e.g., [401, 175]). In addition to the intraionic transitions considered above, the electron transitions between Fe²⁺ and neighboring Fe³⁺ ions are possible in this case. Their influence on ferromagnetic resonance will be studied in Section 14.1. We mention here only that the characteristic frequencies for such transitions are usually much lower than the frequencies $1/\tau_i$ for the intraionic transitions. The temperature dependences of ΔH in YIG containing Fe²⁺ ions are plotted in Figure 13.16. The lowtemperature maxima in these dependences are caused by intraionic transitions and can be described in terms of the slow-relaxation theory. The high-temperature maxima are due to interionic electron transitions. Fe4+ ions, which arise in YIG as a result of the substitution of two-valent ions for the three-valent ions (e.g., Ca^{2+} for Y^{3+}), lead to ΔH temperature maxima [402] similar to such caused by Fe²⁺ ions. The reason for this is the same level splitting (Table 13.3) for Fe²⁺ ions in an octahedral environment (which is preferred by these ions) and for Fe⁴⁺ ions in a tetrahedral environment.

Fe²⁺ and Fe⁴⁺ ions can arise in YIG single crystals and epitaxial films grown from highly pure starting materials, without any additions, as a result of ions Pt⁴⁺ penetrating into the lattice from the crucible and of Pb²⁺ ions penetrating from the melt. The diminishing of the amount of these ions, or compensation of their effect, e.g., by small addition of Ca if Pt⁴⁺ ions dominate, is a necessary condition for obtaining YIG crystals or films with small ΔH values.

Ferromagnetic resonance in YIG with small additions of Mn and Co was also investigated [169, 388]. However, the interpretation of these experiments presents problems because these elements can have different valencies, can occupy different lattice sites, and can stimulate the change of valency of Fe ions.

The 3d ions with strong spin-orbital coupling in ferrites with *spinel* structure can exert, as White suggested [440], the same influence on ferromagnetic-resonance anisotropy and linewidth as the above-discussed ions in YIG. It soon became clear that the slow-relaxation mechanism also operates in spinels. But the above-mentioned difficulties in interpretation arise here, as well. The most attention was paid to the ferrite $Mn_{1-x}Fe_{2-y}O_4$, in which the fast relaxing ions Mn^{3+} and Fe^{2+} can exist [236]. Two ΔH temperature maxima were observed in some samples of this ferrite [73], the low-temperature caused by Mn^{3+} ions and the high-temperature caused by Fe^{2+} ions.

The ferromagnetic crystals with spinel structure MCr_2X_4 (M=Cd, Hg and X=S, Se) are also good objects for studying the ionic relaxation processes. In Figure 13.6 the ΔH angular dependences for one of these crystals have been shown. The ΔH maxima in $\langle 100 \rangle$ and $\langle 110 \rangle$ directions are caused by the nearcrossings of levels of the Cr^{4+} ions, which arise due to Ag addition in the course of the crystal growth. The maxima in $\langle 111 \rangle$ directions are caused by Cr^{2+} ions, which arise due to Se vacancies emerging in the process of annealing the sample. The slow-relaxation mechanism appears to dominate in this case, too, even though some of its characteristic features (ΔH low-temperature maxima and splitting of the ΔH angular maxima) have not been observed. Both were observed in another crystal of this group, $HgCr_2Se_4$ [107].

It follows from the considered examples that the ionic relaxation process is widely present in non-metallic ferro- and ferrimagnetic substances. In many cases, especially at low temperatures, this process forms the main channel of relaxation in ferromagnetic resonance. The ionic relaxation process makes essential contributions to energy dissipation in antiferromagnetic crystals (e.g., [354]) and in magnetically ordered metals (Section 14.2), as well.

The ionic relaxation process is based on the transitions between energy levels of individual ions. Therefore, the contributions of this mechanism to the spin-wave dissipation parameter ΔH_k should not depend essentially on the wave number k.

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Interaction of magnetic oscillations and waves with charge carries

14.1 Effect of charge carriers in semiconductors

Until now, studying oscillations and waves in magnetically ordered substances, we did not take into account the conductivity, i.e., regarded these substances as dielectrics. But actually they are, usually, semiconductors or metals. True, the ferrites used in microwave engineering (as well as in most experiments on ferromagnetic resonance and spin waves) are semiconductors with such small conductivity that the effect of charge carries can be neglected. However, for some ferrites with larger conductivity (e.g., [236]), this effect is essential. Moreover, there are magnetic semiconductors [284], which combine magnetic ordering with conductivity as large as for 'good' semiconductors. And, finally, there are ferromagnetic, ferrimagnetic, and antiferromagnetic metals, in which charge carriers have the determinative impact on all dynamic magnetic processes.

The theoretical treatment of magnetic oscillations and waves in conducting media is based on simultaneous solution of the Maxwell equations, equation of motion of the magnetization, and equations of motion of the charge carries. The peculiarities of the solutions and the nature of the observed phenomena depend, first of all, on the relationship between the dimensions of the sample and the skin depth δ (Section 4.2). In the present section the limiting case of $\delta \gg d$ will be considered, where d is the characteristic dimension of the sample, i.e., the radius of a sphere or the thickness of a plate. This case is realized, in the microwave region, for spheres of common ferrites, as well as for thin films of materials with much larger conductivity.

14.1.1 Damping of magnetic oscillations caused by conductivity

Let us consider the contribution of conductivity to the dissipation parameter of magnetization oscillations (in the case of $\delta \gg d$) using, as an example, the uniform

oscillations in a small ferromagnetic sphere [155]. The method of successive approximations may be applied. The magnetization in a nonconducting sphere will be the zero approximation. In the first approximation, the electric field induced by this magnetization will be found. The Joule losses of the current excited by this field will contribute to the damping of magnetic oscillations.

We will limit ourselves to the oscillations near ferromagnetic resonance and assume the resonance line to be sufficiently narrow. Then, $|m| \gg |h_e|$ (where m is the ac magnetization and h_e is the external ac field), the polarization of m is near circular, i.e., $m \simeq m(x_0 - \mathrm{i} y_0)$, and the ac magnetic induction is

$$b = h + 4\pi m = h_e - \frac{4\pi}{3}m + 4\pi m \simeq \frac{8\pi}{3}m.$$
 (14.1)

To find the ac electric field we have to substitute the zero-order induction (14.1) into the first of equations (6.4). The result of such calculation, performed by Pistol'kors and Sui Yangshen [316], can be represented in the form

$$e_1 = \frac{4\pi}{3} k_0 \left[(-x_0 + iy_0) z + z_0 (x - iy) \right] m.$$
 (14.2)

It is worth nothing that, for sufficiently narrow resonance line and not too small a sample, the field e_1 turns out to be much larger than the field h_e and, hence, much larger than the electric field in the resonator or waveguide in which the sample is placed. Assume, e.g., that the radius of the sphere R=0.5 mm, $M_0=139$ G, and $\Delta H=0.5$ Oe. Then, taking into account that $m\simeq M_0h_e/\Delta H$ (Section 1.5), we get, at $\lambda_0=2\pi/k_0=3$ cm, the electric field at the surface of the sphere $e_{1\,\text{max}}\simeq 100\,h_e$. According to (5.46) and (1.120), a resonator containing such a sphere will have a quality factor $Q\sim 5$. It is easy to make sure that $e_{1\,\text{max}}$ will be, then, as large as 10 kV cm⁻¹ when the power absorbed in the resonator is only ~ 1 W.

According to (4.75), the power of electric losses caused by the field e_1 is

$$P_{\sigma} = \frac{\omega \varepsilon''}{8\pi} \int_{V} |e_{1}|^{2} \mathrm{d}V \tag{14.3}$$

where the integration is over the sphere volume. This power is drawn from the energy of magnetic oscillations and makes a contribution to the dissipation parameter

$$\Delta H_{\sigma} = \frac{P_{\sigma}}{\gamma W_0} \tag{14.4}$$

where W_0 is the energy of the uniform oscillation mode. According to (7.68),

$$W_0 = \frac{\omega m^2}{2\gamma M_0}. (14.5)$$

After integrating in (14.3) with regard for (14.2), we get from (14.4)

$$\Delta H_{\sigma} = \frac{4}{45} \varepsilon'' 4\pi M_0 (k_0 R)^2.$$
 (14.6)

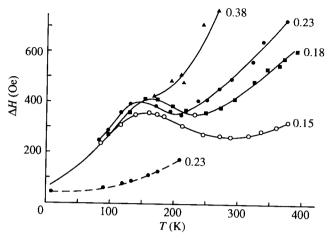


FIGURE 14.1 Temperature ΔH dependences for single-crystal spheres of ferrite Ni_{0.75}Fe_{0.25}Fe₂+Pe₂+O₄ at frequency 24 GHz [450]. Numbers by the curves denote the sphere diameters in millimeters. Solid lines correspond to $M_0 \parallel \langle 111 \rangle$, and dashed line corresponds to $M_0 \parallel \langle 100 \rangle$.

Thus, the contribution of conductivity to the linewidth is a dimensional effect.

The value of ε'' is less than 0.01 for stoichiometric single-crystal ferrites, as well as for most of polycrystalline microwave ferrites. For spheres of such materials with R<1 mm, the values of ΔH_{σ} are negligibly small (less than ~ 0.02 Oe). But they become essential for materials with higher conductivity, e.g., for ferrites containing Fe²⁺. The conductivity of such materials and, hence, ΔH_{σ} increase with growing temperature (Figure 14.1).

Formulae similar to (14.6) were obtained by Maryško [270] for other sample shapes. The order of the values and the dependence on frequency and the characteristic sample dimensions remain the same as in (14.6). Analogous calculations can be carried out for nonuniform oscillations and for spin waves. But it is obvious that the ΔH_{σ} values will be much smaller because the role of 2R will be played by the wavelength $2\pi/k$ or—for nonuniform modes—by the distance at which the ac magnetization phase changes by 2π .

14.1.2 Influence of interionic electron transitions

In some ferrites, the 'jumping' mechanism (referred also to as the valency-exchange or Vervey's mechanism) is the dominant mechanism of conductivity (e.g., [236]). In such substances, along with the main magnetic ions, e.g., Fe³⁺, there exist ions of the same element but with other valences (Fe²⁺ or Fe⁴⁺). Such ions are usually fast-relaxing ions. Their contributions to ferromagnetic-resonance anisotropy and relaxation caused by the intraionic transitions were studied in detail in the preceding chapter. But the *interionic* transitions, i.e., the transitions of

electrons between these ions and the neighboring ions with the main valency, can occur, as well. These transitions are associated with getting over certain (usually rather low, of the order of 0.1 eV) energy barriers and are caused by the thermal motion. If an electric field is applied, a prevailing direction of the transitions appears, and an electric current arises. In a high-frequency electric field, the inertia of the transitions manifests itself, and electrical losses appear. They pass through a maximum when the frequency of the field ω becomes equal to the relaxation frequency $1/\tau_e$ associated with the transitions.

Prevailing directions of such thermally activated transitions can be determined as well by the magnetization and can change (with delay τ_e) with the variation of the magnetization direction, e.g., at ferromagnetic resonance. The theory considered in Section 13.2 is fully applicable to the interionic transitions; moreover, it was developed by Clogston just for this case. The energy levels ε_j are now the levels of the complexes that differ in the appearance of an extra electron (as in the case of Fe²⁺) or in the lack of an electron (as for Fe⁴⁺) at different neighboring ions. Then, the difference in ε_j values is due to the difference in angles between the direction of M and the local axes of ions. Thus, the number of levels is equal to the number of nonequivalent lattice sites that can be occupied by the considered ions.

Fe²⁺ ions prefer the octahedral sites [236], and there are four such nonequivalent sites in spinel and garnet structures (Section 3.3). That is why Clogston examined the model with four levels ε_j and found, using formula (13.20), that ΔH is maximal when $M_0 \parallel \langle 100 \rangle$ and is minimal when $M_0 \parallel \langle 111 \rangle$. Such anisotropy (resulting from the interionic Fe³⁺-Fe²⁺ transition) was observed for the high-temperature maximum of ΔH in YIG with a Ge addition [172]. As to the experimental data for the Ni-Fe ferrite (which Clogston tried to compare his theory with), in that case the ΔH anisotropy has the reversed sign (Figure 14.1). It later became clear that no contradiction exists here because the temperature ΔH maxima in Ni-Fe ferrite are caused by intraionic transitions (Section 13.2).

It should be noted that at very low temperatures the interionic electron transitions, practically, do not occur at all during the time 'at the disposal' of experimentalists; this is one of the reasons of the induced anisotropy in ferrites (e.g., [236]). At higher temperatures, when $\tau_{\rm e}$ becomes comparable with the time of experiment, the retarded interionic transitions lead to nonstationary phenomena known as magnetic aftereffects. At still higher temperature, when $1/\tau_{\rm e}$ becomes comparable with the frequency ω of the ac magnetic field, the same transitions result in magnetic viscosity, i.e., in the losses described by the imaginary part of the permeability. And when $1/\tau_{\rm e}$ becomes as high as the ferromagnetic-resonance frequency (usually at temperatures higher than the room temperature), the abovementioned contributions of interionic transitions to $H_{\rm res}$ and ΔH take place.

The interionic transitions can occur under the influence of light, which leads to the interesting photomagnetic effects, in particular, to the light-induced magnetocrystalline anisotropy. This phenomenon was investigated, in the case of YIG with addition of Si, by Dillon, Gyorgy, and Remeika [96].

One note more: the diffusion of atoms or ions in the crystal lattice can also

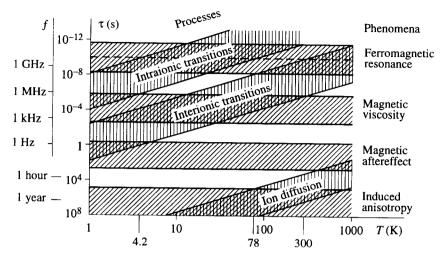


FIGURE 14.2 Characteristic time vs temperature for different processes and regions in which these processes manifest themselves in different phenomena (schematically). The dashed line corresponds to the experimental data shown in Figure 14.1.

lead to the induced magnetocrystalline anisotropy or, at higher temperatures, to the magnetic aftereffect. As the characteristic time of the diffusion is much longer than the relaxation time of electron transitions, the phenomena caused by the diffusion are shifted to much higher temperatures. The positions of all mentioned phenomena on frequency and time scales are shown—quite schematically—in Figure 14.2.

14.1.3 Interaction of spin waves with charge carriers

Let us discuss now the influence of charge carriers on the propagation of magnetic waves. The permittivity ε cannot be regarded now as a real scalar quantity, as in Chapters 6 and 7, but it is a tensor with complex components depending on frequency and, in general, on the wave vector. To find this tensor we must use the equations of motion of the charge carriers. Because of the distribution of carriers over the velocities V, the function of the equation of motion is fulfilled now by the Boltzmann kinetic equation for the distribution function f(V, r, t) (e.g., [253]). Having found this function for each type of carriers, at given values of the electric field E and magnetic field H, we can then calculate all quantities depending on the carriers, in particular, the concentrations $N_s(r,t) = \int f(V,r,t) dV$ and the mean velocity

$$\overline{V}_{s}(\boldsymbol{r},t) = \frac{1}{N_{s}} \int V f_{s}(\boldsymbol{V},\boldsymbol{r},t) \, dV$$
 (14.7)

where s denotes the type of carriers. The current density

$$\boldsymbol{J} = \sum_{s} e_{s} N_{s} \overline{\boldsymbol{V}}_{s} \tag{14.8}$$

where e_s is the charge of the s-type carrier. If the Ohm law holds, the conductivity $\vec{\sigma}$ and (for harmonic processes) the complex permittivity (4.18) can be obtained. (The 'dielectric constant' ε_d , in the microwave frequency range, can be regarded, really, as a constant.)

In the problem of interaction of magnetic waves with charge carriers, the so-called hydrodynamic approximation (e.g., [317]) can be used. The carriers of each type are entirely characterized, then, by the following parameters: concentration N_s , mean velocity \overline{V}_s , and mean frequency of collisions $1/\tau_s$. The equation of motion in this approximation can be written as

$$\frac{\partial \mathbf{V}_s}{\partial t} + (\mathbf{V}_s \nabla) \mathbf{V}_s = \frac{e_s}{m_s} \left(\mathbf{E} + \frac{1}{c} \mathbf{V}_s \times \mathbf{B} \right) + \frac{\nabla P}{m_s N_s} - \frac{\mathbf{V}_s}{\tau_s}$$
(14.9)

where m_s is the carrier mass and P is the pressure. This equation can be derived, with appropriate assumptions, from the Boltzmann kinetic equation. It can be regarded, as well, as the Newton equation of motion for carriers in a volume element moving with the velocity V_s . The left-hand side of (14.9) is the total derivative $\mathrm{d}V_s/\mathrm{d}t$. The terms in the right-hand side are: the Lorentz force, the force caused by pressure gradient, and the frictional force caused by the collisions. We limit ourselves to one type of carriers (electrons) with the charge e_0 and neglect the 'pressure' term in (14.9).

All quantities in (14.9) are the sums of steady and alternating components. Regarding the latter as small and assuming that their dependence on time is harmonic, we obtain the *linearized* equation for the complex amplitudes

$$i\omega \boldsymbol{v} + (\boldsymbol{V}_0 \nabla) \boldsymbol{v} = -\frac{|e_0|}{m_e} \left(\boldsymbol{e} + \frac{1}{c} \boldsymbol{V}_0 \times \boldsymbol{b} + \frac{1}{c} \boldsymbol{v} \times \boldsymbol{B}_0 \right) - \frac{\boldsymbol{v}}{\tau}.$$
 (14.10)

(An assumption has been made that the steady velocity V_0 does not depend on coordinates.)

We will consider the solutions of (4.10) for a *uniform plane wave* propagating along or perpendicularly to the direction of the steady magnetization (Figure 14.3). In both cases the drift velocity V_0 will be parallel to the direction of propagation.

In the first case $(k \parallel V_0 \parallel B_0)$, projecting (14.10) onto axes x and y (the z-axis is directed, as usual, along B_0) and passing to the circular variables $v_{\pm} = v_x \pm i v_y$, etc., we get

$$v_{\pm} \left(i\omega \mp i\omega_B - ikV_0 + \frac{1}{\tau} \right) = -\frac{|e_0|}{m_e} \left(e_{\pm} \pm \frac{i}{c} V_0 b_{\pm} \right)$$
 (14.11)

 $^{^1}$ In what follows, the mean velocity will be denoted, simply, by V_s .

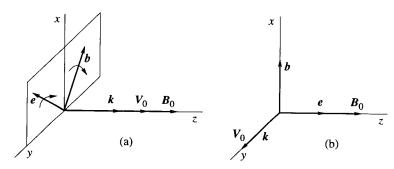


FIGURE 14.3
Particular cases of wave propagation in a medium with drifting charge carriers.

where $\omega_B = |e_0|B_0/(m_{\rm e}c)$ is the cyclotron frequency. To exclude b_\pm we use the Maxwell equation (4.7), from which it follows $b_\pm = \pm {\rm i} \left(ck/\omega\right)e_\pm$.

Linearizing (14.8), in our case of one type of carriers, we get

$$j = -|e_0| (N_0 v + n V_0). (14.12)$$

But the second term in the parentheses is small, and we neglect it. Passing in (14.12) to the circular components of j and v, we find, using (14.11), the conductivity σ_{\pm} , and, finally, taking into account (1.18), we obtain

$$\varepsilon_{\pm} = \varepsilon_{d} - \frac{\omega_{p}^{2}}{\omega} \frac{1 - kV_{0}/\omega}{\omega - kV_{0} \mp \omega_{B} - i/\tau}$$
(14.13)

where

$$\omega_{\rm p} = \sqrt{\frac{4\pi N_0 e_0^2}{m_{\rm e}}} \tag{14.14}$$

is the Langmuir plasma frequency [317].

We are interested in the influence of carriers on the *slow* (spin) waves in a ferromagnet (Section 4.2). In the case of $k \parallel B_0$, the wave with right-hand circular polarization is such a wave. Therefore, we must take the upper signs in (14.13). In the second particular case ($k \perp B_0$), the wave with electric field e directed along e0 is the slow wave. For this direction of e, proceeding analogously to the previous case, we find

$$\varepsilon_{\parallel} = \varepsilon_{\rm d} - \frac{\omega_{\rm p}^2}{\omega} \frac{1 - kV_0/\omega}{\omega - kV_0 - i/\tau}.$$
 (14.15)

Thus, in both cases the tensor $\stackrel{\hookrightarrow}{\varepsilon}$ components are complex and depend on ω , k, and, in the first case, also on the steady magnetic field. Electromagnetic waves in such media, the so-called *electrokinetic* waves, have been thoroughly investigated (e.g., [557]). The waves with circular polarization propagating along B_0 are called

helicons, and the linearly polarized waves propagating perpendicularly to B_0 are called the cyclotron waves.

Separating the real and the imaginary parts of the obtained expressions for $\stackrel{\leftrightarrow}{\varepsilon}$ components, we get, in the first case,

$$\varepsilon_{\pm}^{"} = \frac{\omega_{\rm p}^2}{\omega \tau} \frac{1 - kV_0/\omega}{(\omega - kV_0 \pm \omega_B)^2 - (1/\tau)^2}.$$
 (14.16)

In the second case, in the expression for $\varepsilon_{\parallel}''$ the term $\pm \omega_B$ is absent. In both cases ε'' decreases with the growth of V_0 and becomes equal to zero at $V_0 = \omega/k \equiv v_{\rm ph}$. This means that the electric losses are compensated by the drift of the carriers. The mechanism of the compensation is that the Lorentz force $|e_0|/(m_{\rm e}c)V_0 \times b$ excites the ac current in the direction antiparallel to the ac electric field e. When $V_0 > v_{\rm ph}$, the quantity ε'' becomes negative. And if there are no other losses, except those caused by the conductivity, then a wave propagating in such medium will be *amplified*. The energy will be drawn from the source that accelerates the carriers.

It is easy to make sure that, when V_0 and k make an arbitrary acute angle, the condition of loss compensation is

$$kV_0 > \omega. \tag{14.17}$$

It is worth noting that this condition coincides with the condition of the Cherenkov radiation [246] of electromagnetic energy by electrons moving in dielectric with velocity V_0 .

Suppose now that the medium is a magnetically ordered one, e.g., a ferromagnet. Then, to obtain the dispersion relations of electromagnetic waves in such a medium we have to find the solutions of Maxwell's equations, the equations of motion of charge carriers, and the Landau–Lifshitz equation of motion of magnetization; the boundary conditions should be, of course, taken into account. For waves in semiconductors (but not in metals, see Section 14.2) all the characteristic dimensions, including the carrier mean free path, are smaller than the wavelength in the microwave range. Under this condition, we may seek the solutions of Maxwell's equations with the parameters $\vec{\epsilon}$ and $\vec{\mu}$ found beforehand from the corresponding equations of motion.

For an unbounded medium, the formulae given in Section 4.2 can be used, e.g., formula (4.42), for waves propagating along M_0 , and formula (4.53), for waves that propagate perpendicularly to M_0 . The waves for which the dispersion relations are found in such a way are mixed magneto-electrokinetic waves. They have been investigated in detail in many important cases (e.g., [386]). These mixed waves, analogously to the magnetoelastic waves (Section 12.3), can be regarded (in terms of coupled waves) as a result of the interaction of magnetic (spin) waves in a nonconducting medium and electrokinetic waves in a medium with $\mu = 1$. Similar to the magnetoelastic waves (Section 12.3), the interaction is the strongest near the crossing points of the dispersion curves for the unperturbed waves. Far

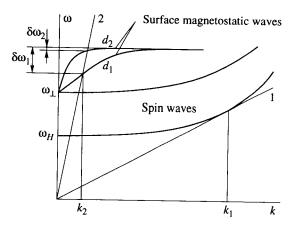


FIGURE 14.4

Dispersion curves for spin waves in an infinite medium and for surface nonexchange magnetostatic waves in tangentially magnetized films. The straight line 1 corresponds to $v_{\rm ph\,min}$ of spin waves, and the straight line 2 corresponds to a certain drift velocity. $\delta\omega_1$ and $\delta\omega_2$ are the frequency bands in which (without allowance for magnetic losses) the amplification by drifting charge carriers is possible in films with thicknesses, respectively, d_1 and d_2 .

enough from these points, the spectrum of mixed waves consists of the magnetic and the electrokinetic branches more or less perturbed by the interaction.

With allowance for losses (caused both by the conductivity and the imaginary parts of μ components) the dispersion equations for the mixed waves become complex. In the case of stationary waves, ω is real and the solutions of these equations have the form k=k'-ik''. And if the drift velocity and the concentration of the carriers are large enough, the losses are compensated, k'' becomes negative, and the *amplification* of the wave takes place. Not too near the crossing point, we may speak of the amplification of spin waves by drifting carriers. The possibility of such an amplification was predicted by Trivelpiece *et al.* [406] and investigated theoretically by Akhiezer, Bar'yakhtar, and Peletminskii [13] (see also [14]) on the model of an *electron beam* piercing the ferromagnet. The beam velocity should be, in any case, larger than the minimal spin-wave phase velocity, which according to (7.9) is $v_{\rm ph \, min} = \sqrt{\eta \omega}$. For YIG at microwave frequencies, $v_{\rm ph \, min} \sim 10^5$. Such beam velocities are easily achievable in vacuum, but the model of an electron beam piercing a ferromagnetic sample is hardly realizable.

The amplification of spin waves by drifting carriers in a *semiconductor* was investigated by Makhmudov and Bar'yakhtar [268]. It was later shown [80] that for full compensation of losses, i.e., for amplification, such parameters are needed $(\Delta H < 0.3 \text{ Oe}, V_0 \sim 10^6)$ which can hardly be obtained in one substance.

Therefore, great attention has been paid to the problem of amplification of waves in *composite structures* that contain adjoining layers of 'good' weakly conducting ferrite and of 'good' nonmagnetic semiconductor. This problem was first studied by Schlömann [350] on a model of a semi-infinite ferrite and a thin semiconductor

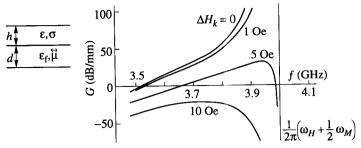


FIGURE 14.5

Calculated frequency dependence of the amplification coefficient of the surface magnetostatic wave in a ferrite-semiconductor structure [49]. $d=3.6~\mu\text{m},~h=\infty$, $V_0=3\times10^7~\text{cm s}^{-1},~H_0=553~\text{Oe},~M_0=139~\text{G},~\varepsilon_f=17~\text{(YIG)},~\varepsilon=17.7,~\sigma=191~\Omega^{-1}~\text{cm}^{-1}$. © 1978 IEEE.

layer. Lukomskii and Tsvirko [260] and Bini, Millanta, and Rubino [49] investigated the problem for a surface magnetostatic wave (Section 6.2) in a thin ferrite film. It was shown that, if magnetic losses are not taken into account, amplification begins at $V_0/v_{\rm ph}=1$ ($k=k_2$ in Figure 14.4) and intensifies with the growth of this ratio. It is seen from Figure 14.4 that the frequency band of the amplification is larger the thinner the ferrite film.

The allowance for magnetic losses in the ferrite film leads to the narrowing of the frequency band in which the amplification takes place (Figure 14.5): from the low-frequency side of the band, because the amplification begins now at $V_0/v_{\rm ph}>1$, and from the high-frequency side, because the contribution of magnetic losses to k'' increases with growing k' due to the decrease of the group velocity.

The amplification of magnetic waves by drifting charge carriers—despite repeated attempts—has not been as yet reliably observed in experiment. The main reasons for this are: the difficulty of combining the necessary parameters in one substance (for uniform magnetic semiconductor) and narrow frequency band and criticality of the conductivity value (for composite structures).

It should be noted that the above-considered amplification of a stationary wave (described by negative k'' at real ω) is often referred to as the *convective* instability; the increase of the field amplitudes in time (described by negative ω'') is called the *absolute* instability.

14.2 Ferromagnetic resonance and spin waves in metals

In this section, magnetic oscillations and waves in ferromagnetic metals will be studied. Transition metals of the 3d or iron group (Fe, Co, and Ni), some rare-earth metals (Gd, Dy, Ho, Er), and many alloys belong to this class. Magnetic oscillations and waves in ferromagnetic metals are of fundamental interest for

the study of interaction between the magnetic system and charge carriers. At the same time, they are of interest, from a practical point of view, as the means for measurement of parameters of ferromagnetic metals. The application of ferromagnetic metals in microwave engineering is also not ruled out.

In metals (e.g., [24, 253]), the electrons of the outer, s shells are collectivized and form the electron 'liquid' with the density (number of electrons per 1 cm³) $n \sim 10^{22}$. The lattice of positive ions is immersed into this liquid. The presence of the lattice results in the fact that the energy spectrum of electrons in metal consists of energy bands separated by forbidden gaps. The upper band (or several upper bands) in metals is not entirely filled. The boundary (at T=0) between the filled and the unfilled electron states in the k-space (where k is the quasi-wave vector) is called Fermi surface. The maximal energy of the filled states is the Fermi energy ε_F [24]. In the isotropic case the Fermi surface is a sphere with radius $k_F = (3\pi^2 n)^{1/3}$.

In magnetically ordered, in particular, in ferromagnetic metals, the positive ions immersed in the electron liquid are the ions of elements with unfilled 3d or 4f shells. It should be noted that the direct exchange interaction of the spin moments of these ions cannot assure the magnetic ordering (as well as in nonmetallic crystals, Section 3.1), and the indirect exchange interaction plays an important role. In metals the exchange interaction via the collectivized s electrons, the Ruderman–Kittel–Kasuya–Iosida (RKKI) interaction is the most essential. In the case of 4f metals the ionic magnetic moments, to a good approximation, can be regarded as localized. For 3d metals the delocalization of 3d electrons and their hybridization with s electrons takes place.

In the microwave range, the penetration length of electromagnetic field into metal (the skin depth) δ is of the order of 10^{-4} cm. This leads to the most important distinction of magnetic oscillations and waves in metals from such processes in weakly conducting media, e.g., ferrites. The conductivity can be neglected in the study of magnetic waves in metals only if the dimension of the sample (in the direction of the wave propagation) is much less than δ , as, e.g., in the case of standing spin waves in thin films (Section 7.2).

The second peculiarity of magnetic oscillations in metals is also caused by high density of conduction electrons. This peculiarity is the great contribution of relaxation processes in which these electrons take part to the damping of oscillations.

14.2.1 Thin-film model

The ferromagnetic resonance in metals (and, in general, the ferromagnetic resonance) was first observed by Griffiths [149] in thin films of Fe, Ni, and Co magnetized tangentially to the film surfaces. The obtained resonance conditions were originally incomprehensible: the apparent g-factor (found from the ratio of ω to H_0) turned out to be several times larger than two. This 'anomaly' was explained by Kittel [219], who took into consideration the ac demagnetizing field

and showed that formula (1.93) holds in this case.² Soon it became clear that for bulk metallic samples magnetized tangentially to the surface the ferromagnetic-resonance frequency is approximately equal to

$$\omega = \omega_{\perp} \equiv \gamma \left[H_0 \left(H_0 + 4\pi M_0 \right) \right]^{1/2} \tag{14.18}$$

where, as distinct from (1.93), H_0 is the *internal* steady field. Expression (14.18) follows from (1.92) if the demagnetization factors for the ac field are assumed to be the same as for a thin surface layer, and the demagnetization factor for the steady field, to be as for the entire sample. This assumption defines the so-called *thin-film model* for ferromagnetic resonance in bulk metallic samples.

Formula (14.18) can be generalized to the case when the steady magnetization M_0 makes an arbitrary angle θ with the normal to the sample surface. In the coordinate system with z'-axis coinciding with the normal, all components of the demagnetization tensor N are equal to zero, except $N_{3'3'} = 4\pi$. Passing to the coordinate system with z-axis coinciding with M_0 direction and using formula (1.92), we get

$$\omega = \gamma \left[H_0 \left(H_0 + 4\pi M_0 \sin^2 \theta \right) \right]^{1/2}. \tag{14.19}$$

If $\theta=\pi/2$, expression (14.18) follows from (14.19), and if $\theta=0$ (normal magnetization), $\omega=\omega_H=\gamma H_0$.

If the angle between M_0 and the normal varies over the sample surface, the thin-film model can be used for calculation of the resonance-curve shape in the 'independent-grain' approximation (Section 2.4). Similarly to expression (2.61), without allowance for internal dissipation, we get

$$\chi''(\omega, H_0) = \frac{\pi}{4} M_0 \int_S \delta [H_0 - H_{\text{res}}(\omega)] dS$$
 (14.20)

where S is the sample surface. For a sphere, $H_{\rm res}$ is determined by (14.19). Then, the calculation by (14.20) results in the resonance curve χ'' ($H_{\rm e\,0}$) shown in Figure 14.6. The width of this curve on the $(1/2)\,\chi''_{\rm max}$ level is

$$(\Delta H)_0 = \frac{3}{2}\pi M_0 + \sqrt{\left(\frac{\omega}{\gamma}\right)^2 + \frac{1}{4}(\pi M_0)^2} - \sqrt{\left(\frac{\omega}{\gamma}\right)^2 + 4(\pi M_0)^2}. \quad (14.21)$$

If $\omega/\gamma \gg 2\pi M_0$, then $(\Delta H)_0 \simeq (3/2)\pi M_0$. It should be noted that such nonuniform broadening of the resonance curve is the main reason why spherical samples are not used in experiments on ferromagnetic resonance in metals.

²Having extended this idea to the case of an arbitrary ellipsoid, Kittel obtained [220] the famous formula (1.93).

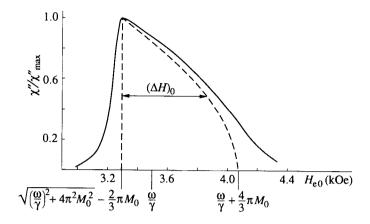


FIGURE 14.6

Ferromagnetic-resonance absorption curves in a metal sphere according to the thin-film model. The dashed curve is calculated by formula (14.20) with $\omega/\gamma=8\pi M_0$; the solid curve is drawn schematically making allowance for the intrinsic losses.

14.2.2 Theory without allowance for exchange interaction

The above-considered thin-film model, which is based on the assumption of a uniform ac magnetization in the thin surface layer, is very crude. In reality, electromagnetic waves are excited at the surface of a metallic sample and propagate with large damping into metal. A theory of ferromagnetic resonance in metal must be based on examining these waves. If the conductivity of the metal is so large that $\delta \ll \lambda_0$ (where λ_0 is the wavelength outside the metal), the waves in the metal are propagating along the normal to the surface. And if the radius of curvature of the surface is much larger than δ , the waves may be regarded as plane waves.

Without taking into account the electrokinetic effects mentioned in the previous section (their influence is usually negligible in the frequency range we are interested in) and neglecting the electrical crystalline anisotropy, we will regard the permittivity (4.18) as a scalar quantity. Its real part can be neglected for usual ferromagnetic metals at microwave frequencies. Thus, we assume that $\varepsilon = -i\varepsilon'' = -i4\pi\sigma/\omega$. If magnetic losses are not taken into account, then, neglecting the magnetocrystalline anisotropy, we will take expressions (1.54) for the tensor μ components. Allowing for magnetic losses, we will use the expressions that are obtained from (1.54) by the substitution (1.68).

It was shown in Section 4.2 that, in the case of scalar ε , effective permeabilities can be introduced for normal waves in a ferromagnet. When M_0 is perpendicular to the metal surface (normal magnetization) so that the waves in metal propagate along M_0 , the two waves with circular polarization are the normal waves, and $\mu_{\rm ef\,1,2}=\mu\pm\mu_a$. In the case of tangential magnetization (with respect to the surface) the two waves with different linear polarization of vectors e and b are

the normal waves. In this case, $\mu_{\rm ef\,1}=\mu_\perp$ and $\mu_{\rm ef\,2}=1$. It is obvious that the normal waves with parameters depending on frequency and magnetic field in a resonance manner exert the most important influence on ferromagnetic resonance. In the case of normal magnetization, the right-hand circularly polarized wave with $\mu_{\rm ef}=\mu+\mu_a$ is such a wave, and for tangential magnetization, the extraordinary wave with $\mu_{\rm ef}=\mu_\perp$. The resonance conditions for these effective permeabilities are just the conditions that are given by the thin-film model: $\omega=\omega_H$ in the former case and $\omega=\omega_\perp$ in the latter case. However, both normal waves for each direction of magnetization are to be taken into account in the strict theory.

The final result of the theory of ferromagnetic resonance in a metallic sample (as well as in a nonmetallic one), which permits an experimental test, must consist in determining how the parameters of an electromagnetic system with such a sample vary in the region of resonance. For an electromagnetic resonator, the resonance frequency and the quality factor are such parameters, and for a waveguide, the coefficients of transmission and reflection. This problem having been solved, it becomes possible to obtain the parameters of the metal from the measured dependences of the resonator or waveguide parameters on frequency or magnetic field. The solution of the mentioned problem consists in obtaining the electromagnetic fields in the resonator (or in the waveguide) and in the sample (which can be a part of the waveguide or resonator wall). As we, for the present, do not take into account the exchange interaction, the solution must satisfy only the ordinary electrodynamic boundary conditions. The incident power at the input of the system should be regarded as the known quantity.

A strict solution of this problem would be very difficult, and the method of successive approximations is to be used. As a zero approximation, we can take the magnetic field $h^{(0)}$ in the considered system near the surface of the metallic sample, assuming its conductivity to be infinite. Then, using the boundary conditions at the surface, we find magnetic and electric fields of both normal waves in metal. The sum of their electric fields at the surface is equal to the tangential component of the electric field $e^{(1)}$ of the resonator or the waveguide in the first approximation. Using the fields $h^{(0)}$ and $e^{(1)}$, all parameters of the considered system can be calculated. It is worth noting that the influence of these parameters on the field $h^{(0)}$ can be taken into account, which makes the problem self-consistent and allows one to increase the accuracy of the solution.

Let us consider a hollow resonator; a part S_1 of its surface is the ferromagnetic metal, and the remainder $(S_0 - S_1)$ is perfectly conducting. To find the changes of the resonator frequency and quality factor caused by the ferromagnetic metal we can use the Slater perturbation formula (4.96). This formula can be transformed by introducing the *surface impedance* ζ_s , defined in the following way:

$$(\mathbf{n}_0 \times \mathbf{e}) \, \mathbf{h}^* = \zeta_{\mathrm{s}} \mathbf{h} \mathbf{h}^*. \tag{14.22}$$

Here, e and h are the fields in the resonator at the surface and n_0 is a unit normal to the surface. If $h^{(0)}$ is linearly polarized, the impedance ζ_s is the ratio e_{τ}/h where e_{τ} is the projection of e onto the direction perpendicular to h and n_0 . With

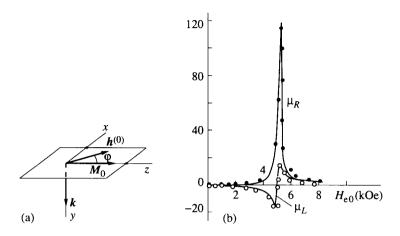


FIGURE 14.7

Ferromagnetic resonance in metal magnetized tangentially to its surface: (a) coordinate axes; (b) field dependence of μ_R and μ_L for permalloy [54]; the curves were calculated with parameters chosen to fit the experimental points.

allowance for (14.22), formula (4.96) takes the form

$$\frac{\omega - \omega_0}{\omega_0} + i \frac{1}{2Q} = \frac{ic\zeta_s}{2\omega_0 W_0} \int_{S_1} |h^{(0)}|^2 dS$$
 (14.23)

where ω_0 is the eigenfrequency of the resonator with walls of infinitely conducting metal, W_0 is determined by (4.84), and the prime at ω' is omitted.

Consider first, following Young and Uehling [455], the case of tangential magnetization $(M_0 \perp n_0)$. Assume that the field $h^{(0)}$ makes an angle φ with M_0 (Figure 14.7). The normal waves in metal are: (i) the wave with components h_{1x} , h_{1y} , and e_{1z} , for which $k_1 = k_0 \sqrt{\varepsilon \mu_\perp}$ and the wave impedance $\zeta_1 = e_{1z}/h_{1x} = \sqrt{\mu_\perp/\varepsilon}$; (ii) the wave with components h_{2z} and e_{2x} , for which $k_2 = k_0 \sqrt{\varepsilon}$ and $\zeta_2 = \sqrt{1/\varepsilon}$. From the boundary conditions (the continuity of h_x and h_z at S_1) it follows that $h_{1x}(y=0) = h^{(0)} \sin \varphi$ and $h_{2z}(y=0) = h^{(0)} \cos \varphi$. Substituting $h^{(0)}$ and the electric field $e^{(1)} = x_0 \zeta_2 h^{(0)} \cos \varphi + z_0 \zeta_1 h^{(0)} \sin \varphi$ into (4.96), we get

$$\frac{\omega - \omega_0}{\omega_0} + i \frac{1}{2Q} = \frac{ic \left(\sqrt{\mu_\perp} \sin^2 \varphi + \cos^2 \varphi\right)}{2\omega_0 W_0 \sqrt{\varepsilon}} \int_{S_1} (h^{(0)})^2 dS. \tag{14.24}$$

From the experimental point of view, the entire contribution of the ferromagnetic wall (14.24) is not of the most interest. Of the prime interest is the *change* of this contribution, either when we replace an 'ordinary' wall by the ferromagnetic one or when we pass from the H_0 value at ferromagnetic resonance to its value far from resonance (where $\mu_{\rm ef} \cong 1$). To find this change we have to subtract from (14.24)

an analogous expression but with $\mu_{\perp}=1$ and, in general, with other permittivity ε_0 . Then, taking into account that $\varepsilon=-\mathrm{i}4\pi\sigma/\omega$ and $\varepsilon_0=-\mathrm{i}4\pi\sigma_0/\omega$, we obtain

$$\frac{\omega - \omega_0}{\omega_0} + \frac{i}{2} \left(\frac{1}{Q} - \frac{1}{Q_0} \right) = \frac{1}{4W_0} \left\{ \delta_1 \left[\left(i \sqrt{\mu_{\perp R}} - \sqrt{\mu_{\perp L}} \right) \sin^2 \varphi \right] + (i - 1) \cos^2 \varphi \right] + (1 - i) (\delta_1)_0 \right\} \int_{S_1} (h^{(0)})^2 dS.$$
 (14.25)

Here, $\mu_{\perp R}$ and $\mu_{\perp L}$ are determined by expressions (4.38) and (4.39), δ_1 is given by formula (4.40), and $(\delta_1)_0$ is given by the same formula but with the replacement of the conductivity of the ferromagnet near resonance σ by the conductivity σ_0 of the 'ordinary' wall or of the ferromagnet far from resonance.

The case of *normal magnetization* can be treated in a similar manner [455]. Waves with right-hand and left-hand circular polarization are now the normal waves. If the field $h^{(0)}$ is linearly polarized, the amplitudes of the normal waves at the surface are equal to $h^{(0)}/2$. Finding then $e^{(1)} = e_+ + e_-$ and using the perturbation formula (4.96), we get expressions resembling (14.24) or (14.25). Near ferromagnetic resonance the normal wave with left-hand polarization may be neglected, and we get

$$\frac{\omega - \omega_0}{\omega_0} + i \frac{1}{2Q} = \frac{\delta_1}{8W_0} \left(i \sqrt{\mu_{+R}} - \sqrt{\mu_{+L}} \right) \int_{S_1} \left(h^{(0)} \right)^2 dS$$
 (14.26)

where $\mu_{+R} = |\mu + \mu_a| + (\mu'' + \mu_a'')$ and $\mu_{+L} = |\mu + \mu_a| - (\mu'' + \mu_a'')$ (Section 4.2).

Thus, having measured the shift of the frequency and the change of the quality factor of a resonator with a ferromagnetic-metal wall, it is possible to find the quantities $\mu_{\perp R}$ and $\mu_{\perp L}$ or μ_{+R} and μ_{+L} , according to the direction of magnetization. The result of such measurement and calculation performed by Bloembergen in one of the first works on ferromagnetic resonance [54] is shown in Figure 14.7.

14.2.3 Influence of exchange interaction

Because of the skin effect, the ac magnetization near the surface of ferromagnetic metal is strongly *nonuniform*, and, hence, the exchange interaction should be taken into account. Kittel and Herring pointed out as early as in 1950 [228] that the exchange interaction must lead to the broadening of the ferromagnetic-resonance curve in metals by the quantity

$$\Delta H_{\rm ex} \sim \frac{D}{\delta^2}$$
 (14.27)

and to the decrease of the resonance field of the same order. This can be justified in the following manner: the magnetization wave damping out on the distance $\sim \delta$ can be expanded into the Furier integral in the undamped spin waves with the

mean k value $\overline{k} \sim 1/\delta$; their resonance fields form a distribution with the width and the shift of the maximum of the order of $D\overline{k}^2 \sim D/\delta^2$.

However, the δ value in (14.27) depends on the permeability and, hence, on the linewidth, to which the exchange interaction makes the above-mentioned contribution. Akhiezer, Bar'yakhtar, and Kaganov [11] showed that this *self-consistent* chain of relations can be used in the following way to estimate the value of $\Delta H_{\rm ex}$. According to (4.39),

$$\delta = \frac{\delta_1}{\sqrt{\mu_{\text{ef }R}}} \tag{14.28}$$

where δ_1 is the skin depth in a nonmagnetic medium. Near resonance, we may assume

$$\mu_{\text{ef }R} \simeq \left(\mu_{\text{ef}}^{"}\right)_{\text{res}} = \frac{\beta 4\pi M_0}{\Delta H_0 + \Delta H_{\text{ex}}} \tag{14.29}$$

where ΔH_0 is the contribution of all other sources of resonance-line broadening except exchange interaction and β is a factor of the order of unity. It is easy to make sure that $\beta=2$ in the case of normal magnetization and $\beta=(\omega_H+\omega_M)/(\omega_H+\omega_M/2)$ in the case of tangential magnetization. It follows from (14.27)–(14.29) that

$$\Delta H_{\rm ex} \left(\Delta H_0 + \Delta H_{\rm ex} \right) = \frac{\beta D 4\pi M_0}{\delta_1^2}. \tag{14.30}$$

In particular, if $\Delta H_{\rm ex} \gg \Delta H_0$, then

$$\Delta H_{\rm ex} \simeq \frac{1}{\delta_1} \sqrt{\beta D 4\pi M_0} \equiv \frac{2\pi}{c} \sqrt{2\beta D M_0 \sigma \omega}.$$
 (14.31)

As σ and M_0 decrease with increasing temperature, the exchange contribution to the linewidth manifests itself at low temperatures.

In this estimate, as in all the preceding reasoning, it was assumed that the *normal* skin effect took place (e.g., [246]), which is the case when the electron mean free path $l_{\rm e} \ll \delta$. Then, σ does not depend on the skin depth and, for metals at microwave frequencies, slightly differs from the static conductivity. In the case of *anomalous* skin effect [253] when $l_{\rm e} > \delta$, some electrons—in greater quantity the larger the ratio $l_{\rm e}/\delta$ —leave the skin layer before they undergo a collision. This can approximately be taken into account if σ in the expression for δ is replaced by

$$\sigma_{\rm ef} = \nu \sigma \frac{\delta}{l_c} \tag{14.32}$$

where ν is a factor given by the theory of anomalous skin effect [253] ($\nu = 5.16$ in the absence of magnetic field). Taking (14.32) into account, we obtain, instead of (14.30),

$$\Delta H_{\rm ex}^{3/2} (\Delta H_0 + \Delta H_{\rm ex}) = \frac{\beta \nu 4\pi M_0 D^{3/2}}{\delta_{\rm t}^2 l_{\rm e}}.$$
 (14.33)

Now, in the case of $\Delta H_{\rm ex} \gg \Delta H_0$,

$$\Delta H_{\rm ex} \simeq \left(\frac{\beta \nu 4\pi M_0}{l_{\rm e}}\right)^{2/5} \frac{D^{3/5}}{\delta_1^{4/5}}.$$
 (14.34)

The finite value of $\Delta H_{\rm ex}$, in the absence of magnetic dissipation (that determines ΔH_0), and the increase of $\Delta H_{\rm ex}$ with decreasing δ_1 , witnesses the fact that the dissipation of energy of magnetic oscillations is accomplished (for both the normal and the anomalous skin effect) by the conducting electrons.

A strict theory of ferromagnetic resonance in metals with allowance for exchange interaction can be developed in the same way as the nonexchange theory considered above. But now we must use the expressions for tensor $\vec{\mu}$ components found with regard for this interaction, e.g., the expressions obtained from (7.8) by the replacement (1.68). Substituting these expressions into (4.42), we get (in the case of normal magnetization, i.e., for $\theta_k = 0$) a quadratic equation in k^2 for each direction of the polarization rotation. In the case of tangential magnetization $(\theta_k = \pi/2)$, we get from (4.53) a cubic equation in k^2 for the extraordinary wave; for the ordinary wave, $k^2 = k_0^2 \varepsilon$. So, in both considered cases (and in an arbitrary case, as well) there are now four normal waves.

The dispersion relations for these waves were studied by Patton [310]. It turns out that two of the four waves are the waves with right-hand rotation of the vector m polarization (Larmor branches, in terms of [310], denoted by the subscripts +), and the other two are the waves with left-hand rotation (anti-Larmor branches denoted by the subscript -). One of the two waves with the same rotation of polarization is an 'electromagnetic' (E) wave with large $v_{\rm ph}$ and comparatively weak effect of exchange interaction; the other is a 'spin' (S) wave. The Larmor 'spin' wave (S₊), at large k' values, turns into an ordinary spin wave, and the anti-Larmor 'spin' wave has a very large damping.

If the dissipation parameter exceeds a certain value (e.g., $\alpha > \alpha_{\rm cr} = 0.013$ for permalloy), the branches E_+ and S_+ do not intersect in the $\omega(k',k'')$ space (Figure 14.8) and conserve their character in the entire space. But their projections onto $\omega(k')$ plane do intersect. At $\alpha < \alpha_{\rm cr}$ these branches, as well as their projections, repel. This is a general feature of all mixed (or coupled) waves; we have already encountered it for magnetoelastic waves (Section 12.3).

The magnetic-field amplitudes of all four normal waves in metal can be expressed in terms of the field $h^{(0)}$ at the surface by the use of four boundary conditions: two electromagnetic and two supplementary (exchange) conditions (Section 7.2). These conditions, with the use of the expressions for tensor $\vec{\mu}$ components, result in the equations for magnetic-field amplitudes. Then, using the wave impedances of the normal waves, we find (as in the above-considered nonexchange case) the electric fields of these waves. Their sum gives the field $e^{(1)}$ at the surface. Then we can either find the surface impedance ζ_s or, for a ferromagnetic-metal wall of a resonator, apply the perturbation formula (4.96).

Consider now in more detail the case of tangential magnetization assuming

Metal	<i>T</i> _C (K)	$4\pi M_0$ (G)	σ $(\Omega^{-1} \text{ cm}^{-1})$	g	α^b	D (Oe cm ²)
Fe	1043	21 580	1.03×10^{5}	2.088	0.0023	2.2×10^{-9}
Fe ₉₇ Si ₃		21 148				
Ni ₇₇ Fe ₂₃		11 000				2.5×10^{-9}
Ni	631	6 084	1.46×10^{5}		0.027	3.1×10^{-9}
Co	1904	17 900	1.60×10^{5}		0.027	

TABLE 14.1 Parameters of ferromagnetic metals^a [47, 126].

 $h^{(0)} \perp M_0$, i.e., $\varphi = \pi/2$ in Figure 14.7(a). The ordinary wave (with $\mu_{\rm ef} = 1$) is not exited then, and the field in metal consists of three normal waves: an 'electromagnetic' wave and two 'spin' waves. Their complex wave numbers k_j (j=1,2,3) are determined by a cubic equation in k^2 , which is obtained by substituting the expression for μ_{\perp} (with regard to exchange interaction and dissipation) into (4.53). The wave impedances of the normal waves $\zeta_j = e_{j\,z}/h_{j\,x}$ for the considered strongly conducting metal, according to (4.56) and (4.53), have the form

$$\zeta_j = \frac{\mathrm{i}ck_j}{4\pi\sigma}.\tag{14.35}$$

This problem was first solved by Ament and Rado [16], with the assumption of the exchange boundary conditions (7.42) ($\xi = 0$). In the *low-frequency* limit,

$$\omega \ll \omega_M \qquad \omega_H \ll \omega_M \qquad p \equiv \gamma \sqrt{D4\pi M_0} / \delta_1 \ll \omega_M$$
 (14.36)

the obtained expression for the surface impedance has the form

$$\zeta_{s} = \frac{c}{4\pi} (1 + i) \frac{\sqrt{\omega_{M}}}{\sigma \delta_{1}} \frac{\left[\omega_{H} - \omega^{2} / \omega_{M} + i\alpha\omega + 2(1 + i) p\right]^{1/2}}{\omega_{H} - \omega^{2} / \omega_{M} + i\alpha\omega + (1 + i) p}.$$
 (14.37)

Conditions (14.36) are satisfied and, hence, formula (14.37) is approximately valid in the entire centimeter-wavelength range for metals in which the ferromagnetic resonance is usually measured (Table 14.1).

One can see from (14.37) that for $\alpha=0$ and p=0, i.e., without allowance for dissipation and exchange interaction, the resonance frequency (at which $\zeta\to\infty$) $\omega_{\rm res}\simeq\sqrt{\omega_H\omega_M}$, which is the low-frequency limit of (14.18). The allowance for dissipation ($\alpha\neq0$, p=0) results in a finite real part of the impedance at resonance and in finite linewidth. If the linewidth is defined at the level $(\zeta_{\rm s}^2)'=(\zeta_{\rm s}^2)'_{\rm res}/2$,

^aThe values of all parameters (except $T_{\rm C}$) are given at room temperature.

^bThe Landau-Lifshitz dissipation parameter λ_1 and the exchange constant A, encountered in many works on ferromagnetic resonance in metals, are related to the parameters cited in the table as follows: $\lambda_1 = \gamma \alpha M_0$, $A = DM_0/2$.

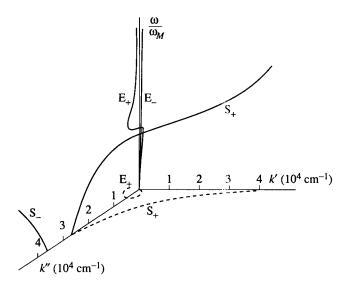


FIGURE 14.8

Dispersion curves of normal waves in metal for tangential magnetization and $\theta_k = \pi/2$ [310]. $H_0 = 1135$ Oe, $\alpha = 0.03$, other parameters correspond to permalloy (Table 14.1). Dashed curves are projections of the solid curves S_+ and E_+ onto the plane (k',k'').

it is equal to $2\alpha\omega$, i.e., it coincides with the 'usual' linewidth ΔH defined at the level $\chi''=\chi''_{\rm res}/2$. This coincidence is caused by the fact that, for a strongly conducting metal (if only one of the normal waves is taken into account),

$$\zeta_{\rm s}^2 = i \frac{\omega}{4\pi\sigma} \mu_{\rm ef} \tag{14.38}$$

and hence, $(\zeta_s^2)' = (\omega/\sigma) \chi_{\text{ef}}''$. The shift of the resonance line with respect to its position at $\alpha = 0$ turns out to be of the second order in α , as in the case of 'usual' ferromagnetic resonance in weakly conducting samples (Section 1.5).

But if $p \neq 0$ and is large as compared with $\alpha \omega$, then, as one can see from (14.37), the resonance-line shift and the linewidth are of the same order. Defining them as in the case of p = 0 and assuming for simplicity that $\alpha = 0$, we get

$$\Delta H_{\rm ex} = \frac{1.26}{\delta_1} \sqrt{D \, 4\pi \, M_0} \tag{14.39}$$

$$(\delta H_{\rm res})_{\rm ex} = -\frac{0.78}{\delta_1} \sqrt{D 4\pi M_0}.$$
 (14.40)

It should be noted that the estimate (14.31) is in a good agreement with (14.39) (in the low-frequency limit $\beta = 2$).

correspond to iron at room temperature (Table 14.1).

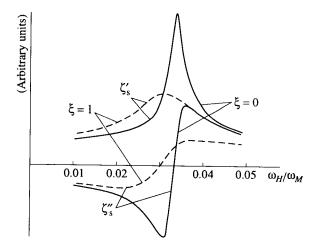


FIGURE 14.9 Calculated field dependences of the surface impedance in the case of tangential magnetization for two values of the pinning parameter ξ [129]. Frequency is 11.5 GHz, all parameters

Later, Kaganov and Yui Lu [195, 202] showed that the value of the *pinning* parameter ξ (Section 7.2) makes a strong influence on the ferromagnetic resonance in metals: the quantities $\Delta H_{\rm ex}$ and $(\delta H_{\rm res})_{\rm ex}$ increase with increasing ξ . The numerical calculations by Frait and Mac Faden [126] and the theory by Fraitova [127–129] (Figure 14.9) confirmed this dependence.

The case of *normal* magnetization can be analyzed in a similar manner. Two waves with the right-hand and two waves with the left-hand circular polarization are now the normal waves. This problem was solved for $\xi=0$ by V. L. Gurevich [167]. The obtained expression for ζ_s in the low-frequency limit differs from (14.37) by the replacement of ω^2/ω_M with ω . Formulae (14.39) and (14.40) are valid in this case, too.

The ferromagnetic resonance in metal with anomalous skin effect was also investigated in [167] for the case of normal magnetization. The expression for $\Delta H_{\rm ex}$, when the exchange contribution dominates, differs from the estimate (14.34) only by a factor of the order of unity. The case of tangential magnetization with the anomalous skin effect was studied by Blank and Kaganov [50].

Thus, the contribution of the exchange interaction (together with conductivity) to the ferromagnetic-resonance linewidth and the resonance-field shift are of the *same order* and are proportional (if they dominate) to the *square root* of frequency. Meanwhile, the contribution of magnetic losses to ΔH in metals is usually proportional to ω , as the dissipation parameter α is approximately independent of frequency. Therefore, the advantageous conditions for observing the exchange contribution are low frequencies and, of course, low temperatures

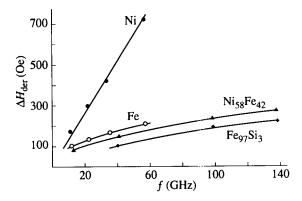


FIGURE 14.10 Frequency dependences of ferromagnetic-resonance linewidths at room temperature [126, 47]. $\Delta H_{\text{der}} = \Delta H/3^{1/2}$ (Section 1.4).

because the conductivity of metals increases with decreasing frequency. At rather low frequency (however, at room temperature) the exchange contribution was first observed by Rado and Weertman [325].

Estimates, with the use of (14.39) and the data of Table 14.1, show that the frequency at which the magnetic and the exchange contributions to ΔH at room temperature become comparable is equal to $\sim 300\,\mathrm{GHz}$ for Fe and to $\sim 1\,\mathrm{GHz}$, for Ni. For these metals, at microwave frequencies and room temperature, the limiting cases are realized when either one or the other contribution dominates. The exchange contribution also dominates for permalloy and silicon iron. Figure 14.10 gives a good illustration of this: the linewidth is proportional to ω for Ni and is proportional to the square-root of ω for Fe and other metals.

14.2.4 Antiresonance

The processing of experimental data on the basis of the strict theory of ferromagnetic resonance in metals allows the separation of the magnetic contribution to ΔH and makes it possible to find the dissipation parameter α even in the case (as for Fe) when the exchange contribution dominates. But it is obvious that the accuracy of such a procedure cannot be high. For a more accurate determination of the magnetic dissipation parameter the measurement of the antiresonance linewidth can be used.

Antiresonance point (Section 4.2) is the frequency or steady magnetic field value at which the real part of the effective permeability $\mu_{\rm ef}$ becomes equal to zero and the imaginary part does not pass through a maximum, as distinct from resonance, but passes through a minimum. The condition of antiresonance (4.59) does not depend on the angle between the direction of magnetization and the

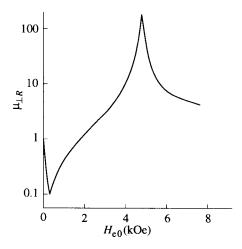


FIGURE 14.11 Effective permeability of supermalloy vs steady magnetic field for tangential magnetization [449].

direction of the wave propagation. One can see from (4.59) that antiresonance can be observed only at $\omega > \omega_M$, e.g., for Fe, at $\omega/(2\pi) \gtrsim 60$ GHz. It can be shown that, to a first approximation (for small losses), the imaginary part of the effective permeability at antiresonance is

$$\left(\mu_{\text{ef}}^{\prime\prime}\right)_{\text{antires}} = \frac{\alpha\omega}{\omega_M} \tag{14.41}$$

for any direction of magnetization. The antiresonance linewidth $\Delta H_{\rm antires}$ can be defined as the interval between ω or H_0 points at which $\mu''_{\rm ef} = 2 \left(\mu''_{\rm ef} \right)_{\rm antires}$. It is easy to make sure that, independently of the magnetization direction, $\Delta H_{\rm antires}$ coincides, to a first approximation, with the resonance linewidth $\Delta H = \alpha \omega / \gamma$.

Expressions (4.59) and (14.41) have been obtained without allowance for exchange interaction. However, the skin depth, according to (4.39), becomes large near antiresonance, and there is *no need* to take into account the exchange interaction. Thus, measuring the parameters of an electromagnetic system with a metallic sample near antiresonance, we can determine independently the magnetic dissipation parameter α .

The absorption minimum at antiresonance was first observed by Yager [449] (Figure 14.11). Simultaneous measurements of the linewidth at resonance and at antiresonance were used [124] to determine the values of α and the pinning parameter ξ . The simultaneous measurements of the resonance and antiresonance magnetic fields allowed one to obtain precise values of magnetization [324] and g-factor [123].

The increase of the skin depth at antiresonance results in a great increase of the

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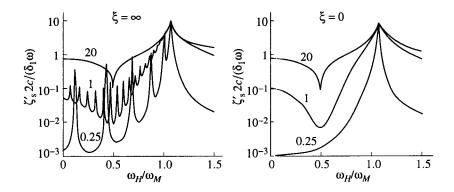


FIGURE 14.12 Calculated field dependences of the real part of the surface impedance for tangentially magnetized metal films of different thickness d [92]. $\omega = 1.5 \omega_M$, $\alpha = 10^{-4}$, $D/(4\pi M_0 \delta_1^2) = 5 \times 10^{-5}$, numbers by the curves are the values of d/δ_1 . © 1974 Jpn. J.

power percolating through a film of ferromagnetic metal. This effect was predicted by Kaganov [196]. It was observed first by Heinrich and Meshcherjakov [177] and later on, by others (e.g., [77]).

It should be noted that, if the thickness of the film is comparable with the skin depth, then the calculation of the percolation through the film, as well as of the reflection from it (determined by the surface impedance), demands the regard for the boundary conditions at both sides of the film. In general, the electrodynamic and the exchange boundary conditions must be taken into account; but near antiresonance there is no need for the latter. The results of such calculations carried out by De Wames and Wolfram [92] are shown in Figure 14.12. The symmetric excitation, i.e., the excitation by the same ac field at both sides of the film, was assumed. One can see from this figure that the influence of the pinning parameter becomes stronger with diminishing film thickness. In the case of $\xi = \infty$, sharp peaks caused by 'space quantization' are superimposed onto the dependence $\zeta_s'(H_0)$ that is characteristic of an infinite metal.

14.2.5 Processes of magnetic relaxation

The measurements of magnetic dissipation parameter α in metals show that this parameter is practically independent of frequency. Its dependence on temperature is usually weak in perfect samples. Let us discuss now what relaxation processes determine this parameter.

All processes investigated in Chapters 11–13 can exist in metals. In addition, the process in which the conduction electrons are involved can be present. The contributions of *inherent* magnon processes (Section 11.2) to ΔH in metals should

be of the same order as in dielectrics or semiconductors (ferrites), i.e., of the order of 0.1 Oe. At the same time, the linewidths even in perfect metallic samples turn out to be several tens of oersteds. So, the intrinsic magnon processes do not play any noticeable role in metals. *Two-magnon* processes caused by defects (Section 11.3) are effective in metals because of large steady magnetization. They lead to high ΔH values in 'bad' samples, e.g., in samples with not sufficiently well polished surfaces. The two-magnon processes caused by nonuniform anisotropy fields are effective in polycrystals. The contributions of two-magnon processes caused by nonuniform elastic stresses are large in poorly annealed samples. But if proper attention is paid to the quality of samples, as in all good works on ferromagnetic resonance in metals, the contributions of all two-magnon processes are small.

The *ionic* relaxation processes (Section 13.2) can also play an important role in metals, especially at low temperatures. It is conceivable that the sharp rise of ΔH in Ni at low temperature and high ΔH values in Co [48] result from this process. However, it is obvious that rather high ΔH values in all ferromagnetic metals, which weakly depend on frequency and temperature, should be caused mainly by the *electron-magnon* processes.

To realize these processes we consider, following Turov [409], the interaction between the charge carriers (electrons) and the magnetic system of a ferromagnet. Electrons are characterized by the operators of orbital impulse \hat{p} and spin \hat{s} . The magnetic system is characterized by the magnetization M. Then, the Hamiltonian of the interaction of an electron with the magnetic system will have the form [409]

$$\hat{\mathcal{H}}_1 = -2\mu_{\mathrm{B}}\mathbf{A}\hat{\mathbf{p}} - 2\mu_{\mathrm{B}}\mathbf{H}\hat{\mathbf{s}} - 2\frac{I}{M_0}\mathbf{M}\hat{\mathbf{s}}$$
 (14.42)

where $\mu_{\rm B}=|e_0|\hbar/(2m_ec)$ is the Bohr magneton, A is the vector potential of the electromagnetic field, and I is the exchange integral that characterizes the interaction of carriers (s electrons) with the magnetic system.

All the above-studied effects caused by the interaction of magnetic oscillations and waves with charge carriers resulted from the first term in (14.42). Now we will briefly consider the phenomena determined by the other two terms, i.e., by the interaction of the magnetic system with *spins* of the conduction electrons. The energy of this interaction can be written in the form

$$U = \sum_{s=\pm 1/2} \int_{V} \psi^{*}(\boldsymbol{r}, s) \hat{\mathcal{H}} \psi(\boldsymbol{r}, s) dV$$
 (14.43)

where $\psi(\mathbf{r},s)$ is the wave function of the conduction electrons, $\hat{\mathcal{H}}$ is the sum of the last two terms in (14.42), and integration is over the volume of the sample. We substitute the last two terms of $\hat{\mathcal{H}}_1$ into (14.43), pass from $\psi(\mathbf{r},s)$ and $\psi^*(\mathbf{r},s)$ to the operators \hat{b}_{qs}^+ and \hat{b}_{qs} of creation and annihilation of electrons with impulse $\hat{p}=\hbar\hat{q}$ and spin s, and express M in terms of the operators \hat{c}_k^+ and \hat{c}_k of the magnon creation and annihilation (Section 7.4). Then, the energy U will contain

FIGURE 14.13

Elementary processes with conservation of the total spin, which underlie the three-particle and four-particle magnon relaxation processes. Straight lines denote magnons, and wavy lines denote conduction electrons.

terms of different order with products of operators \hat{b}_{qs}^+ , \hat{b}_{qs} , \hat{c}_k^+ , and \hat{c}_k .

The quadratic terms containing the products $\hat{b}_{qs}^{\dagger}\hat{b}_{qs}$ give the correction to the energy of the carriers caused by their interaction with the magnetic system. The terms of third, fourth, and higher order in \hat{b}_{qs}^+ , \hat{b}_{qs} , \hat{c}_k^+ , and \hat{c}_k correspond to the elementary processes of creation and annihilation of electrons and magnons. These elementary processes underlie the magnon relaxation processes resulting from the interaction of magnons with the spins of charge carriers. In such lowenergy processes, electrons cannot arise or annihilate, only their q and s values change. Hence, the operators \hat{b}_{qs}^+ and $\hat{b}_{q's'}$ appear only in pairs. It is very important that the sd (or sf) exchange interaction, like the exchange interaction within the magnetic system (Section 11.2), leads only to processes in which the total spin of the interacting particles is conserved. The elementary processes that satisfy this condition are shown in Figure 14.13. The three-particle processes are, in general, more probable. But they are forbidden if the turn-over of the conduction-electron spin is connected with the increase of the electron energy by a value exceeding the energy of the relaxing magnon. Then, the main contribution to the magnon relaxation will be made by the four-particle process. This is the case for ferromagnetic metals where the mentioned increase of the s electron energy, i.e., the sd exchange splitting of the conduction band, is of the order of 1 eV.

The magnon relaxation frequency determined by such four-particle elementary processes, without turn-over of the electron spin, was calculated by Lutovinov and Reizer [262]:

$$\omega_{\rm r} = \frac{3\pi}{64} \left(\frac{\hbar k}{Sp_{\rm F}}\right)^2 \frac{\hbar \omega \kappa T}{\gamma D p_{\rm F}^2} \ln \frac{\kappa T}{\hbar \omega}$$
(14.44)

where S is the spin of magnetic ions, $p_F = \hbar k_F$ is the Fermi impulse of electrons, ω is the magnon frequency, and k is the magnon wave number. In ferromagnetic resonance the k value is of the order of δ^{-1} , where δ is the skin depth depending, in its turn, on ΔH . Assuming the considered process to be the dominant one, it is easy to obtain, by the same method as used in deriving expression (14.31),

$$\Delta H = \frac{\sqrt{3}\pi}{2} \frac{\hbar^2 \omega}{c \gamma S p_F^2} \sqrt{\frac{\beta \kappa T 4\pi M_0 \sigma}{\hbar D} \ln \frac{\kappa T}{\hbar \omega}}.$$
 (14.45)

One can see from (14.45) that the linewidth is approximately proportional to ω and (if the temperature dependences of M_0 and σ are taken into account) weakly depends on temperature. Both the above-mentioned features agree with experiment. Estimates using (14.45) are also in agreement with the experimental ΔH values in metals.

It should be noted that spin waves propagating in metal along its surface cannot be excited linearly by the ac field of a resonator or a wavequide because the wavelength of this field is much larger than 1/k of the spin waves. But pairs of such spin waves can be excited parametrically (Chapter 10), as it was observed in thin films [79, 46] and thin wires [234].

Appendix A

Units and constants

The CGS (Gaussian) units are used in this book. The relations between them and the SI units are given in Table A.1. The table includes only the quantities, with few exceptions, that appear in the text.

The energy of particles and quasiparticles is often measured in special units, which are defined in the following manner.

The electron volt is the energy $|e_0|V$ obtained by an electron in passing the potential difference V=1 V.

The inverse centimeter is the energy quantum $2\pi\hbar c/\lambda$ of electromagnetic radiation with the wavelength in vacuum $\lambda=1$ cm.

The kelvin is the mean energy κT per two degrees of freedom of an ideal gas at temperature T=1 K.

The oersted is the energy equal to the Zeeman splitting $\gamma \hbar H \equiv g \mu_B H$ of electron energy levels, with g-factor $g_s = 2.0023$, in magnetic field H = 1 Oe.

Values of these units and the relations between them are given in Table A.2. Energy, especially thermal, is also measured in calories: $1 \text{ cal} = 4.1868 \times 10^7 \text{ erg.}$

Values of the fundamental physical constants, which could be used in calculations on the subjects of this book, are given in Table A.3.

Relations between CGS and SI units [357]. If a_{CGS} and a_{SI} are the units, A_{CGS} and A_{SI} are the values of a quantity measured in these units, and K are the factors given in the table, then $a_{SI} = a_{CGS}K$, and $A_{SI} = A_{CGS}/K$. TABLE A.1

Quantity			CGS				SI	
Name	Notation in text	Dimension	Name of unit	Notation	×	Notation	Name of unit	Dimension
Length	l, d, etc.	L	centimeter	cm	105	8	meter	
Time	+	-	second	v	_	v	second	F -
Velocity	. 2	I, T ⁻¹		cm s ⁻¹	102	1 - S E		$_{ m I}$, $_{ m T}^{-1}$
Angle	θ , φ , etc.	·	radian	rad	ì	rad	radian	, I —
Angular velocity	· 3	T^{-1}		rad s ⁻¹	_	rad s ⁻¹		\mathbf{T}^{-1}
Cyclic frequency	3	\mathbf{T}^{-1}		s^{-1}	_	s_1		\mathbf{T}^{-1}
Frequency	+	T^{-1}	hertz	Hz	_	Hz	hertz	\mathbf{T}^{-1}
Mass	m	M	gram	500	10^{3}	kg	kilogram	Σ
Momentum, impulse	d	LMT^{-1}	,	g cm s ⁻¹	105	kg m s ⁻¹	ı	$L M T^{-1}$
Moment of momentum	\tilde{L}, S	$L^2 M T^{-1}$		$g cm^2 s^{-1}$	10^7	$kg m^2 s^{-1}$		$L^2 M T^{-1}$
Force	$oldsymbol{F}$	$L M T^{-2}$	dyne	dyn	105	z	newton	$L M T^{-2}$
Pressure	P	$L^{-1} M T^{-2}$		dyn cm ⁻²	10	Pa	pascal	$L^{-1} M T^{-2}$
Work, energy,	W, arepsilon	$L^2 M T^{-2}$	erg	erg	10^7	J	joule	$L^2 M T^{-2}$
thermodynamic			,	1			•	
potentials								
Energy volume	U	$L^{-1} M T^{-2}$		$erg cm^{-3}$	10	$^{\mathrm{J}}\mathrm{m}^{-3}$		$L^{-1} M T^{-2}$
density [energy] ^a								
Power	Ь	$L^2 M T^{-3}$		erg s ⁻¹	10^7	≽	watt	$L^2 M T^{-3}$
Energy-flow	П	$M T^{-3}$		erg cm ⁻² s ⁻¹		${ m W}{ m m}^{-2}$		$M T^{-3}$
surface density (Poynting vector)				ı				
Flastic strain	1 0	-			_			_

Notation in text	Dimension	Name of unit				Name	
C _{pq1} m e e q1m y E		in min	Notation	K	Notation	of unit	Dimension
Cpg1m e e y P	$\mathrm{L}^{-1}\mathrm{M}\mathrm{T}^{-2}$		$\mathrm{dyn}\mathrm{cm}^{-2}$	10	Pa		$L^{-1}MT^{-2}$
rs E	$L^{-1}MT^{-2}$ $L^{3/2}M^{1/2}T^{-1}$	electrosta-	dyn cm ⁻² esu	10 2.9979×10^9	Pa C	conlomb	$L^{-1}MT^{-2}$ TI
EJ	$L^{-3/2} M^{1/2} T^{-1}$	tic unit	esu cm ⁻³	2.9979×10^3	C m ₋₃		$L^{-3}TI$
	$L^{-1/2}M^{1/2}T^{-1}$	9	statvolt cm ⁻¹	3.3356×10^{-5}	$V m^{-1}$		$LMT^{-3}I^{-1}$
Electric potential $arphi$	$L^{1/2}M^{1/2}T^{-1}$	statvolt	= dyn esu statvolt - erg cm - 1	3.3356×10^{-3}	>	volt	$L^2MT^{-3}I^{-1}$
Capacitance L Electric dipole p L	$L L^{5/2} M^{1/2} T^{-1}$		cm esu cm	8.9876×10^{11} 2.9979×10^{11}	FCm	farad	$L^{-2}M^{-1}T^4I^2$ LTI
\boldsymbol{P}	$L^{-1/2}M^{1/2}T^{-1}$	-0	esu cm ⁻²	2.9979×10^5 8.9876×10^9	$C m^{-2}$ $F m^{-1}$		$L^{-2}TI$ $L^{-3}M^{-1}T^4I^2$
D ω1	$\frac{1}{L^{-1/2}M^{1/2}T^{-1}}$	٠,0	statvolt cm ⁻¹	8.9876×10^9 2.9979×10^5	F m ⁻¹ C m ⁻²		$L^{-3}M^{-1}T^4I^2$ $L^{-2}TI$
nt) I	$L^{3/2}M^{1/2}T^{-2}$		$= \operatorname{dyn} \operatorname{esu}^{-1}$ $\operatorname{esu} \operatorname{s}^{-1}$	2.9979×10^9	A	ampere	I 1 -2 1
	$egin{array}{cccccccccccccccccccccccccccccccccccc$		cm ⁻¹ s s cms ⁻¹	$\begin{array}{c} 2.9979 \times 10 \\ 1.1126 \times 10^{-12} \\ 1.1126 \times 10^{-10} \\ 8.9876 \times 10^{11} \end{array}$	S m	ohm siemens	$\begin{bmatrix} L^{2} M T^{-3} I \\ L^{3} M T^{-3} I \end{bmatrix}$ $\begin{bmatrix} L^{3} M T^{-3} I \\ L^{-2} M^{-1} T^{3} I^{2} \end{bmatrix}$

TABLE A.1 Continued.

Quantity			CGS				IS	
Name	Notation in text	Dimension	Name of unit	Notation	K	Notation	Name of unit	Name of unit Dimension
Conductivity	10	\mathbf{T}^{-1}		s-1	8.9876×10 ⁹	S m ⁻¹		$L^{-3} M^{-1} T^3 I^2$
Magnetic field	H	$L^{-1/2}M^{1/2}T^{-1}$	oersted	oe	1.2566×10^{-2}	A m ⁻¹		$\Gamma^{-1}I$
Magnetic potential	ψ	$L^{1/2} M^{1/2} T^{-1}$	gilbert	Ë	1.2566	A		I
Magnetic moment	M	$L^{5/2} M^{1/2} T^{-1}$)	esn cm	103	A m ²		L^2I
Magnetization	M		ganss _p	Ö	10^{-3}	A m ⁻¹		$\Gamma^{-1}I$
Magnetic induction	В	$L^{-1/2} M^{1/2} T^{-1}$	ganss _b	ß	104	Т	tesla	$M T^{-2} I^{-1}$
Magnetic flux	ф	$L^{3/2} M^{1/2} T^{-1}$	maxwell	Mx	10^{8}	Wb	weber	$L^2 M T^{-2} I^{-1}$
Inductance		Γ		cm	109	Н	henry	$L^2 M T^{-2} I^{-2}$
Magnetic susceptibility	t×	1			7.9577×10^{-2}			1
Permeability	ĮΞ	_			107	H m ⁻¹		$L M T^{-2} I^{-2}$
Magnetoelastic	$B_{1,2}$	$L^{-1} M T^{-2}$		erg cm ⁻³	10	$J m^{-3}$		$L^{-1} M T^{-2}$
constants	$A_{1,2}$	LMT^{-2}		erg cm	10^{5}	J m ⁻¹		$L M T^{-2}$
Temperature	T	Θ	kelvin	' ×		×	kelvin	Θ
Heat capacity		$L^2 M T^{-2} \Theta^{-1}$		erg K ⁻¹	10^{7}	$J K^{-1}$		$L^2 M T^{-2} \Theta^{-1}$
Fractional heat	$C_{p,v}$	$L^{-1} M T^{-2} \Theta^{-1}$		erg cm ⁻³ K ⁻¹	10	$J m^{-3} K^{-1}$		$L^{-1} M T^{-2} \Theta^{-1}$
capacity [heat capacity] ^a	•			•				
Entropy		$L^2 M T^{-2} \Theta^{-1}$		${ m erg}~{ m K}^{-1}$	107	$J K^{-1}$		$L^2 M T^{-2} \Theta^{-1}$
Entropy density [entropy] ^a	∞	$L^{-1} M T^{-2} \Theta^{-1}$		${ m erg}\ { m cm}^{-3}\ { m K}^{-1}\ 10$	10	J m ⁻³ K ¹		$L^{-1}MT^{-2}\Theta^{-1}$

^a Contracted names of quantities used in the text are given in brackets.

 b Dersted is commonly used as the magnetic field H unit, and gauss, as the magnetization M or magnetic induction B unit in the CGS system. However, all quantities H, M, B, E, P, and D have the same dimension in this system and, hence, may be measured in the same units, e.g., in oersteds.

TABLE A.2 Special energy units. The numbers in the table (analogous to the factor K in Table A.1) are the ratios of units in appropriate rows to units in appropriate columns.

Unit	Erg	Electron- volt (eV)	Inverse centimeter (cm ⁻¹)	Kelvin (K)	Oersted (Oe)
Erg	1	6.2415×10^{11}	5.0341×10^{15}	7.2429×10^{15}	5.3852×10^{19}
Electronvolt	1.6022×10^{-12}	1	8.0655×10^3	1.1604×10^4	8.6280×10^7
Inverse centimeter	1.9864×10^{-16}			1.4388	1.0697×10 ⁴
Kelvin	1.3807×10^{-16}	8.6174×10^{-5}	0.69504	1	7.4351×10^3
Oersted	1.8570×10^{-20}	1.1590×10^{-8}	9.3481×10^{-5}	1.3450×10^{-4}	1

TABLE A.3 Values of some fundamental physical constants [78].

Constant	Notation and relation to other constants	Value in CGS system
Velocity of light		·
in vacuum	c	2.997925×10^{10}
Electron charge	e_0	-4.80321×10^{-10}
Electron mass at rest	m_{e}	9.10939×10^{-28}
Electron specific charge	$e_0/m_{ m e}$	-5.27281×10^{17}
Proton mass at rest	$m_{\rm p}\cong 1836m_{\rm e}$	1.67262×10^{-24}
Neutron mass at rest	$m_{\rm n} = 1839 m_{\rm e}$	1.67493×10^{-24}
Atomic mass unit	$m_0 = m(^{12}C)/12$	1.66054×10^{-24}
Avogadro number	$N_{\rm A}=1/m_0$	6.02214×10^{23}
Planck constant	$\hbar = h/(2\pi)$	1.05457×10^{-27}
Fine-structure constant	$\alpha = e_0^2/(\hbar c)$	$7.29735 \times 10^{-3} \cong 1/137$
Bohr radius	$a_0 = \hbar^2/(m_{\rm e}e_0^2)$	5.29177×10^{-9}
Electron-spin g-factor	$g_S = 2[1 + \alpha/(2\pi)]$	
	$-0.328\alpha^{2}/\pi^{2}$	2.002319
Bohr magneton	$\mu_{\rm B} = e_0 \hbar/(2m_{\rm e}c)$	9.27402×10^{-21}
Electron-spin	$\gamma_S = g_S e_0 /(2m_e c)$	_
gyromagnetic ratio	$\equiv g_S \mu_{ m B}/\hbar$	1.76086×10^7
Nuclear magneton	$\mu_{\rm n}= e_0 \hbar/(2m_{\rm p}c)$	5.05079×10^{-24}
Proton magnetic moment	$\mathfrak{M}_{p} = 2.79285\mu_{n}$	1.41061×10^{-23}
Neutron magnetic	000 1 01204	0.6622710=24
moment	$\mathfrak{M}_{n} = 1.91304 \mu_{n}$	9.66237×10^{-24}
Magnetic flux quantum	$\Phi_0 = \pi \hbar c/ e_0 $	2.06783×10^{-7}
Boltzmann constant	ĸ	1.38066×10^{-16}
Constant of gravitation	G	6.67259×10^{-8}
Standard acceleration		0.00665 102
of gravity	g_{norm}	9.80665×10^2

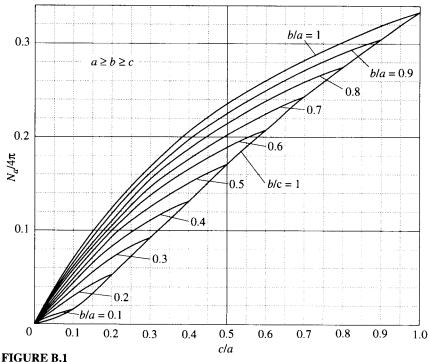
Appendix B

Demagnetization factors

The demagnetization factors $N_{a,b,c}$ (Section 1.5) of an ellipsoid with axes a,b, and c ($a \ge b \ge c$) placed in a medium with $\mu=1$ are determined (e.g., [131]) by the expression

$$N_{a} = 2\pi abc \int_{0}^{\infty} \frac{\mathrm{d}t}{\left(a^{2} + t\right)\sqrt{\left(a^{2} + t\right)\left(b^{2} + t\right)\left(c^{2} + t\right)}}$$
 (B.1)

and analogous expressions for N_b and N_c . The curves of $N_{a,b,c}$ vs the ratios of the ellipsoid axes, calculated by Osborn [306], are plotted in Figures B.1, B.2, and B.3.



Demagnetization factors of an ellipsoid in the direction of the larger axis [306].

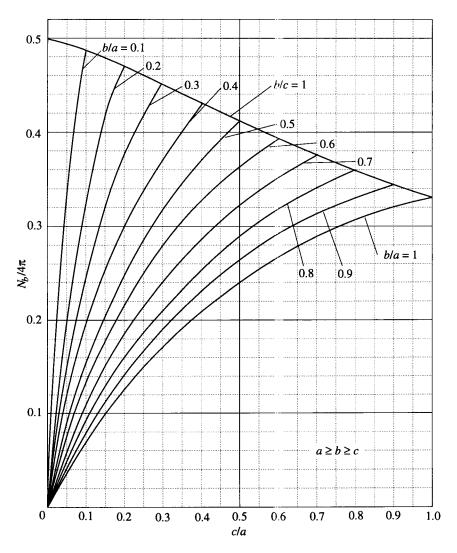


FIGURE B.2 Demagnetization factors of an ellipsoid in the direction of the middle axis [306].

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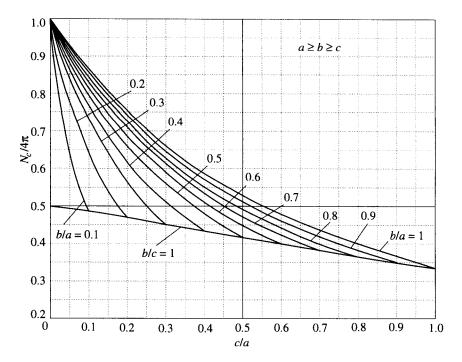


FIGURE B.3
Demagnetization factors of an ellipsoid in the direction of the smaller axis [306].

For an ellipsoid of revolution (spheroid), rather simple formulae [321] follow from (B.1): in the case of a > b = c (prolate spheroid),

$$N_a = 4\pi \frac{1 - \xi^2}{\xi^2} \left(\frac{1}{2\xi} \ln \frac{1 + \xi}{1 - \xi} - 1 \right)$$
 (B.2)

and in the case of a = b > c (oblate spheroid),

$$N_c = 4\pi \frac{1 - \xi^2}{\xi^2} \left(1 - \frac{1}{\xi} \arctan \xi \right)$$
 (B.3)

where $\xi = \sqrt{a^2 - c^2}/a$ in both cases.



Appendix C

Dirac delta function and Kronecker delta symbol

The Dirac delta function $\delta(x)$ is defined as (e.g., [243, 84, 336])

$$\delta(x) = \begin{cases} 0 & \text{at } x \neq 0 \\ \infty & \text{at } x = 0 \end{cases}$$

$$\int_{a}^{b} \delta(x) dx = 1 \quad \text{if} \quad a < 0 < b. \tag{C.1}$$

It should be noted that $\delta(x)$ is not a function in the usual sense but a symbolic or generalized function. The main property of it, which can be regarded as its definition, is

$$\int_{a}^{b} f(x) \, \delta(x - x_0) \, \mathrm{d}x = f(x_0) \qquad \text{if} \qquad a < x_0 < b \tag{C.2}$$

where f(x) is an arbitrary function continuous at $x = x_0$.

Some other useful properties of $\delta(x)$ are

$$\delta\left(-x\right) = \delta\left(x\right) \tag{C.3}$$

so that $\delta(x)$ is an even function;

$$\delta(Cx) = \frac{1}{|C|}\delta(x) \tag{C.4}$$

where C is a constant;

$$x\delta\left(x\right) = 0\tag{C.5}$$

$$f(x) \delta(x - x_0) = f(x_0) \delta(x - x_0)$$
 (C.6)

$$\int \delta(a-x)\,\delta(x-b)\,\mathrm{d}x = \delta(a-b)\,. \tag{C.7}$$

The function $\delta(x)$ can be represented as a limit of sequences of some analytical functions, e.g.,

$$\delta(x) = \lim_{k \to \infty} \frac{\sin(kx)}{\pi x} \tag{C.8}$$

or as an integral, e.g.,

$$\delta(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} \exp(ikr) \, \mathrm{d}k. \tag{C.9}$$

The delta function can be related by a symbolic expression

$$\delta(x) = \frac{\mathrm{d}}{\mathrm{d}x} U(x) \tag{C.10}$$

to the unit (or step) function

$$U(x) = \begin{cases} 0 & \text{at} \quad x < 0 \\ 1 & \text{at} \quad x > 0 \end{cases}$$
 (C.11)

(the value of U(x) at x=0 can be defined, e.g., as 1/2). The derivative of $\delta(x)$ can be introduced by

$$\int_{a}^{b} f(x) \, \delta'(x - x_0) \, \mathrm{d}x = -f'(x_0) \qquad \text{if} \qquad a < x_0 < b. \tag{C.12}$$

A three-dimensional delta function is defined as

$$\delta(\mathbf{r}) = \delta(x)\delta(y)\delta(z). \tag{C.13}$$

Its main property is

$$\int_{\mathbf{r}} f(\mathbf{r}) \, \delta(\mathbf{r} - \mathbf{r}_0) \, d\mathbf{r} = f(\mathbf{r}_0) \tag{C.14}$$

where integration is performed over the entire r space. Extending (C.9), we get

$$\delta(\mathbf{r}) = \frac{1}{(2\pi)^3} \int_{\mathbf{k}} \exp(i\mathbf{k}\mathbf{r}) d\mathbf{k}$$
 (C.15)

where integration is carried out over the k space.

The Kronecker delta symbol is defined as

$$\Delta_{m\,n} = \begin{cases} 0 & \text{if} \quad n \neq m \\ 1 & \text{if} \quad n = m \end{cases} \tag{C.16}$$

where m and n are any discrete quantities, e.g., integers, or as

$$\Delta(\mathbf{k}) = \begin{cases} 0 & \text{if } \mathbf{k} \neq 0 \\ 1 & \text{if } \mathbf{k} = 0 \end{cases}$$
 (C.17)

where k is a scalar or a vector, continuous or discrete.

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Subject Index to the Bibliography

This index contains numbers of references in the Bibliography classified according to their subjects. In Subsections A.1, A.2, and A.3, the references are listed in alphabetical order. In each item of subsections B.1 through B.9 the order is chronological. Some specialized issues of journals which are devoted to problems treated in the book are given in Section C.

A. Manuals and monographs

A.1. Mathematics and general physics

7, 24, 29, 44, 55, 84, 113, 131, 151, 191, 226, 227, 242, 243, 244, 245, 246, 253, 256, 273, 317, 336, 357, 386, 399

A.2. Magnetism and magnetic resonance

1, 14, 15, 61, 62, 70, 114, 115, 267, 284, 301, 321, 333, 370, 374, 382, 410, 412

A.3. Microwave magnetic processes, materials, and devices

5, 115, 159, 179, 181, 247, 254, 285, 297, 300, 375, 376, 423, 426

B. Articles in journals and chapters in collective monographs

B.1. Magnetism: ground states, thermodynamics, etc.

Ferromagnetism 130, 178, 101, 241, 306, 427, 446, 145, 18, 95, 208, 385, 194, 445, 334, 257, 417, 37

Antiferromagnetism and ferrimagnetism 240, 296, 60, 106, 342, 447, 292, 465, 138, 236, 229, 389

B.2. Uniform oscillations of magnetization (Chapters 1, 2, and 3)

Ferromagnetic resonance¹ 22, 102, 241, 149, 461, 219, 220, 319, 418, 266, 176, 371, 390, 23, 339, 341, 146, 159, 366, 453, 166, 143, 462

Antiferromagnetic resonance 221, 294, 413, 122, 166, 233, 110

Peculiarities of resonance in ferrimagnets 434, 435, 337, 136, 329, 342, 122, 360, 250, 331, 230

B.3. Electrodynamics of gyrotropic media and microwave ferrite devices (Chapters 4 and 5)

Fundamentals of electrodynamics of gyrotropic media 367, 319, 183, 140, 141, 109, 152

Ferrite-loaded waveguides and microwave devices based on them 203, 204, 139, 140, 397, 299, 326, 356, 158

Resonators and waveguide junctions with ferrite 298, 187, 316, 398, 328, 25, 154, 330, 276, 352

B.4. Nonuniform oscillations of magnetization and spin waves (Chapters 6 and 7)

Nonexchange magnetostatic waves 112, 192, 406, 82, 39, 27, 358, 134, 4, 457, 108, 303, 359, 6, 8, 308, 137, 428, 431, 430, 72, 335, 189, 3, 416, 429, 42 Nonuniform oscillations (Walker's modes) 93, 439, 432, 433, 119, 121, 318,

235, 45

Spin waves in unbounded media 52, 184, 180, 104, 105, 142, 252, 255, 214, 407, 414, 415, 230, 291

Spin waves in bounded bodies and nonuniform fields 223, 355, 325, 120, 195, 400, 442, 111, 83, 346, 347, 348, 133, 116, 90, 91, 117, 35, 448, 215, 118, 232, 92, 353, 456, 150, 384, 206, 205, 464

B.5. Oscillations and waves in unsaturated ferromagnet (Chapter 8)

Oscillations of domain walls 103, 43, 132, 288, 312

Ferromagnetic resonance and spin waves in the presence of domains 295, 320, 288, 425, 269, 351, 217, 216, 248, 287, 69, 38, 362, 361, 85

B.6. Nonlinear processes (Chapters 9 and 10)

One-mode nonlinear processes 315, 274, 190, 438, 364, 365, 28, 363, 147, 286, 30, 173, 81, 89, 263, 279

Parametric excitation of spin waves:

Thresholds under transverse pumping 56, 57, 19, 391, 392, 394, 31, 46, 51, 421, 206, 422, 234, 168, 71, 463, 464

¹Only general theory and basic experiments are referred to in this item; for special topics in ferromagnetic resonance see Subsections B.5, B.7, B.8, and B.9 of this index.

Thresholds under longitudinal pumping 343, 201, 345, 313, 79, 323, 307, 458, 314, 20, 277, 237, 238, 21, 207, 281, 282, 441, 451

Above-threshold state 392, 395, 148, 275, 460, 459, 264, 265, 261, 283, 280, 369, 396, 327, 368

Instability of magnetostatic oscillations and waves; ferromagnetic amplifier 393, 437, 404, 289, 88, 345, 328, 278

B.7. Spin-spin relaxation (Chapter 11)

General phenomenology and inherent processes 68, 10, 53, 144, 17, 104, 66, 199, 210, 212, 378, 344, 171, 376, 366, 214, 239, 377, 415, 436, 20, 36, 157, 168 Two-magnon processes 211, 76, 135, 338, 251, 63, 67, 160, 161, 170, 378, 381, 452, 40, 349, 454, 233, 311, 209, 231

B.8. Magnetoelastic interactions (Chapter 12)

Magnetoelastic waves 411, 222, 12, 424, 440, 271, 111, 372, 249, 348, 387, 65, 41 Magnetoelastic nonlinearities 380, 340, 408, 290, 304, 272, 405, 188, 249, 26, 258, 259, 193

Spin-lattice relaxation 2, 10, 200, 212

B.9. Interactions of magnetic system with other systems, except lattice (Chapters 13 and 14)

Anisotropy and relaxation caused by ions with strong spin-orbital coupling 75, 251, 87, 97, 224, 379, 440, 98, 225, 100, 165, 305, 64, 94, 403, 164, 174, 419, 74, 185, 373, 73, 169, 186, 99, 354, 402, 175, 388, 9, 156, 172, 182, 163, 33, 34, 107, 401

Interaction with charge carriers in semiconductors 444, 75, 450, 13, 268, 350, 80, 260, 155, 270, 49, 213

Ferromagnetic resonance and spin waves in metals 149, 449, 54, 228, 16, 455, 167, 11, 202, 126, 409, 47, 50, 177, 196, 32, 48, 198, 310, 77, 123, 262, 124, 127, 128, 324, 129, 125

Interactions with slow neutrons and light² 443, 210, 29, 86, 162, 96, 309, 383, 59

C. Special issues of journals devoted to topics discussed in the book

- 1. Proceedings of the IRE, 44, No. 2, (1956).
- 2. Circuits, Systems, and Signal Processing, 4, No. 1-2, (1985).
- 3. Proceedings of the IEEE, 76, No. 2, (1988).

²Only a few works (referred to in the text) are given on these topics not discussed in the book.



Index

Aftereffect, magnetic, 366	layered (Landau-type),
Amplification of spin waves by drift-	60, 61
ing charge carriers, 371,	staggered (Neel-type), 60
372	ground states, 65, 66
in magnetic semiconductor, 371	easy axis of anisotropy, 68,
in a structure ferromagnet-	70, 73
semiconductor, 372	easy plane of anisotropy, 76,
Angular momentum, see Moment of	77
momentum	vector of antiferromagnetism,
Anisotropy constants, 39	74
measurement, 43	weak ferromagnet, 59, 60, 65
renormalization, 40, 316, 318	Antiresonance, 15, 22, 103, 384
Anisotropy field, 43, 44	line width (in metals), 385
Antiferromagnetic resonance, 68, 80	Diguratrania madium 04
damping, 72	Bigyrotropic medium, 94
easy axis of anisotropy, steady	electrodynamic equations, 95
magnetic field	for harmonic z -dependence, 95
arbitrary oriented, 75	Bohr magneton, 4, 395
parallel to the axis, 70, 71, 73	Boltzmann constant, 5, 395
perpendicular to the axis, 70,	Boundary conditions
74	elastic, 314
easy plane of anisotropy, steady	electrodynamic, 92
magnetic field	
lying in the plane, 77, 78	periodical (Born-von Karman), 200
perpendicular to the plane, 76	
in weak ferromagnets, 78	supplementary (exchange), 186 Brillouin function, 5, 66
influence of shape and dimen-	Butterfly curve, 258
sions of the sample, 80	Butterny curve, 238
line width, 73	Chaos above spin-wave-instability
susceptibility, 72	threshold, 279
temperature dependence, 80	Charge-carrier influence on mag-
Antiferromagnets, 59	netic waves, 367
antiferromagnetic ordering	Charge-conservation equation, 92
5	6

Cherenkov condition of amplifica-	Detection of magnetization oscilla-
tion, 370	tions, 235
Circular waveguide with longitudi-	Dimensional effects in ferromag-
nally magnetized ferrite	netic resonance, 136, 365
completely filled, 118	Dipole-dipole (magnetic, relativis
with ferrite rod, 119	tic) interaction, 2, 38
Circulator, 122	Hamiltonian, 212
Faraday-type, 121	Dirac delta function, 21, 401
symmetric-junction, 145	Displacement vector, 311
Coincidence of subsidiary absorp-	Dissipation parameters, 17, 18
tion with main resonance,	Dissipative terms
254	Gilbert, 17
Collision integral, 287	Landau-Lifshitz, 17
Compensation points, 67, 83, 89	modified Bloch, 18
Conductivity contribution to ferro-	Domain structures
magnetic-resonance relax-	bubble, 218
ation	stripe, 217
in a sphere, 364	Domain walls, 215
of interionic electron transi-	Bloch, 216
tions, 366	mass, 220
Conservation of magnetization-	Neel, 217
vector length, 10, 234	Domain-wall oscillations
Convective instability, see Amplifi-	dynamic susceptibility, 220
cation of spin waves by	eigenfrequency, 220
drifting charge carriers	equation of motion, 219
Corpuscule-wave duality, 199	line width, 221
Coupled-wave approach, 120	quality factor, 220
Coupling of magnetic modes at high	
power level, 245	Effective demagnetization factors,
Creation and annihilation operators	35, 43
of magnons, 208	of cubic anisotropy, 47
of spin deviations, 207	of uniaxial anisotropy, 44
Critical wavelength, 117	Effective field, 33
Crystal field, 338	of exchange interaction, 179
Curie constant, 6	of magnetocrystalline aniso-
Curie law, 6	tropy, 43, 44, 64
Curie temperature (point), 1, 7	Effective permeability, 16, 97
Curie-Weiss law, 7	Effective pumping, 275
	Elastic force, 311
Damon-Eshbach wave, 160	Elastic waves
Degeneration of uniform oscillations	in continuum, 313
with spin waves, 149, 183	in discrete crystal lattice, 314
Demagnetization factors, 23, 397	Elastic-stress effect on ferromag-
Demagnetization tensor, 23	netic resonance

magnetostrictive stresses, 318	Hamiltonian, 7, 206
external stresses, 319	nonuniform term, 179
Electrokinetic waves, 370	of antiferromagnet, 64
cyclotron waves, 370	Exchange integral, 7
helicons, 370	Exchange interaction, 7
Electron paramagnetic resonance, 4	anisotropy of, 38
Ellipticity, 26	indirect, 7, 63, 373
of spin wave, 256	External susceptibility tensor, 27
of uniform oscillations, 26, 238	r
Energy losses, 108	Faraday effect
Energy-balance equation, 107	for plane wave, 100, 101
Energy-flow density	in circular waveguide, 119, 120
elastic (Umov vector), 313	Fast relaxation, see Ionic relaxation
electromagnetic (Poynting vec-	Fast-relaxing ions, see Ions with
tor), 107, 166	strong spin-orbital coup-
Equations of motion	ling
elastic, 313	Fermi surface, 373
magnetoelastic, 317	Ferrimagnetic resonance, 81, 89
of domain wall, 219	exchange modes, 85, 88, 89
of magnetization,	in antiparallel ground state
in anisotropic ferromagnet	allowance for shape and
(Landau-Lifshitz equa-	anisotropy, 88, 89
tion), 33	damping, 87
in isotropic ferromagnet, 9	effective parameters, 84, 88
linearized, 11, 18, 24, 34	eigenfrequencies, 83
with dissipative terms, 17	line width, 88
of sublattice magnetizations, 64	susceptibility, 87
Equilibrium magnetization direc-	in noncollinear ground state, 86
tions	Ferrimagnets, 59
in anisotropic ferromagnet, 32	equilibrium orientations of sub-
cubic, 43	lattice magnetizations, 81
uniaxial, 41	Ferrite microwave devices
in antiferromagnet, 65, 66, see	circulator, 122
also Antiferromagnets	Faraday-type, 121
in ferrimagnet, 81, 82	field-displacement-type, 129
in isotropic ferromagnet	filter, 142
ellipsoid, 23	isolator, 122
unbounded, 11	modulator, 122
Exchange boundary conditions, 186	nonlinear devices, 279
for ac magnetization, 188	phase shifter, 122
free spins, 188	resonance-type, 128
perfect pinning, 189	Ferrite parametric amplifier, 267
Exchange field, 179	Ferrite resonator, see Magnetody-
Exchange energy, 7, 33, 338	namic oscillations

Ferrites with	filter-circulator, 142
garnet structure, 62	Fine-structure constant, 3, 395
hexagonal structure, 62	Four-magnon scattering, 288, 294,
spinel structure, 61	298
Ferromagnetic resonance, 13	Free-energy density
in a film, 50	elastic, 312, 313
of cubic ferromagnet, 51	magnetoelastic, 315, 316
in a small ellipsoid	of ferromagnet, 32
eigenfrequency, 24	of ions, 342
external susceptibility, 27	Frequency doubling, 236, 238
line width, 28	using magnetocrystalline
losses, 26	anisotropy, 239
polarization, 26	using magnetodynamic oscilla-
in a sphere of anisotropic ferro-	tions, 239
magnet	Frequency mixing, 242
cubic, 47	
uniaxial, 45	g-factor, see Spectroscopic splitting
in polycrystals, 53	factor
in the presence of domains, 222	Group velocity
ellipsoid of uniaxial ferro-	nonexchange magnetostatic
magnet, 224	waves in films, 154, 156,
sphere of cubic ferromagnet,	161
226	spin waves, 182
influence of sample dimen-	Growth anisotropy, 51
sions, 136, 365	Gyromagnetic ratio, 3, 10, 33
measurement, 138	Gyrotropy, 12
Ferromagnetic resonance in bulk	
metal	'Hard' parametric excitation of spin
nonexchange theory, 375	waves, 278
normal magnetization, 377	Harmonic generation
tangential magnetization,	second harmonic, 236, 238, see
377	also Frequency doubling
self-consistent estimate of ex-	third harmonic, 241
change influence, 378	Heisenberg model, 8, 205
theory allowing for exchange,	diagonalization of the Hamilto-
380	nian, 206
anomalous skin-effect, 383	High-frequency approximation, 290,
effect of pinning-parameter,	291
383	High-temperature approximation,
normal magnetization, 383	290, 294
tangential magnetization,	Holstein-Primakoff transforma-
381	tions, 206, 213
Field-displacement effect, 127	Hooke law, 312
Filter (microwave ferrite) 140 142	Hydrodynamic approximation 368

Impedance	width, 355
Impedance surface (of metal), 377, 381	Hartmann–Boutron theory,
wave, 99, 102	354
Independent-grain (region) approxi-	relaxation of ionic-level popu-
mation, 54, 56, 300	lations, 356
Induced anisotropy, 366, 367	transverse, 347, 349, 360
	Kittel fast-relaxation theory,
by light, 366	348
Induction electric field at ferromagnetic resonance, 364	Van Vleck theory, 349
	Ions with strong spin-orbital cou-
Instability of ferromagnetic reso-	pling, 337
nance in strong ac field	contribution to anisotropy, 337,
caused by	see also Ionic anisotropy
magnetocrystalline anisotropy, 234	contribution to line width, 347,
— - ·	see also Ionic relaxation
shape anisotropy, 233	free energy, 342
Interaction of magnons, 214	splitting of energy levels, 337
Internal magnetic (dipole) field of	3d ions, 338, 339
magnetization wave, 181, 189	4f ions, 339, 342
- 	Isolator, 122
Internal magnetic energy, 34, 65	Faraday-type, 122
Ionic anisotropy	field-displacement, 129
experimental data	resonance, 128
3d ions in YIG and spinel fer-	resonance, 126
rites, 345	Kasuya-Le Craw processes
4f ions in YIG, 344	magnon-magnon, 295
chromium ions in ferromag-	magnon-phonon, 296, 335
nets, 345	Kerr effect, 102
one-ion theory, 342	Kinetic equation (for numbers of
low-lying doublet, 342	quasiparticles), 287, 292
near-crossing levels, 343	Kronecker delta symbol, 132, 402
Ionic relaxation, 347	•
experimental data	Landé factor, see Spectroscopic
3d ions in garnets and	splitting factor
spinels, 360	Landau-Lifshitz equation, 33
4f ions in garnets, 358	Limitation of parametric-spin-wave
in metals, 386	growth above threshold,
longitudinal (slow), 347, 349,	271
355	nonlinear damping, 276
case of two energy levels,	phase mechanism, 274
354	phase mechanism and nonlin-
Clogston theory, 350	ear damping, 277
dynamic anisotropy, 352	reaction of spin waves on
frequency and temperature	pumping, 271
dependences of line	

Line width	Magnetization processes
antiresonance (in metals), 385	displacement, 218
dipole narrowings, 55	rotation, 218
exchange narrowings, 302	Magneto-electrokinetic waves, 370
in ferrimagnets, 88	Magnetoacoustic resonance (MAR),
of antiferromagnetic reso-	330
nance, 73	secondary, 330
of domain-wall oscillations,	Magnetocrystalline anisotropy, 31
221	origins, 37
of ferromagnetic resonance	phenomenological description,
due to conductivity, 363	39
due to exchange interaction	antiferromagnets and ferri-
and conductivity in met-	magnets, 64, 81
als, 383	cubic ferromagnet, 40
due to porosity, 56, 306, 308	uniaxial ferromagnet, 34
due to surface roughness,	Magnetodynamic oscillations, 135
309	of a ferrite sphere, 136
in polycrystals, 35, 306, 308	Magnetoelastic
in single crystals, 28	constants, 315
spin-wave due to	energy, 315, 316
four-magnon scattering, 295,	equations of motion, 317
298	linearized, 320
inherent processes in YIG	gap, 318
(experimental data), 297	peaks on 'butterfly' curve, 326,
three-magnon confluence,	329
293	Magnetoelastic waves, 319
three-magnon splitting, 291	damping, 323
Lorentz lemma, 104	excitation, 323
Lorentz resonance curve, 20	in a thin plate by ac magnetic field, 324
Magnetic dipoles, 2	parametric excitation, 326, 330
Magnetic domains, 1, 215	propagating parallel to steady
Magnetic gyration vector, 12, 94,	magnetization, 320
105	propagating perpendicularly to
Magnetic moment, 3	steady magnetization, 322
eigenvalues, 4, 337	transformation in nonuniform
elementary, 1, 2	steady magnetic field, 324
Magnetic spectra, 221	Magnetostatic approximations
Magnetic susceptibility tensor, 12	first (for electric field), 147, 166
circular components, 14, 22	limits of validity, 152
of polycrystal, 53	zero, 147, 148
with losses, 19, 22	Magnetostatic equations, 93
Magnetic-flux quantum, 395	Magnetostatic nonexchange waves,
Magnetization, 9, 92	148

energy flow, 166 excitation, 168 in anisotropic ferromagnet, 150 in plates (films), 153, 170 backward volume, 155 forward volume, 153 surface, 159, 161, see also Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostraciton, 315 constants, 316 Magnon dispersion law classical (small- k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of a quantities, 93 Measurement of μ components and ϵ of ferrites,	applications, 170	anisotropy constants, 43
in anisotropic ferromagnet, 150 in plates (films), 153, 170 backward volume, 155 forward volume, 153 surface, 159, 161, see also Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of	energy flow, 166	ferromagnetic-resonance
in anisotropic ferromagnet, 150 in plates (films), 153, 170 backward volume, 155 forward volume, 153 surface, 159, 161, see also Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of	excitation, 168	parameters, 138
backward volume, 155 forward volume, 153 surface, 159, 161, see also Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Moduli of elasticity, 312 cubic crystal, 312 isotropic medium, 313 Molecular field, 6, 211 Moment of momentum, 2 eigenvalues, 2 Monodomain particles, 218 Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder-Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal- to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscilla- to-noise-ratio enhancer), 280 Nonlinear magnetization oscilla- tons, methods of approx- imate analysis using condition of magnetization-	in anisotropic ferromagnet, 150	threshold field (longitudial
surface, 159, 161, see also Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Molecular field, 6, 211 Moment of momentum, 2 eigenvalues, 2 Monodomain particles, 218 Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Narrowing of resonance line dipole, 55, 307 exchange, 302 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder-Smit formula, 229 Near-crossing of energy levels, 341, Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	in plates (films), 153, 170	pumping), 257
surface, 159, 161, see also Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Moment of momentum, 2 eigenvalues, 2 Monodomain particles, 218 Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder–Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal- to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscilla- tions, methods of approx- imate analysis using condition of magnetization-	backward volume, 155	Moduli of elasticity, 312
Surface magnetostatic waves in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostratic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maswell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Molecular field, 6, 211 Moment of momentum, 2 eigenvalues, 2 Monodomain particles, 218 Morin temperature (point), 60 Natrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder-Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	forward volume, 153	cubic crystal, 312
in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Moment of momentum, 2 eigenvalues, 2 Monodomain particles, 218 Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder–Smit formula, 229 Polder–Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 60 Nonlinear ferrite microwave devices power limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	surface, 159, 161, see also	isotropic medium, 313
in the presence of domains, 230 in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Monodomain particles, 218 Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder-Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 60 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	Surface magnetostatic	Molecular field, 6, 211
in waveguides with finite cross section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Monodomain particles, 218 Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Near-crossing of energy levels, 341, 343 H _{res} peaks at, 344 Nearest-neighbor approximation, 209 natiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	waves	Moment of momentum, 2
section film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Morin temperature (point), 60 Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Near-crossing of energy levels, 341, Near-crossing of energy levels, 341, Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 60 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	in the presence of domains, 230	eigenvalues, 2
film of small width, 165 metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Narrowing of resonance line dipole, 55, 307 exchange, 302 Natural ferromagnetic resonance, 226, 229 Polder-Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using	in waveguides with finite cross	Monodomain particles, 218
metallized rectangular rod, 163 round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Natural ferromagnetic resonance, 226, 229 Polder–Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 60 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using	section	Morin temperature (point), 60
round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Magnetostatic potential, 132, 149 Natural ferromagnetic resonance, 226, 229 Polder-Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 60 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	film of small width, 165	
round rod, 164 losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Magnetostratic potential, 132, 149 Natural ferromagnetic resonance, 226, 229 Neldr—Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	metallized rectangular rod,	
losses, 167 Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Natural ferromagnetic resonance, 226, 229 Polder–Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	163	_
Magnetostatic potential, 132, 149 Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Near-crossing of energy levels, 341, 343 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	round rod, 164	
Magnetostriction, 315 constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Polder-Smit formula, 229 Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	losses, 167	
constants, 316 Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Near-crossing of energy levels, 341, 343 Hres peaks at, 344 Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	Magnetostatic potential, 132, 149	
Magnon dispersion law classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	Magnetostriction, 315	
classical (small-k) limit, 183, see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Nearest-neighbor approximation, 209 Neal temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	constants, 316	
see also Spin waves quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Nearest-neighbor approximation, 209 Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	Magnon dispersion law	* '-
quantum-mechanical, 209 cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Meel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	classical (small-k) limit, 183,	
cubic spin lattices, 209 Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Neel temperature of antiferromagnet, 60, 66 ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	see also Spin waves	¥
Magnons, 199 numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Max well equations, 91 for complex amplitudes of ac quantities, 93 Measurement of antiferromagnet, 60, 66 ferrimagnet, 60, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	quantum-mechanical, 209	
numbers of, 200 spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of ferrimagnet, 66 Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-		<u>-</u>
spin and magnetic moment, 203 statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Nonlinear ferrite microwave devices power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	Magnons, 199	-
statistics, 203 thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of power limiter, 279 filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	numbers of, 200	
thermal, 202 contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of filter-limiter, 280 small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using Condition of magnetization-	spin and magnetic moment, 203	
contribution to heat capacity, 205 contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of small-signal suppressor (signal-to-noise-ratio enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	statistics, 203	
205 to-noise-ratio contribution to temperature dependence of magnetiza- tion, 203, 212 in an ellipsoid of revolution, time of life, 185, 202, 214 Material equations, 92 in a sphere, 231 Max well equations, 91 Nonlinear magnetization oscilla- for complex amplitudes of ac quantities, 93 imate analysis using Measurement of condition of magnetization-	thermal, 202	
contribution to temperature dependence of magnetization, 203, 212 time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of contribution to temperature enhancer), 280 Nonlinear ferromagnetic resonance in an ellipsoid of revolution, 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	contribution to heat capacity,	
dependence of magnetization, 203, 212 in an ellipsoid of revolution, 232 Material equations, 92 in a sphere, 231 Maxwell equations, 91 Nonlinear magnetization oscillations, methods of approxquantities, 93 imate analysis using Measurement of complex agreement of condition of magnetization-	205	
tion, 203, 212 in an ellipsoid of revolution, time of life, 185, 202, 214 Material equations, 92 in a sphere, 231 Maxwell equations, 91 Nonlinear magnetization oscilla- for complex amplitudes of ac quantities, 93 imate analysis using Measurement of condition of magnetization-	contribution to temperature	
time of life, 185, 202, 214 Material equations, 92 Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of time of life, 185, 202, 214 232 in a sphere, 231 Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	dependence of magnetiza-	
Material equations, 92 in a sphere, 231 Maxwell equations, 91 Nonlinear magnetization oscilla- for complex amplitudes of ac quantities, 93 imate analysis using Measurement of condition of magnetization-	tion, 203, 212	-
Maxwell equations, 91 for complex amplitudes of ac quantities, 93 Measurement of Nonlinear magnetization oscillations, methods of approximate analysis using condition of magnetization-	time of life, 185, 202, 214	
for complex amplitudes of ac tions, methods of approx- quantities, 93 imate analysis using Measurement of condition of magnetization-	Material equations, 92	<u>=</u>
quantities, 93 imate analysis using Measurement of condition of magnetization-		
Measurement of condition of magnetization-		
μ components and ε of ferrites, vector-length conserva-		•
		•
137 tion, 234	137	tion, 234

recurrent equations for magne-	magnetoelastic waves under
tization terms, 236	elastic pumping, 330
Nonlinear shift of spin-wave fre-	magnetic pumping, 326
quency, 250, 275	nonexchange magnetostatic
Nonreciprocal waveguide junctions	waves, 264
matrix approach, 143	Walker modes, 263
three-port, 145	Parametric excitation of spin waves.
two-port, 144	245
Nonreciprocity, 104	under longitudinal (parallel)
in circular waveguide with lon-	pumping, 246, 255
gitudinally magnetized	critical magnetic field, 256
ferrite, 119	effect of magnetocrystalline
in rectangular waveguide with	anisotropy, 258
transversely magnetized	effect of nonuniformities,
ferrite, 126	259
Nonuniform exchange constant,	in thin films, 266
180, 204, 210	measurements, 257
Nonuniform magnetostatic oscilla-	spin-wave parameters, 256,
tions, 170	257
coupling of modes, 177, 300	threshold ac field, 256
excitation, 176	under nonuniform pumping
in antiferromagnet, 178	by a running wave, 269
in ellipsoid of revolution, 175	by surface magnetostatic
in metallized cylinder, 171	wave in a film, 269
in a sphere, 171	local, 268
in samples with domains, 229	under oblique pumping, 261
orthogonality relations, 176	in unsaturated samples, 262
Number-of-magnons operator, 208	under transverse pumping, 246
One-ion anisotropy, 38, 342	
Onsager principle, 106	Suhl theory, 246
Overlapping integral, 263	first-order threshold, 250
o veriapping integral, 200	second-order threshold, 251
Parallel (longitudinal) pumping, see	Permeability tensor, 14
Parametric excitation of	anisotropic ferromagnet, 43
spin waves	designation of components, 94
Paramagnetic magnetization, 4	isotropic ferromagnet, 15
Paramagnetic susceptibility of	with losses, 22
antiferromagnet, 66	with exchange interaction, 180
ferrimagnet, 66	Permittivity, 94
ferromagnet, 5	in the presence of drifting car-
Parametric excitation of	riers, 368
magnetic and elastic modes,	Perturbation
caused by magnetoelastic	lemmas, 109
nonlinearity, 329	of a resonator, 111

and a dained unide Committee	accompled according of motion
cylindrical with ferrite sphere, 137	coupled equations of motion, 285
with ferromagnetic-metal	transition probability, 285
wall, 114	Relaxation processes near Curie
of a waveguide, 110	temperature, 310
circular with ferrite rod, 120	Relaxation time, 287
quasistatic approximation of in-	Resonators with ferrite
ternal field, 111, 113	cylindrical with ferrite sphere,
Phase shifter, 122	137
latching, 130	eigenoscillations, 131
Reggia-Spencer, 123	forced oscillations, 131
'Physical' region (of spin-deviation	use in measurements
space), 207	of $\stackrel{\leftrightarrow}{\mu}$ components and ε of
Pinning parameter, 188	ferrites, 137
Plank constant, 3, 395	of ferromagnetic-resonance
Polder tensor, see Magnetic suscep-	parameters, 138
tibility tensor	waveguide resonators, 133
Poynting vector, 108, 166	Ruderman-Kittel-Kasuya-Iosida
Propagating spin waves in films, 194	(RKKI) interaction, 373
dispersion characteristics, 196	
ways of theoretical treatment,	Saturation of main resonance, 254
194	Scattering matrix of waveguide junc-
Psevdodipole interaction, 38	tion, 143
•	Secondary quantization, 207
Quality factor, 27	Self-consistent field acting on a fer-
of domain-wall oscillations,	rite sample in waveguide,
220	139
of small ferromagnetic ellip-	Self-oscillations above spin-wave-
soid, 27	instability threshold, 279
	Shape anisotropy, 31
Rectangular waveguide with trans-	Skin depth, 98, 363, 373
versely magnetized ferrite	Skin effect
completely filled, 123	anomalous, 379, 383
with ferrite plate, 125	normal, 98
Relaxation frequency, 287	Slow branches, 100, 103, 147, 162
Relaxation processes in metals, 386	Slow relaxation, see Ionic relaxation
electron-magnon, 387	Smearing out of spin-wave-
four-particle, 388	instability threshold, 274
exchange-conductivity, 383	Smit-Suhl formula, 36
ionic, 386	Soft mode, 74, 86, 90
magnon-magnon, 386	Space dispersion, 181
two-magnon, 386	Spectroscopic splitting factor, 3, 4,
Relaxation processes, methods	10, 33
of study	electron-spin, 3, 395

Spherical-coordinate method, 35	Spin-spin relaxation processes, 283
Spin waves	experimental data for paramet-
damping, 184	ric magnons, 297, 299
dispersion law in anisotropic	in yttrium iron garnet, 297
ferromagnet, 183	inherent, in ferrites, 288, see
dispersion law in isotropic fer-	also Three-magnon relax-
romagnet, 180	ation processes and Four-
classical (continuum),	magnon scattering
180, 182	inherent, in metals, 386
degeneration with uniform	two-magnon, 299, see also
oscillations, 149, 183	Two-magnon relaxation
phase velocity, 181	processes
quantum-mechanical, 209,	Spin-flop transition, 69
see also Magnon disper-	Spin-stiffness constant, see Nonuni-
sion law	form exchange constant
excitation in nonuniform steady	Spin-wave instabilities, see Paramet-
magnetic field, 198	ric excitation of spin
in films, 189, see also Stand-	waves
ing spin waves in films and	Spin-wave resonance (SWR), see
Propagating spin waves in	Standing spin waves
films	in films
in films of small width, 197	Splitting of low-lying doublet, 341
in metals, 380	Spontaneous magnetization, 1, 7
in samples of finite dimensions,	Stability of above-threshold state
197	external and internal, 278, 279
in the presence of domains, 229	Standing spin waves in films, 189
parametric excitation, 245, see	excitation by uniform ac field,
also Parametric excitation	192
of spin waves	hyperbolic modes, 191
polarization, 184	in normally magnetized film,
Spin-lattice relaxation pro-	189
cesses, 284, 331	in tangentially magnetized film,
direct, 331	193
Cherenkov, 333	Strain tensor, 311
phonon Kasuya-Le Craw,	Stress tensor, 312
296, 335	Sublattice model, 63
two-magnon confluence, 333	equations of motion, 64, 67
indirect	equilibrium conditions, 65
caused by charge carriers,	Subsidiary absorption, 252, 254
363, see also Charge-	Surface impedance, 377, 381
carrier effects in ferromag-	Surface magnetostatic waves, 157
netic resonance	in film of small width, 165
ionic, 347, see also Ionic re-	in plates (films) and planar
laxation	structures, 159, 161, 162

Damon-Eshbach, 160 Two-magnon processes, 259, 273, on boundary of ferromagnet 299 with dielectric, 159 disordered distribution of ions with metal, 157 over lattice sites, 305 general theory, 300 Thin-film model (of FMR in metals), chaotic nonuniformities, 302 374 large-scale nonuniformities, line width of a metal sphere, 303, 308 374 in metals, 386 Three-halves-power law, 204 0-k processes, 300, 302 Three-magnon relaxation processes, pores, 307, 308 288 roughness of sample surface, confluence, 288 309 Kasuya-Le Craw process, variation of crystal-axis directions, 306, 308 of parametric $\pi/2$ magnons, Two-quantum absorption, 241 293 Uniform plane waves in participation in M_z and |M| regyrotropic dielectric, 97 laxation, 294 gyrotropic metal, 98 splitting, 288, 289 longitudinally magnetized ferof magnetostatic-wave rite, 98, 100 magnons, 297 transversely magnetized ferrite, of parametric $\pi/2$ magnons, 102, 103 291 of uniform mode, 290 Viscosity, magnetic, 366 Threshold ac magnetic fields (under Walker equation, 150 transverse pumping) generalized, 149 first-order instability, 252 in anisotropic ferromagnet, 150 at resonance, 252 Walker modes, see Nonuniform off resonance, 252 magnetostatic oscillations influence of pumping-field po-Waveguides with magnetized ferrite larization, 254 circular, 118, 119 second-order instability, 254 coaxial, 129 Transducers for magnetostatic plane (optical), 120 waves, 168, 169 rectangular, 123, 125 radiation resistance, 170 Weak ferromagnets, 59, 60, 65 Transmission and reflection in waveantiferromagnetic resonance, guides with small ferrite 78 samples Weiss theory of ferromagnetism, 6 infinite waveguide, 139 short-circuited waveguide, 141 Y-circulator, 145 two coupled waveguides, 141 Yttrium iron garnet, 62, 296, 299 Transparency of metal films, 385 Zeeman energy, 4, 34, 37, 65 Turning surface, 198

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